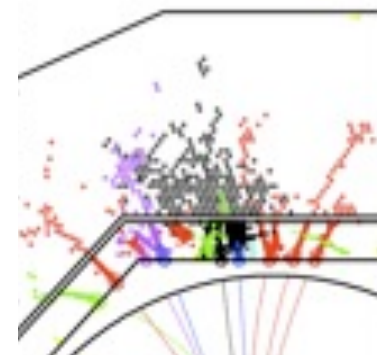
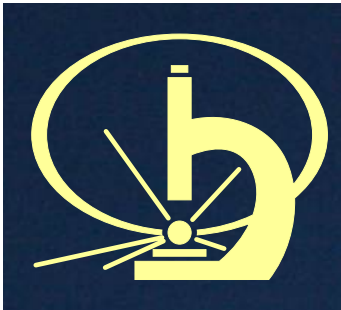


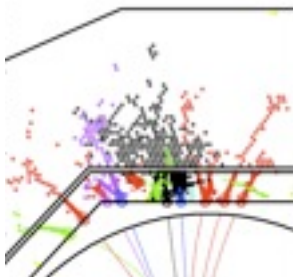
Particle Flow Calorimetry for Linear Collider Experiments

Felix Sefkow



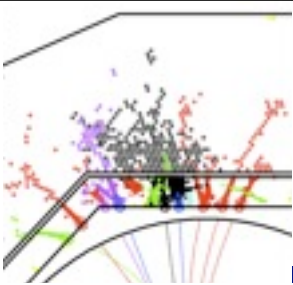
Instrumentation for Colliding beam Physics
Novosibirsk, February 27, 2014





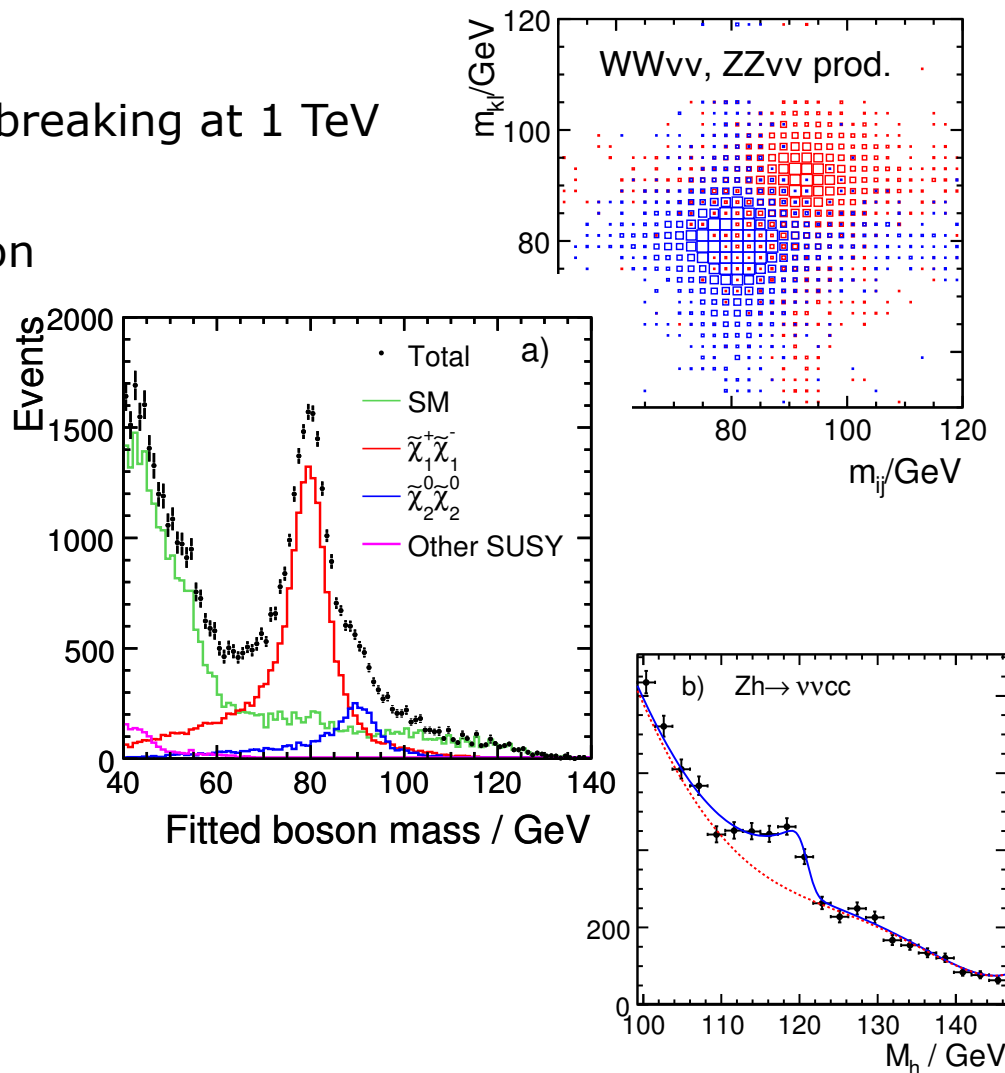
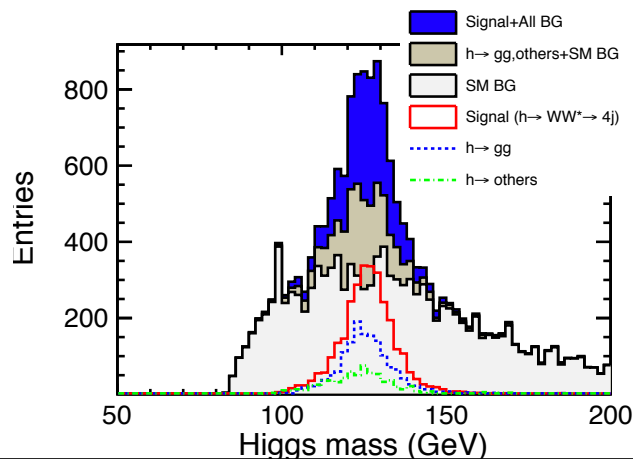
Outline

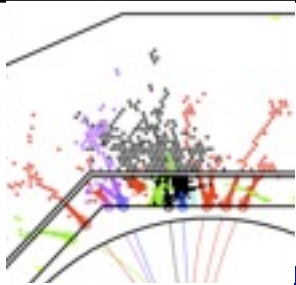
- Particle flow calorimetry
- Test beam validation
- ECAL and HCAL technologies
 - status and open issues



LC physics with jets

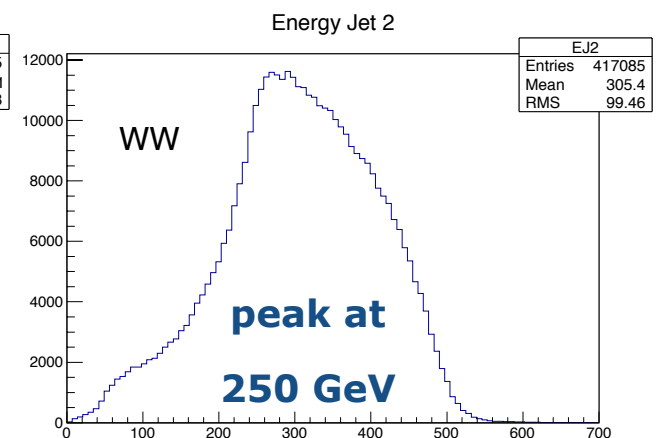
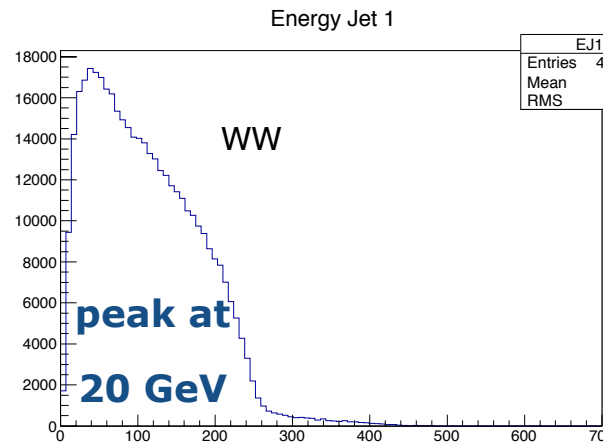
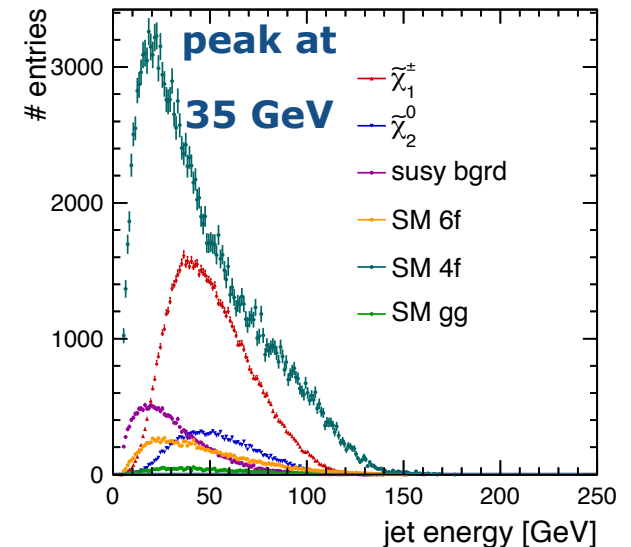
- W - Z separation
 - study strong e.w. symmetry breaking at 1 TeV
- Other W-Z examples
 - Chargino neutralino separation
- Other di-jet mass examples
 - $H \rightarrow cc$, $Z \rightarrow \nu\nu$
 - Higgs recoil with $Z \rightarrow qq$
 - invisible Higgs
 - WW fusion $\rightarrow H \rightarrow WW$
 - total width and g_{HWW}



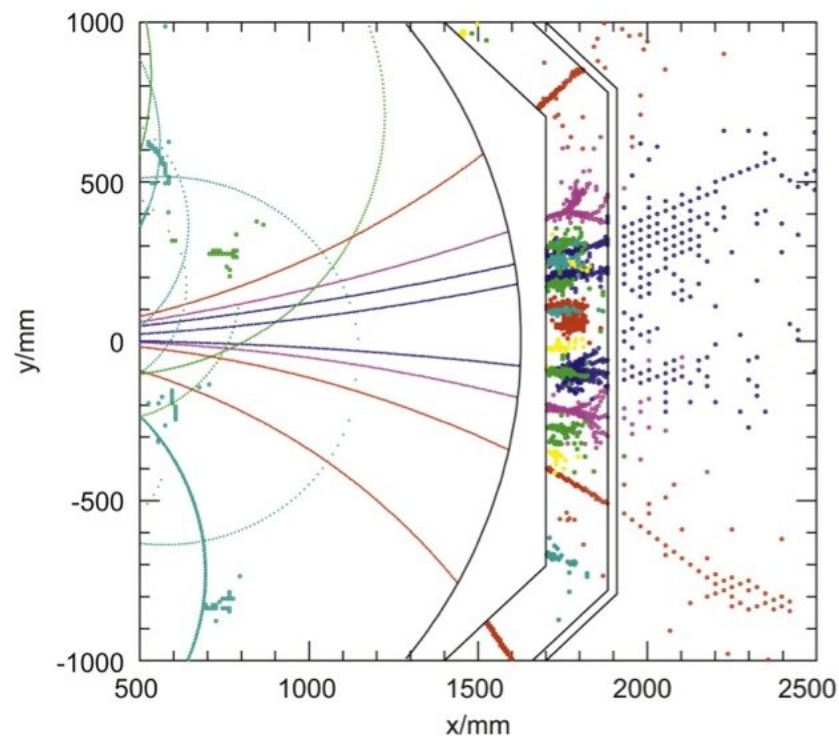


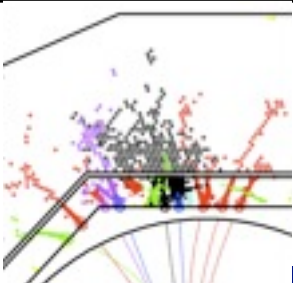
Jet energies

- $\sigma_m/m = 1/2 \sqrt{(\sigma_{E1}/E_1)^2 + (\sigma_{E2}/E_2)^2}$
– lowest E dominates
- At $\sqrt{s} = 500$ GeV
- example chargino, neutralino $\rightarrow qq + \text{invis.}$
- At $\sqrt{s} = 1$ TeV
- example $WW \rightarrow H \rightarrow WW \rightarrow l\nu qq$



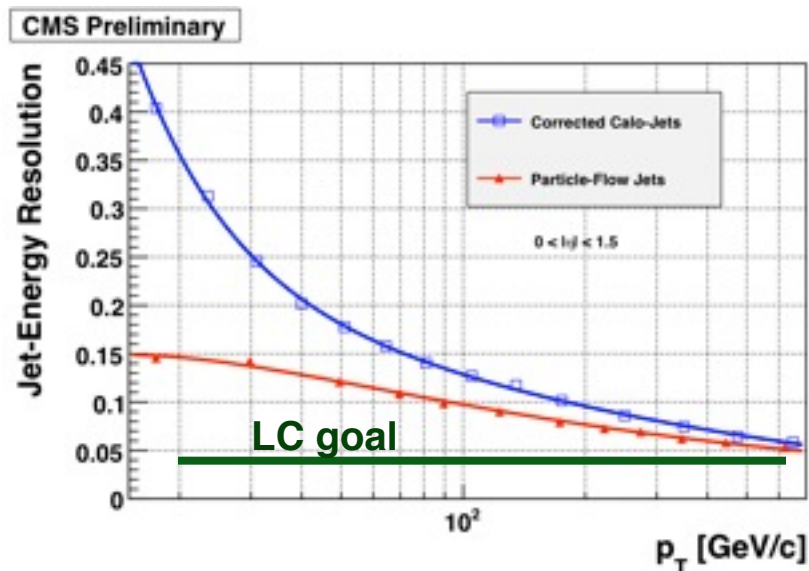
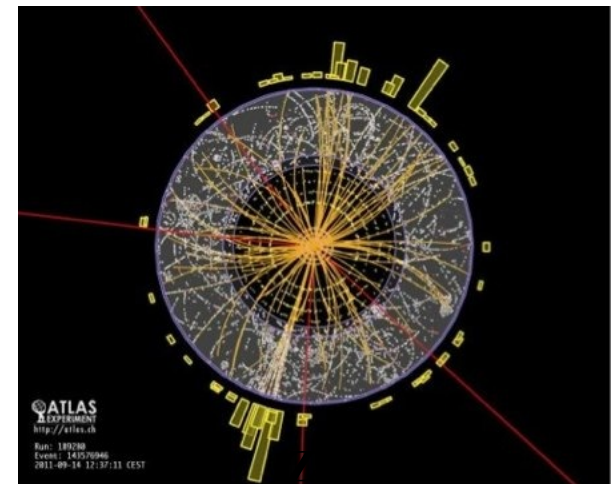
Particle flow concept and detectors



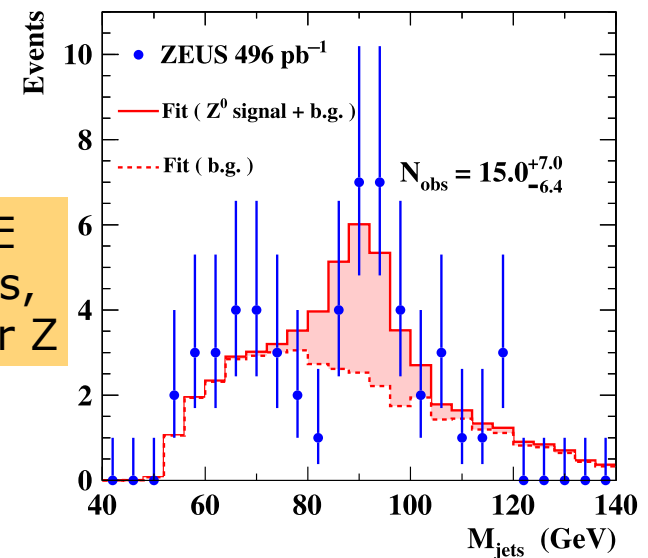


The jet energy challenge

- Jet energy performance of existing detectors is not sufficient for W Z separation
- E.g. CMS: $\sim 100\%/\sqrt{E}$, ATLAS $\sim 70\%/\sqrt{E}$
- Calorimeter resolution for hadrons is intrinsically limited
- Resolution for jets worse than for single hadrons
- It is not sufficient to have the world best calorimeter



35% \sqrt{E}
for pions,
6 GeV for Z



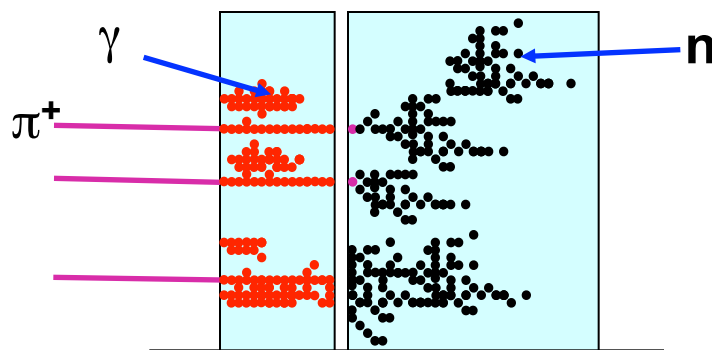
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from $\pi^0 \rightarrow \gamma\gamma$)
- ◆ 10 % in neutral hadrons (mainly n and K_L)

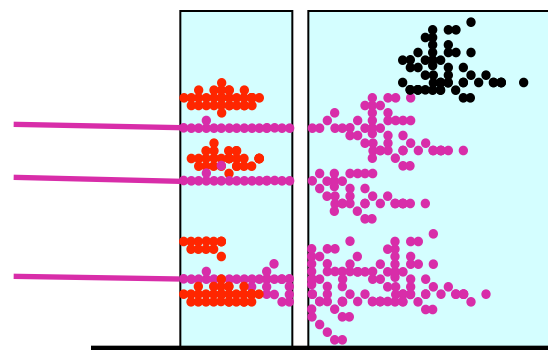


★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



$$E_{\text{JET}} = E_{\text{ECAL}} + E_{\text{HCAL}}$$



$$E_{\text{JET}} = E_{\text{TRACK}} + E_{\gamma} + E_n$$

★ Particle Flow Calorimetry paradigm:

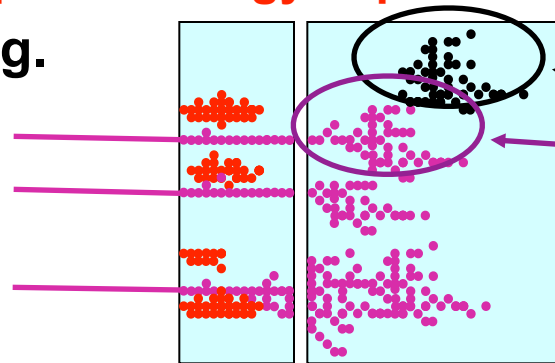
- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL: $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL \Rightarrow much improved resolution

Particle Flow Reconstruction

Reconstruction of a Particle Flow Calorimeter:

- ★ Avoid double counting of energy from same particle
- ★ Separate energy deposits from different particles

e.g.

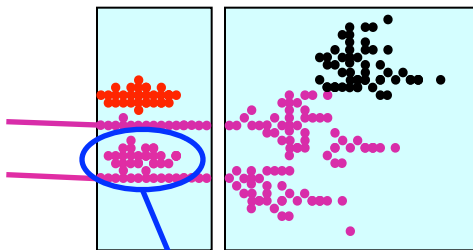


If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, “confusion”, determines jet energy resolution
not the intrinsic calorimetric performance of ECAL/HCAL

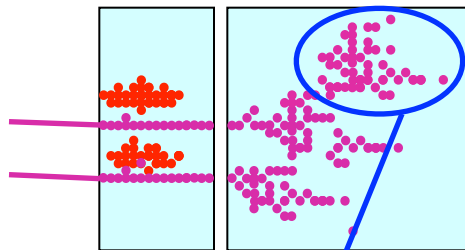
Three types of confusion:

i) Photons



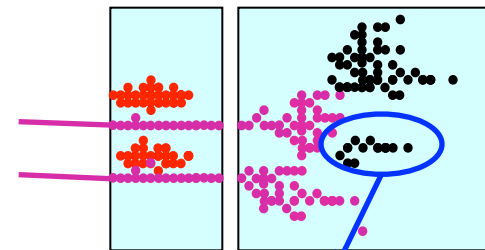
Failure to resolve photon

ii) Neutral Hadrons

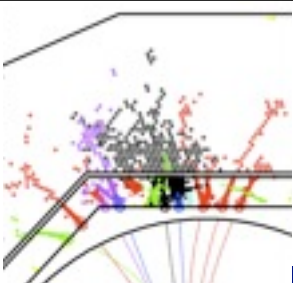


Failure to resolve
neutral hadron

iii) Fragments



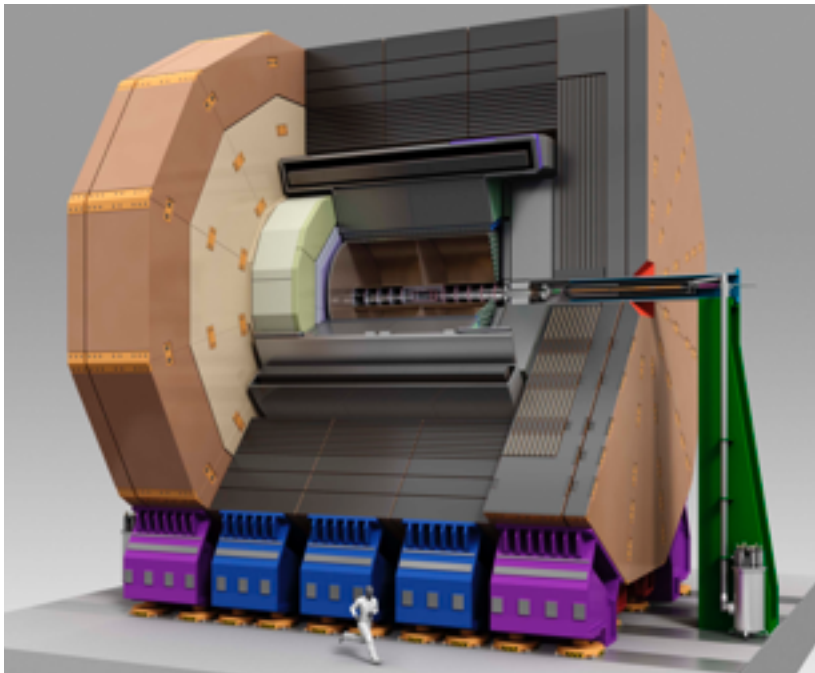
Reconstruct fragment as
separate neutral hadron



Particle flow detectors

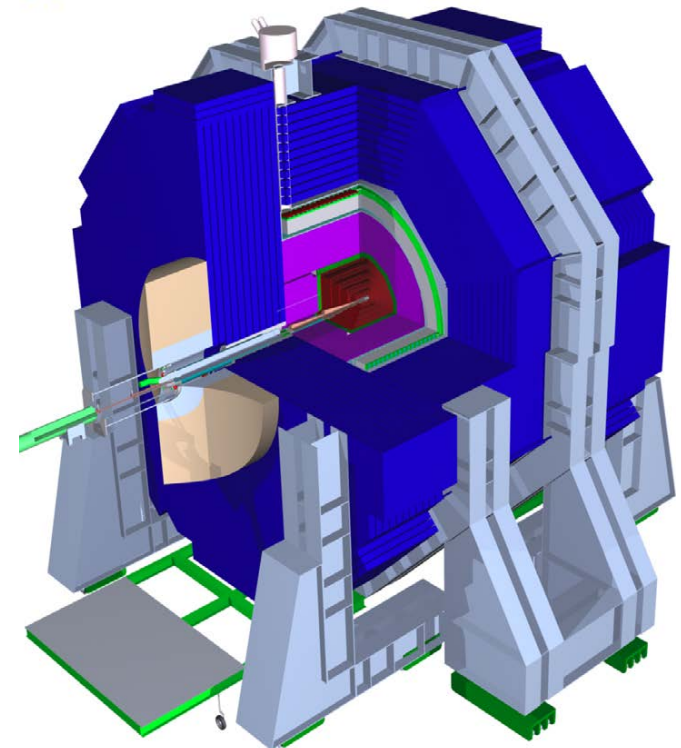
- large radius, large field, compact calorimeter, fine 3D granularity
 - Typ. 1X0 long., transv.: ECAL 0.5cm, HCAL 1cm (gas) - 3cm (scint.)
- optimised in full simulations and particle flow reconstruction

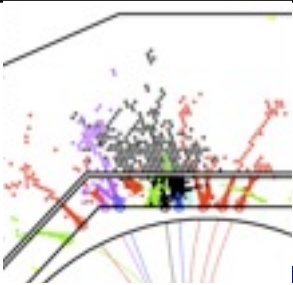
ILD: large TPC, $B=3.5T$, PFLOW calo



SiD: all-Si tracker, $B=5T$, PFLOW calo

CLIC:
tungsten
barrel HCAL





Understand particle flow performance

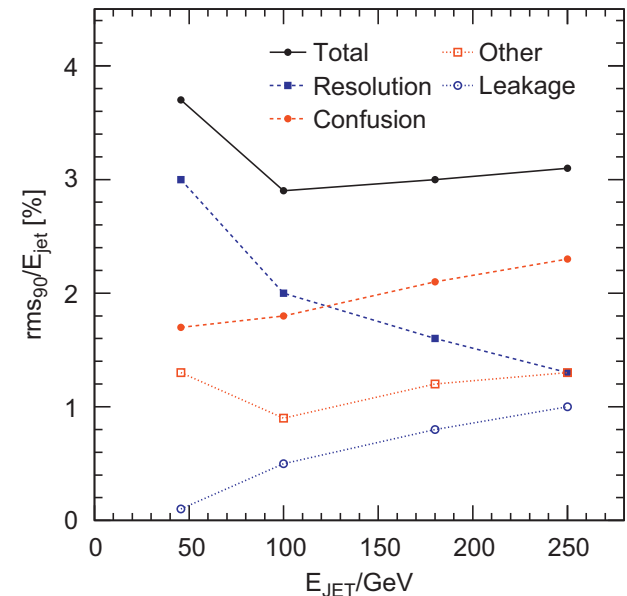
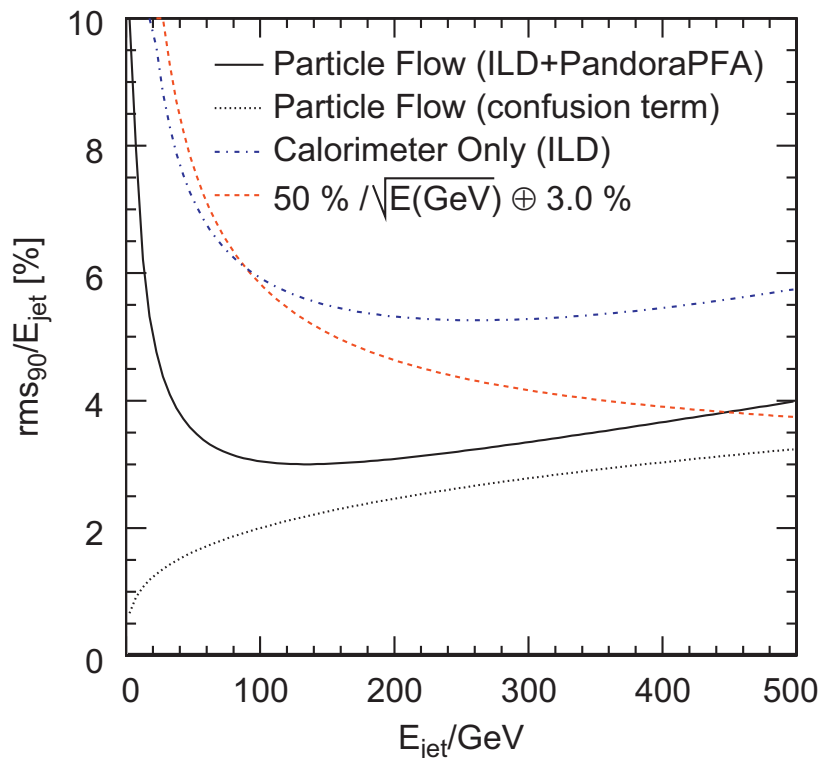
$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{E}{100} \right)^{+0.3} \%$$

Resolution

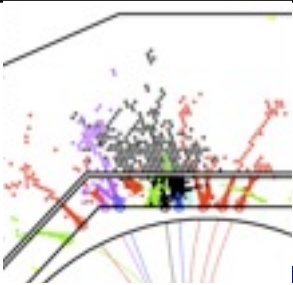
Tracking

Leakage

Confusion



- Particle flow is always a gain
 - even at high jet energies
- HCAL resolution does matter
 - dominates up to ~ 100 GeV
- Leakage plays a role, too
 - but less than for the calo alone



Understand particle flow performance

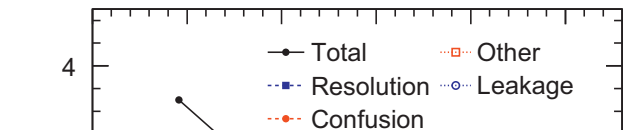
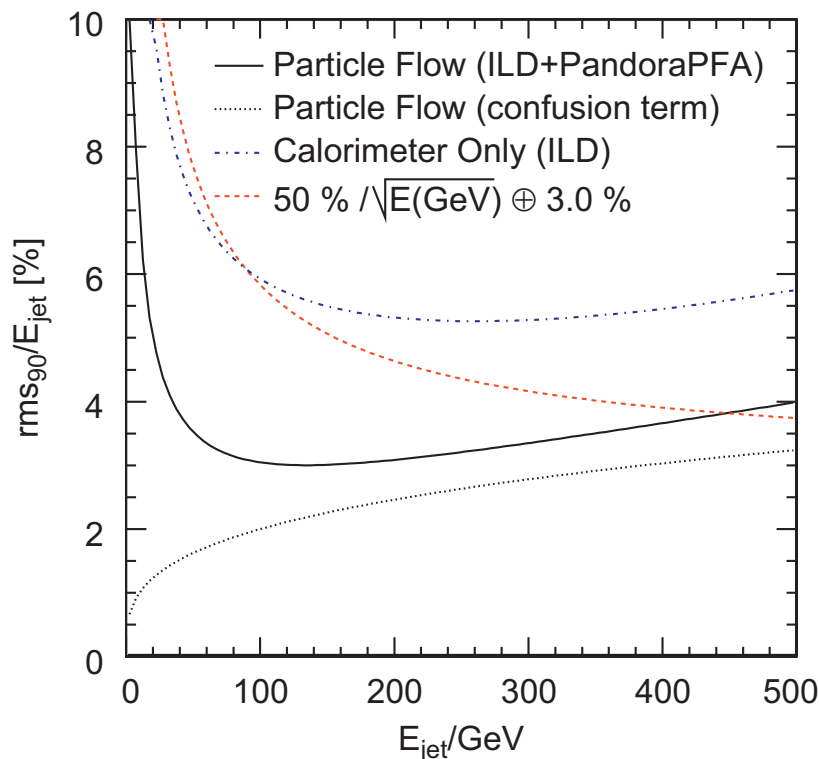
$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{E}{100} \right)^{+0.3} \%$$

Resolution

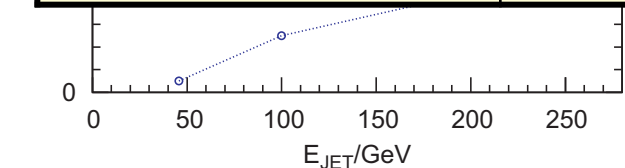
Tracking

Leakage

Confusion



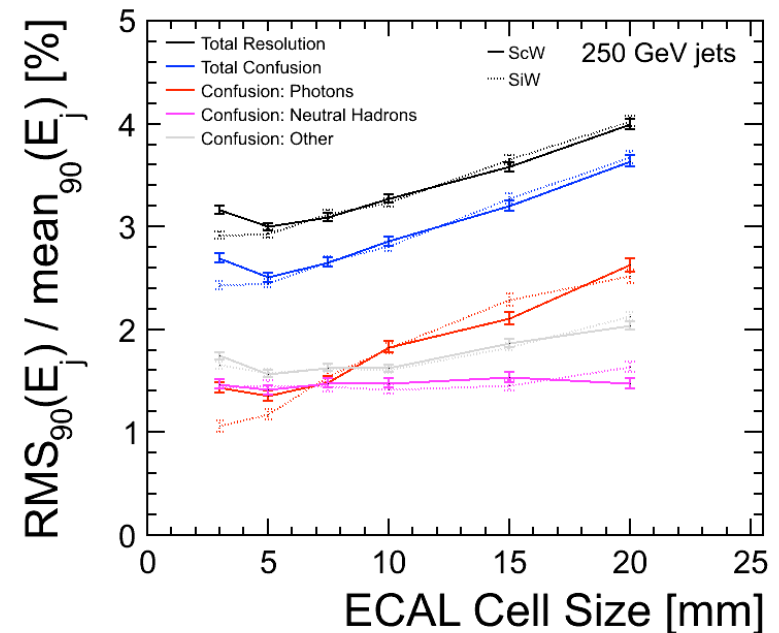
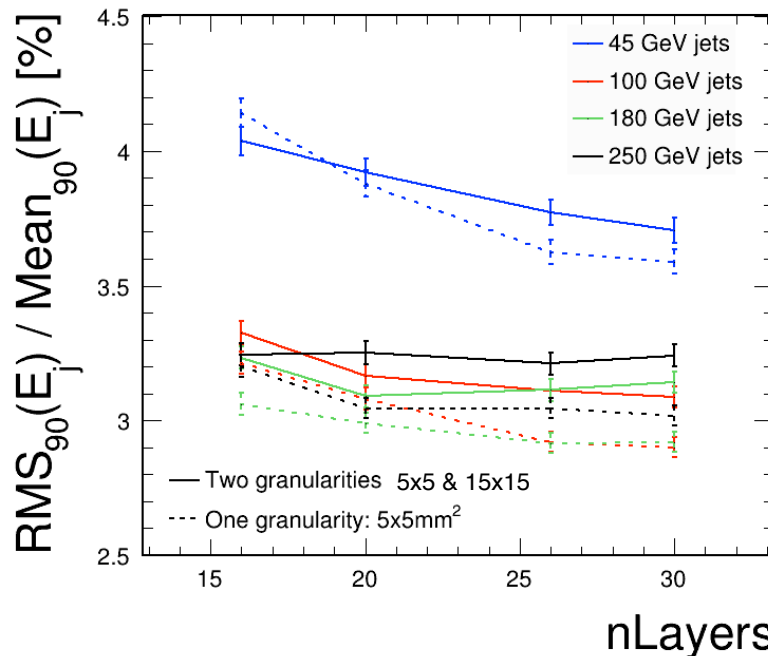
Total Res. (250 GeV)	3.1
Confusion	2.3
i) Photons	1.3
ii) Neutral hadrons	1.8
iii) Charged hadrons	0.2

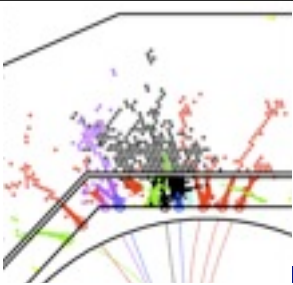


- Particle flow is always a gain
 - even at high jet energies
- HCAL resolution does matter
 - dominates up to ~ 100 GeV
- Leakage plays a role, too
 - but less than for the calo alone

Optimisation

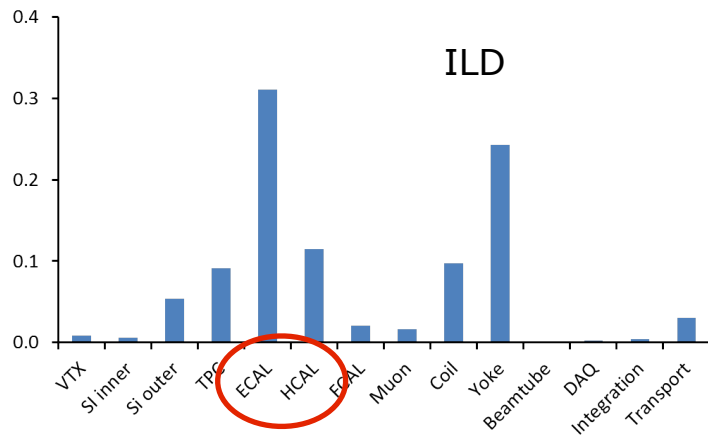
- Example ECAL
- longitudinal segmentation drives resolution
 - impact at low energy
- transverse segmentation drives photon hadron separation
 - impact at high energy
 - little impact on hadron hadron separation → HCAL
- technology choice driven by operational issues and cost



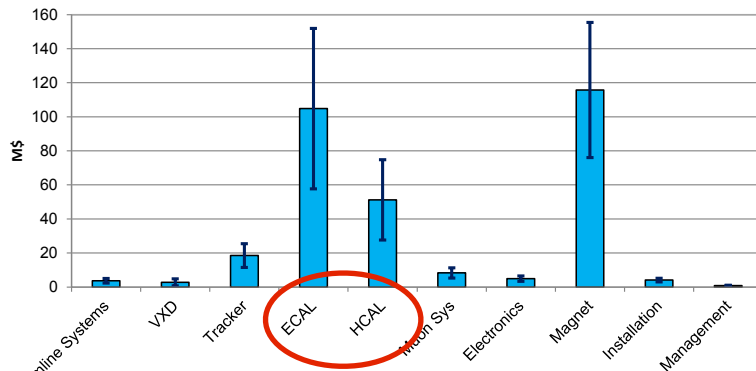


Calorimeter cost

fraction
of 392

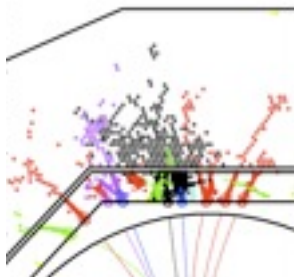


SiD M&S



sum = 315

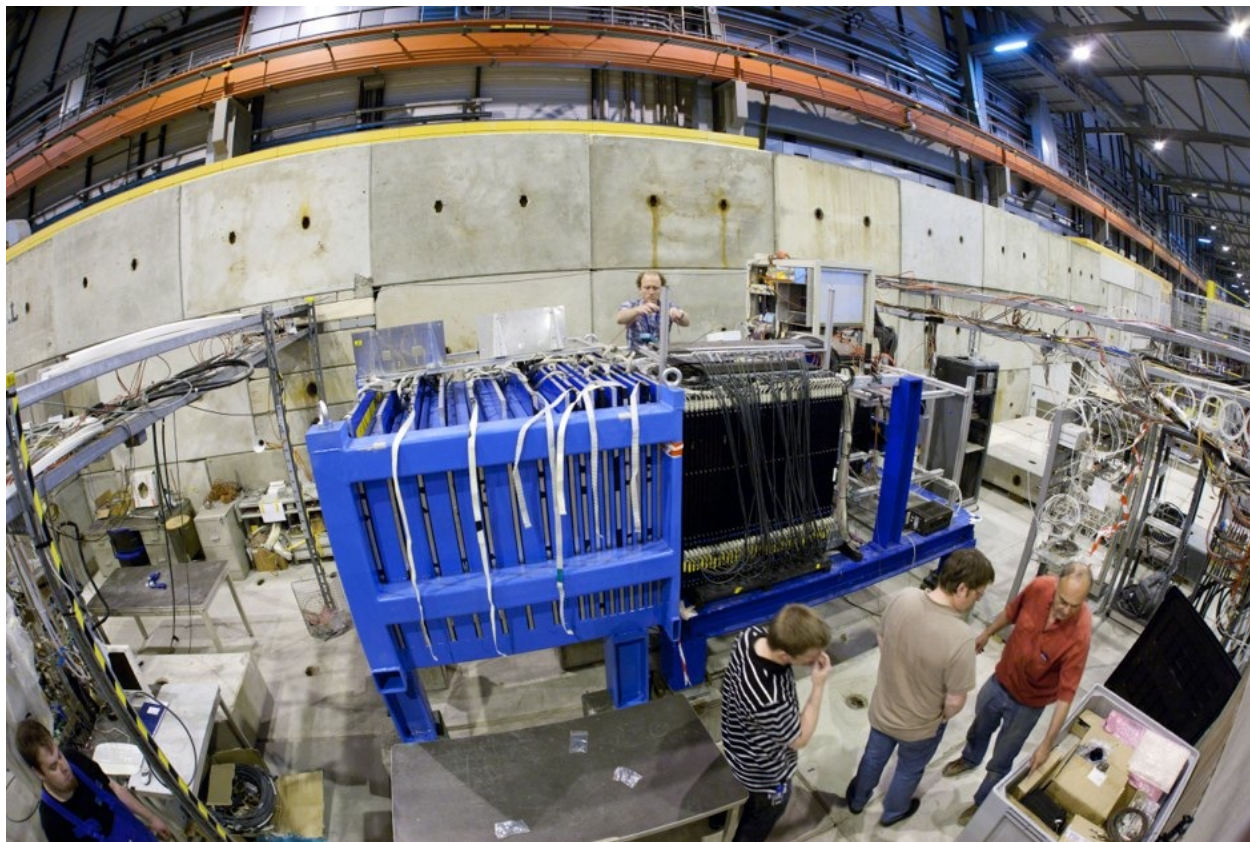
- Costing is at a very early stage
- Yet, many lessons learnt from 2nd generation prototypes
- HCAL:
- example ILD scint HCAL: 45M
 - 10M fix, rest \sim volume
 - 10M absorber, rest \sim area (n_{Layer})
 - 16M PCB, scint, rest \sim channels
 - 10 M SiPMs and ASICs
- ECAL:
- main cost driver: silicon area
- ILD 2500 m², SiD 1200 m²
 - cf. CMS tracker 200 m²
 - cf. CMS ECAL+HCAL endcap 600 m²

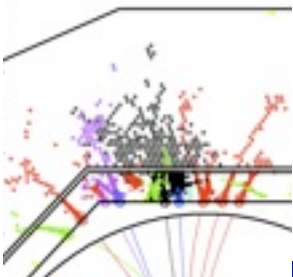


Main ideas:

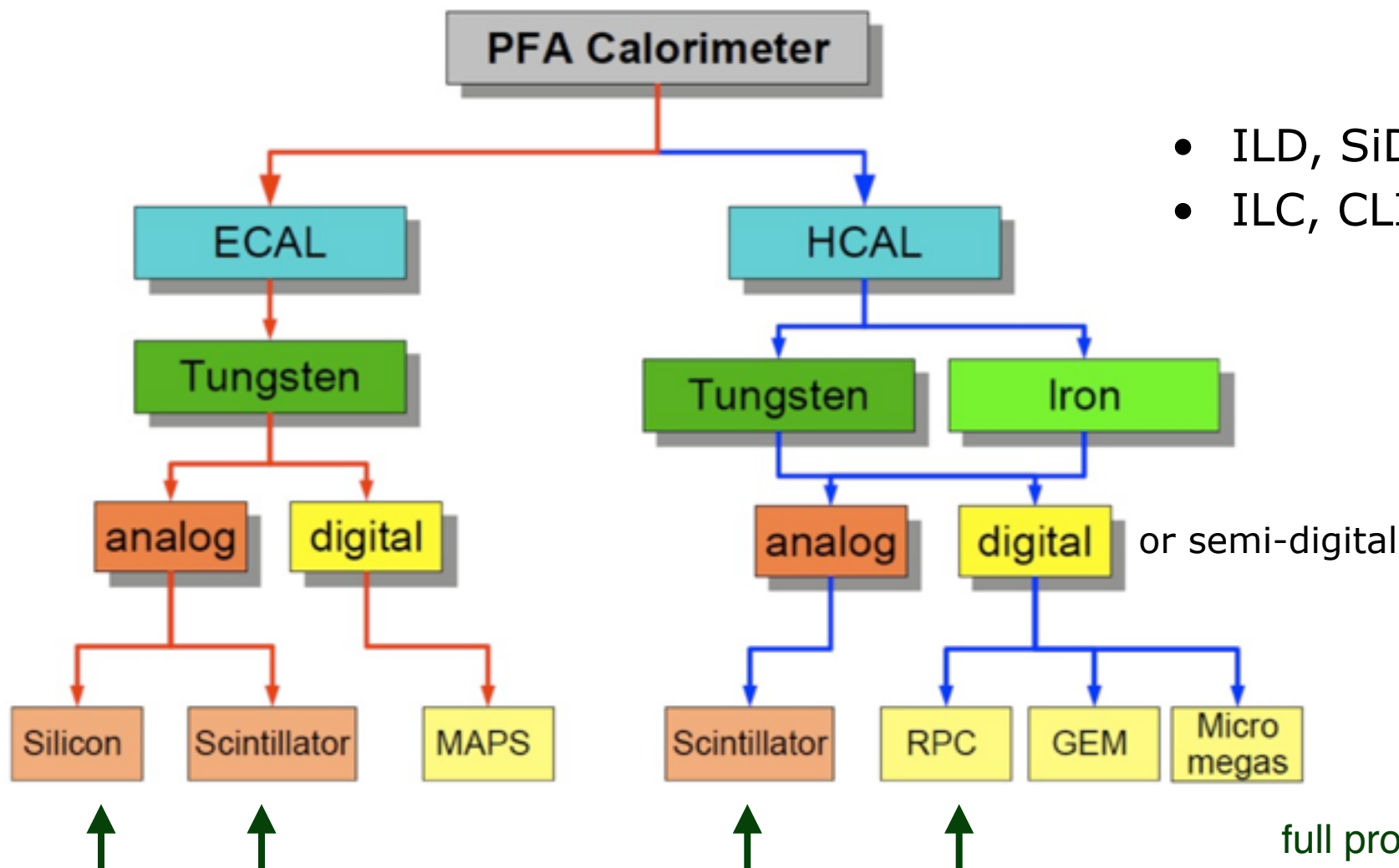
- Linear collider physics demands 3-4% jet energy resolution, which cannot be achieved with classical calorimetry
- Particle flow detectors achieve this precision over a wide energy range for ILC and CLIC
 - and under CLIC background and pile-up conditions
- Particle flow calorimeters feature good energy resolution **and** high granularity
- Detector cost is driven by instrumented area rather than channel count

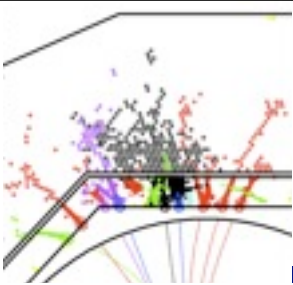
Test beam validation





Calorimeter technologies





Test beam experiments

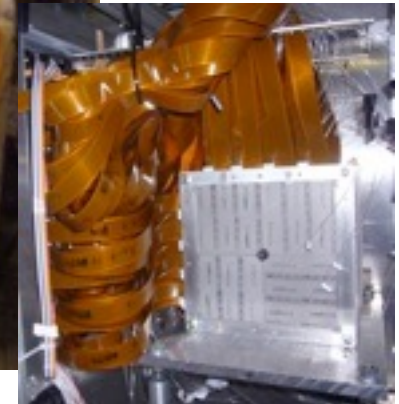


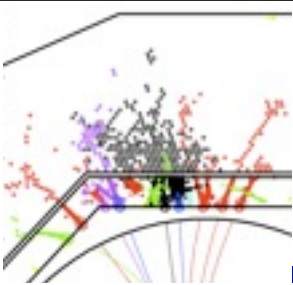
CERN 2006-2007
add Scint HCAL



FNAL 2008-09
Si -> Sci ECAL

DESY 2005
SiECAL

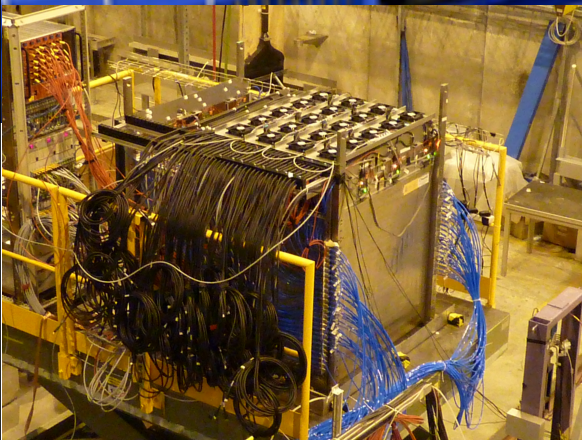




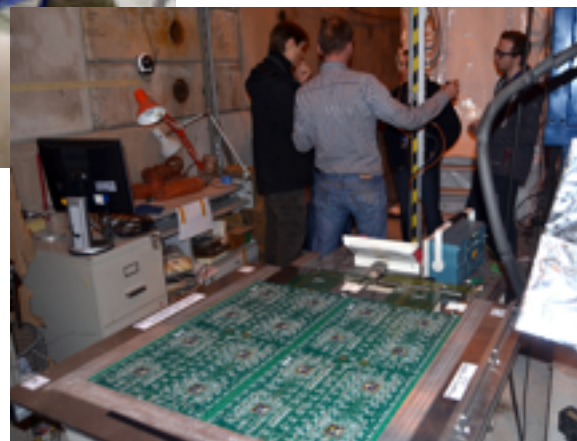
+ Test beam experiments



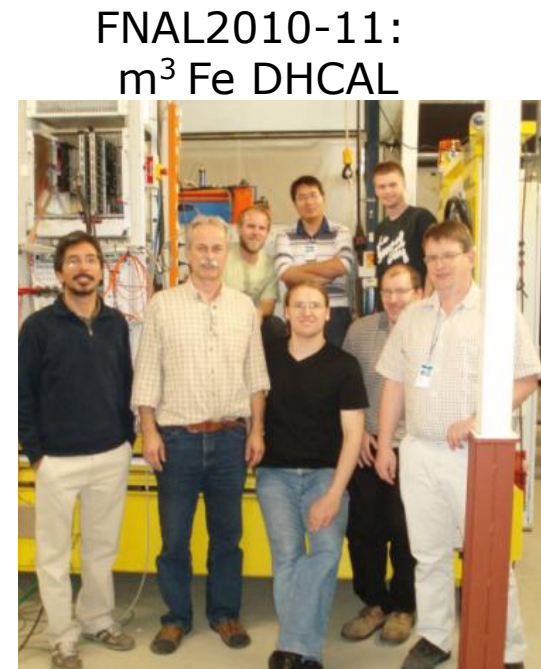
CERN
2010-11
Tungsten
AHCAL
2012:
DHCAL



CERN 2012:
 m^3 SDHCAL



CERN 2012
2nd generation
scint HCAL

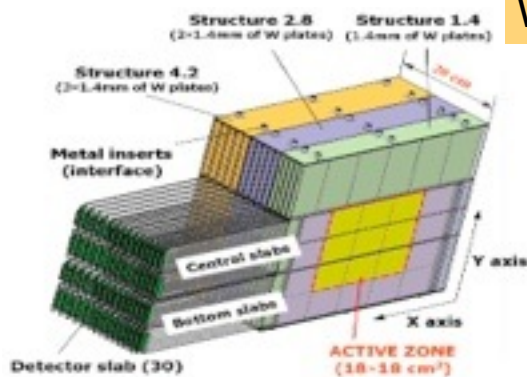
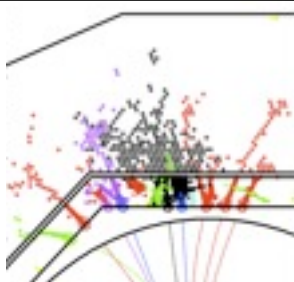


FNAL2010-11:
 m^3 Fe DHCAL

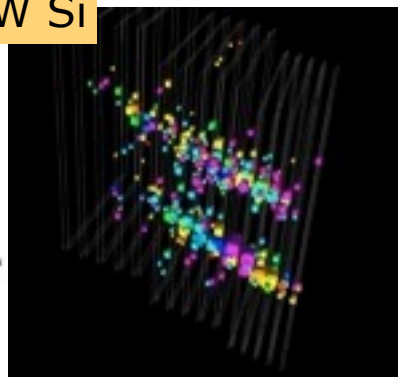


DESY 2012
2nd generation
SiW ECAL

ECAL performance



W Si

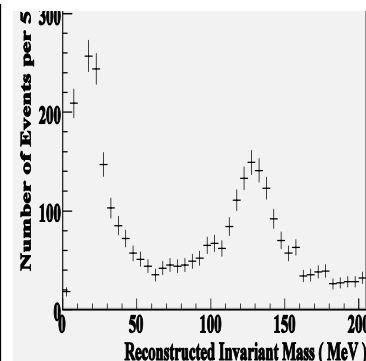
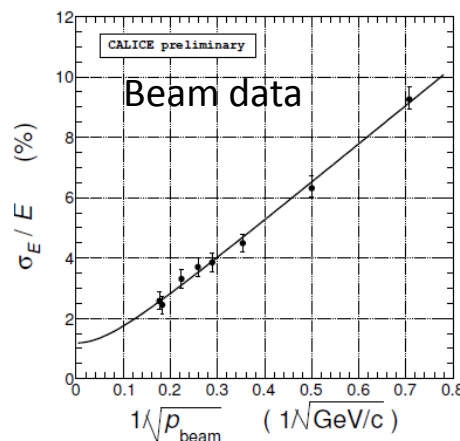
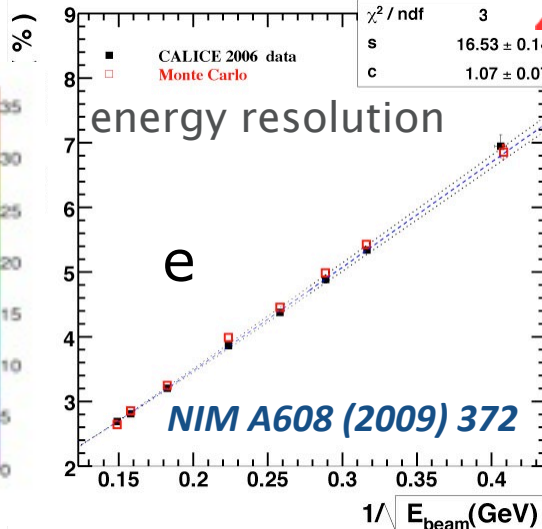
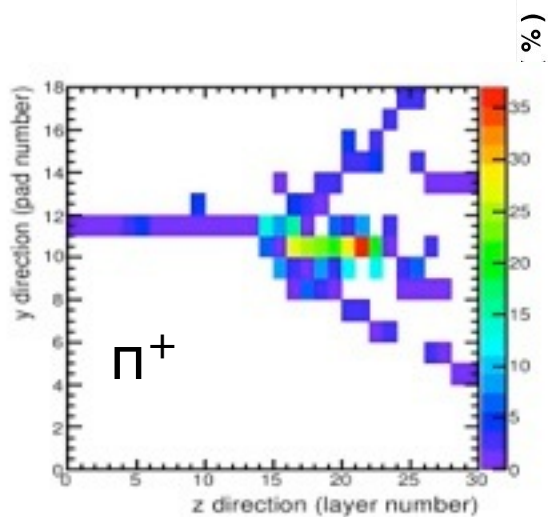
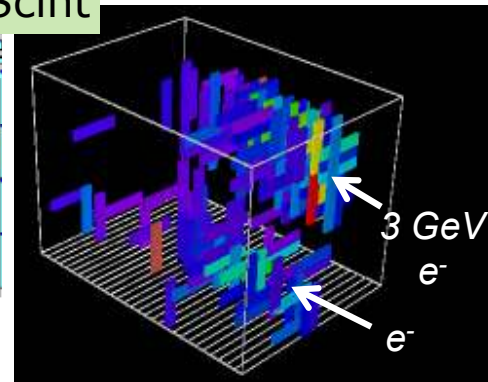


W Scint

72 strips
x 30 layers

18 cm

18 cm



- data and sim agree

$$12.9 \pm 0.1(\text{stat.}) \pm 0.4(\text{syst.})\%$$

$$1.2 \pm 0.1(\text{stat.}) \pm 0.4(\text{syst.})\%$$

HCAL performance

Fe scintillator - analogue

3x3cm²
tile

Fe glass RPC - digital

1cm² pads

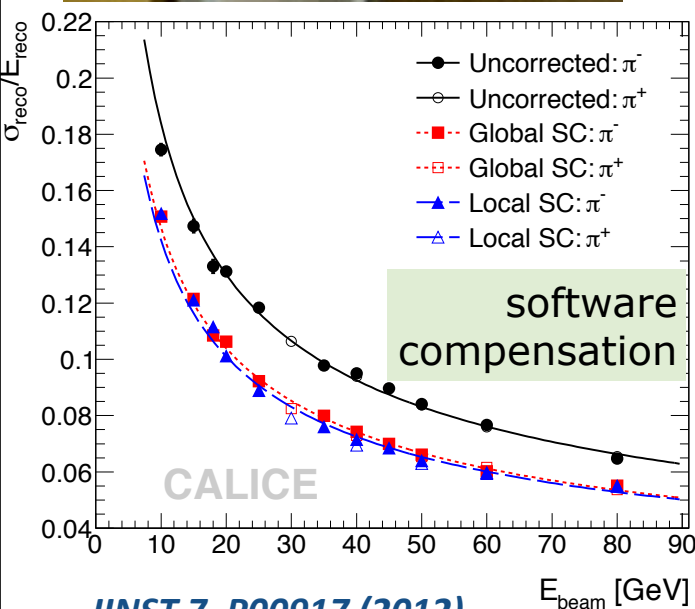
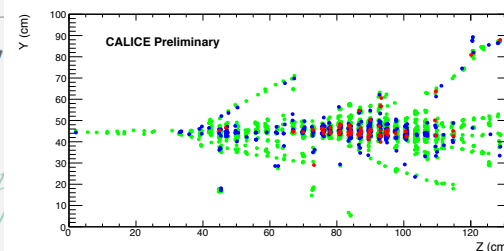
Fe glass RPC
semi-digital
2-bit

SiPM

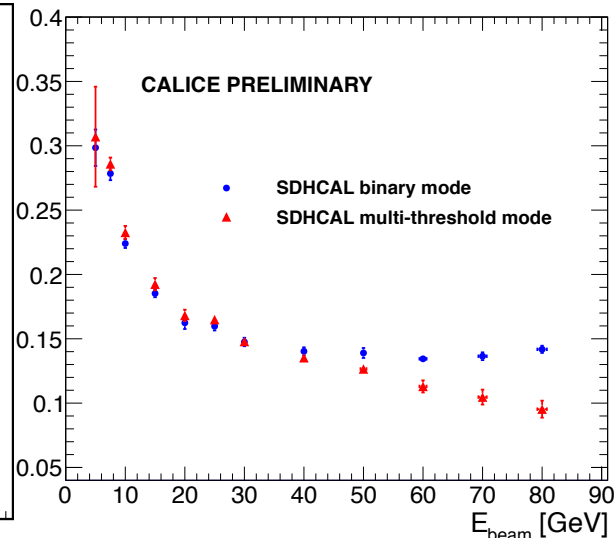
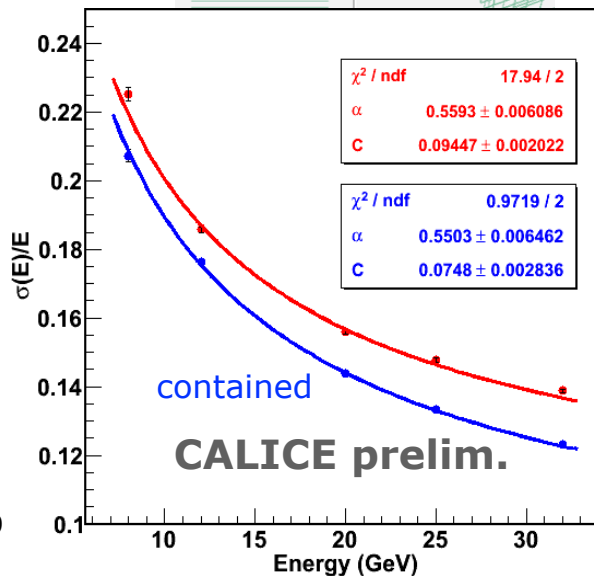
$$\sigma/E = 45.1\%/\sqrt{E} \oplus 1.7\% \oplus 0.18/E$$

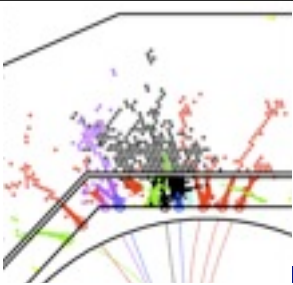
400 000 channels

120 GeV



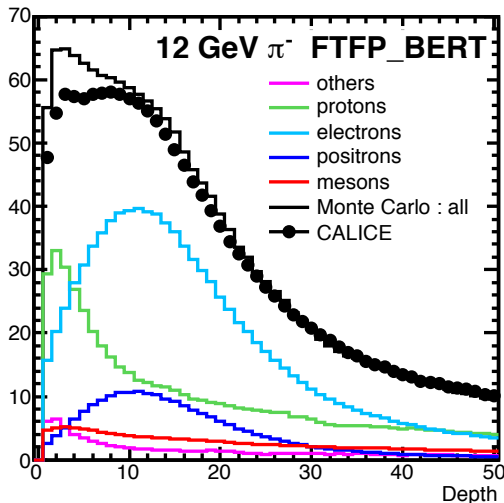
JINST 7, P00917 (2012)





Validation of Geant 4 models

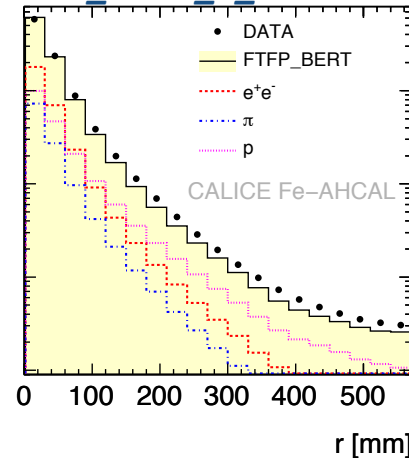
; 2010_JINST_5_P05007



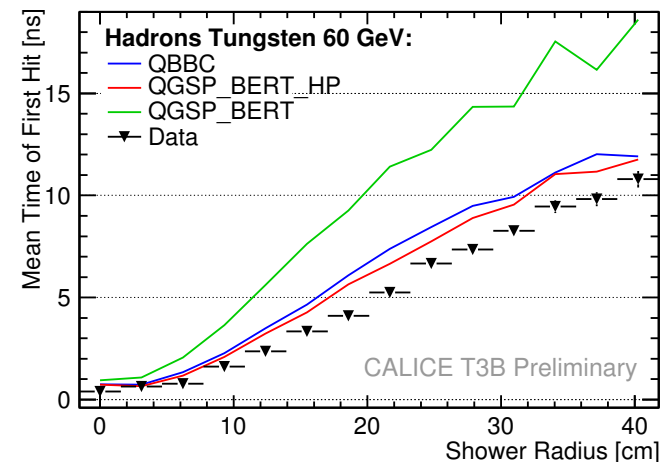
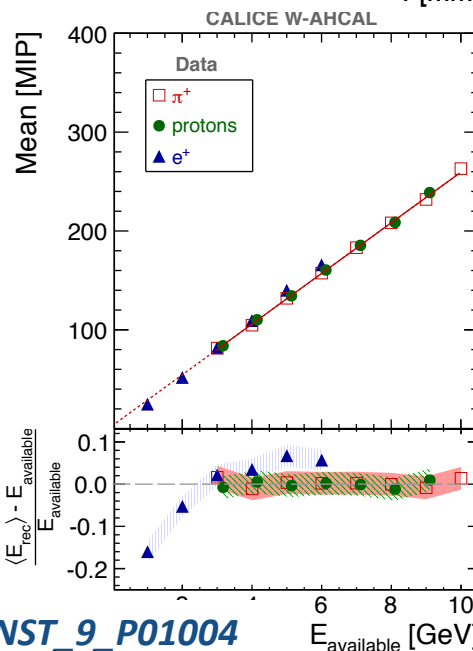
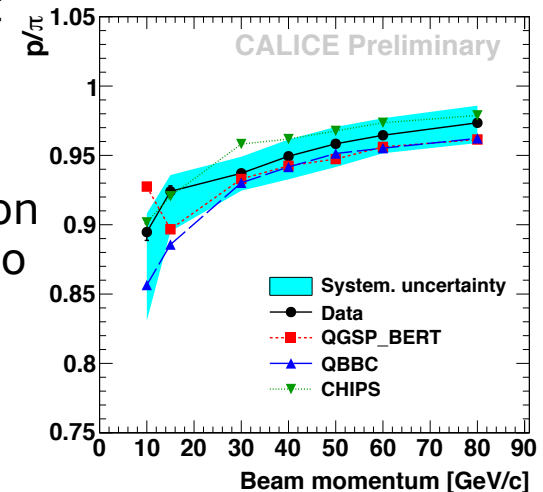
SiW ECAL
longit. profile

- just a few examples
- altogether at 5% or better

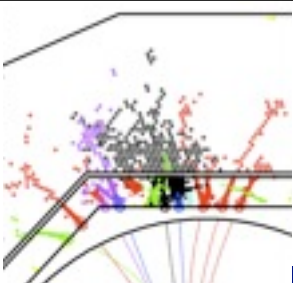
2013_JINST_8_P07005



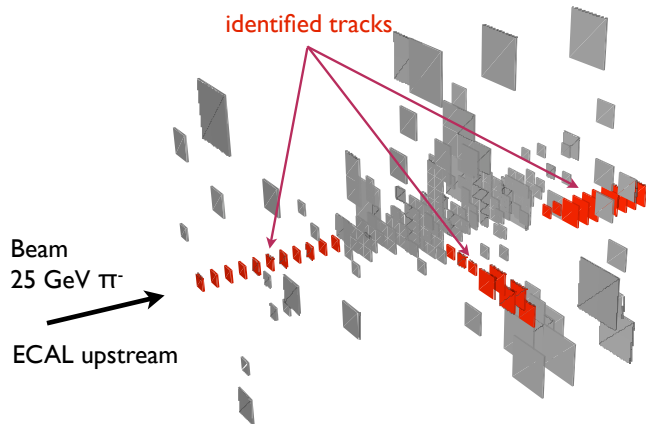
Fe Scint
HCAL
radial
profile,
proton pion
esp. ratio



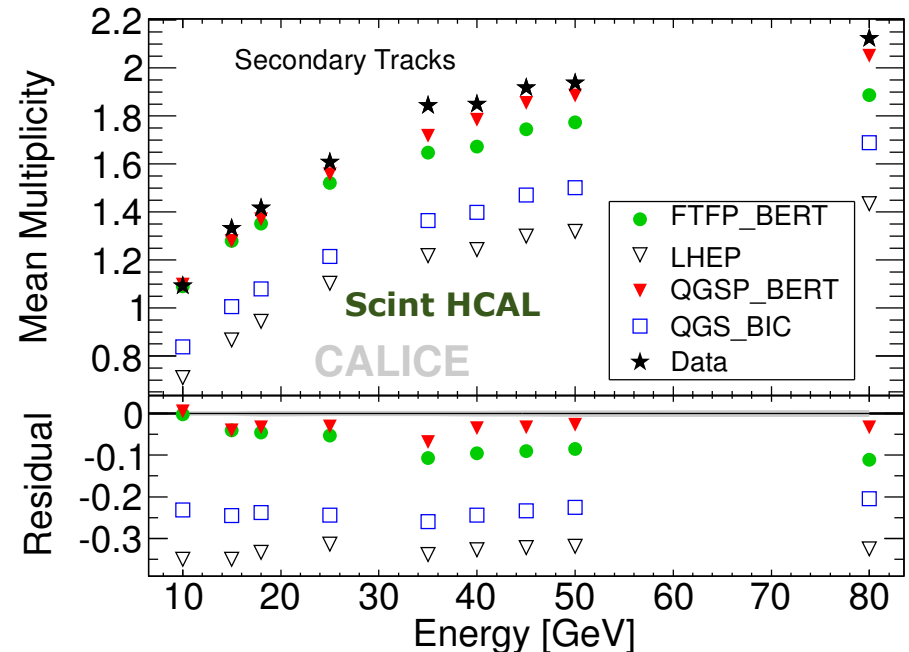
W Scint HCALresponse, timing

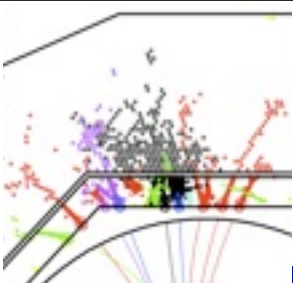


Shower fine structure

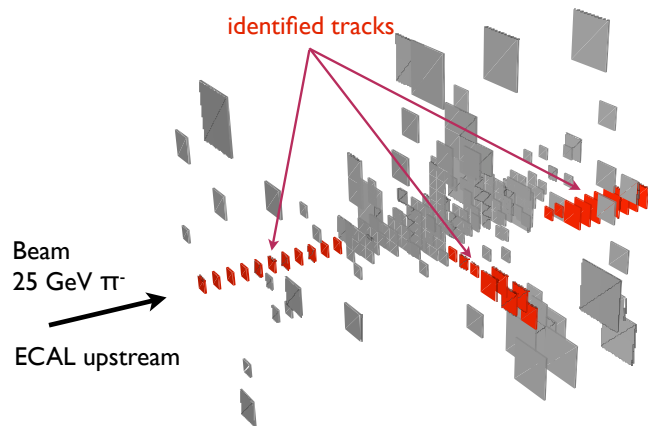


- Could have had the same global parameters with "clouds" or "trees"
- Powerful tool to check models
- Surprisingly good agreement already - for more recent models

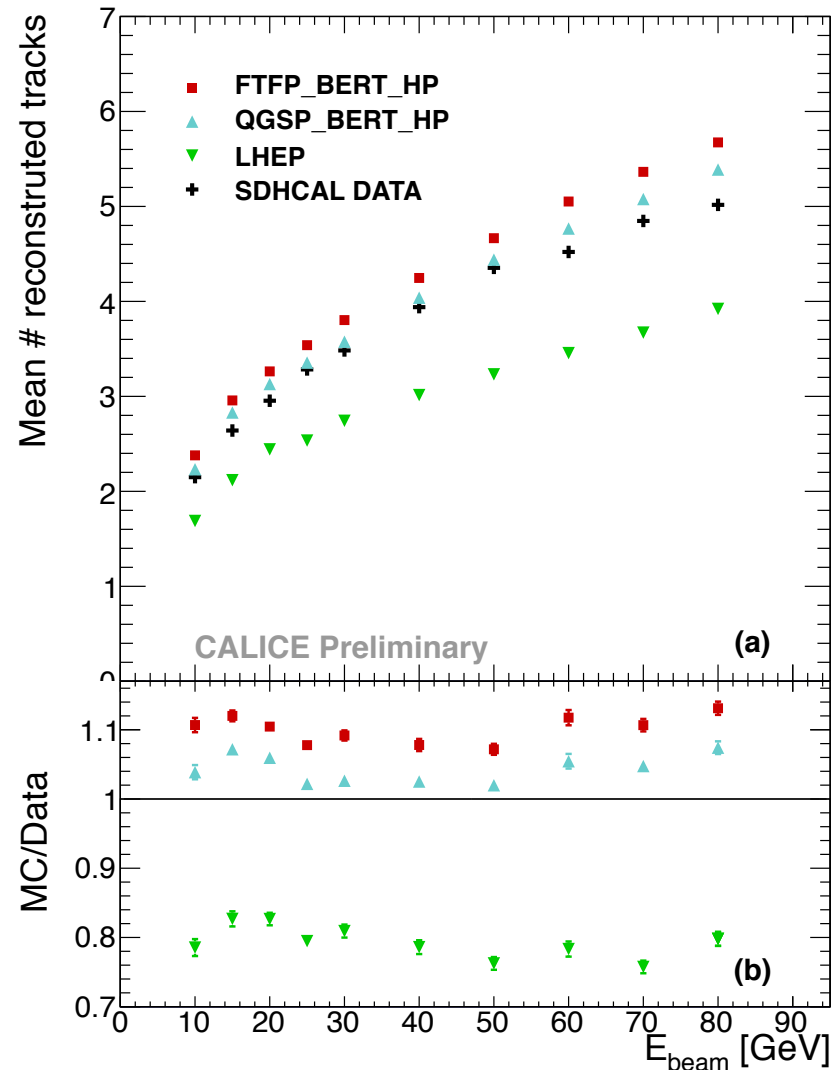


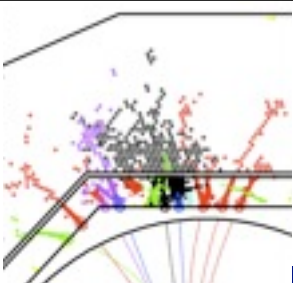


Shower fine structure



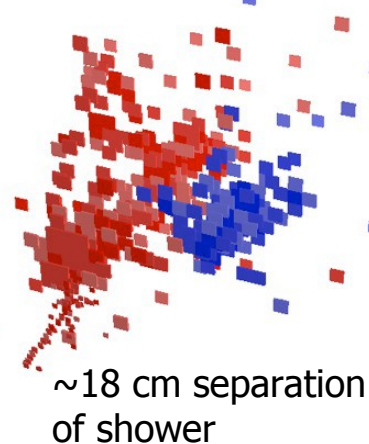
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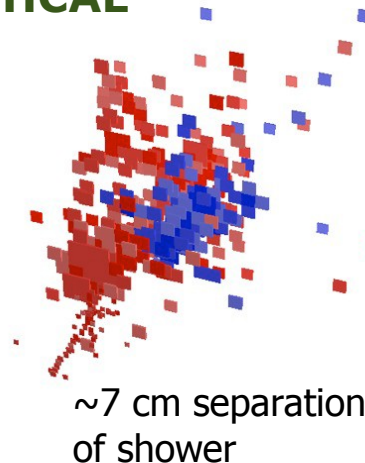


PFLOW with test beam data

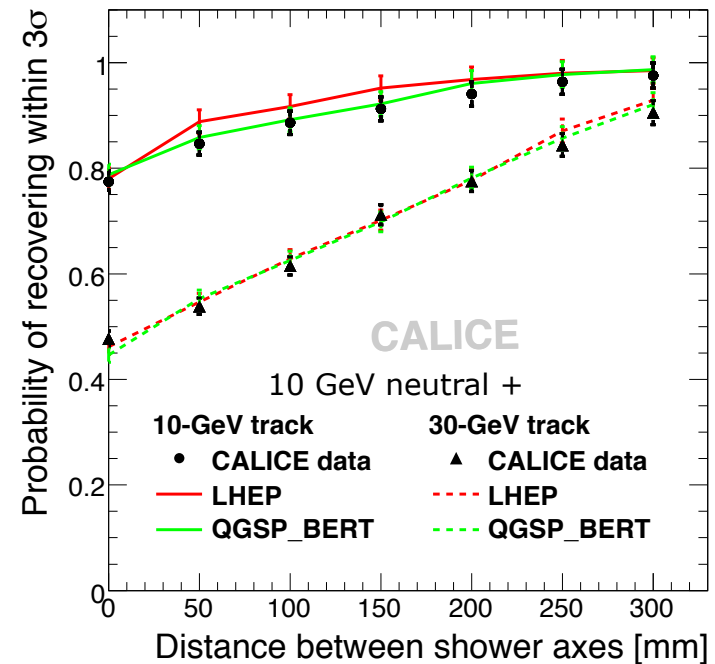
Si W ECAL & Scint HCAL



30 GeV charged hadron



10 GeV 'neutral' hadron



- The “double-track resolution” of an imaging calorimeter
- Small occupancy: use of event mixing technique possible
- test resolution degradation if second particle comes closer
- Important: agreement data - simulation

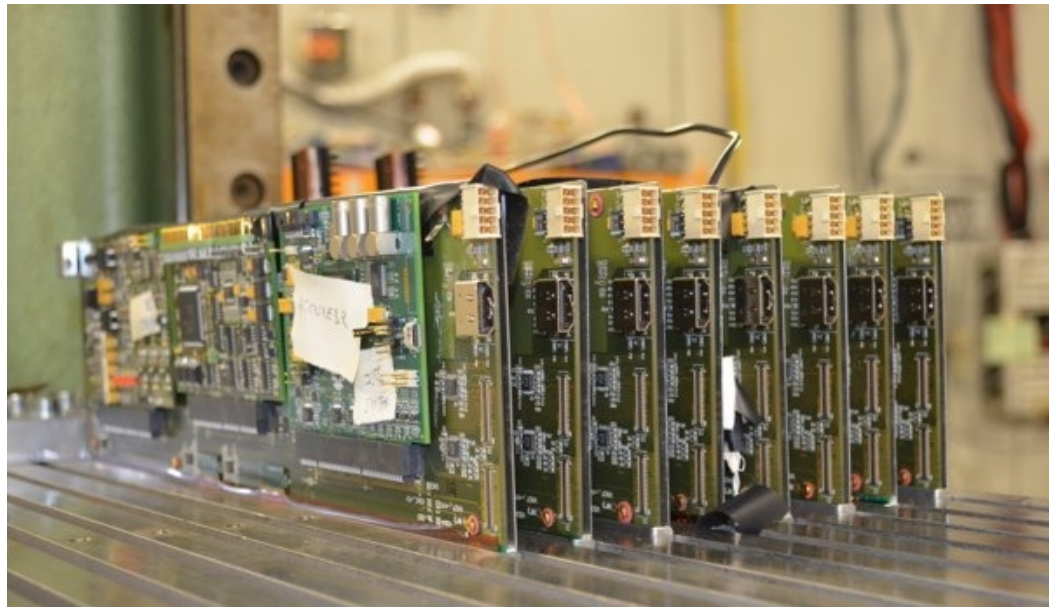
[JINST 6 \(2011\) P07005](#)

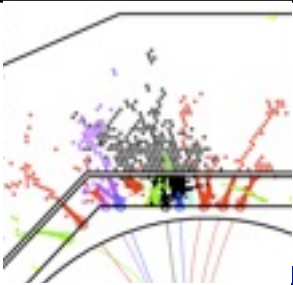


What we learnt

- The novel ECAL and HCAL technologies work as expected
 - Si W ECAL and Sci Fe AHCAL analysis nearly complete
 - Analysis of the more recent tests has just begun, but all results so far are encouraging - still a huge potential
- The detector simulations are verified with electromagnetic data.
- The hadronic performance is as expected, including software compensation.
- The Geant 4 shower models reproduce the data with few % accuracy.
 - Time structure is reproduced by HP simulations.
- Shower substructure can be resolved and is also reproduced by shower simulations.
- Particle flow algorithms are validated with test beam data.

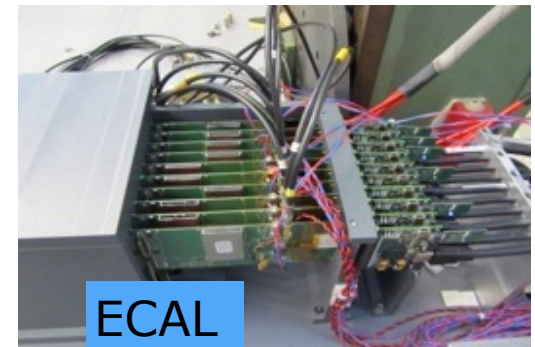
Current trends



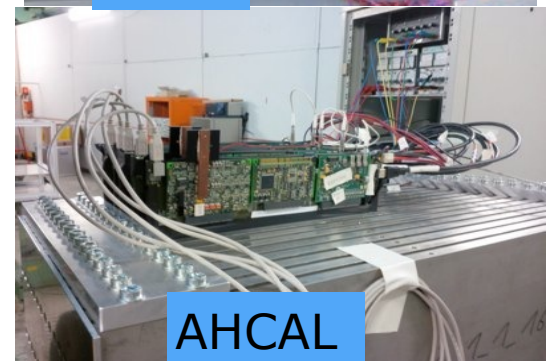


Technological prototypes

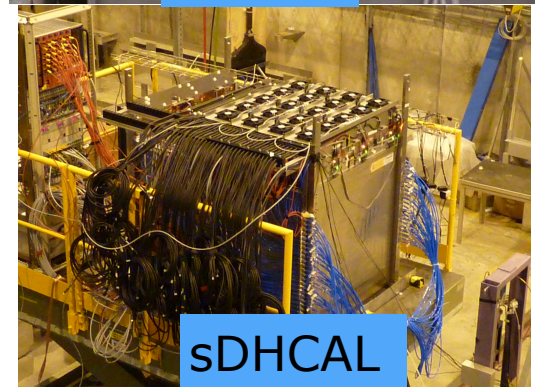
- Electronics integration, power pulsing
- Compact design: absorbers and PCBs
- Scalability
- Integration solutions exist
- Components were prototyped
- Si ECAL, scintillator HCAL: small set-ups tested, <10 small layers
- Gas HCAL: the only large 2nd gen prototype
- None addresses all integration issues yet
- Funding limited



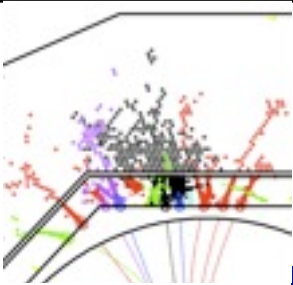
ECAL



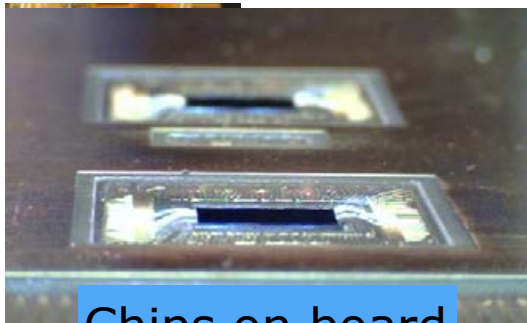
AHCAL



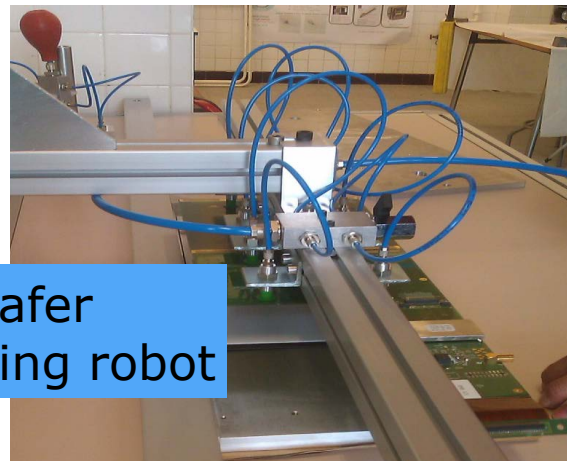
sDHCAL



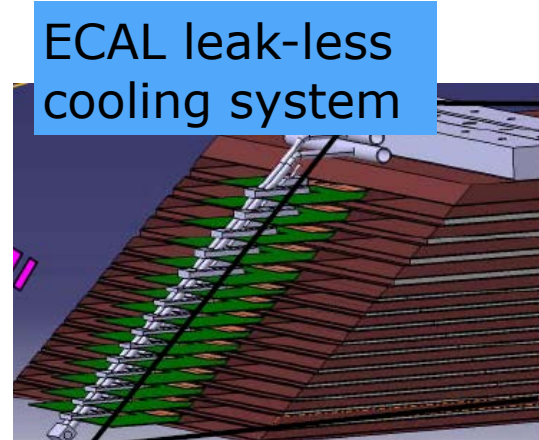
System integration & Tooling



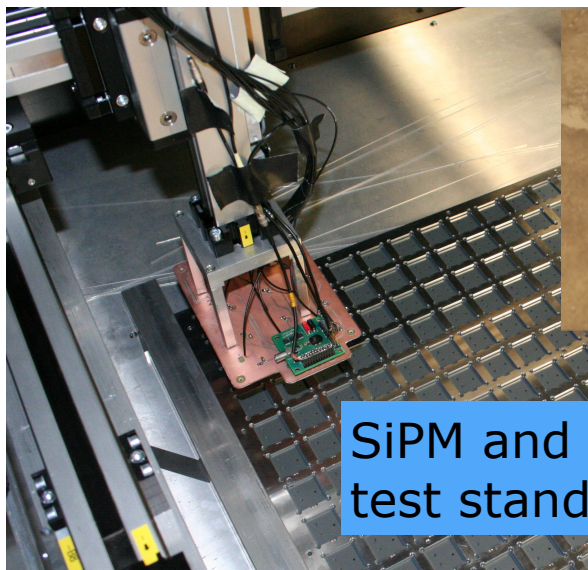
Chips on board



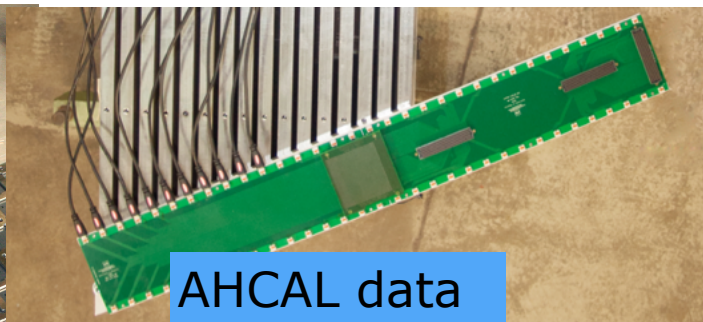
Si wafer
glueing robot



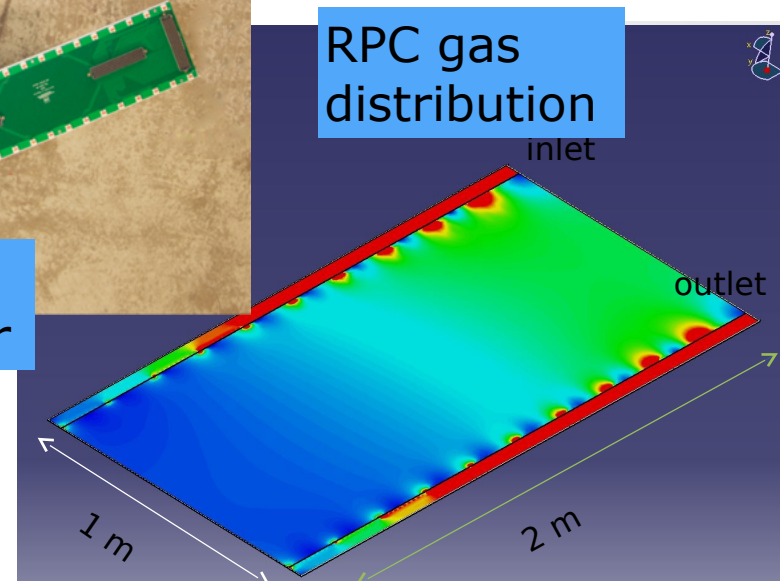
ECAL leak-less
cooling system



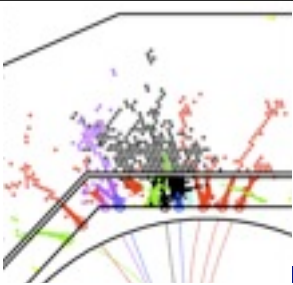
SiPM and tile
test stand



AHCAL data
concentrator

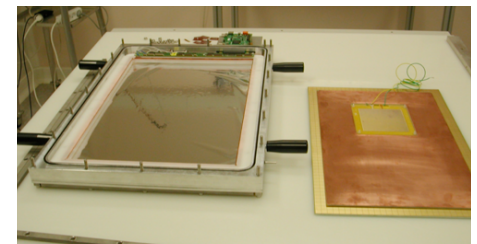
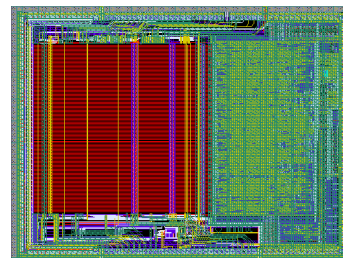
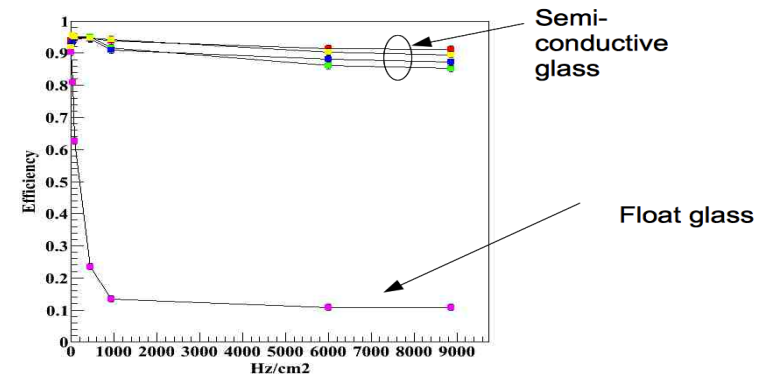
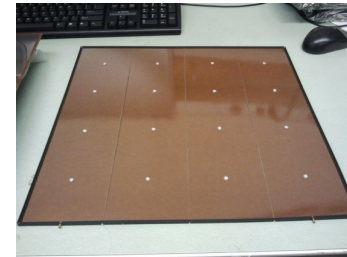


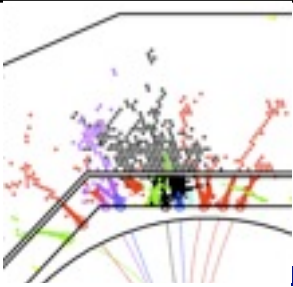
RPC gas
distribution



Gaseous HCAL

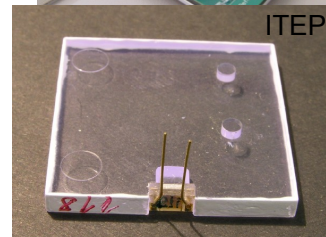
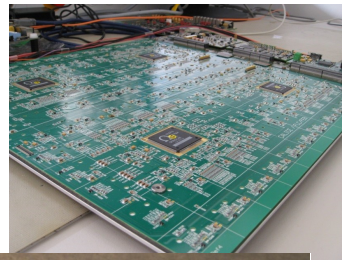
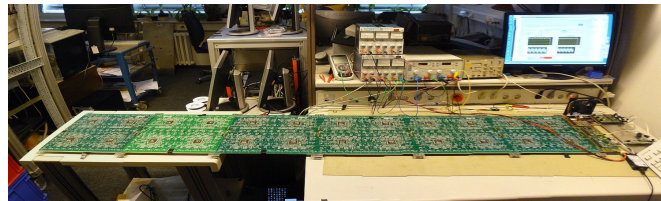
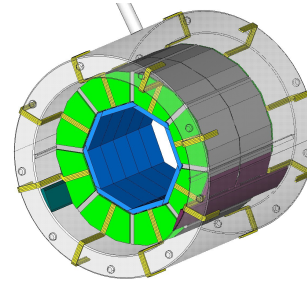
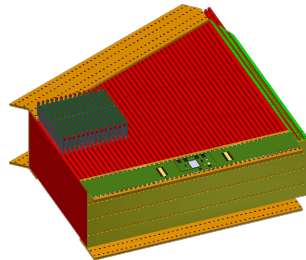
- Analysis: huge potential
 - modelling response for low and high density
 - optimise energy measurement, weighting
- RPC DHCAL, sDHCAL:
 - Large area (2m^2) chambers
 - HV and gas distribution
 - overcome rate limitations
 - 1-glass chambers
 - semi-conductive glass
 - bakelite
 - electronics and DAQ
- Micromegas:
 - resistive detectors; limit discharges
 - reduce active components
 - single mesh large size chambers
- GEMs, TGEMs:
 - large areas
 - optimise chambers
 - integrate uM ASIC



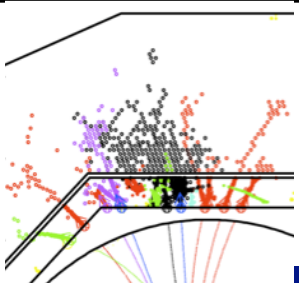


Industrialisation: Numbers!

- The AHCAL
- 60 sub-modules
- 3000 layers
- 10,000 slabs
- 60,000 HBUs
- 200'000 ASICs
- 8,000,000 tiles and SiPMs

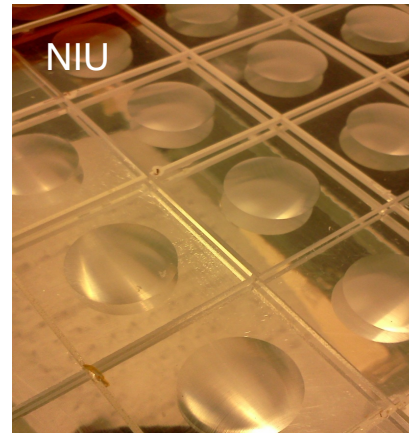


- One year
- 46 weeks
- 230 days
- 2000 hours
- 100,000 minutes
- 7,000,000 seconds

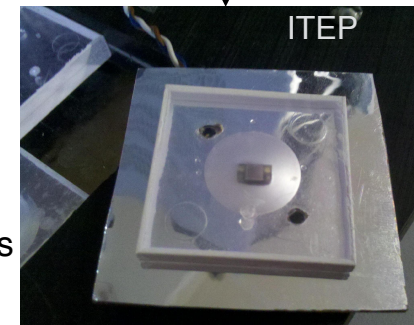
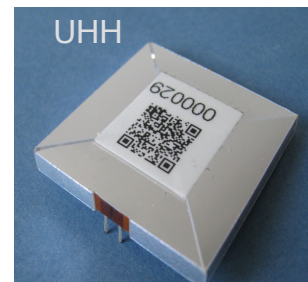
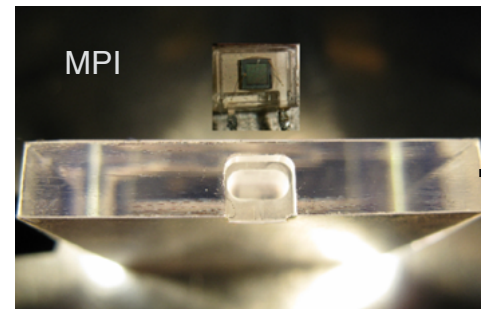
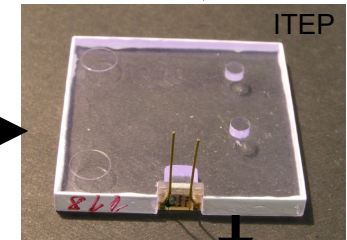
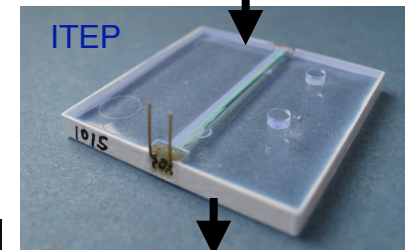
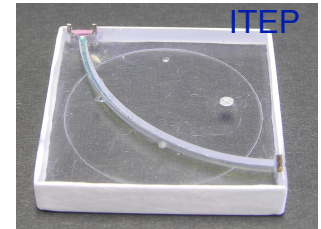


Directions in tile and SiPM R&D

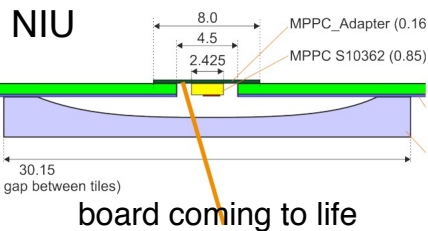
- Revise tile design in view of automatic pick & place procedures
- Consider SMD approach, originally proposed by NIU
- Light yield becomes an issue again
 - build on advances in SiPMs
 - see Yu.Musienko's talk
- Very different assembly, QC and characterisation chain



7608 ch physics prototype



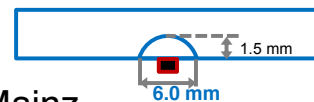
see D.Mironov's talk



$1.2 \times 1.2 \text{ mm}$ SiPM

Mean 67 p.e.

Mainz





Conclusion

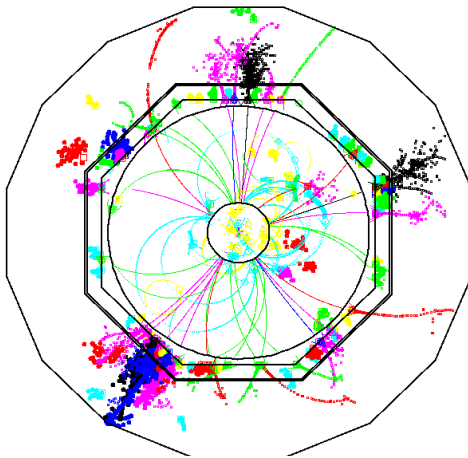
- Calorimetry has changed - particle flow concept established experimentally
- Now fully in second phase: make it realistic
- There are many open issues = room for new ideas

Back-up slides

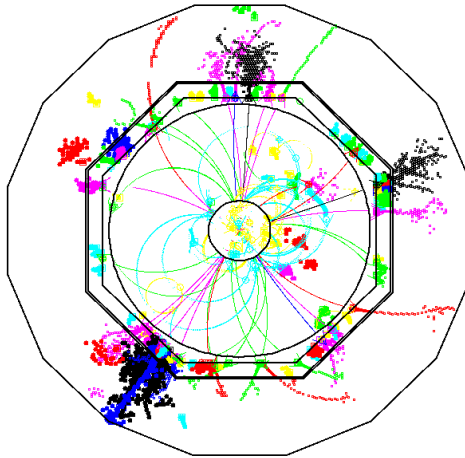
Tile granularity

- Recent studies with PFLOW algorithm, full simulation and

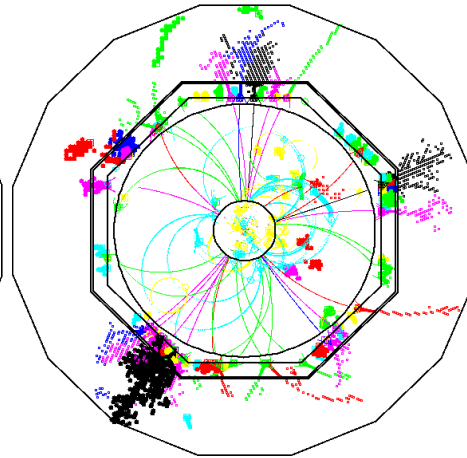
1x1



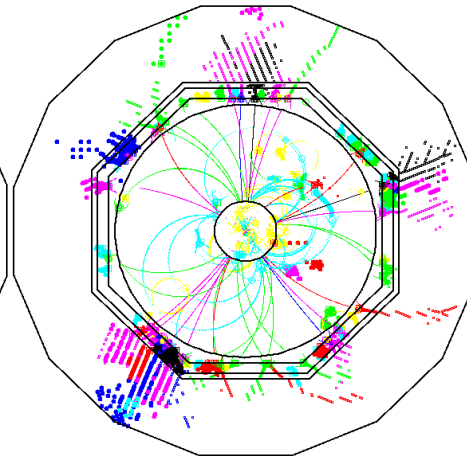
3x3



5x5



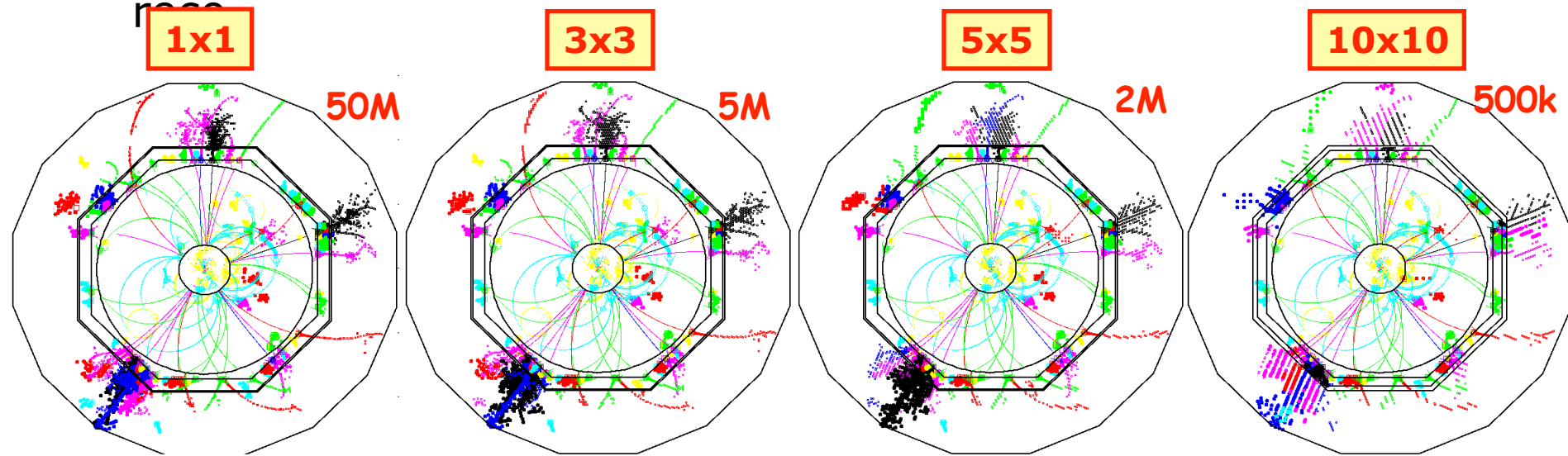
10x10



M.Thomson (Cambridge)

Tile granularity

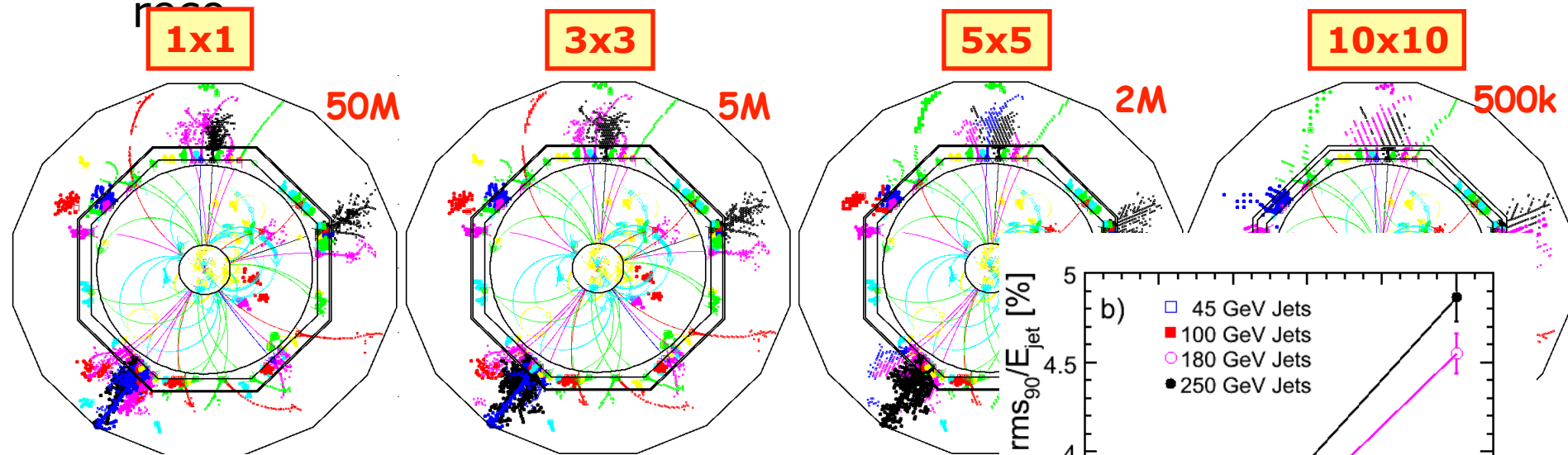
- Recent studies with PFLOW algorithm, full simulation and



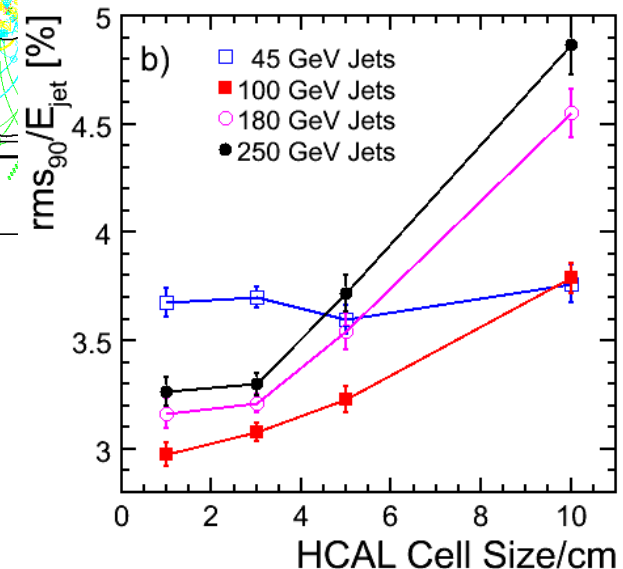
M.Thomson (Cambridge)

Tile granularity

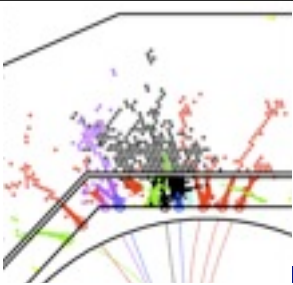
- Recent studies with PFLOW algorithm, full simulation and



- Confirms earlier studies for test beam prototype
- 3x3 cm² nearly optimal

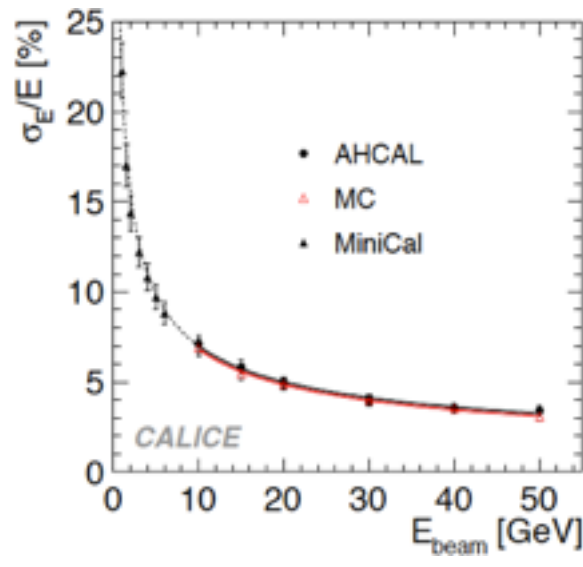
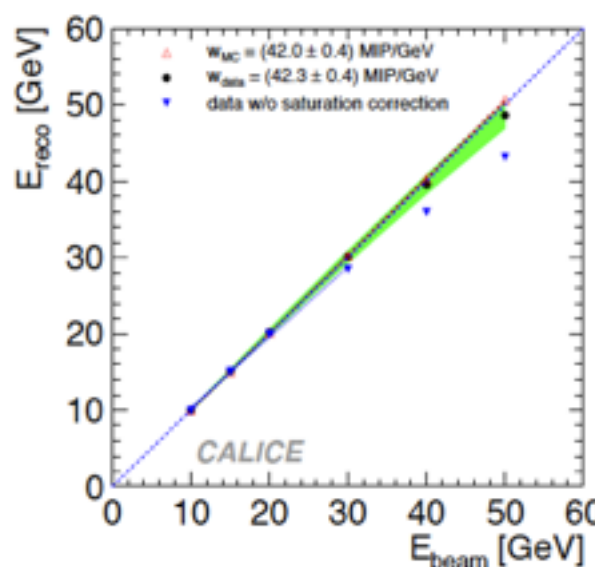


M.Thomson (Cambridge)

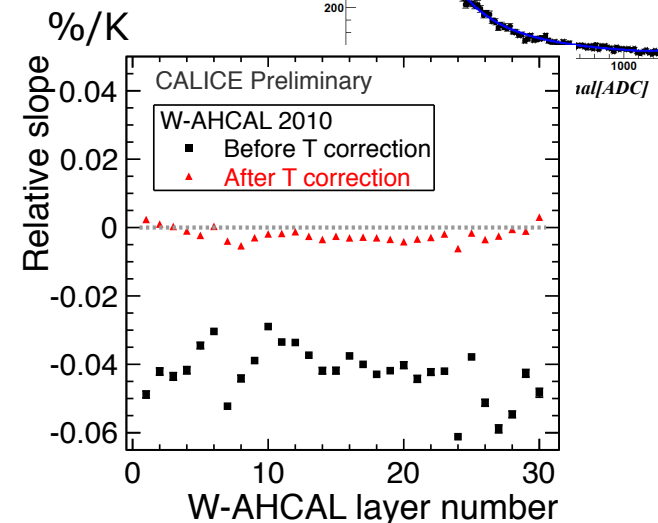
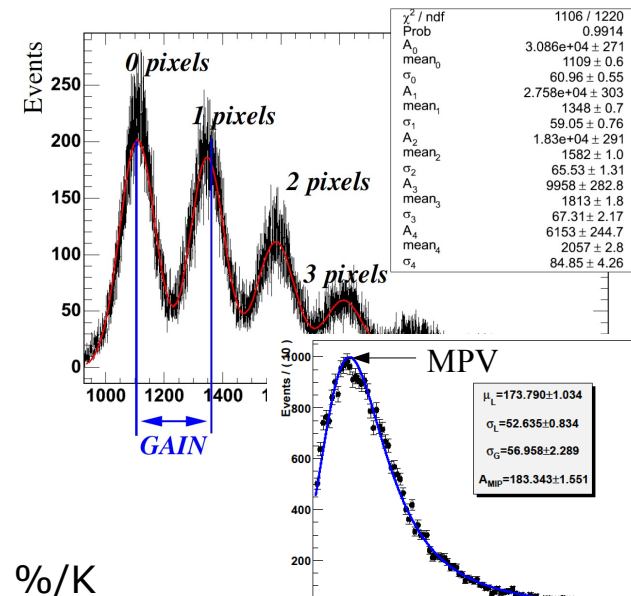


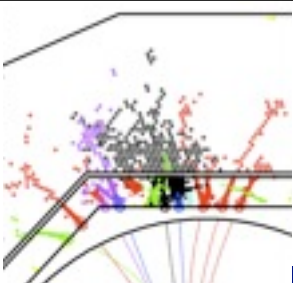
Scint AHCAL calibration and electromagnetic performance

- SiPM gain monitoring: self-calibrating
- Cell equalization: MIPs
- Temperature correction: $\sim 4\%/K$
- Validation of calibration and simulation with electrons



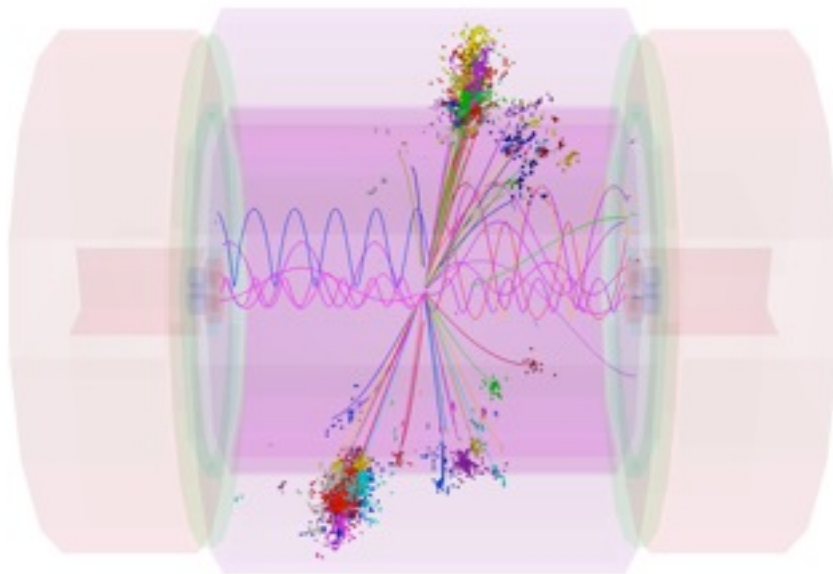
Published in JINST 6, P04003 (2011)



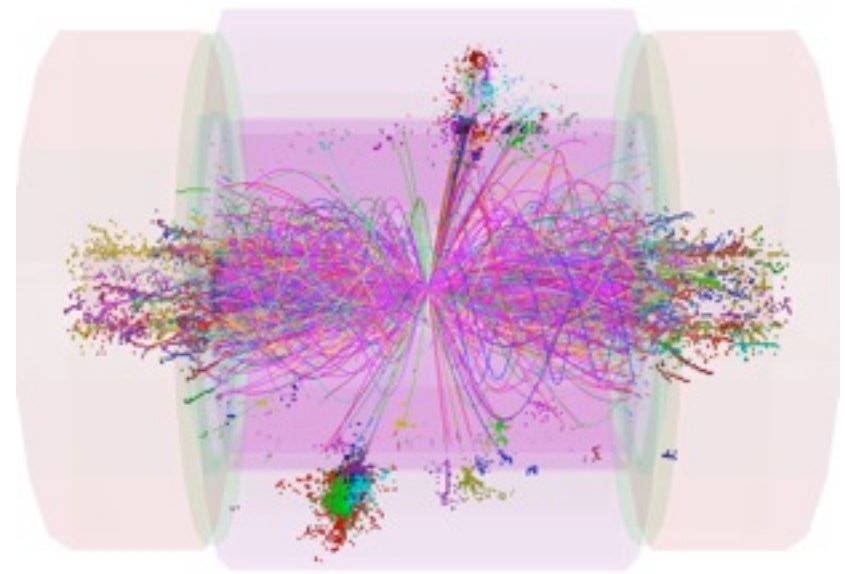


PFLOW under CLIC conditions

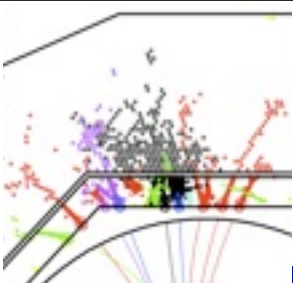
- Overlay $\gamma\gamma$ events from 60 BX (every 0.5 ns)
- take sub-detector specific integration times, multi-hit capability and time-stamping accuracy into account
- apply pt and timing cuts on cluster level (sub-ns accuracy)



Z @ 1 TeV

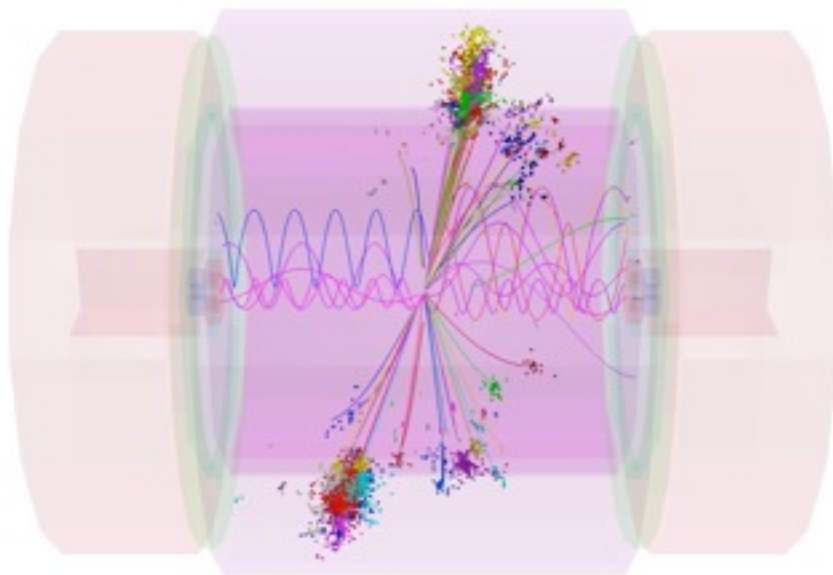


+ 1.4 TeV BG (reconstructed particles)

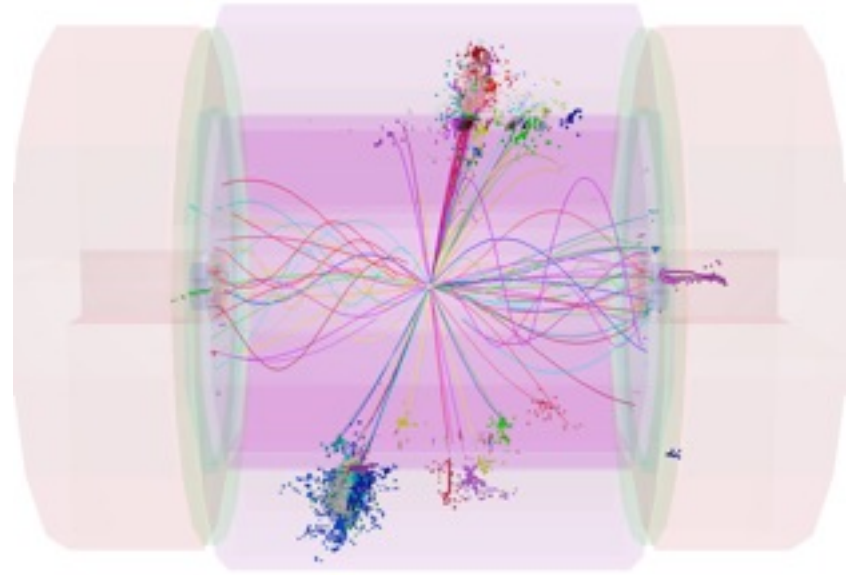


PFLOW under CLIC conditions

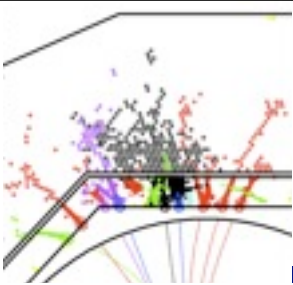
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Z @ 1 TeV

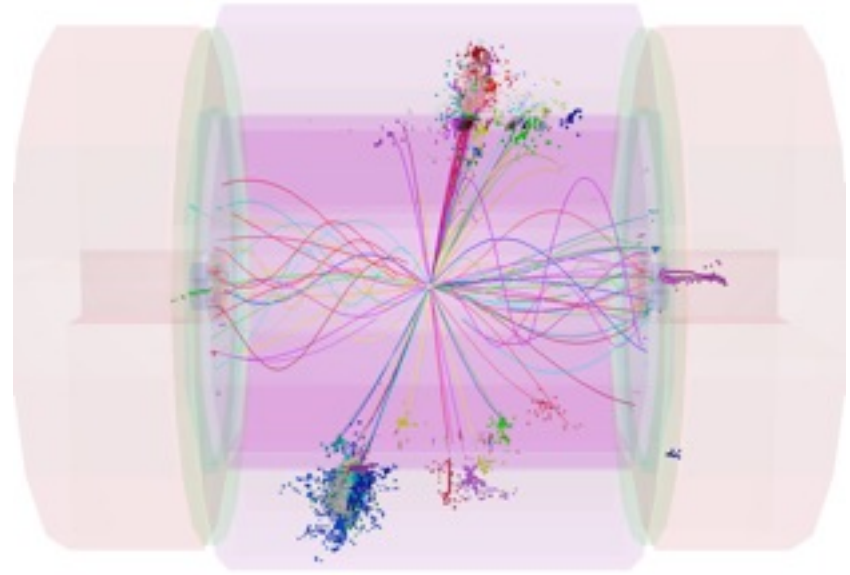
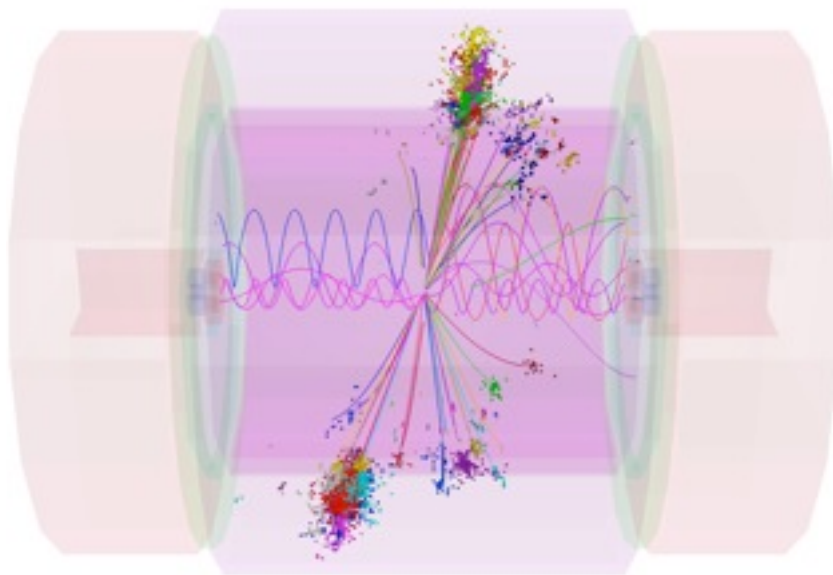


+ 1.4 TeV BG (reconstructed particles)

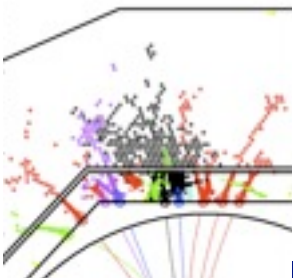


PFLOW under CLIC conditions

- Overlay $\gamma\gamma$ events from 60 BX (every 0.5 ns)
- take sub-detector specific integration times, multi-hit capability and time-stamping accuracy into account
- apply pt and timing cuts on cluster level (sub-ns accuracy)

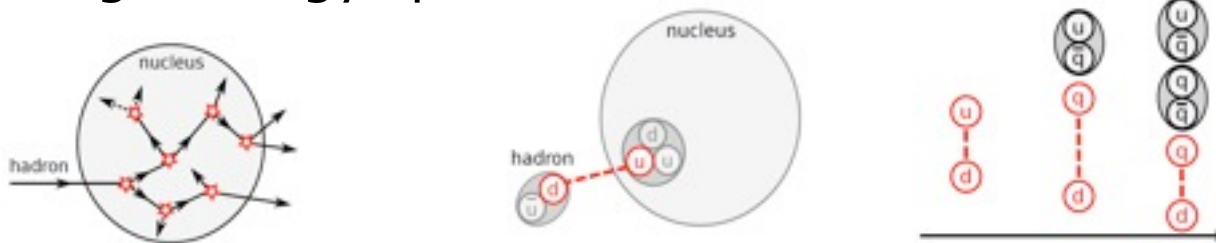


Z @ 1 TeV

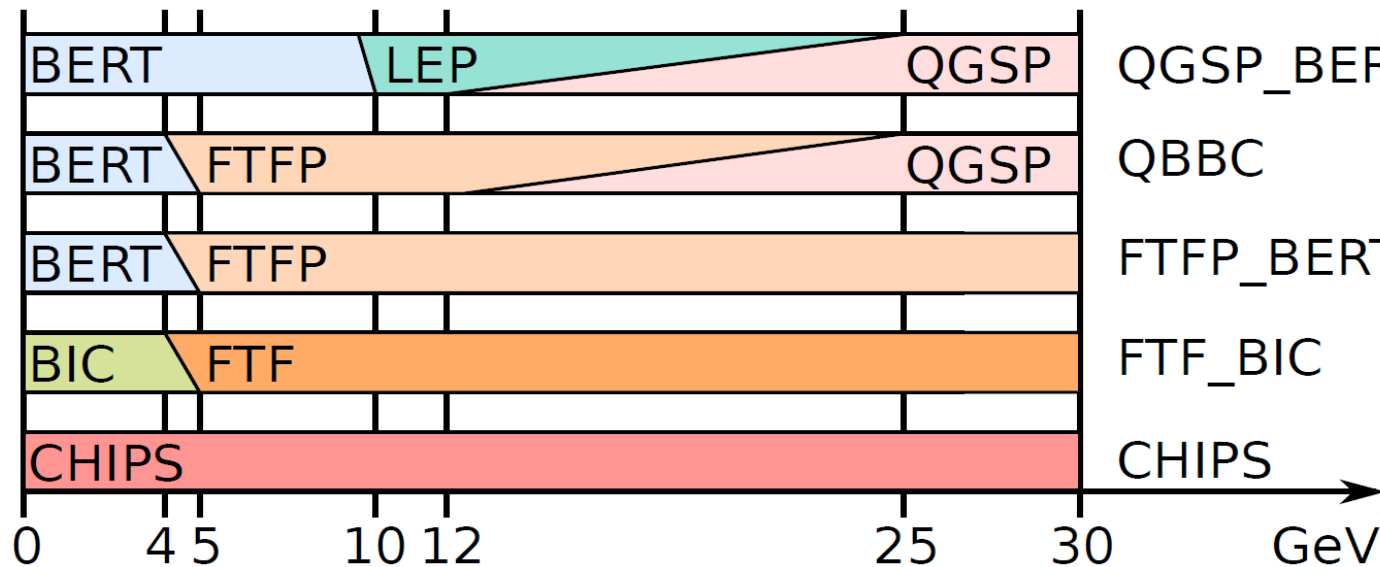


Shower simulation in Geant 4

- Low energy: cascade models
- High energy: partonic models



minimize use of
phenomenological
parameterization



QGSP_BERT "legacy"

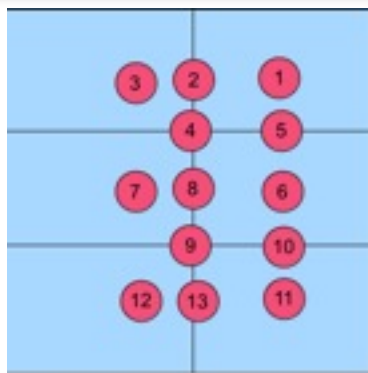
QBBC "linear combin."

FTFP_BERT "production"

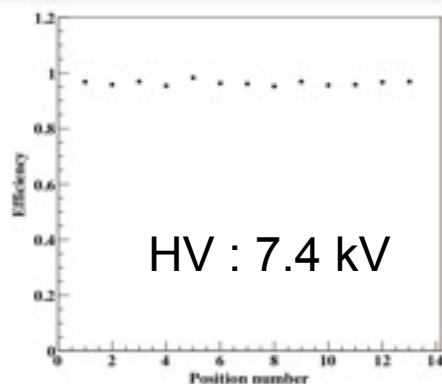
FTF_BIC "systematics"

CHIPS "experimental"

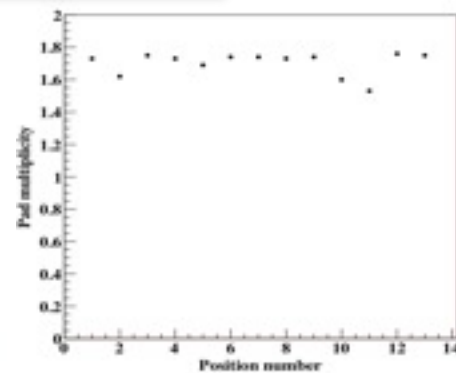
The homogeneity of the detector and its readout electronics were studied



Beam spot position



Efficiency

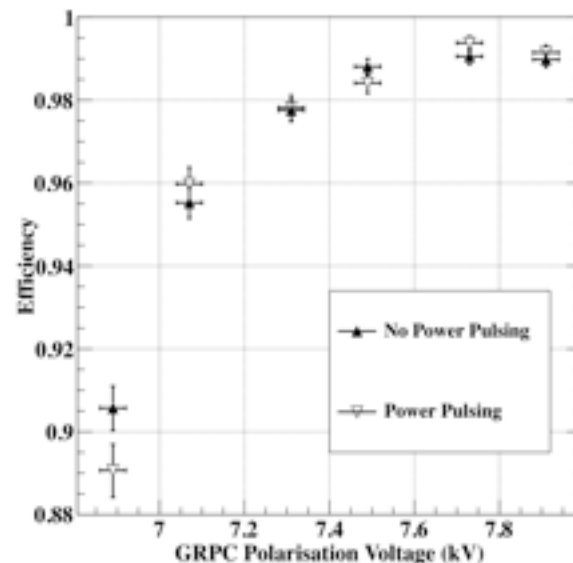


Multiplicity

Power-Pulsing mode was tested in a magnetic field of 3 Tesla

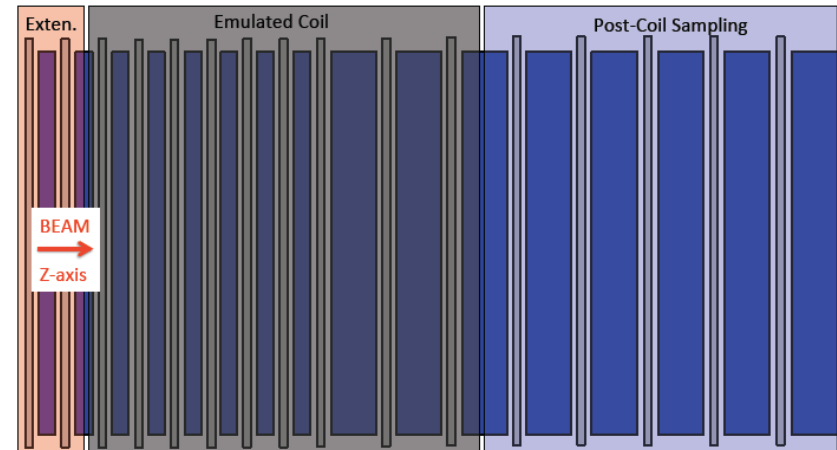


The Power-Pulsing mode was applied on a GRPC in a 3 Tesla field at H2-CERN (2ms every 10ms)
No effect on the detector performance

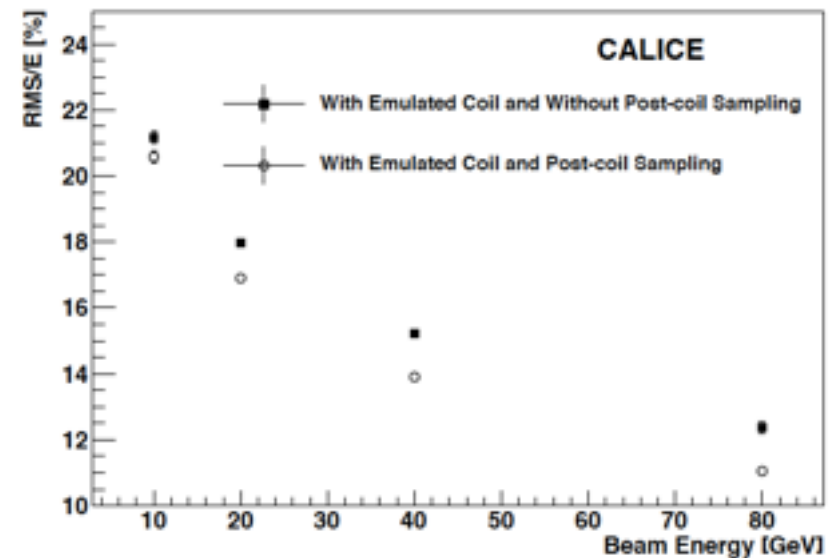
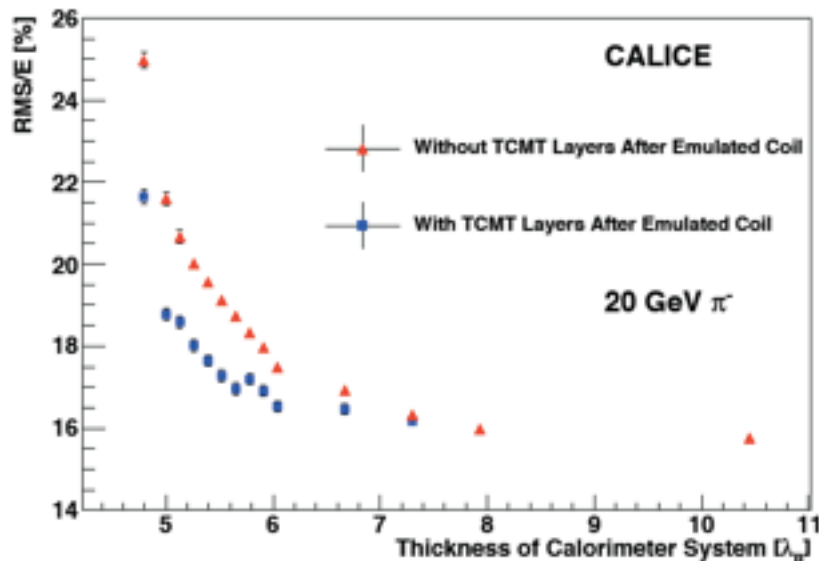


Containment – use of Tail Catcher

- ❖ Tail catcher gives us information about tails of hadronic showers.
- ❖ Use ECAL+HCAL+TCMT to emulate the effect of coil by omitting layers in software, assuming shower after coil can be sampled.
- ❖ Significant improvement in resolution, especially at higher energies.



2012_JINST_7_P04015

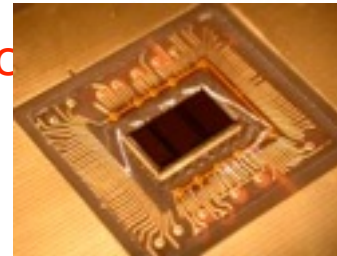


Common developments

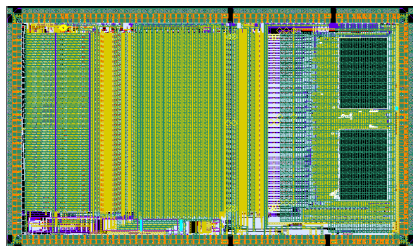
Front end electronics

not reported here: test beam infrastructure,
DAQ, software and computing

- Requirements for electronics
 - Large dynamic range (15 bits)
 - Auto-trigger on $\frac{1}{2}$ MIP
 - On chip zero suppress
 - Front-end embedded in detector
 - 10^8 channels
 - **Ultra-low power : ($25\mu\text{W}/\text{ch}$)**
 - Compactness
- « Tracker electronics with calorimetric performance »



*it's gonna heat !
=> Power pulse*

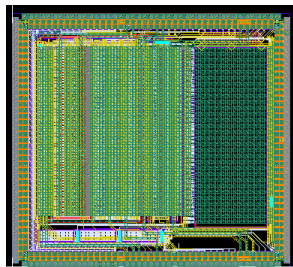


SPIROC2

Analog HCAL (AHCAL)

(SiPM)
36 ch. 32mm²

June 07, June 08, March 10

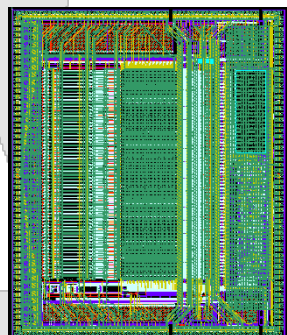


HARDROC2 and MICROROC

Digital HCAL (DHCAL)

(RPC, μ egas or GEMs)
64 ch. 16mm²

Sept 06, June 08, March 10



SKIROC2

ECAL

(Si PIN diode)
64 ch. 70mm²

March 10



- ❑ 1st generation ASICs: FLC-PHY3 and FLC_SiPM (2003) for **physics prototypes**

- ❑ 2nd generation ASICs: ROC chips for **technological prototypes**

- ✓ Address integration issues
- ✓ Auto-trigger, analog storage, internal digitization and token-ring readout
- ✓ Include power pulsing : <1 % duty cycle
- ✓ Optimize commonalities within CALICE (readout, DAQ...)

- ❑ 3rd generation ASICs (AIDA funded):
 - ✓ **Independent channels to perform Zero suppress**

