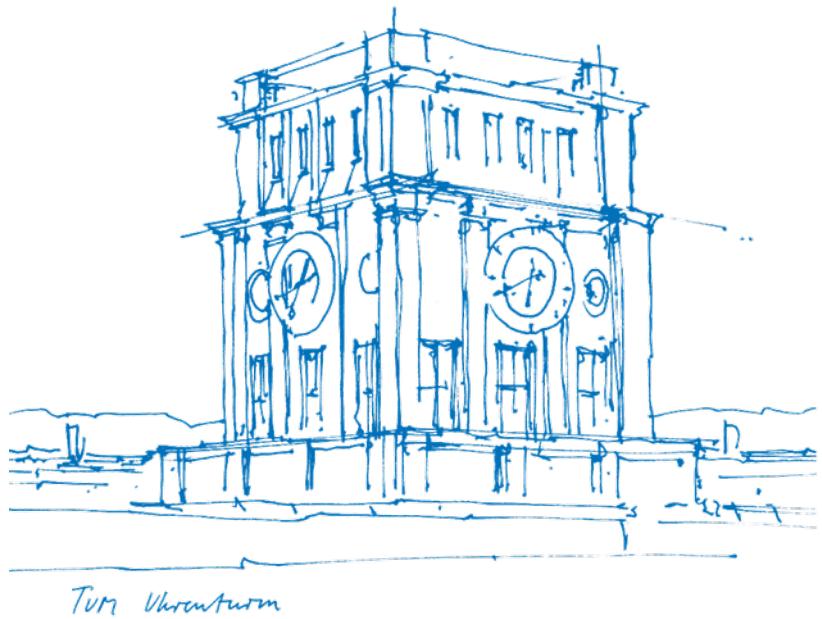


# Leptonic, Semileptonic, and Rare Charm Decays at the B Factories

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# Overview

Introduction

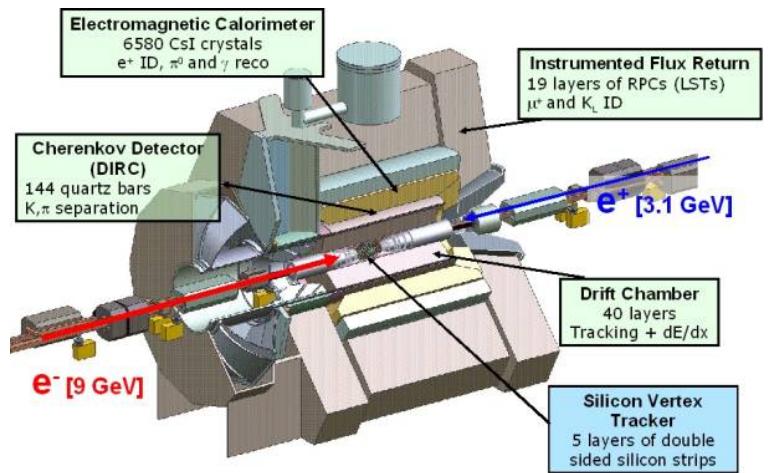
Leptonic Decays

Semileptonic Decays

Rare, Photonic, and Radiative Charm Decays

# B Factories

## BaBar Detector



- Large data sample  
Belle  $1 \text{ ab}^{-1}$   
BaBar  $530 \text{ fb}^{-1}$
- Acceptance close to  $4\pi$
- Clean environment  
⇒ Very high reconstruction efficiency of charged and **neutral** particles
- Good knowledge about **beam energy**

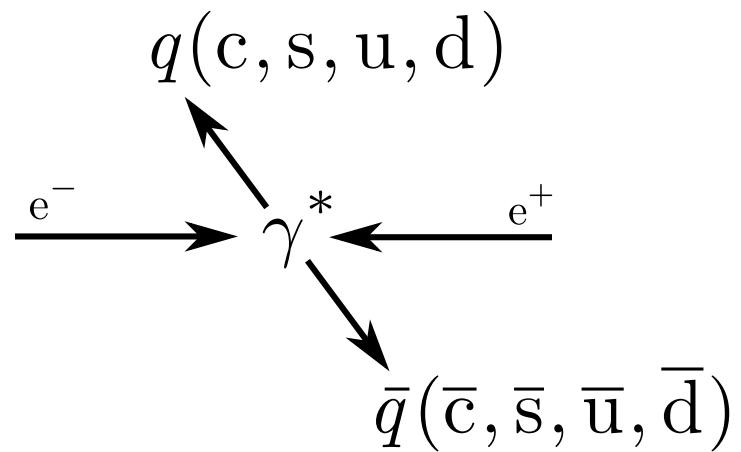
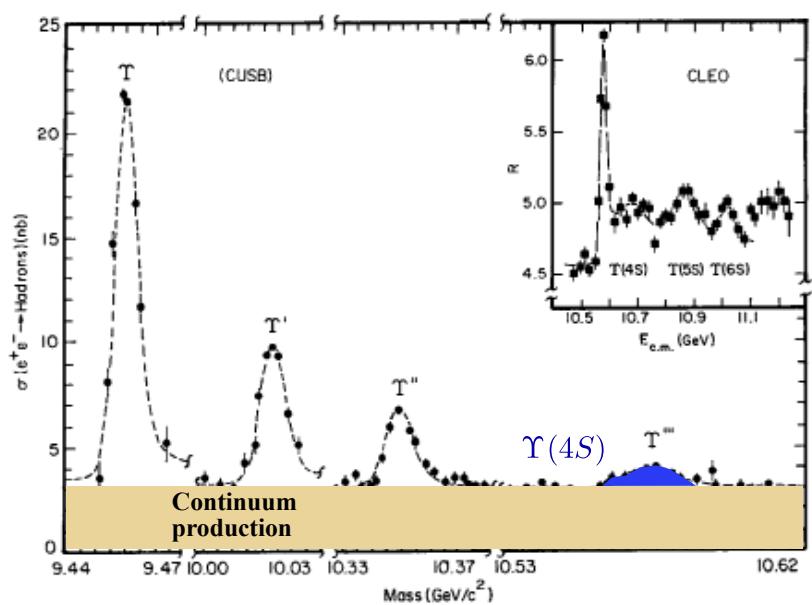


Figure: Cross-section for inclusive production in the upsilon system<sup>\*</sup>

	$N(q \bar{q}) @ \text{Belle} (1 \text{ ab}^{-1})$	$N(q \bar{q}) @ \text{BaBar} (530 \text{ fb}^{-1})$	$N(q \bar{q}) @ \text{Belle II} (50 \text{ ab}^{-1})$
$\sigma(c\bar{c}) = 1.3 \text{ nb}$	$13 \times 10^8$	$7.9 \times 10^8$	$650 \times 10^8$
$\sigma(b\bar{b}) = 1.1 \text{ nb}$	$7.7 \times 10^8$	$4.7 \times 10^8$	$385 \times 10^8$

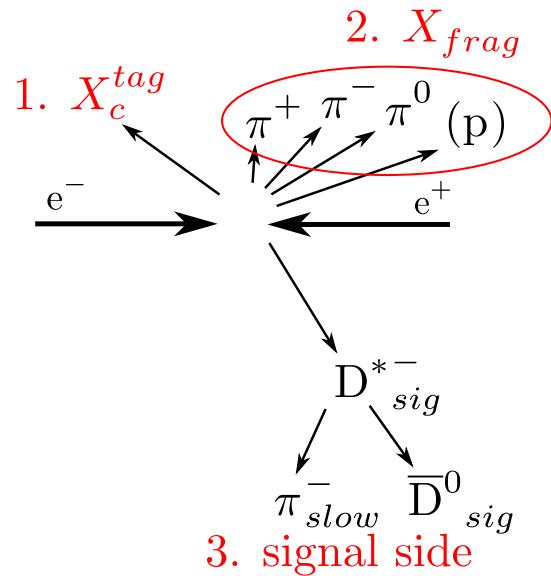
- $c \bar{c}$  production comparable with  $b \bar{b}$
- ⇒ **B-factories are also charm factories**

# Experimental Methods

- D reconstruction from the  $D^*$ :  $D^* \rightarrow D\pi_{\text{slow}}$

- $\vec{p}(\pi_{\text{slow}}) < 600 \text{ MeV}/c$
- flavour tagging by  $\pi_{\text{slow}}$
- observables  $M(D)$  and  $\Delta M = M(D\pi_{\text{slow}}) - M(D)$

- Full event interpretation



- $M_{\text{recoil}}(D^*_{\text{sig}}) = \sqrt{E_{\text{beam}}^2 - P^2(X_c^{\text{tag}} X_{\text{frag}})}$

## Introduction

### Leptonic Decays

### Semileptonic Decays

### Rare, Photonic, and Radiative Charm Decays

# $D_s \rightarrow \ell \bar{\nu}_\ell$

- Calculation of the  $D_s$  decay constant from the branching fraction

$$B(D_s \rightarrow \ell \bar{\nu}_\ell) = \frac{\tau_{D_s} m_{D_s}}{8\pi} f_{D_s}^2 G_F^2 |V_{cs}|^2 m_\ell^2 \left(1 - \frac{m_\ell^2}{m_{D_s}^2}\right)^2$$

- Test of the theoretical predictions

Lattice QCD  $f_{D_s} = (249.0 \pm 1.2) \text{ MeV}$  (PDG, 2017)

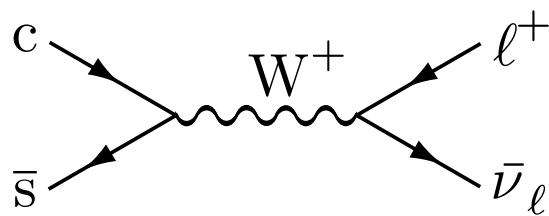
QCD sum rules  $f_{D_s} = (240 \pm 10) \text{ MeV}$  (Wang, 2015)

Light-front quark model  $f_{D_s} = (264.5 \pm 17.5) \text{ MeV}$  (Hwang, 2010)

- Test of the lepton flavour universality with V-A suppression

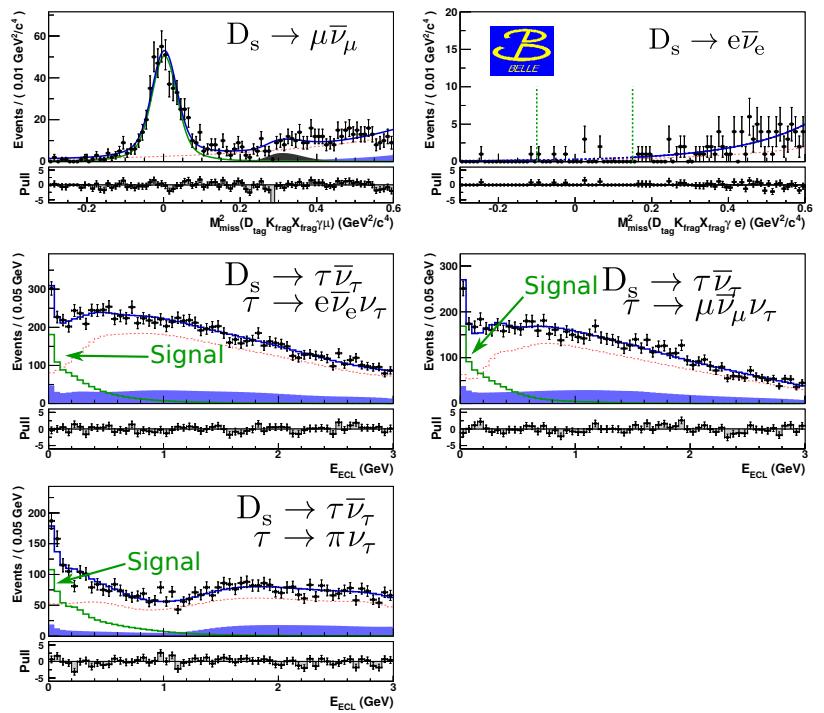
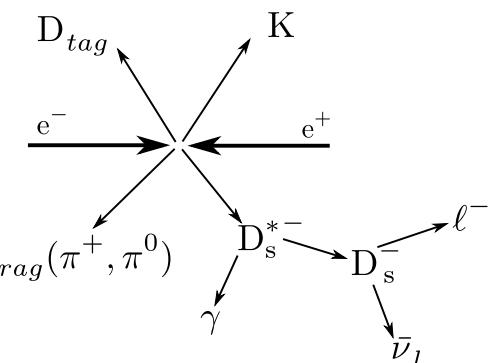
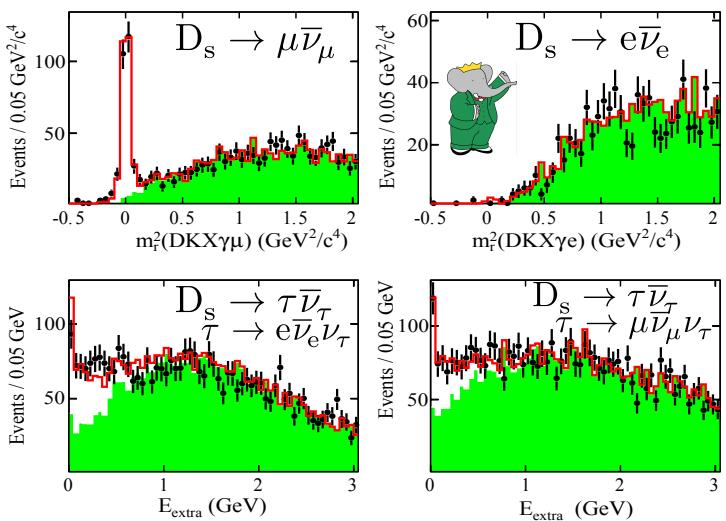
$$- R_{\mu/\tau} = \frac{B(D_s \rightarrow \mu \bar{\nu}_\mu)}{B(D_s \rightarrow \tau \bar{\nu}_\tau)} \simeq 0.1$$

$$- R_{e/\tau} = \frac{B(D_s \rightarrow e \bar{\nu}_e)}{B(D_s \rightarrow \tau \bar{\nu}_\tau)} \simeq 3.5 \times 10^{-6}$$



# $D_s \rightarrow \ell \bar{\nu}_\ell$

- BaBar measurement with  $521 \text{ fb}^{-1}$  <sup>†</sup>
- Belle measurement with  $913 \text{ fb}^{-1}$  <sup>‡</sup>
- $D_s \rightarrow \ell \bar{\nu}_\ell, l = e, \mu$ 
  - fit to  $m_{\text{recoil}}^2(D_{\text{tag}} K_{\text{frag}} X_{\text{frag}} \gamma \ell)$
- $D_s \rightarrow \tau \bar{\nu}_\tau$ 
  - $\tau^- \rightarrow \ell \bar{\nu}_\ell \nu_\tau$  (Belle/BaBar)
  - $\tau^- \rightarrow \pi^- \nu_\tau$  (Belle)
  - fit to extra energy in electro-magnetic calorimeter



<sup>†</sup>del Amo Sanchez et al., Phys. Rev. D 82, 091103(R), 2010

<sup>‡</sup>Zupanc et al., JHEP09 (2013) 139

# $D_s \rightarrow \ell \bar{\nu}_\ell$

Mode	$B_{Belle}, \%$	$B_{BaBar}, \%$	$R_{\ell/\tau}$ predictions
$D_s \rightarrow \tau \bar{\nu}_\tau$	$5.70 \pm 0.21 \pm 0.30$	$5.00 \pm 0.35 \pm 0.49$	1
$D_s \rightarrow \mu \bar{\nu}_\mu$	$0.531 \pm 0.028 \pm 0.020$	$0.602 \pm 0.038 \pm 0.034$	0.1
$D_s \rightarrow e \bar{\nu}_e$	$< 0.0083$ at 90 % CL	$< 0.0230$ at 90 % CL	$3.5 \times 10^{-6}$

Table: Branching fraction measurements

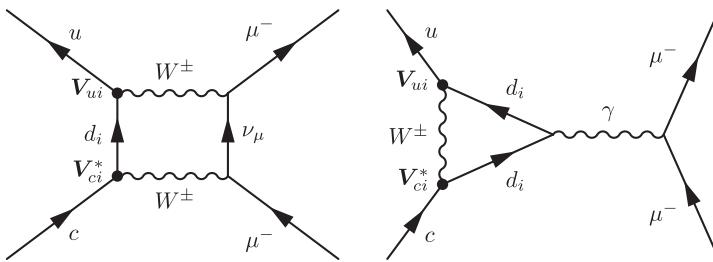
- Consistent with lepton flavour universality

Experiment/Method	$f_{D_s}$ , MeV
Belle	$255.5 \pm 4.2 \pm 4.8 \pm 1.8(\tau_{D_s})$
BaBar	$258.6 \pm 6.4 \pm 7.5$
Belle II with $50 \text{ ab}^{-1}$	$\pm 0.9$
Lattice QCD	$249.0 \pm 1.2$
QCD sum rules	$240.0 \pm 10.0$
Light-front quark model	$264.5 \pm 17.5$

Table:  $D_s$  decay constant

# $D^0 \rightarrow \ell^+ \ell^-$

- $D^0 \rightarrow \ell^+ \ell^-$ : flavour-changing neutral currents
  - suppression in the SM through the **GIM mechanism**:  $B \sim 10^{-13}$



- R-parity violating supersymmetry <sup>§</sup>
  - $B(D^0 \rightarrow e^- e^+) \sim 10^{-12}$
  - $B(D^0 \rightarrow \mu^- \mu^+) \sim 10^{-8}$
- Leptoquark model <sup>¶</sup>
  - $B(D^0 \rightarrow \mu^- \mu^+) \sim 8 \times 10^{-7}$
- Lepton flavour violation:  $D^0 \rightarrow e^\pm \mu^\mp$ 
  - forbidden in SM
  - SM extension with non-degenerate neutrinos and non-zero neutrino mixing:  $B \sim 10^{-14}$  <sup>||</sup>

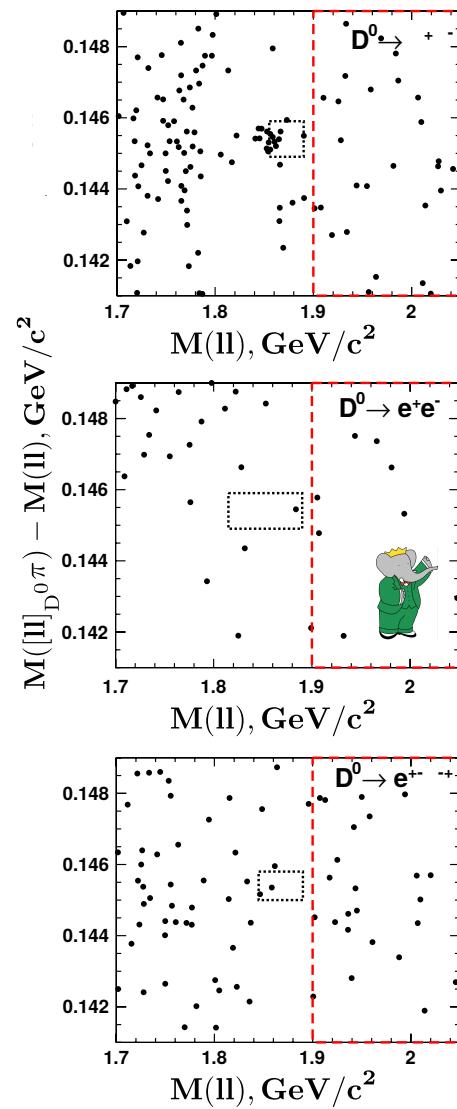
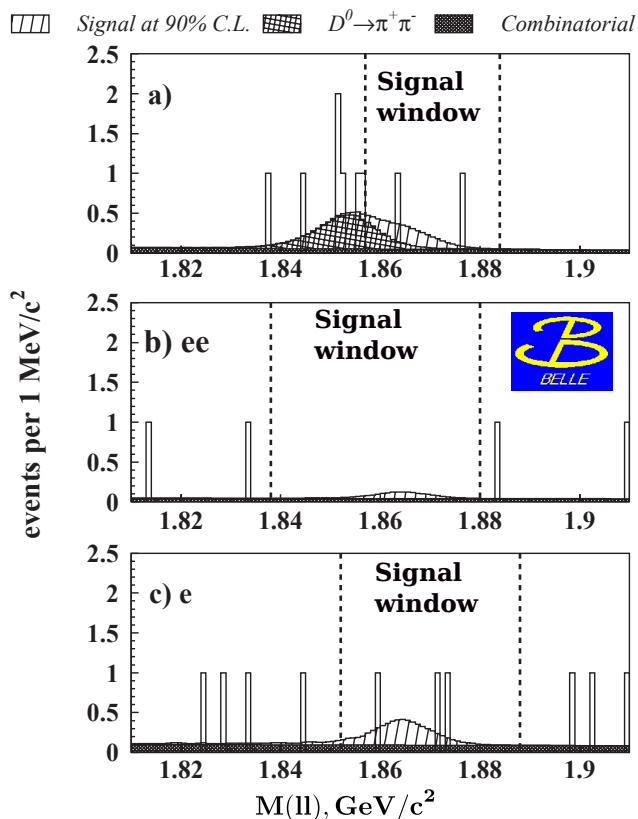
<sup>§</sup>E. Golowich et al., Phys. Rev. D 79, 114030, 2009

<sup>¶</sup>I. Doršner et al., Phys. Lett. B 682, 67-73, 2009

<sup>||</sup>G. Burdman et al., Phys. Rev. D 66, 014009, 2002

# $D^0 \rightarrow \ell^+ \ell^-$

- BaBar measurement with  $486 \text{ fb}^{-1}$  \*\*
- Belle measurement with  $660 \text{ fb}^{-1}$  ††
- Event reconstruction through decay chain  
 $D^{*+} \rightarrow D^0 \pi^+$  slow,  $D^0 \rightarrow \ell^+ \ell^-$



\*\* J.P. Lees et al., Phys. Rev. D 86, 032001, 2012

†† M. Petric et al., Phys. Rev. D 81, 091102(R), 2010  
 Dmytro Levit (TUM)

# $D^0 \rightarrow \ell^+ \ell^-$

Mode	$B_{Belle}$ , at 90 % CL, $660\text{ fb}^{-1}$	$B_{BaBar}$ , at 90 % CL, $486\text{ fb}^{-1}$	Belle II projections
$D^0 \rightarrow \mu^- \mu^+$	$< 1.40 \times 10^{-7}$	$[0.60, 8.10] \times 10^{-7}$	$0.16 \times 10^{-7}$
$D^0 \rightarrow e^- e^+$	$< 0.79 \times 10^{-7}$	$< 1.70 \times 10^{-7}$	$0.09 \times 10^{-7}$
$D^0 \rightarrow e^\pm \mu^\mp$	$< 2.60 \times 10^{-7}$	$< 3.30 \times 10^{-7}$	$0.30 \times 10^{-7}$

Table: Branching fraction measurements

- ⇒ No evidence for lepton flavour violation
- ⇒ Disfavours leptoquark model

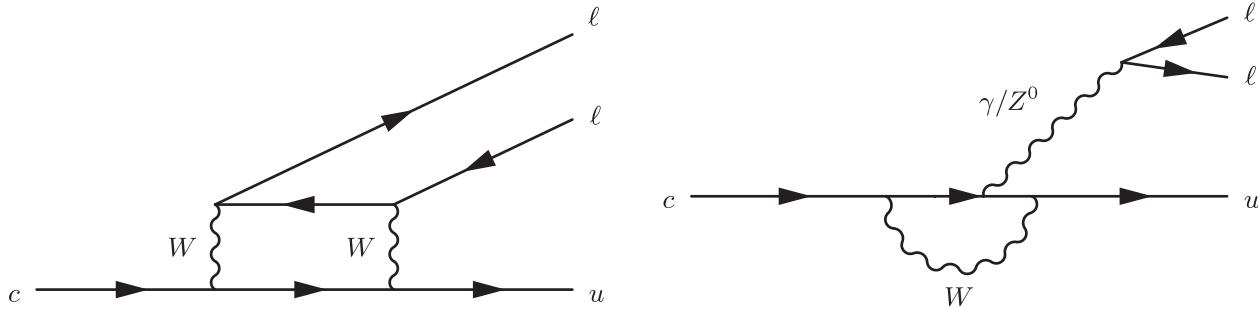
## Introduction

### Leptonic Decays

### Semileptonic Decays

### Rare, Photonic, and Radiative Charm Decays

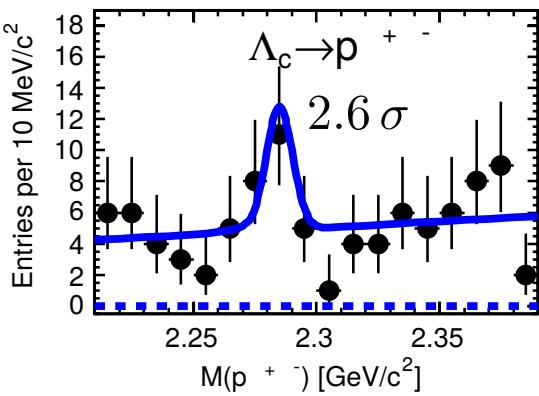
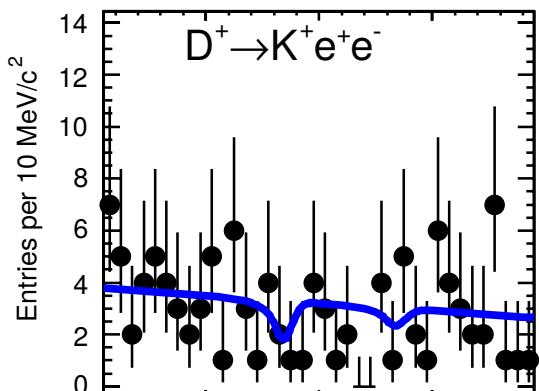
# Forbidden Semileptonic Decays



- $X_c^+ \rightarrow h\ell\ell$ , with  $X_c^+$ :  $D^+, D_s^+, \Lambda_c^+$
- tree level  $c \rightarrow s$  transition via  $X_c^+ \rightarrow \phi\pi^+, \phi \rightarrow \ell^+\ell^-$ 
  - $B(D^+ \rightarrow \pi^+ [\ell^+\ell^-]_\phi) \approx 2 \times 10^{-6}$
  - $B(D_s \rightarrow \pi^+ [\ell^+\ell^-]_\phi) \approx 10^{-5}$
  - outside of  $m(\ell^+\ell^-) \simeq m(\phi)$  FCNC contribution
    - ⇒ greatly suppressed in SM
    - ⇒ enhancement through R-parity violating supersymmetry models:  $B \sim 10^{-5}$
- Lepton flavour violation decays  $X_c^+ \rightarrow h^+ e^\pm \mu^\mp$ 
  - prohibited in the SM
- Lepton number violation  $X_c^+ \rightarrow h^- \ell^+ \ell^+$ 
  - prohibited in the SM

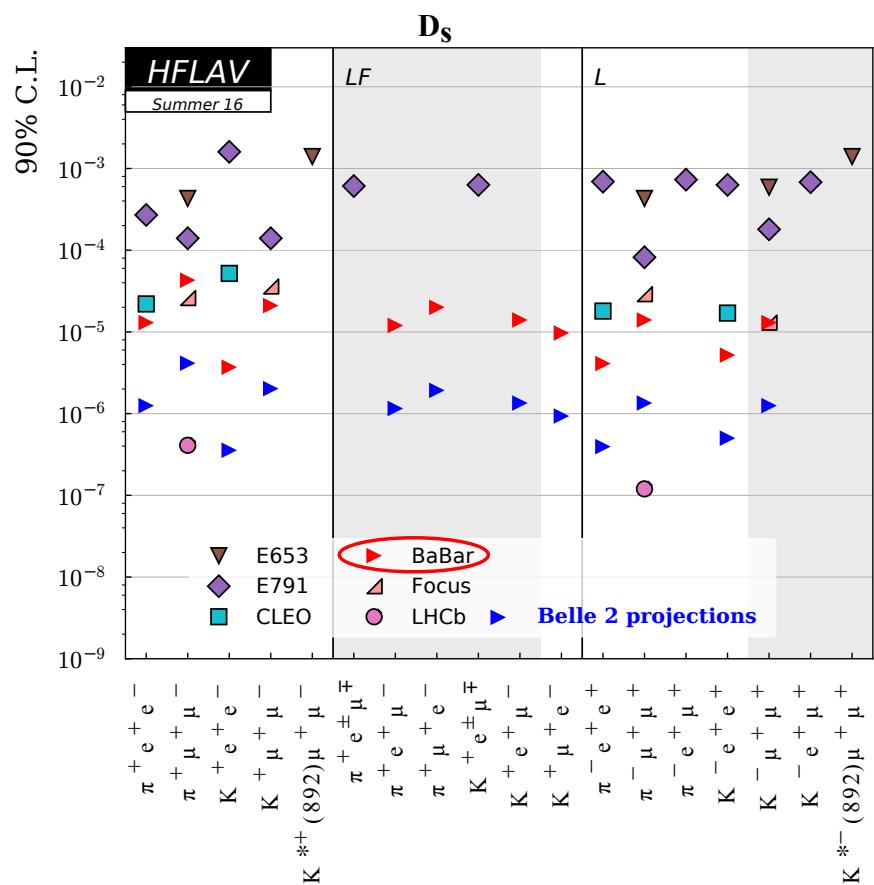
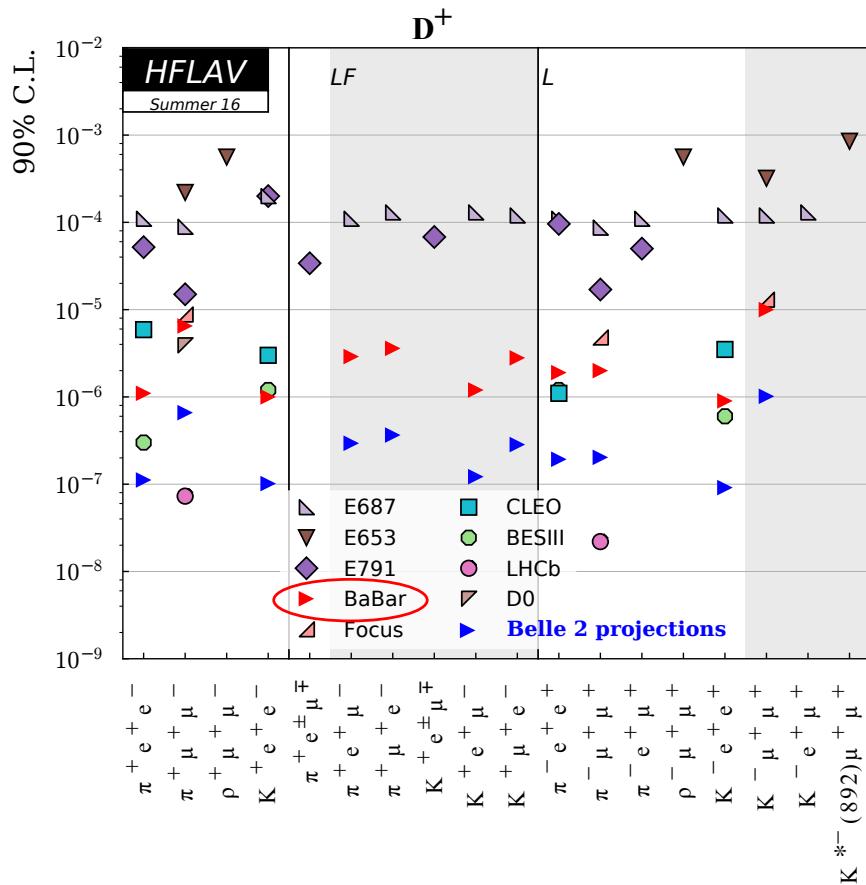
# Forbidden Semileptonic Decays

- BaBar measurement with  $384 \text{ fb}^{-1}$ <sup>††</sup>
- Combinations of an hadron and a pair of leptons
- Signal yield from fit to the  $M(hll)$
- **Results:** no significant signal observed in 35 decay modes
  - 90 % upper limits on the BR between
  $B(D^+ \rightarrow K^+ e^- e^+) < 1 \times 10^{-6}$
  - $B(\Lambda_c^+ \rightarrow p \mu^- \mu^+) < 4.4 \times 10^{-5}$
- ⇒ improves experimental constraints in 32 decay modes
- ⇒ disfavours R-parity violating supersymmetry models



<sup>††</sup>J. P. Lees, Phys. Rev. D 84, 072006, 2011  
Dmytro Levit (TUM)

# Forbidden Semileptonic Decays



## Introduction

Leptonic Decays

Semileptonic Decays

Rare, Photonic, and Radiative Charm Decays

- Flavour-changing neutral currents forbidden at the tree level in the SM by the GIM mechanism
  - short distance contributions  $B(D^0 \rightarrow \gamma\gamma) \sim 10^{-11}$  \*
- Long-distance enhancement due to the Vector Meson Dominance
  - $B(D^0 \rightarrow \gamma\gamma) \simeq (3.5^{+4.0}_{-2.6}) \times 10^{-8}$  \*
- Minimal supersymmetric standard model prediction
  - gluinos exchange:  $B(D^0 \rightarrow \gamma\gamma) \sim 6 \times 10^{-6}$  †

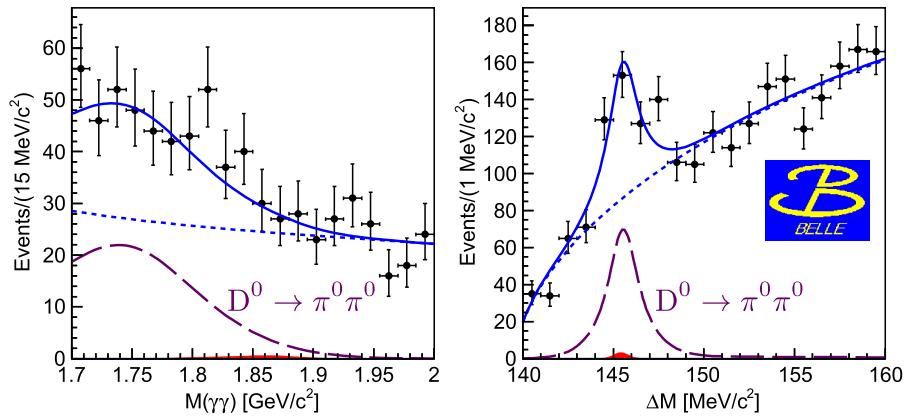
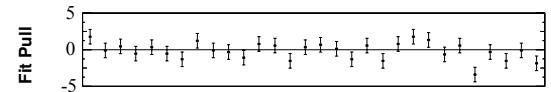
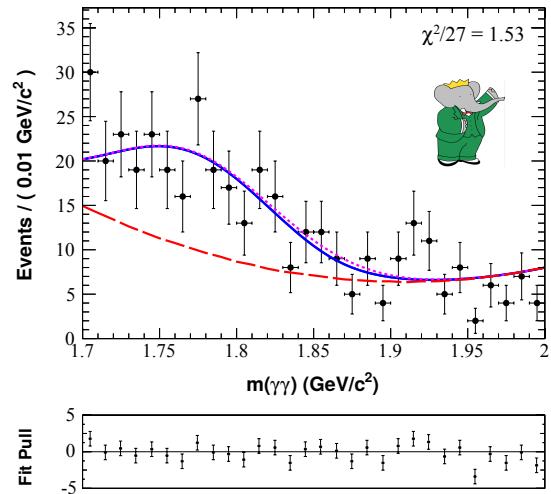
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\* G. Burdman et al., Phys. Rev. D 66, 014009, 2002

†S. Prelovsek, D. Wyler, Phys. Lett. B 500, 304, 2001

# $D^0 \rightarrow \gamma\gamma$

- BaBar measurement with  $470.5 \text{ fb}^{-1}$  <sup>†</sup>
- Belle measurement with  $832 \text{ fb}^{-1}$  <sup>§</sup>
- Reconstruction of the decay chain  
 $D^{*+} \rightarrow D^0 \pi^+$  slow,  $D^0 \rightarrow \gamma\gamma$ 
  - normalized to  $D^0 \rightarrow K_S^0 \pi^0$
- Signal yield
  - Belle: fit to  $M(\gamma\gamma)$  and  $\Delta M(D^{*+} - D^0)$
  - BaBar: fit to  $M(\gamma\gamma)$



<sup>†</sup>J.P. Lees et al., Phys. Rev. D 85, 091107(R), 2012

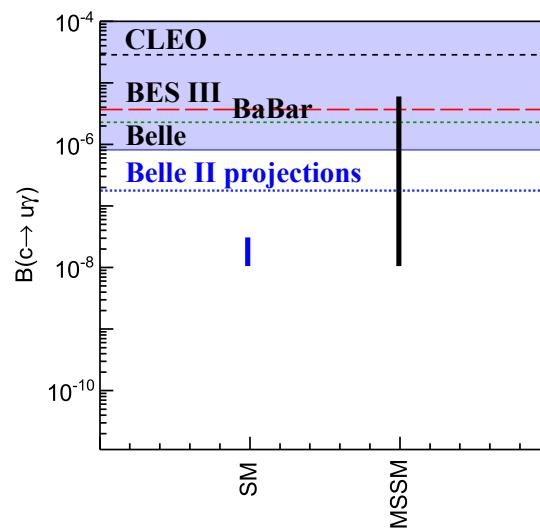
<sup>§</sup>N.K. Nisar et al., Phys. Rev. D 93, 051102(R), 2016

# $D^0 \rightarrow \gamma\gamma$

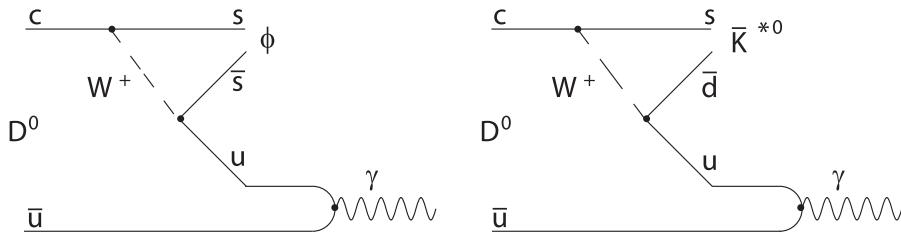
Experiment	$B(D^0 \rightarrow \gamma\gamma)$
BaBar	$< 2.2 \times 10^{-6}$ @ 90 % CL
Belle	$< 8.5 \times 10^{-7}$ @ 90 % CL

Table: Branching fraction measurements

⇒ sensitive to gluino exchange in the minimal supersymmetric model



# CP Violation in $D^0 \rightarrow V\gamma$ Decays



- Long range contributions dominate in the SM
  - long range contributions  $\sim 10^{-5}$
  - short range contributions  $\sim 10^{-8}$

$\Rightarrow$  test of the QCD calculations
- CP-violation in the decays  $D^0 \rightarrow V\gamma$  due to the weak phase in the SM  $\sim 10^{-3}$ 
  - chromomagnetic operators in the extension of the SM can enhance CP-violation

$\Rightarrow |a_{V\gamma}| \geq O(\%)$  would be a clear sign of the new physics<sup>¶</sup>

<sup>¶</sup>J. Brod et al., Phys. Rev. D 86, 014023, 2012

<sup>||</sup>G. Isidori and J. F. Kamenik, Phys. Rev. Lett. 109, 171801, 2012

$$D^0 \rightarrow \bar{K}^{0*} \gamma, D^0 \rightarrow \phi \gamma, D^0 \rightarrow \rho^0 \gamma$$

- Belle measurement with  $943 \text{ fb}^{-1}$  \*\*

- Reconstruction of the decay chain

$$D^{*+} \rightarrow D^0 \pi^+ \text{ slow}, D^0 \rightarrow V\gamma:$$

$$D^0 \rightarrow \rho^0 \gamma$$

$$D^0 \rightarrow \pi^+ \pi^-$$

$$D^0 \rightarrow \phi \gamma$$

normalized to  $D^0 \rightarrow K^+ K^-$

$$D^0 \rightarrow \bar{K}^{0*} \gamma$$

$$D^0 \rightarrow K^- \pi^+$$

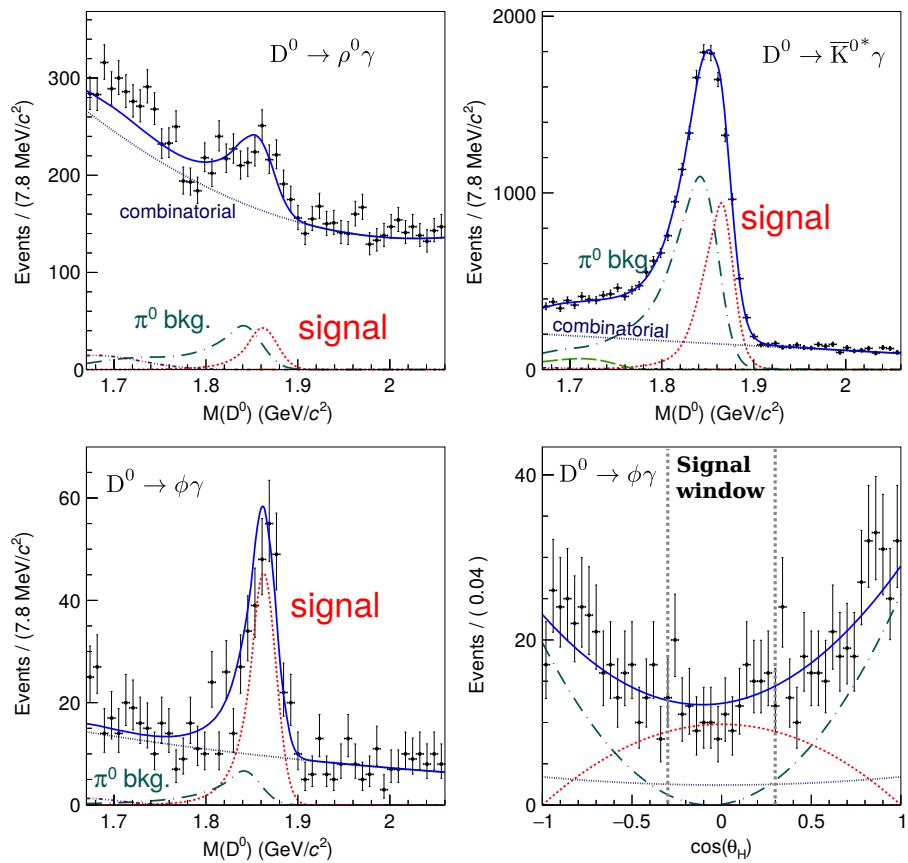
- CP-asymmetry extraction:

$$A_{\text{raw}} = \frac{N(D^0 \rightarrow f) - N(\bar{D}^0 \rightarrow \bar{f})}{N(D^0 \rightarrow f) + N(\bar{D}^0 \rightarrow \bar{f})}$$

$$A_{\text{raw}} = A_{\text{CP}} + A_{\text{FB}} + A_{\epsilon}^{\pm}$$

$$A_{\text{CP}}^{\text{sig}} = A_{\text{raw}}^{\text{sig}} - A_{\text{raw}}^{\text{norm}} + A_{\text{CP}}^{\text{norm}}$$

$\Rightarrow A_{\text{FB}}$  and  $A_{\epsilon}^{\pm}$  cancel



\*\* T. Nanut et al., PRL 118, 051801, 2017

# $D^0 \rightarrow V\gamma$

Mode	$B_{Belle}, 10^{-5}$	$B_{BaBar}, 10^{-5} (387.1 \text{ fb}^{-1})$	$A_{CP}, Belle$
$D^0 \rightarrow \phi\gamma$	$2.76 \pm 0.19 \pm 0.10$	$2.78 \pm 0.30 \pm 0.27$	$-0.094 \pm 0.066 \pm 0.001$
$D^0 \rightarrow \bar{K}^0\gamma$	$46.60 \pm 2.10 \pm 2.10$	$32.80 \pm 2.00 \pm 2.70$	$-0.003 \pm 0.020 \pm 0.000$
$D^0 \rightarrow \rho^0\gamma$	$1.77 \pm 0.30 \pm 0.07$	-	$+0.056 \pm 0.152 \pm 0.006$

Table: Measurement results

- Consistent with no CP-violation
- First measurement of the branching fraction for the decay  $D^0 \rightarrow \rho^0\gamma$
- Statistical uncertainties on  $A_{CP}$  measurement with  $50 \text{ ab}^{-1}$  @ Belle II

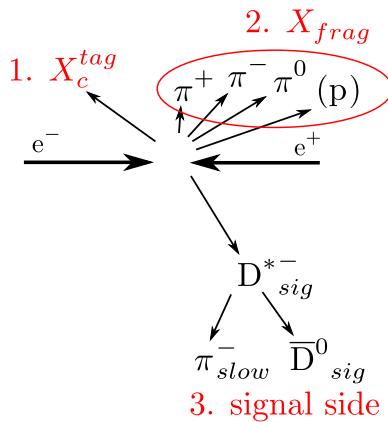
Mode	Belle with $943 \text{ fb}^{-1}$	Belle II with $50 \text{ ab}^{-1}$
$D^0 \rightarrow \phi\gamma$	$\pm 0.066$	$\pm 0.010$
$D^0 \rightarrow \bar{K}^0\gamma$	$\pm 0.020$	$\pm 0.003$
$D^0 \rightarrow \rho^0\gamma$	$\pm 0.152$	$\pm 0.020$

# $D^0 \rightarrow \text{Invisible}$

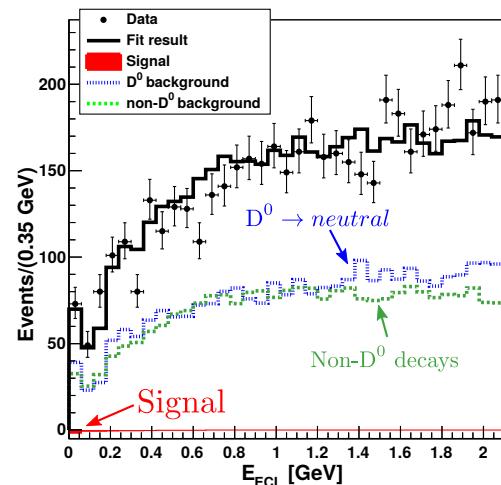
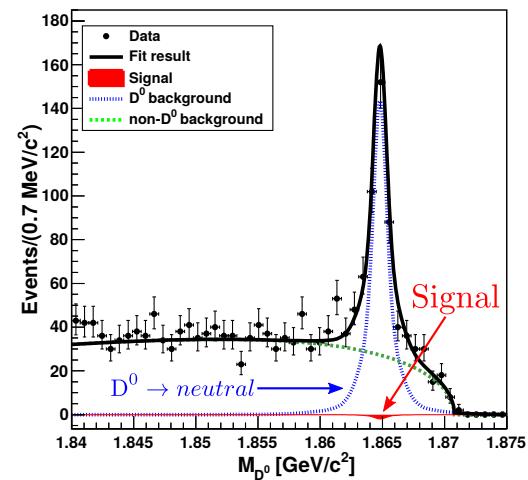
- $D^0 \rightarrow \nu \bar{\nu}$  helicity suppressed in the SM
  - $B(D^0 \rightarrow \nu \bar{\nu}) = 1.1 \times 10^{-30}$
- possible enhancements by non-SM  $D^0$  decays

# $D^0 \rightarrow \text{Invisible}$

- Belle measurement with  $924 \text{ fb}^{-1}$  ††
- Reconstruct everything except  $D^0$



- $M_{\text{recoil}}(X_c^{\text{tag}} X_{\text{frag}} \pi_{\text{slow}})$
- **Result:**  
 $B(D^0 \rightarrow \text{invisible}) < 9.4 \times 10^{-5} @ 90\% \text{ CL}$   
 $\Rightarrow$  no sign of the decay  
 $\Rightarrow$  dark matter coupling =  $O(10^{-15})$  ‡‡



††Y.-T. Lai et al., Phys. Rev. D 95, 011102(R), 2017

‡‡A. Badin and A. A. Petrov, Phys. Rev. D 82, 034005, 2010

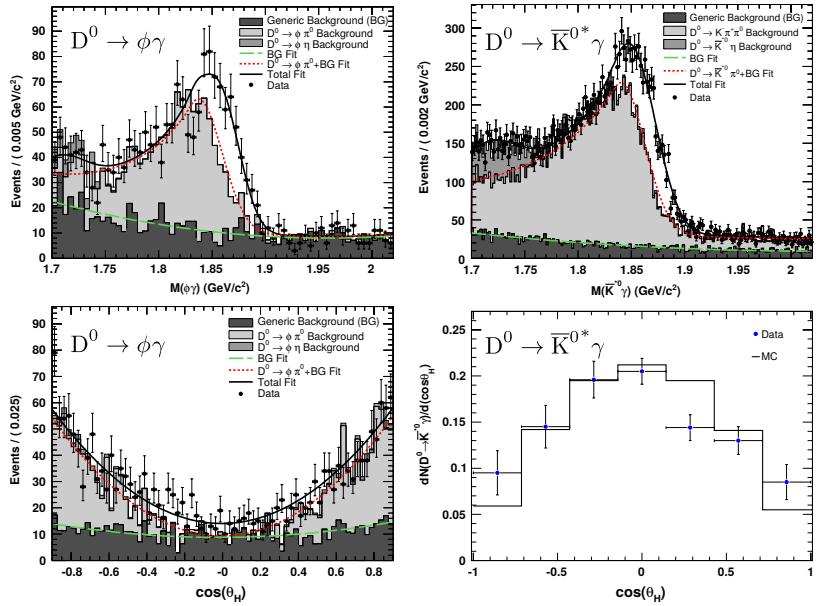
# Summary and Outlook

- Measurements of the leptonic, semileptonic, and rare charm decays at the B-factories
  - precise measurement of the  $D_s$  decay constant
  - search for FCNC in the leptonic  $D^0$  decays
  - most precise limits on many the forbidden leptonic and semileptonic decays
  - probing new physics contributions in photonic  $D^0$  decay
  - CP-violation measurement in radiative  $D^0$  decays
- Belle II starts physics run in 2019
  - goal:  $50 \text{ ab}^{-1}$  of the integrated luminosity
  - ⇒ increased sensitivity to physics beyond the Standard Model

# Backup Slides

# $D^0 \rightarrow \bar{K}^{0*}\gamma, D^0 \rightarrow \phi\gamma$

- BaBar measurement with  $387.1 \text{ fb}^{-1}$  §§
- Reconstruction of the decay chain  
 $D^{*+} \rightarrow D^0\pi^+$  slow,  $D^0 \rightarrow V(\bar{K}^{0*}, \phi)\gamma$ 
  - normalized to  $D^0 \rightarrow K^-\pi^+$
  - $\pi^+$  slow tags the flavour of the  $D^0$
- Peaking background through  $D^0 \rightarrow \phi\pi^0$  and  $D^0 \rightarrow K^-\pi^+\pi^0$ 
  - suppressed by  $\pi^0$  veto
  - further suppression through helicity angle  $\Theta_H$  cut
- Signal yield from the 2D fit to the  $M(V\gamma)$  and  $\cos(\Theta_H)$  distributions



§§ B. Aubert et al., Phys. Rev. D 78, 071101(R), 2008