

# *Soft gluon factorization for heavy quarkonium production*

**Yan-Qing Ma**

*Peking University*

**The 9th International Workshop on Charm Physics**  
**Budker INP, Russia, May. 21-25, 2018**

# NRQCD Factorization

## ➤ Factorization formula

Bodwin, Braaten, Lepage, 9407339

$$(2\pi)^3 2P_H^0 \frac{d\sigma_H}{d^3 P_H} = \sum_n d\hat{\sigma}_n(P_H) \langle \mathcal{O}_n^H \rangle$$

Production of a heavy quark pair

Hadronization (LDMEs)

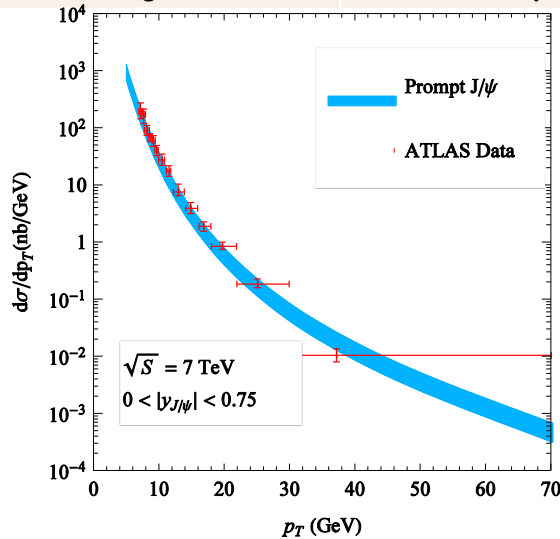
- $n$ : quantum numbers of the pair: color, spin, orbital angular

momentum, total angular momentum, spectroscopic notation  $^{2S+1}L_J^{[c]}$

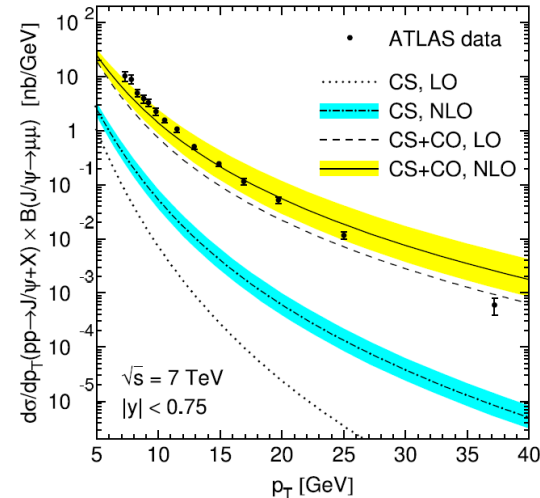
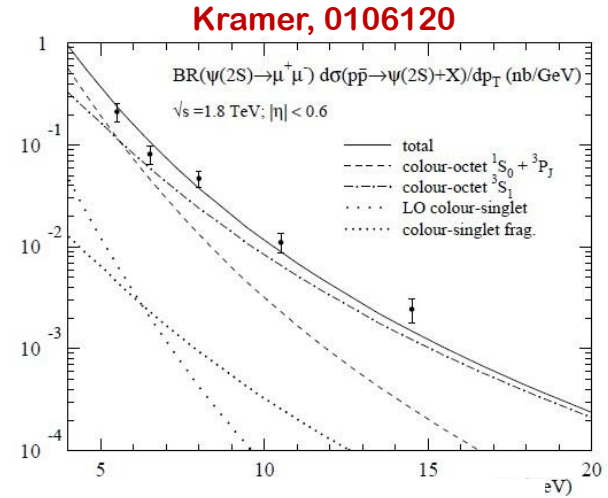
# Achievement: explain $\psi'$ surplus

## ➤ Nicely explain $\psi'$ surplus by CO contributions

States	$p_T$ behavior at LO
$^3S_1[1]$	$p_T^{-8}$
$^3S_1[8]$	$p_T^{-4}$
$^1S_0[8]$	$p_T^{-6}$
$^3P_J[8]$	$p_T^{-6}$



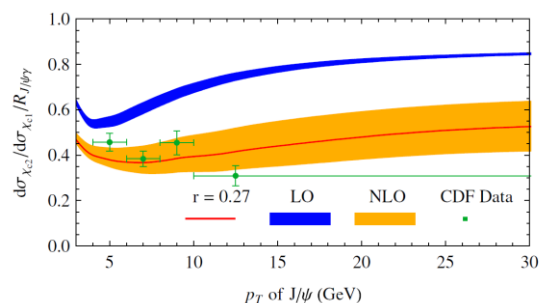
YQM, Wang, Chao, 1012.1030



Butenschoen, Kniehl, 1105.0820

# Achievement: prediction for $\chi_{cJ}$ production

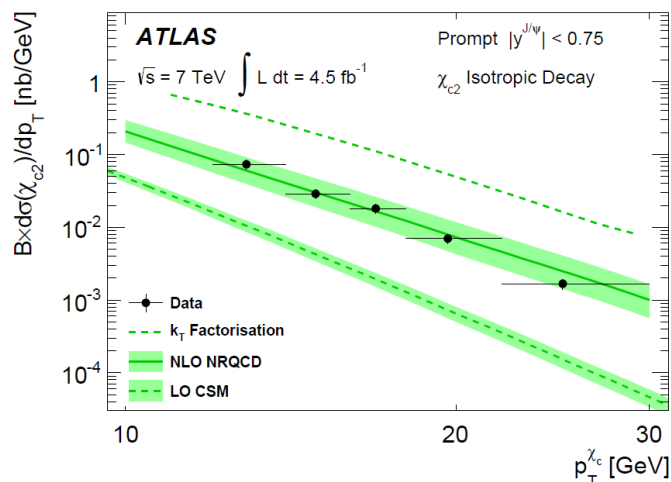
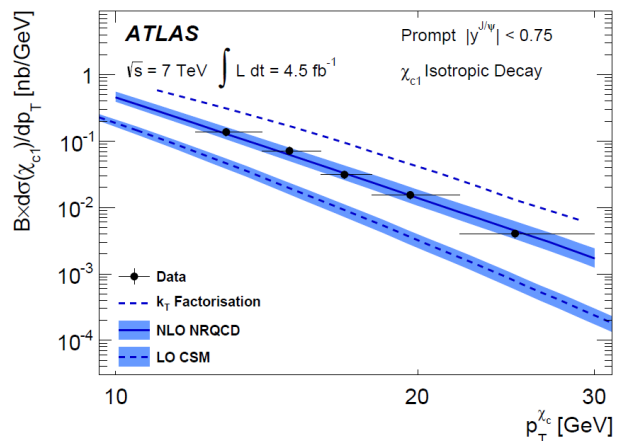
➤  $\chi_{cJ}$  production:  $d\sigma_{\chi_{cJ}} \approx d\hat{\sigma}_{3P_J^{[1]}} \langle O(3P_0^{[1]}) \rangle + (2J+1)d\hat{\sigma}_{3S_1^{[8]}} \langle O(3S_1^{[8]}) \rangle$



YQM, Wang, Chao, 1002.3987

➤ Agree with new data

ATLAS, 1404.7035



# Difficulty: polarization puzzle

## ➤ LO NRQCD

- Dominated by  $^3S_1^{[8]}$ , LO NRQCD predicts transversely polarized  $\psi(nS)$ , contradicts with CDF data

CDF, 0704.0638

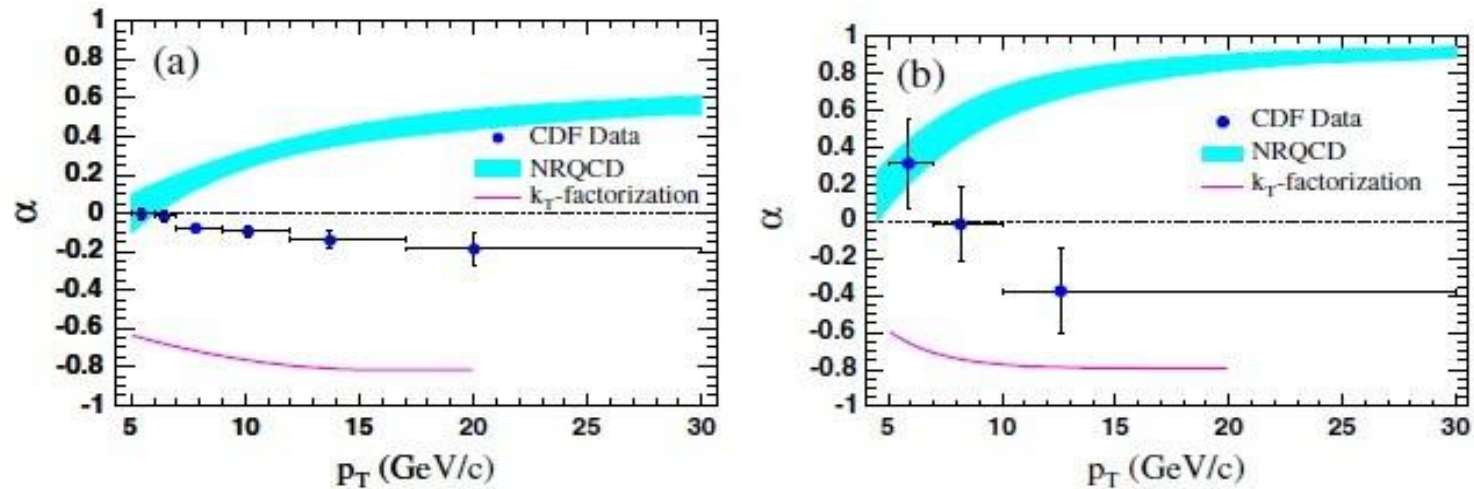
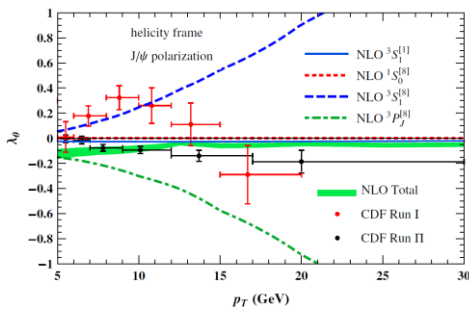


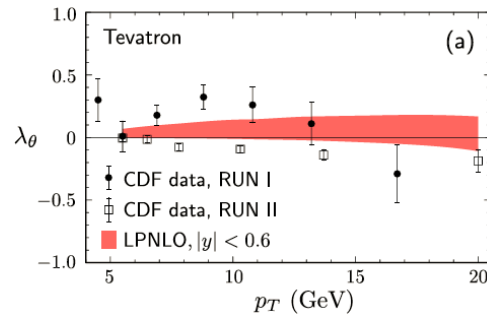
FIG. 4 (color online). Prompt polarizations as functions of  $p_T$ : (a)  $J/\psi$  and (b)  $\psi(2S)$ . The band (line) is the prediction from NRQCD [4] (the  $k_T$ -factorization model [9]).

# Difficulty: polarization puzzle

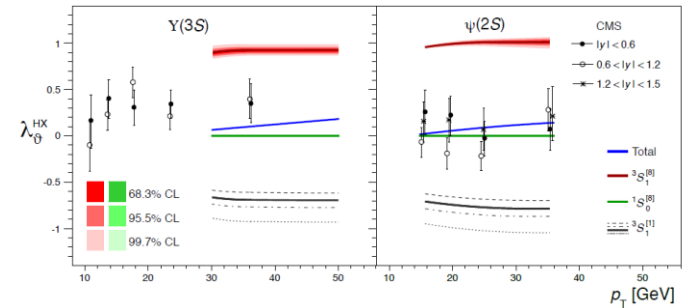
- $J/\psi$ : transverse polarization cancelled between  $^3S_1^{[8]}$  and  $^3P_J^{[8]}$  channel,  $^1S_0^{[8]}$  may dominate



Chao, YQM, Shao, Wang,  
Zhang, 1201.2675



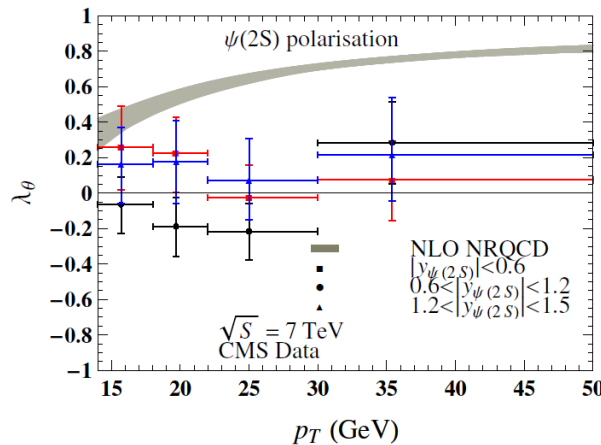
Bodwin, Chung, Kim,  
Lee, 1403.3612



Faccioli, Knunz, Lourenco,  
Seixas, Wohri, 1403.3970

- $\psi(2S)$ : still hard to understand

Shao, Han, YQM, Meng,  
Zhang, Chao, 1411.3300



# Difficulty: hierarchy problem

## ➤ Best fit of $J/\psi$ yield data at high $p_T$

YQM, Wang, Chao, 1009.3655

$$M_0 = \langle O \left( {}^1S_0^{[8]} \right) \rangle + 3.9 \langle O \left( {}^3P_0^{[8]} \right) \rangle / m_c^2 \approx 0.074 \text{ GeV}^3$$

$$M_1 = \langle O \left( {}^3S_1^{[8]} \right) \rangle - 0.56 \langle O \left( {}^3P_0^{[8]} \right) \rangle / m_c^2 \approx 0.0005 \text{ GeV}^3$$

## ➤ Velocity scaling rule of NRQCD

$$\langle O \left( {}^1S_0^{[8]} \right) \rangle \sim \langle O \left( {}^3S_1^{[8]} \right) \rangle \sim \langle O \left( {}^3P_0^{[8]} \right) \rangle / m_c^2$$

Thus

$$M_0 \sim M_1$$

## ➤ Two orders difference: unnatural

# Difficulty: universality problem

## ➤ Necessary condition for NRQCD

- LDMEs, like  $M_0$  and  $M_1$ , are process independent

## ➤ Upper bound of $M_0$ set by $e^+e^-$ collision

Zhang, YQM, Wang, Chao, 0911.2166

$$M_0 < 0.02 \text{GeV}^3$$

- Comparing with  $M_0 \approx 0.074 \text{GeV}^3$  from  $pp$  collision

## ➤ Global fit of LDMEs

Butenschoen, Kniehl, 1105.0820

$$\chi_{\text{d.o.f.}}^2 = 725/194 = 3.74$$

- Data cannot be described consistently!



# Rigorousness of NRQCD

## ➤ Looks like a rigorous theory

- EFT of QCD
- Factorization has been tested to NNLO Nayak, Qiu, Sterman, 0509021

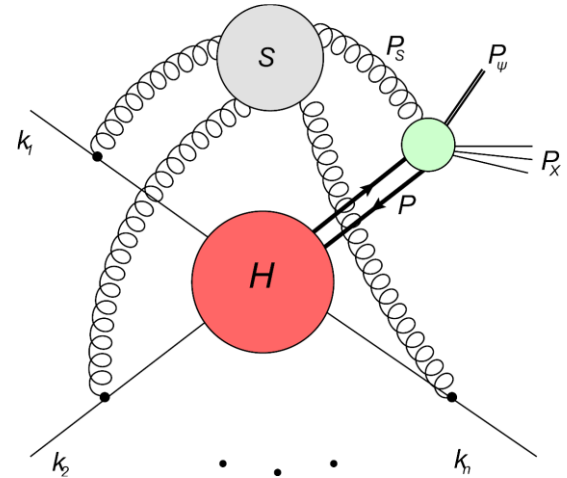
**But why does not it work for  
quarkonium production?**

# An important effect: overlooked

## ➤ Soft gluon emission in the hadronization process

- $P_\psi$  is different from  $P$ ,  $P = P_\psi[1 + O(\lambda)]$
- NRQCD approximate  $P$  by  $P_\psi$

## ➤ An over simplified model of NRQCD expansion



- Cross section approximately  $\propto P^{-4} = P_\psi^{-4} [1 + O(\lambda)]^{-4}$

$$\int_{-1}^1 \frac{d\cos\theta}{2(1 + \lambda + \lambda \cos\theta)^4} = 0.42$$

With  $\lambda \approx v^2 \approx 0.3$

$$= 1 - 4\lambda + 40/3\lambda^2 - 40\lambda^3 + \dots$$

$$= 1 - 1.2 + 1.2 - 1.08 + 0.91 - 0.73 + \dots$$

# Soft gluon factorization (SGF)

## ➤ SGF for quarkonium $H$ production:

YQM, Chao, 1703.08402

$$(2\pi)^3 2P_H^0 \frac{d\sigma_H}{d^3P_H} = \sum_n \int \frac{d^4P}{(2\pi)^4} d\hat{\sigma}_n(P) F_n^H(P, P_H) \quad n = 2S+1 \quad L_J^{[c]}$$

- $d\hat{\sigma}$ : perturbatively calculable hard part
- $F_n^H$ : nonperturbative soft gluon distribution
- UV renormalization scale is suppressed

## ➤ Keep momentum difference between $Q\bar{Q}$ and $H$

- Expect no further large relativistic corrections

# Soft gluon distributions (SGDs)

## ➤ Operator definition

- Expectation values of bilocal operators in QCD vacuum

$$F_n^H(P, P_H) = \int d^4x e^{iP \cdot x} S_l(x) \langle 0 | \bar{\psi}(0) \Gamma'_n \Phi_l^\dagger(0) \psi(0) a_H^\dagger a_H \bar{\psi}(x) \Gamma_n \Phi_l(x) \psi(x) | 0 \rangle$$

- Gauge links to ensure gauge invariance

$$\Phi_l(x) = \mathcal{P} \exp \left\{ -ig_s \int_0^\infty d\lambda l \cdot A(x + \lambda l) \right\}$$

- Soft factor  $S_l(x)$  to absorb additional IR divergences
- A different choice of  $l$ :  $S_l(x)$  will be changed by a gauge invariant Wilson loop

## ➤ Set $P \approx P_H$ in hard part: “reproduce” NRQCD

$$(2\pi)^3 2P_H^0 \frac{d\sigma_H}{d^3P_H} \approx \sum_n d\hat{\sigma}_n(P_H) \langle \mathcal{O}_n^H \rangle_l S_l(0)$$

# CO → TMD v.s. NRQCD → SGF

## ➤ CO factorization v.s. TMD factorization

- TMD factorization: both longitudinal-momentum dependence and transverse-momentum dependence
- CO factorization: integrated out transverse momentum, and leaving only longitudinal-momentum dependence

## ➤ NRQCD v.s. SGF

- SGF: have relative-momentum dependence between momentum of  $Q\bar{Q}$  pair and that of quarkonium
- NRQCD: integrated out relative momentum, no momentum dependence

## ➤ Implication

**SGF is a “TMD version” of NRQCD**

# TMD v.s. SGF

## ➤ Similarity: gauge links, soft factors

- Study of TMD can help to understand SGF, and vice versa
- Soft factor vanishes in CO factorization; does it vanish in NRQCD factorization?

## ➤ When to use TMD?

- In small  $p_T$  region where higher-twist contributions are significant
- TMD resums a series of higher-twist contributions in CO factorization

## ➤ When to use SGF?

- In any region where relativistic corrections are significant:  
**all  $p_T$  regions in hadroproduction, Xsection changes fast**
- SGF resums a series of relativistic contributions in NRQCD

# Simplification: 1d form

## ➤ SGF-4d hard to use in practice

- Hard to extract four-dimensional SGDs
- Hard to do perturbative calculation

## ➤ Property of SGDs

- At the rest frame of  $H$ , dominant region (with  $P^2 = M^2$ )

$$P_{rest}^\mu = (M + O(\lambda^2), O(\lambda), O(\lambda), O(\lambda))$$

## ➤ Expanding $O(\lambda)$ terms in hard part: SGF-1d

$$(2\pi)^3 2P_H^0 \frac{d\sigma_H}{d^3P_H} \approx \sum_n \int dz d\hat{\sigma}_n(P_H/z) F_n^H(z) \quad \text{with} \quad z = \frac{m_H}{M}$$

Very similar to CO factorization

## ➤ Comparison: relating momentum of $\chi_{cJ}$ and its decaying $J/\psi$

YQM, Wang, Chao, 1002.3987

$$p_{J/\psi} \approx \frac{m_{J/\psi}}{m_{\chi_{cJ}}} p_{\chi_{cJ}} \quad \bullet \quad \text{Deviation less than 8\%}$$

# The over simplified model

## ➤ “SGF-1d expansion”

$$\int_{-1}^1 \frac{d\cos\theta}{2(1 + \lambda + \lambda\cos\theta)^4} = 0.42 \quad \text{With } \lambda = 0.3$$

$$= \frac{1}{(1 + \lambda)^4} \left( 1 + \frac{10}{3}\lambda^2 - \frac{20}{3}\lambda^3 + 17\lambda^4 + \dots \right)$$

$$= 0.350 + 0.105 - 0.063 + 0.048 - 0.035 + \dots$$

## ➤ Comparing with “NRQCD expansion”

$$\int_{-1}^1 \frac{d\cos\theta}{2(1 + \lambda + \lambda\cos\theta)^4} = 0.42$$

$$= 1 - 4\lambda + 40/3\lambda^2 - 40\lambda^3 + \dots$$

$$= 1 - 1.2 + 1.2 - 1.08 + 0.91 - 0.73 + \dots$$



# Gluon fragmenting to quarkonium

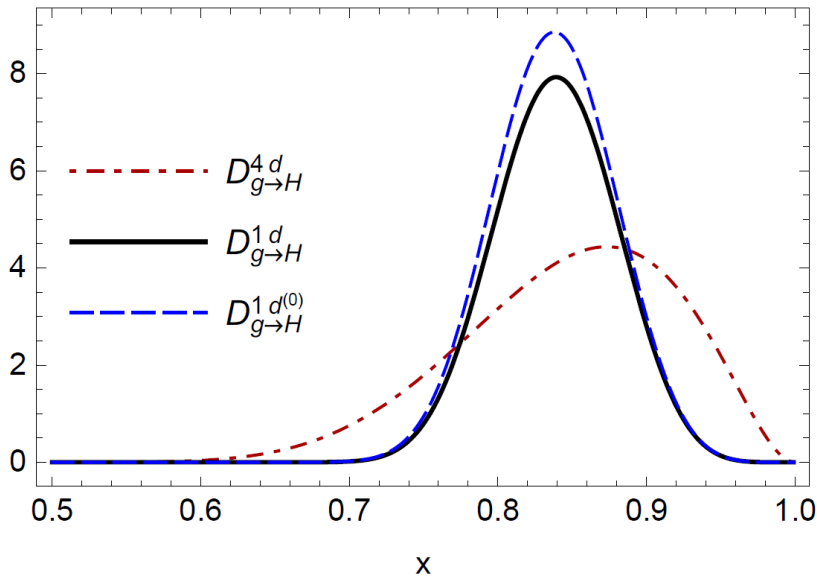
## ➤ Numerical comparison between SGF & NRQCD

## ➤ Model input

$$F_{3S_1}^{H[s]}(P, P_H) = a k^2 \exp\left(-\frac{k_0^2 + k^2}{\Lambda^2}\right)$$

Beneke, Schuler, Wolf, 0001062

- $\Lambda \sim m_Q v^2$ , choose  $500 \text{ MeV}$
- Conclusion independent of the model



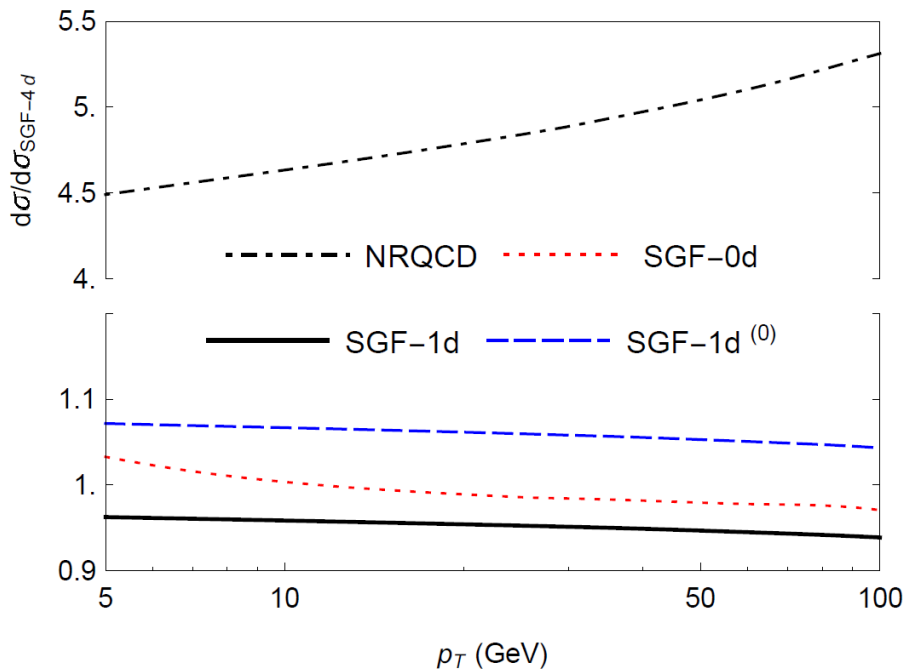
- SGF-4d and -1d have different shape, but have the same accumulated value

$$\int_0^1 dx D_{g \rightarrow H}^{4d}(x) = \int_0^1 dx D_{g \rightarrow H}^{1d}(x)$$

- $-1d^{(0)}$ : leading term of expansion  $m_Q$  around  $M/2$ , very close to  $-1d$ .

# Cross section ratio

## ➤ Assume SGF-4d is exact



- 1d very close to 4d, deviation less than 6%
- Expanding  $m_Q$  results in about 10% uncertainty
- 0d with  $z_0 = 0.86$  well reproduce 4d
- **NRQCD overshoots 4d by a factor of 4 —not reliable**

## ➤ Rough explanation

$$0.86^9 \approx 1/4 \sim (1 - v^2/2)^9$$

Similar effects exist in many EFTs

# Summary

- **NRQCD factorization: polarization puzzle, hierarchy problem, universality problem**
  - Possible reason: convergence of  $v^2$  expansion is too bad because of soft gluon emission
- **Soft gluon factorization (SGF)**
  - Soft gluons effects are considered, should have much better convergence of  $v^2$  expansion
- **Two important expansions**
  - From 4d to 1d, with small  $v^2$  correction
  - Expansion  $m_Q$  around  $M/2$ , good convergence

# Outlook

## ➤ Proof of SGF to all order in perturbation theory

- Similar to the proof of NRQCD to all order in  $\alpha_s$  and  $v^2$
- One-loop proof is available; two-loop should not be hard

## ➤ Phenomenological study

- Complexity is similar to NRQCD, thanks to the two expansions
- Most established codes reuse directly (FDC, Helac-Onia,...)
- Many NRQCD results should be redone, a lot of works

## ➤ May resolve difficulties in NRQCD

- Universality problem: importance of  $v^2$  correction depends on process
- Hierarchy problem: relative importance of different channels changed in SGF
- Polarization puzzle: may also have large  $v^2$  correction

***Thank you!***

# What is new?

- **Factorization in full QCD but not NRQCD effective field theory**
  - More convenient to deal with power corrections in full QCD than EFT
- **Momentum difference between  $Q\bar{Q}$  and  $H$  considered**
  - No further large power corrections
- **Difference between shape function models**
  - External  $Q\bar{Q}$  in hard part are on mass shell, gauge invariant for hard part
  - Operator definition of SGDs with gauge links to ensure gauge invariance
  - Soft factor in SGDs

# Simplification: 0d form

## ➤ SGF-0d

- If  $F_n^H(z)$  peaks around  $z = z_n \sim 1 - \mathcal{O}(\lambda/m_H)$
- Approximate  $F_n^H(z) \approx \delta(z - z_n) \langle O_n^H \rangle$

$$(2\pi)^3 2P_H^0 \frac{d\sigma_H}{d^3P_H} \approx \sum_n d\hat{\sigma}_n(P_H/z_n) \langle O_n^H \rangle_l S_l(0)$$

## ➤ Roughly recover NRQCD if choosing $z_n = 1$

- May result in large corrections

# Simplification: expansion of $m_Q$

## ➤ At least two hard scales in short distance

- Invariant mass of  $Q\bar{Q}$  pair  $M$  and quark mass  $m_Q$
- Relation:  $M = 2m_Q + O(\lambda)$

## ➤ Expansion

- $d\hat{\sigma}(m_Q, M) = d\hat{\sigma}\left(\frac{M}{2}, M\right) + \left(m_Q - \frac{M}{2}\right) d\hat{\sigma}'\left(\frac{M}{2}, M\right) + \dots$
- Good convergence

## ➤ Comparing with NRQCD expansion

- $d\hat{\sigma}(m_Q, M) = d\hat{\sigma}(m_Q, 2m_Q) + (M - 2m_Q) d\hat{\sigma}'(m_Q, 2m_Q) + \dots$
- Bad convergence:  $d\hat{\sigma}(m_Q, M)$  may  $\propto M^{-5}$

# $J/\psi$ production via gluon fragmentation

$$E \frac{d\sigma_{J/\psi}}{d^3P} : \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \dots \end{array} \right| \times 2 \approx \begin{array}{c} \text{Diagram 3} \log^n \left( \frac{P_T^2}{\mu_0^2} \right) \\ \text{Diagram 4} \mathcal{O} \left( \frac{1}{P_T^4} \right) \\ \dots \end{array} + \begin{array}{c} \text{Diagram 5} \mu_0^2 \log^n \left( \frac{P_T^2}{\mu_0^2} \right) \\ \text{Diagram 6} \mathcal{O} \left( \frac{1}{P_T^6} \right) \\ \dots \end{array}$$

## ➤ Easy to calculate

$$d\sigma_H(p_T) = \int dx d\hat{\sigma}_g(p_T/x) D_{g \rightarrow H}(x)$$

- $d\hat{\sigma}_g$ : well known
- $D_{g \rightarrow H}$ : calculated by using NRQCD or SGF