

Open charm production in pp collisions at LHC

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Selected results since CHARM 2016









Open charm production

СНА 2018, Новосибирск, 21-25.05.18

Open charm and charmonium production @CHARM 2018: theory and experiment

In this talk: new results on open charm production in pp collisions





Differential production cross-sections of D mesons at $\sqrt{s} = 5$ and 13 TeV



Differential production cross-sections of D mesons at $\sqrt{s} = 7$ TeV



First measurement of Ξ_c production at $\sqrt{s} = 7$ TeV



 D_s production asymmetries at $\sqrt{s} = 7$ and 8 TeV

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Open charm and charmonium production @CHARM 2018: theory and experiment

- Open Charm production in pp collisions at LHC, SB
- □ Theory review of Charmonium production at LHC, Hong-Fei Zhang
- Charmonium production at LHC, Xiao-Rui Lyu
- Double Charmonium production in the LHC, Stanislav Poslavsky
- □ Multiple Charm and Charmonium production at LHC, Darren Price
- Soft gluon factorization, Yan-Qing Ma
- Experimental review of Open Charm in pA collisions, Alessandro Grelli
- Experimental review of Open Charm in AA collisions, Xiaoming Zhang
- Experimental review of Quarkonium production in pA collisions, Patrick Robbe
- Quarkonium production in AA collisions, Zebo Tang
- □ Theoretical overview of Charmonium evolutions in the hot medium, Baoyi Chen
- Open Charm in heavy-ion collisions, Magdalena Djordjevic
- Effective field theory calculations in Open Charm and Charmonium in media, Miguel Angel Escobedo Espinosa
- Charmonium suppression at finite temperature (lattice QCD), Hai-Tao Shu
- Measurement of multiplicity dependence of heavy-flavour hadron production in small systems and azimuthal correlations with charged particles with ALICE at the LHC, Marianna Mazzilli



collisions

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Heavy flavour production

Powerful QCD tests, instead of using QCD
 to estimate observables, use production measurements
 to qualify QCD

Essential to consistently describe production/creation of heavy hadrons

New theory developments confronted to new experimental results

Impressive progress in both domains

 \Box First clash to describe « J/ ψ production puzzle »

 $\Box \ll J/\psi$ production AND polarization puzzle » boosted the progress

 \square Recently with the $\eta_c(1S)$ production measurement by LHCb more challenging

« J/ ψ production AND polarization AND $n_c(1S)$ production puzzle »

□ More precision in conventional studies and new sources of input: quarkonium and open charm and beauty production, associate production, isolation, production in pPb and PbPb collisions, ...

□ A unique comprehensive model of HF production still missing

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from J.Effel, Création du Monde

□ Two scales of production:

hard process of $Q\overline{Q}$ formation and hadronization of $Q\overline{Q}$ at softer scales

Factorization:

$$d\sigma_{A+B\to H+X} = \sum_{n} d\sigma_{A+B\to Q\overline{Q}(n)+X} \times \langle \mathcal{O}^{H}(n) \rangle$$

Short distance: perturbative cross-sections + pdf for the production of a $Q\overline{Q}$ pair

Long distance matrix elements (LDME), non-perturbative part

 \Box <u>Colour-singlet model</u>: intermediate $Q\overline{Q}$ state is colourless and has the same J^{PC} quantum numbers as the final-state quarkonium

 \Box <u>NRQCD</u>: all viable colours and J^{PC} allowed for the intermediate QQ state, they are adjusted in the long-distance part with a given probability. LDME from experimental data

□ Universality: same LDME for prompt production and production in b-decays

□ Heavy-Quark Spin-Symmetry: links between colour-singlet and colour-octet LDME of different quarkonium states

 $\square \text{ NEEDED:} \quad \text{theory description and experimental verifications for many HF species} \\ \text{at largest possible } p_T \text{ and rapidity ranges}$

Heavy flavour production

D-meson production to probe low scale distributions, which are more sensitive to input gluon PDF: production of $c\overline{c}$ pair followed by fragmentation of c quark into D meson

□ Gluon fusion gg dominates forward D meson production

 \Box m_c is not large + large rapidity \rightarrow probe gluon distribution g(x,µ_F²) at small x

$$x \sim (m_T/\sqrt{s})e^{-y} \sim 10^{-5}$$

and at small factorization scale $\mu_F \sim m_T$

□ Large uncertainties in gluon PDF at low ×



E.G.de Oliveira, A.D.Martin, M.G.Ryskin, arXiv:1712.06834



□ Major problem: choice of factorization and renormalization scales; less sensitive in ratios, which do not however determine the normalization of gluon density

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Production measurements by the LHC experiments

JINST 8 (2013) P08002, INT.J.MOD.PHYS.A30 (2015) 1530022

LHCb: precision flavour physics, forward peaked HQ production

□ Acceptance 1.9 < n < 4.9, ~4% of solid angle, but ~40% of HQ production x-section



Complementary cross-section measurements and overlap in terms of rapidity

□ Key detector systems for production measurements: vertex reconstruction (VELO), particle identification (Muon detector, RICHs), Trigger

Production measurements by the LHC experiments

JINST 3 (2008) 508002, INT.J.MOD.PHYS.A29 (2014) 1430044

□ ALICE: nuclei collisions at ultra-relativistic energies, QGP



Production measurements by the LHC experiments



ALICE → central ➔ forward muon coverage ATLAS & CMS → central detectors LHCb ➔ forward detector tracking, particle-ID \rightarrow and calorimetry in full acceptance hadron PID muon system lumi counters

Hadron PID muon system lumi counters HCAL ECAL tracking

Data samples



LHCb Integrated Record LHCb recorded luminosity in pp collisions



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Open charm production in pp collisions



Test of pQCD and QCD-based models

- Sensitivity of precision SM tests with CPV and rare decays
- □ Normalization of backgrounds for precision studies in HEP, cosmic-ray and neutrino astrophysics

□ Reference for heavy-ion program



- I Open charm prompt production at 7 TeV Nucl.Phys.B 871 (2013) 1
 - Open charm prompt production at 13 TeV Den charm prompt production at 7 TeV JHEP 1603 (2016) 159 EPJC 77 (2017) 550

Open charm prompt production at 7 TeV

JHEP 01 (2012) 128

JHEP 1603 (2016) 159 Err.: JHEP 1609 (2016) 013 Err.: JHEP 1705 (2017) 074 Open charm prompt production at 5 TeV

Open charm prompt production at 5 TeV JHEP 1706 (2017) 147



Open charm production at $\sqrt{s} = 5$ and 13(*) TeV

- $\sqrt{s} = 5 \text{ TeV}, \int \text{Ldt} \sim 8.6 \text{ pb}^{-1}$ JHEP 1706 (2017) 147 $\sqrt{s} = 13 \text{ TeV}, \int \text{Ldt} \sim 5.0 \text{ pb}^{-1}$ JHEP 1705 (2017) 074 JHEP 1609 (2016) 013 JHEP 1603 (2016) 159
- □ Charmed mesons reconstructed with hadronic decays, e.g. $D^0 \rightarrow K^- \pi^+$ with tight requirements on particle identification
- □ Measurement of integrated and differential production cross-sections in bins of p_T and y or y* 12

$$\frac{\mathrm{d}^2\sigma}{\mathrm{d}p_{\mathrm{T}}\mathrm{d}y^*} = \frac{N(D^0 \to K^{\pm}\pi^{\mp})}{\mathcal{L} \times \varepsilon_{\mathrm{tot}} \times \mathcal{B}(D^0 \to K^{\pm}\pi^{\mp}) \times \Delta p_{\mathrm{T}} \times \Delta y^*}$$

Separate prompt D⁰ from D⁰ from b-decays using the D⁰ impact parameter to primary vertex



(*) An issue was identified in the simulated samples used to calculate **track reconstruction efficiencies** for some LHCb Run II production papers → UPDATES.

Reason: VELO simulation updated prior to Run II to account for radiation damage, but error in the parametric correction for the effect. Track efficiency calibration in data was unable to correct mismodeling; track reconstruction efficiency underestimated in simulation; most affected: low pseudorapidity and low p_{T} .



Open charm production at $\sqrt{s} = 5$ and 13 TeV

JHEP 1706 (2017) 147 JHEP 1705 (2017) 074 JHEP 1609 (2016) 013 JHEP 1603 (2016) 159

- \square Number of inclusive candidates from a fit to the mass distribution, then number of prompt D⁰ fits to the ln χ^2 of the impact parameter with respect to the pp interaction vertex
- Efficiencies from simulation with data-driven corrections
- □ Also update of the measurement of integrated and differential production cross-sections of at $\sqrt{s} = 13$ TeV
- □ Integrated inclusive cross-sections

(1 < p_T < 8 GeV/c, 2.0 < y < 4.5) :

	√s = 5 TeV	√s = 13 TeV
$\sigma(pp \rightarrow D^0 X)$	1004 ± 3 ± 54 µb	2072 ± 2 ± 124 µb
$\sigma(pp \rightarrow D^+ X)$	402 ± 2 ± 30 µb	834 ± 2 ± 78 μb
$\sigma(pp \rightarrow D_{s}^{+}X)$	170 ± 4 ± 16 µb	353 ± 9 ± 76 µb
$\sigma(pp \rightarrow D^{*+} X)$	421 ± 5 ± 36 µb	784 ± 4 ± 87 µb

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- Reduced uncertainties in ratios
- General agreement with theory predictions



Open charm production at $\sqrt{s} = 5$ and 13 TeV

JHEP 1706 (2017) 147 JHEP 1705 (2017) 074 JHEP 1609 (2016) 013 JHEP 1603 (2016) 159

- □ Ratios of cross-section times branching fraction of D^+ , D^+_s and D^{*+} mesons with respect to the D^0 measurements.
- $\hfill\square$ The ratios are given as a function of p_{T} integrated over y.

B.A. Kniehl, G. Kramer, I. Schienbein, H. Spiesberger EPJC72 (2012) 2082

□ Harder p_T spectrum of heavier mesons is observed.



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Simultaneous description of D and B production

Check consistency of the production description for heavy mesons

E.G.de Oliveira, A.D.Martin, M.G.Ryskin, arXiv:1712.06834



 \Box Same scale (same m_T) and same x interval:

Gluons steeper than proposed by any models, are required to describe B production.

□ Several **possible explanations**: inconsistency in data; non-perturbative effects in $c\bar{c}$ pair production or in $c \rightarrow D$ fragmentation; ...



Open charm production at $\sqrt{s} = 7 \text{ TeV}$

 \square Improved production cross-section measurements of D mesons $$\sqrt{s}$$

ents EPJC 77 (2017) 550 √s = 7 TeV, ∫Ldt ~ 6.0 nb⁻¹

- □ D mesons reconstructed via $D^0 \rightarrow K^- \pi^+$, $D^+ \rightarrow K^- \pi^+ \pi^+$, $D^{*+} \rightarrow D^0 \pi^+$, $D_s \rightarrow \varphi \pi^+$, $\rightarrow K^- K^+ \pi^+$ decays.
- $\Box p_{T} differential production cross-sections determined for |y| < 0.5 and 0 < p_{T} < 36 GeV/c (D^{0}), 1 < p_{T} < 24 GeV/c (D^{+} and D^{*+}), 2 < p_{T} < 12 GeV/c (D_{s})$

$$\frac{\mathrm{d}^2 \sigma^{\mathrm{D}}}{\mathrm{d}p_{\mathrm{T}} \mathrm{d}y} = \frac{1}{c_{\Delta y} \,\Delta p_{\mathrm{T}}} \frac{1}{\mathrm{BR}} \frac{\frac{1}{2} \left. f_{\mathrm{prompt}} \cdot N^{\mathrm{D} + \overline{\mathrm{D}}, \mathrm{raw}} \right|_{|y| < y_{\mathrm{fid}}}}{(\mathrm{Acc} \times \varepsilon)_{\mathrm{prompt}}} \frac{1}{L_{\mathrm{int}}}$$

 f_{prompt} calculated using B-production cross sections from FONLL, B → DX kinematics
 from EvtGen and feed-down efficiencies
 M.Cacciari, M.Greco, P.Nason, JHEP 05 (1998) 007
 M.Cacciari, S.Frixione, P.Nason, JHEP 03 (2001) 006

D.J.Lange, NIMA 462 (2001) 152





□ Visible production cross-section in p_T intervals

		Kinematic range	Visible cross section (μ b)
	D^0	$0 < p_{\mathrm{T}} < 36 \ \mathrm{GeV}/c$	$500 \pm 36(\text{stat}) \pm 39(\text{syst}) \pm 18(\text{lumi}) \pm 5(\text{BR})$
		$1 < p_{\rm T} < 24~{\rm GeV}/c$	$402 \pm 24(\text{stat}) \pm 28(\text{syst}) \pm 14(\text{lumi}) \pm 4(\text{BR})$
		$2 < p_{\rm T} < 12~{\rm GeV}/c$	$210 \pm 7(\text{stat}) \pm 14(\text{syst}) \pm 7(\text{lumi}) \pm 2(\text{BR})$
	D^+	$1 < p_{\mathrm{T}} < 24 \ \mathrm{GeV}/c$	$182 \pm 14(\text{stat}) \pm 20(\text{syst}) \pm 6(\text{lumi}) \pm 5(\text{BR})$
		$2 < p_{\rm T} < 12~{\rm GeV}/c$	$89 \pm 3(\text{stat}) \pm 9(\text{syst}) \pm 3(\text{lumi}) \pm 2(\text{BR})$
	D^{*+}	$1 < p_{\mathrm{T}} < 24 \ \mathrm{GeV}/c$	$207 \pm 24(\text{stat}) \pm 20(\text{syst}) \pm 7(\text{lumi}) \pm 3(\text{BR})$
		$2 < p_{\rm T} < 12~{\rm GeV}/c$	$101 \pm 6(\text{stat}) \pm 8(\text{syst}) \pm 4(\text{lumi}) \pm 1(\text{BR})$
ion	\overline{D}_{s}^{+}	$2 < p_{\rm T} < 12~{\rm GeV}/c$	$40\pm 8(\text{stat})\pm 5(\text{syst})\pm 1(\text{lumi})\pm 1(\text{BR})$

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EPJC 77 (2017) 550



Open charm production at $\sqrt{s} = 7 \text{ TeV}$

 $\hfill\square\hfill \ p_T$ -differential production cross-section of D^0 meson compared to pQCD calculations

EPJC 77 (2017) 550



FONLL: M.Cacciari et al., JHEP 10 (2012) 137 GM-VFNS: B.A.Kniehl et al., EPJC 72 (2012) 2082 LO kT fact: R.Maciula and A.Szczurek, PRD 87 (2013) 094022



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Open charm production





First measurement of Ξ_c production at $\sqrt{s} = 7$ TeV

Test of pQCD and QCD-based models

Study of charm quark fragmentation into baryonic states



First measurement of Ξ_c production at 7 TeV PLB 781 (2018) 8



First measurement of Ξ_c production at $\sqrt{s} = 7$ TeV

- □ Predictions for heavy-baryon production require knowledge about fragmentation function of charm quarks into baryonic states □ Predictions for heavy-baryon production require $\sqrt{s} = 7 \text{ TeV}, \int \text{Ldt} \sim 5.9 \text{ nb}^{-1}$
- \square Limited experimental data on heavy-baryon production at LHC, until recently only production of Λ_c
- □ Studies of Ξ_c at e⁺e⁻ collisions so far. Ξ_c production at pp collisions expected to be different. Enhanced production of baryons relative to mesons expected

J.R.Christiansen, P.Z.Skands, arXiv:1505.01681

□ Inclusive Ξ_c baryons reconstructed via decays $\Xi_c \rightarrow e^+ \Xi^- (v_e)$ with $\Xi^- \rightarrow \pi^- \Lambda$, $\Lambda \rightarrow p \pi^-$





Reconstructed $p_{T}^{e\Xi}$ (GeV/*c*) 80 ALICE \Box **p**_T-distribution of e⁺ Ξ ⁻ pairs is corrected pp, √s = 7 TeV for missing momentum of v_e $\Xi_c^0 \rightarrow e^+ \Xi^- v_o$ -60 for $1 < p_T < 8 \text{ GeV/c}$ and |y| < 0.540 20 Generated $p_{\tau}^{\Xi_c^0}$ (GeV/c) Inclusive Ξ_c baryon p_T -differential $e^{\pm \Sigma} V_{e}$) d² σ /d p_{T} dy ($\mu b \text{ GeV}^{-1}c$) ALICE production cross-section $\times BR(\Xi_c \rightarrow e^+ \Xi^- v_e)$ pp. $\sqrt{s} = 7 \text{ TeV}$ for $1 < p_T < 8 \text{ GeV/c}$ and |y| < 0.5|y| < 0.5 $\Xi_c^0 \rightarrow e^+ \Xi^- v_e$ $BR \cdot \frac{d^2 \sigma^{\Xi_c^0}}{dp_T dy} = \frac{N_{\Xi_c^0}}{2 \cdot \Delta p_T \Delta y \cdot (A \times \varepsilon) \cdot L_{int} \cdot BR_{\Xi^-}}$ 10⁻¹ Not corrected for $\Xi_{\rm b}$ decays \Box Feed-down from $\Xi_{\rm b}$ baryon decays not 10⁻² ± 3.5% norm. uncertainty not shown subtracted BR(<u>1</u>0 6 p_{τ} (GeV/c)

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 $p_{\tau}(\text{GeV}/c)$

ALICE

ALICE



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 $p_{\tau}(\text{GeV}/c)$



D_s production asymmetries at Js=7 and 8 TeV



Measurement of hadron production asymmetries:

- Understanding of production mechanisms
- □ Input for CP-violation studies



 \square Production asymmetries of D⁺ and D_s at 7 TeV

Production asymmetry of D_s at 7 and 8 TeV

PLB 718 (2013) 902 PLB 713 (2012) 186

LHCb-PAPER-2018-010

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D_s production asymmetries at $\int s=7$ and 8 TeV

 \Box For the D_s system **production asymmetry** is not caused by valence quarks of the proton

LHCb-PAPER-2018-010 $\sqrt{s} = 7 \text{ TeV}, \int Ldt \sim 1 \text{ fb}^{-1}$ $\sqrt{s} = 8 \text{ TeV}, \int Ldt \sim 2 \text{ fb}^{-1}$

□ Other possible effects, beam drag: beam remnants redistribute proportions of quarks and antiquarks in pseudo-rapidity and p_T, pulling them towards the beam

 \rightarrow kinematic dependences



hard-scattering process

effect of beam-drag

R.Lambert, CERN-THESIS-2009-001

- \Box Reconstruct D_s candidates using decays $D_s \rightarrow KK\pi$
- Different selection for each Dalitz region



□ Production asymmetry $A_{\rm P}(D_s^+) = \frac{1}{1 - f_{\rm bkg}}(A_{\rm raw} - A_{\rm D} - f_{\rm bkg}A_{\rm P}(B))$

- Data set of 2.9×10⁶ and 9.1×10⁶ D_s candidates are selected in 7 and 8 TeV samples
- Production asymmetry studied in bins of p_T and rapidity y

$$y = \frac{1}{2} \ln \left(\frac{E + p_z c}{E - p_z c} \right)$$





□ Raw asymmetry from the number of observed D_s candidates



□ Production asymmetry
$$A_{\rm P}(D_s^+) = \frac{1}{1 - f_{\rm bkg}}(A_{\rm raw} - A_{\rm D} - f_{\rm bkg}A_{\rm P}(B))$$



Correction for contributions from a fraction f_{bkg} = (4.12 ± 1.23)% of D_s candidates originating from b-hadron decays
JHEP 08 (2013) 117

 \Box $A_{P}(B)$ - production asymmetry of b-hadrons

JHEP 08 (2014) 143

PRD 95 (2017) 052005 PLB 774 (2017) 139 PRL 114 (2015) 041601

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- Good agreement between 7 and 8 TeV data
- □ Integrated production asymmetry calculated assuming flat acceptance

 \Box Overview of the production asymmetry measurements at $\int s=7$ and 8 TeV by LHCb



Summary

- Thanks to excellent operation of LHC machine and LHC experiments, new precision tests of our QCD comprehension using open charm production in pp collisions
- □ New results on open charm production in pp collisions at $\int s = 5, 7, 8$ and 13 TeV using Run I and Run II data from ALICE and LHCb experiments
- □ Bigger datasets, more precision, better sensitiveties, new observables, access to larger p_T range



- Ratios between cross sections for different species, for different energies, ...
- Simultaneous consideration of different observables is crucial
- Also crucial to quantify the assumptions of factorization, HQSS and universality





Yet another effort needed in both theory and experiment
 to establish a consistent picture of HF production

MENAGE & FOND