

CONSORZIO RFX
Ricerca Formazione Innovazione



STUDY OF CAESIUM-WALL INTERACTION PARAMETERS WITHIN A HYDROGEN PLASMA

E. Sartori^{1,3}, V Antoni¹, M. Fadone¹, S Gorno²,
M. Rutigliano⁴, G. Serianni¹

¹Consortio RFX, Corso Stati Uniti 4 – 35127, Padova (Italy)

²Imperial College London

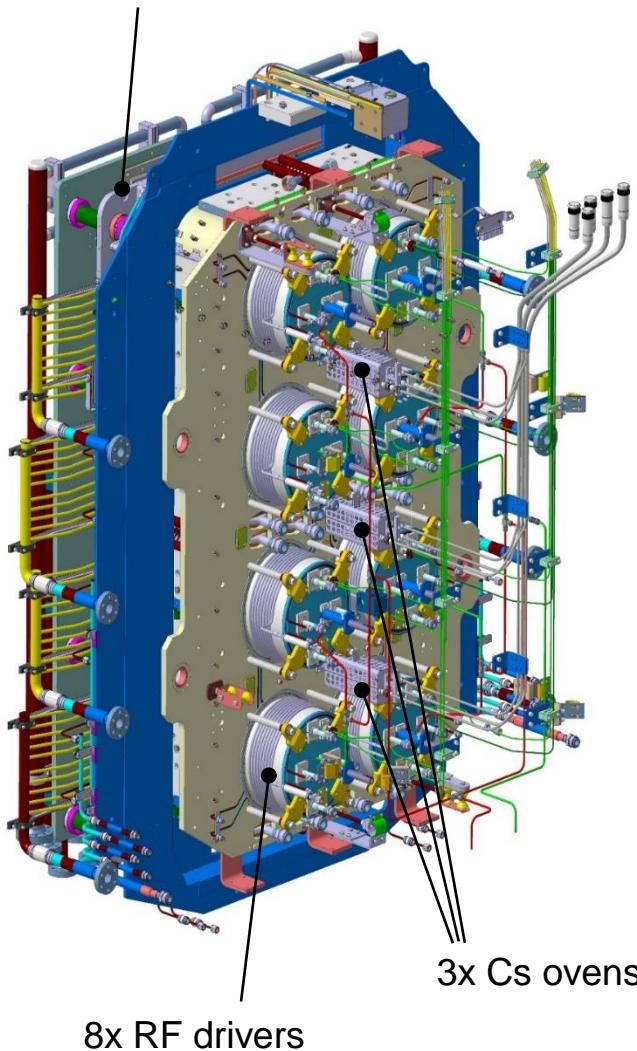
³University of Padova, Dept. of Management and Engineering,
Strad. S. Nicola 3, 36100 Vicenza, Italy

⁴CNR-NANOTEC (P.LAS.M.I. Lab), Via Amendola 122/D, 70126 Bari, Italy



CONTEXT AND MOTIVATION FOR THE STUDY

Extractor and accelerator



SPIDER is the full-size prototype of the negative ion source for the ITER heating neutral beam.

The ITER milestone was met, with first hydrogen plasma discharges in May 2018.

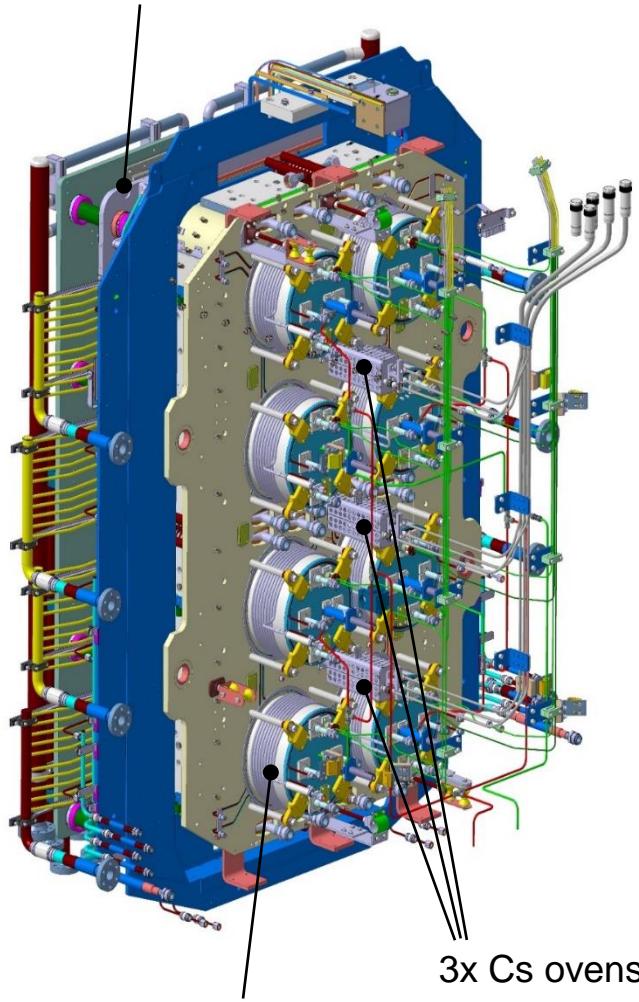
The next priorities are Commissioning and integration of diagnostics, ramp up of RF driver performance, replacement of faulty components.

Next, high-current beam operation shall start with the use of caesium vapor.



CONTEXT AND MOTIVATION FOR THE STUDY

Extractor and accelerator



Preparation to the use of caesium in SPIDER

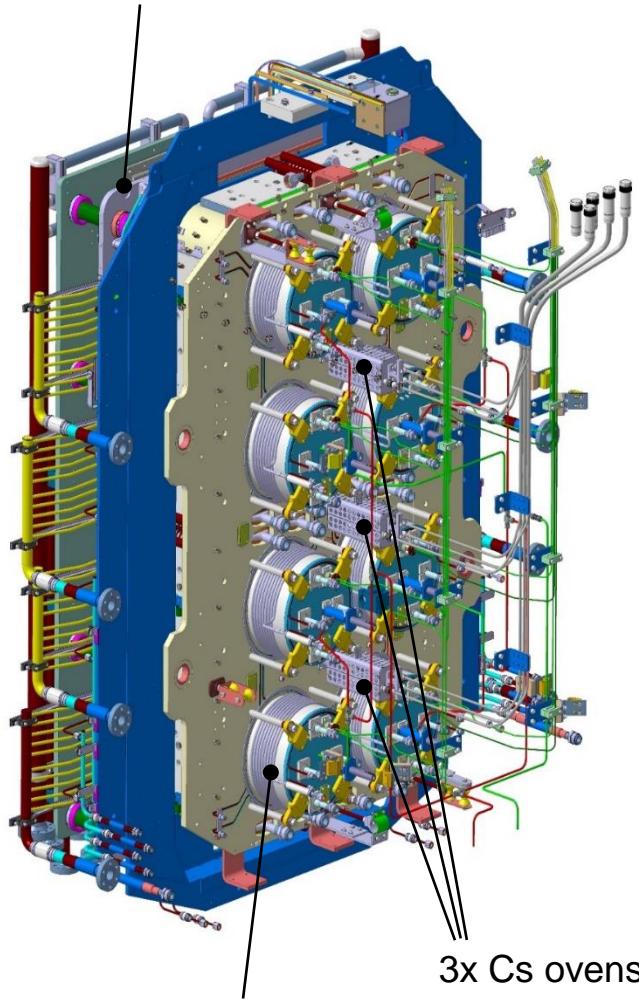
**Use of Cs in the
ion source**

8x RF drivers



CONTEXT AND MOTIVATION FOR THE STUDY

Extractor and accelerator



Preparation to the use of caesium in SPIDER

Results from
NI community

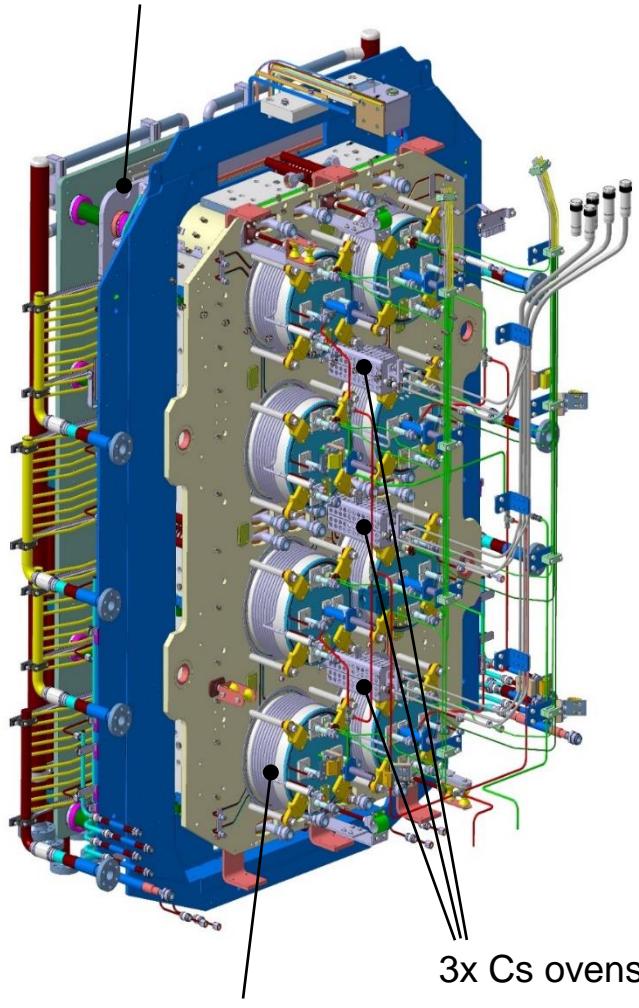


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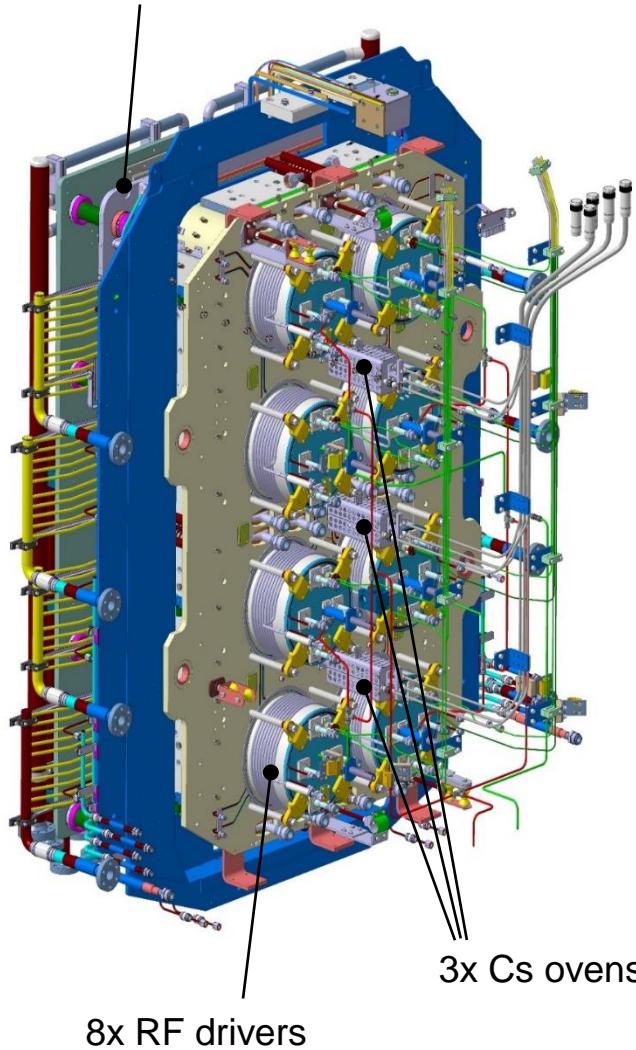
Caesium test
stand
(experiment)

POSTER P1-18



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POSTER P1-18



Fundamental
processes at
surfaces (MD)

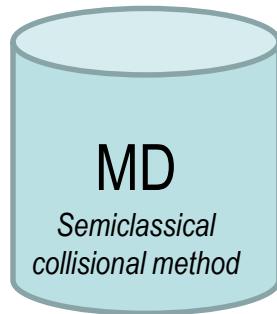
H^- scattering from a MoCs surface: *Ab initio* Molecular Dynamics calculations



INPUT

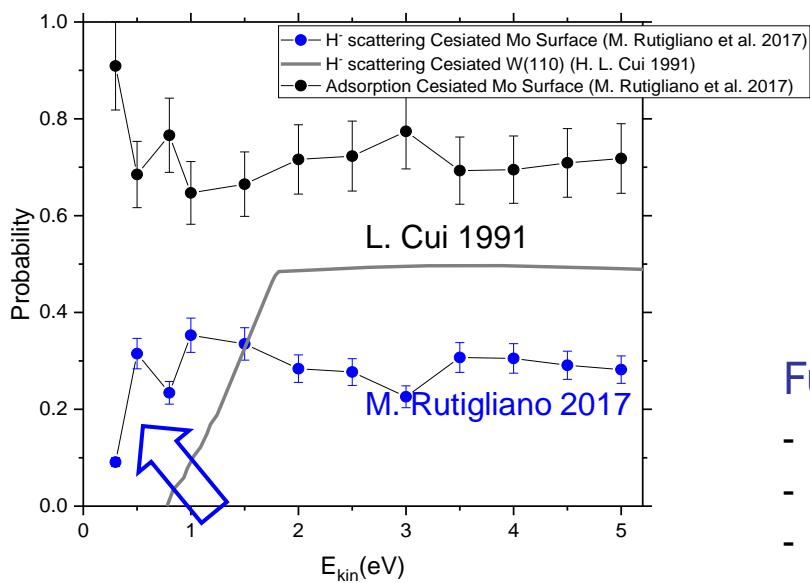
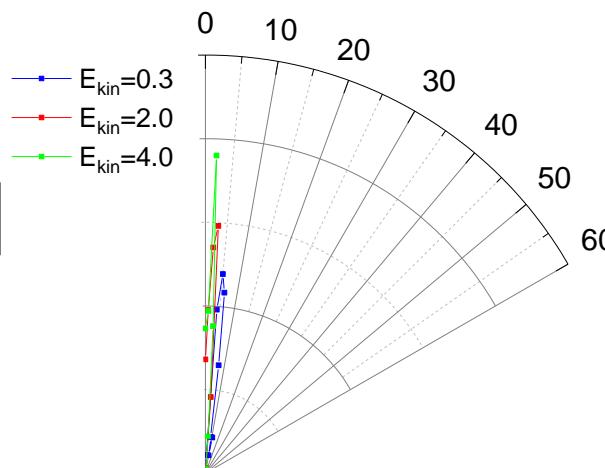
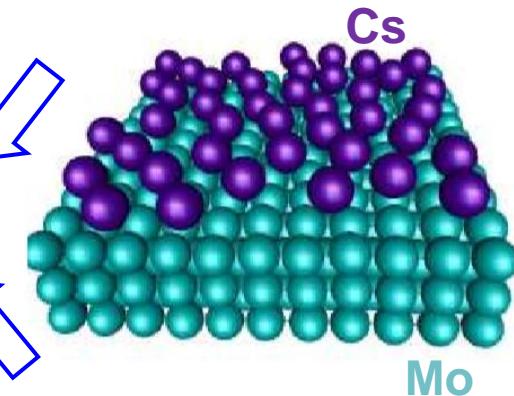
- ◆ 3D Surface 'model' (A.Damone et al., 2015)
- ◆ Surface Temperature (1000K)
- ◆ Gas-phase particle mass
- ◆ Incidence angles($\theta=0^\circ \phi=0^\circ$)
- ◆ Initial kinetic energy (E_{kin}) ([0.3:5.0]eV)
- ◆ Interaction Potentials

obtained via
DFT calculations



OUTPUT

- ◆ Interaction mechanism
- ◆ H^- scattering probability
- ◆ Final angular distribution
- ◆ H adsorption probability



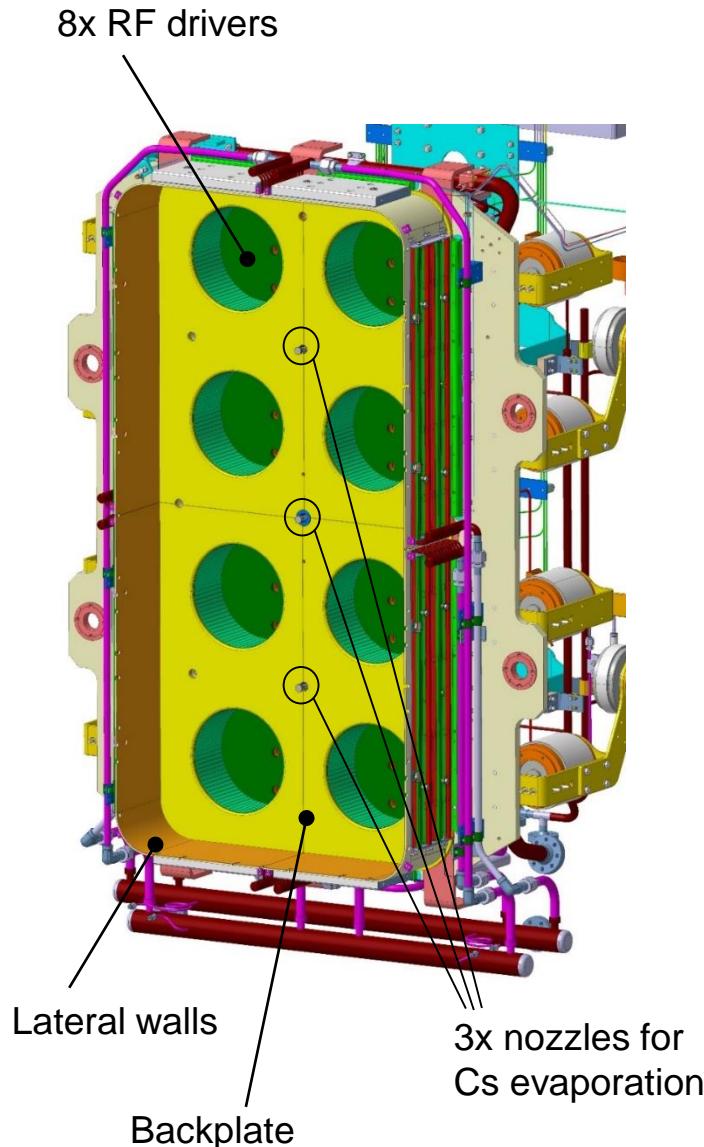
M. Rutigliano et al. Surface Science 664 (2017) 194–200

Future perspectives

- D, D⁻ scattering;
- Oxygen interaction dynamics investigation
- Investigation of H^- scattering from another surface model and/or a realistic surface determined in experiments.



CONTEXT AND MOTIVATION FOR THE STUDY

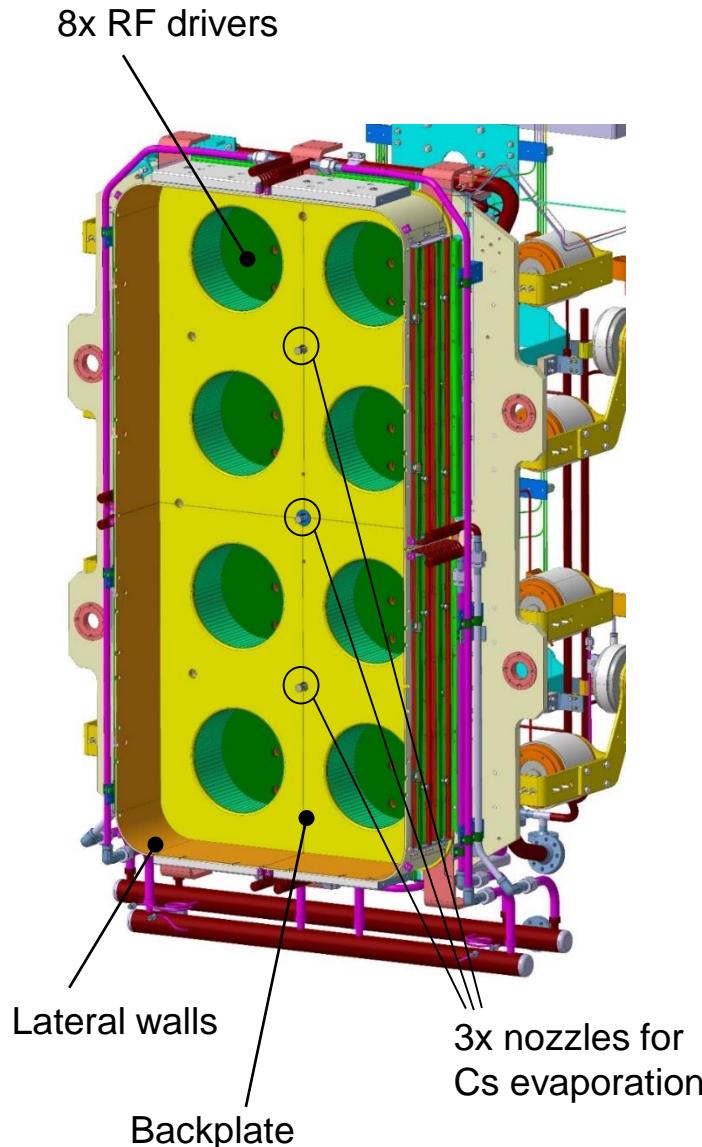


Aim of this work:

- **Review of phenomena** influencing Cs adsorption and desorption in a hydrogen plasma discharge
- Collect the data concerning the **specificity of SPIDER design**
- Identify the principal **contributions** to the many phenomena involved
(SPIDER is a very complex and very «rigid» device, we cannot explore the complete space of parameters looking for an influence on caesium effect)



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*Purpose of this study is not
to find answers,
but to find
the correct questions!*



OUTLINE

1

Surface processes concerning Cs ads/desorption

- Sputtering low/energy high energy
- Thermal desorption

2

Transmission probability to plasma bulk

3

Case of SPIDER

$$\Gamma_{des,Cs} = \underline{\Gamma_{th}(T_w)} + \underline{\Gamma_{sput}^{(+)}} + \underline{\Gamma_{sput}^{(BSI)}}$$



Effect of plasma particles: Cs physical sputtering at low energies (<50eV)

- Dependence on binding energy U_B and impact energy E_i is clear in the eq. of sputtering¹⁾ for single-specie target

Sputter yield:
$$Y = \frac{0.42}{U_B} \left(\alpha_N S_N \left(1 - \frac{E_{th}}{E_i} \right)^2 \right)$$

**α_N Momentum transfer factor
(semiempirical)**

Binding energy

Threshold energy: $E_{th} = 1.5 \frac{U_B}{\gamma} (1 + 1.38\mu^h)^2$

Binding energy

Sputter coefficient in the low energy regime $C_m = C_{m0} = 0.039$

Stopping potential: $S_N = C_m \gamma E_i$

Incident energy

Reduced mass

[1] P Sigmund, et al., Phys. Rev. 184 (2), 383 (1969); N Matsunami, et al., Rad. Eff. Lett. S7, 15 (1980); Y Yamamura, et al., Radiat. Eff. Lett 68, 83 (1982)
[2] M. Wada, et al, Rev Sci Instrum 89, 052103 (2018)
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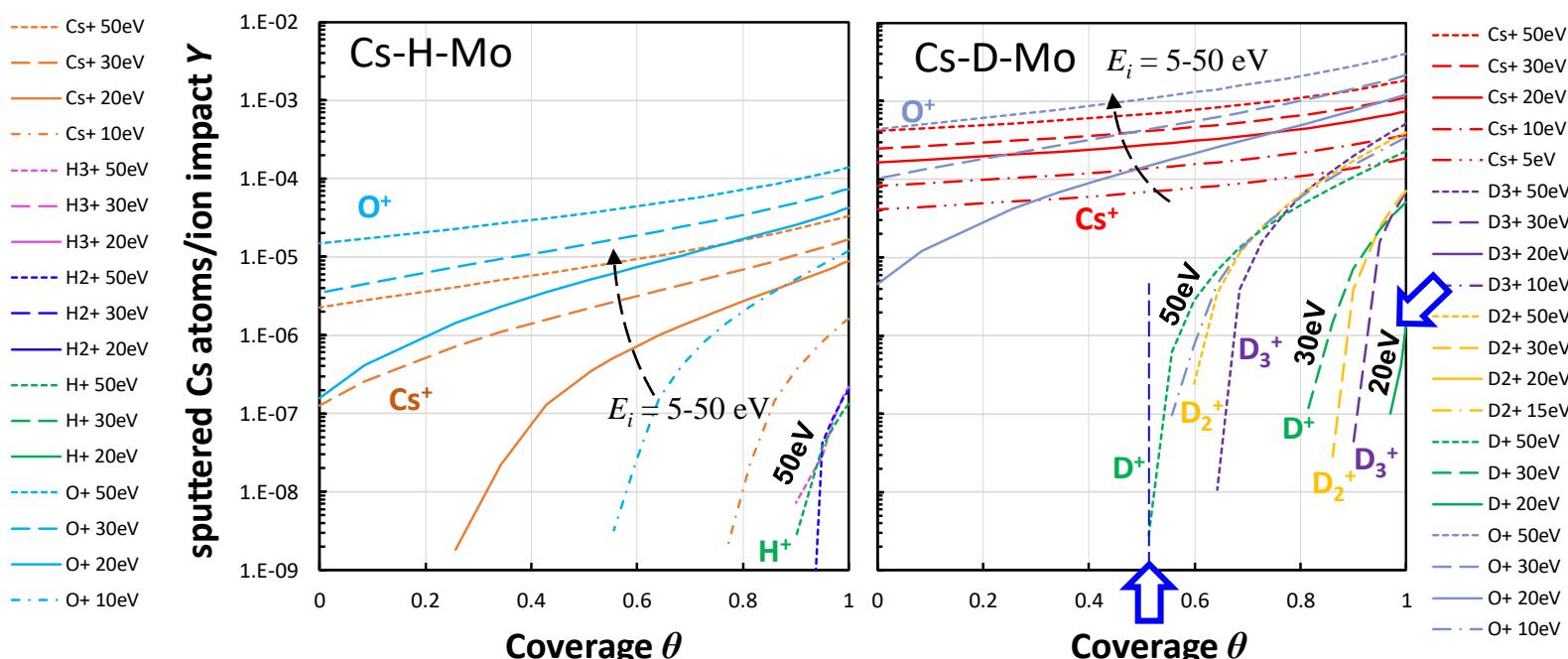


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NOTES:

- Minimum energy for Cs sputtering by D (~20eV)
- Minimum fractional coverage θ below which sputtering by deuterium seems uneffective



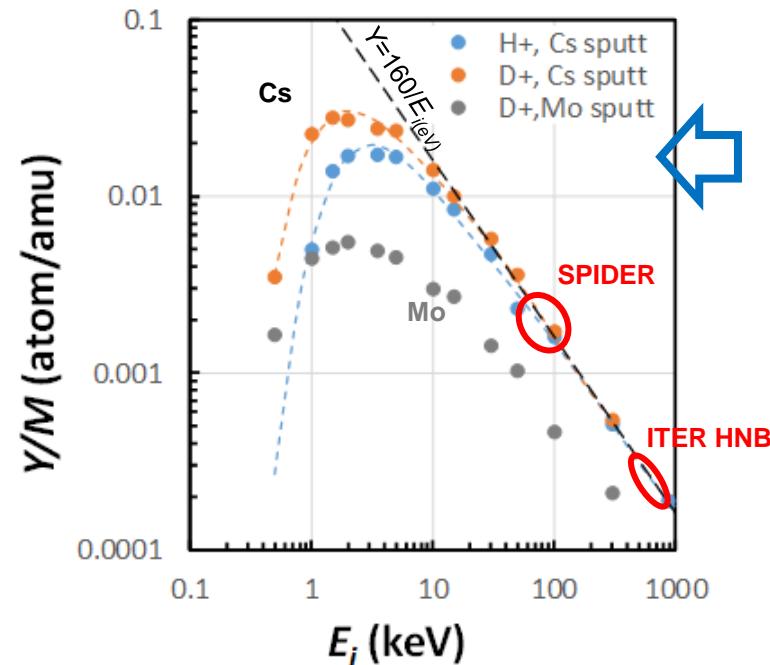
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Effect of high energy particles: Cs physical sputtering at high energies (> 1keV)

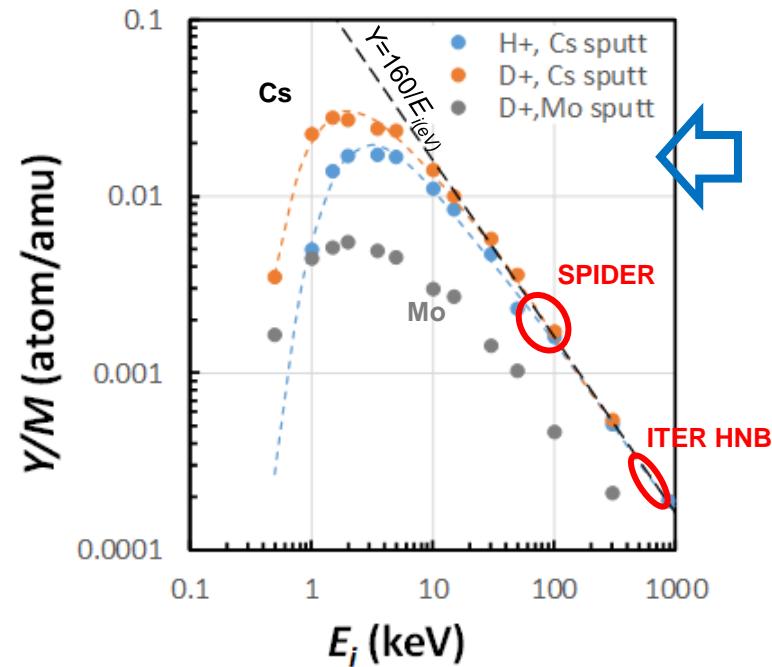


Sputtering yield Y TRIM calculation for a single closed-packed Cs layer on a Mo thick wall

- Cs binding energy of 0.8eV for closely-packed monolayer, Mo atom displacement energy of 34eV for bulk Mo.
- Calculations for a Cs coverage of 20 monolayers showed slightly lower yield per amu, but identical asymptotic behavior at relatively high energies.
- Mo sputtering yield is one order of magnitude less



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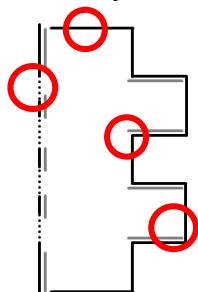
ISSUE:

- DOES NOT CONSIDER INTEGRATED DAMAGES AT THE MICROSCALE

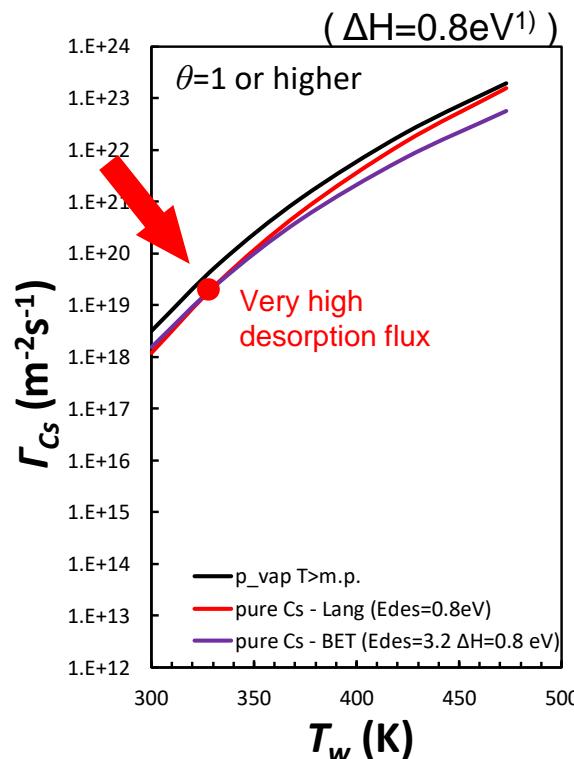


Thermal desorption, and adsorption

- At converter: partial coverage → modified Langmuir isotherm. (or Temkin) linear decrease of desorption energy following Fedorus²⁾
- Other surfaces: Transition state theory as a generalization of desorption proc., B.E.T. theory for multilayer adsorption, condensation...



*If we use this flux for all surfaces → steady state, only 6min eq. of Cs evaporation is kept in the source!
→ does not describe reality*



[1] R G. Behrens, et al., J. Chem. Thermodynamics 77,9, 1035-1044



Temperature Programmed Desorption in CATS (preliminary)

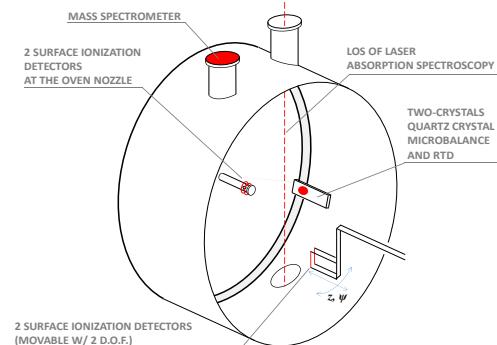


CAesium Test Stand «CATS»

Main purpose: test SPIDER Cs ovens

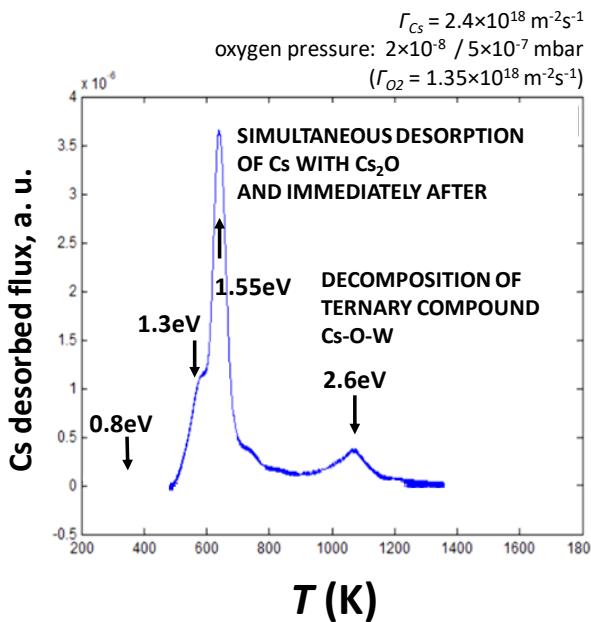
Diagnostics:

- Cs evap rate: SID at oven nozzle
- Cs density: movable SID
- Cs line integr density: LAS
- Cs sticking: QCM and TC



POSTER P1-18

- TPD gives information about rate of desorption, kinetic order of desorption, Energy of desorption... measurement in CATS and interpretation following 1st order desorption analysis by Redhead¹⁾:





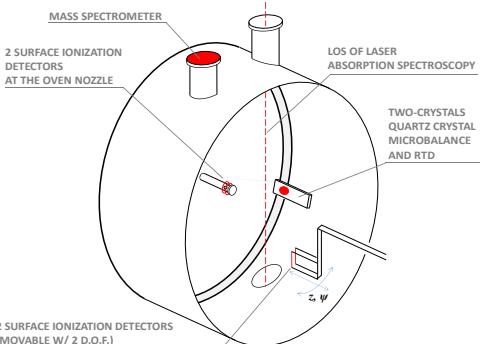
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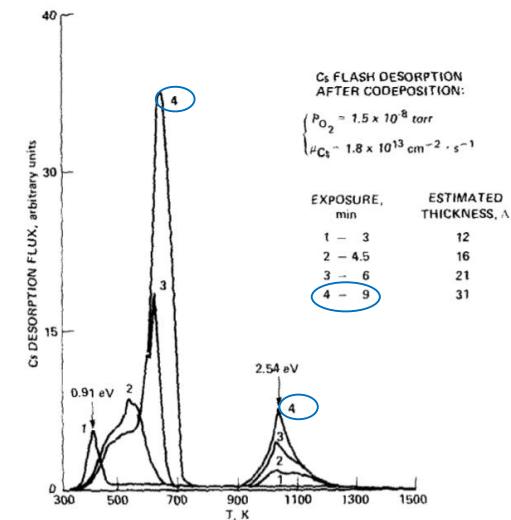
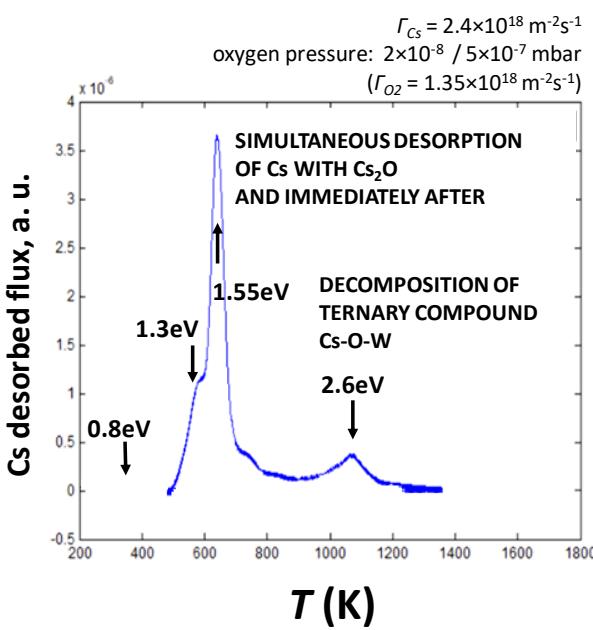
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- similar spectra and desorption energies found in literature, with simultaneous desorption of Cs₂O, **Cs₂O** zero order desorption energy **1.2eV** ²⁾



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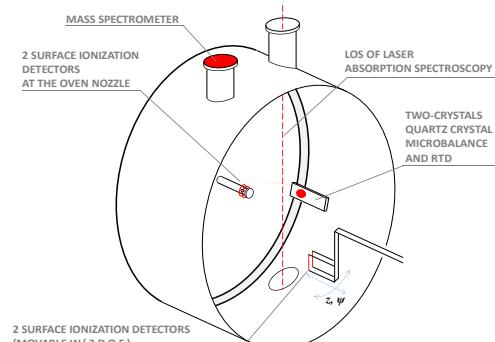


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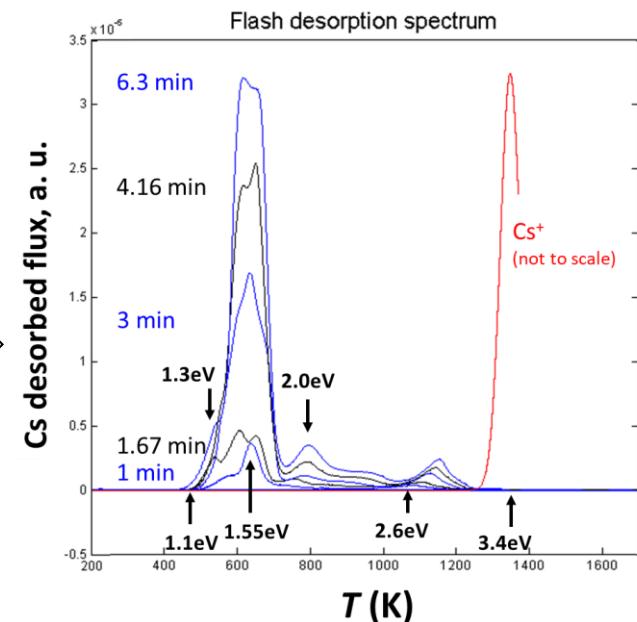
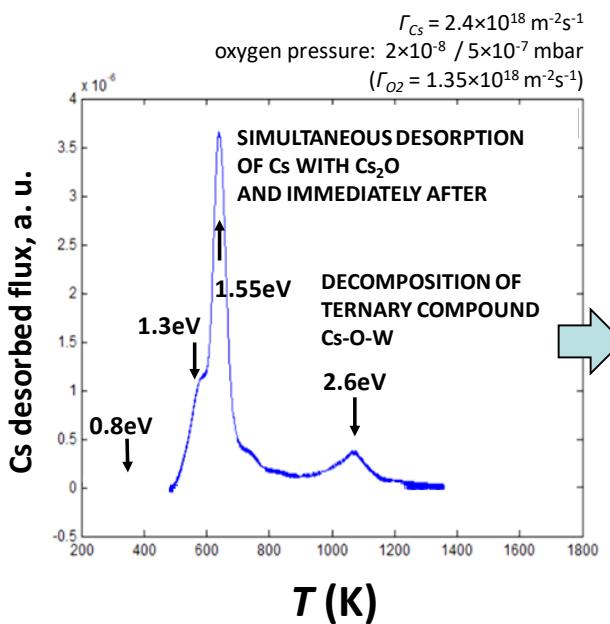
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- similar spectra and desorption energies found in literature, with simultaneous desorption of Cs_2O , Cs_2O zero order desorption energy **1.2 eV**²⁾
- TPD spectra with increasing coverage share the leading edge; if zero order desorption, Cs desorption energy is approx **1.1 eV**
- $\text{Cs} + \text{Cs}_2\text{O}$ confirmed by XPS analysis (but air and long time in between)

[1] P. A. Redhead, *Vacuum*, **12**, 203-211 (1962)

[2] C.A. Papageorgopoulos, J.L. Desplat, *Surface Science* **92** (1980) 119-132.



Thermal desorption, and adsorption

- At converter: partial coverage → modified Langmuir isotherm. (or Temkin) linear increase of ads energy



- At other surfaces:



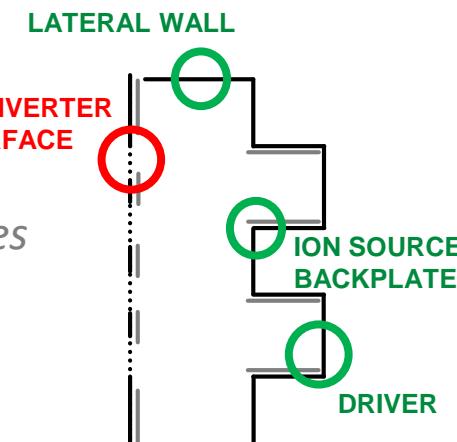
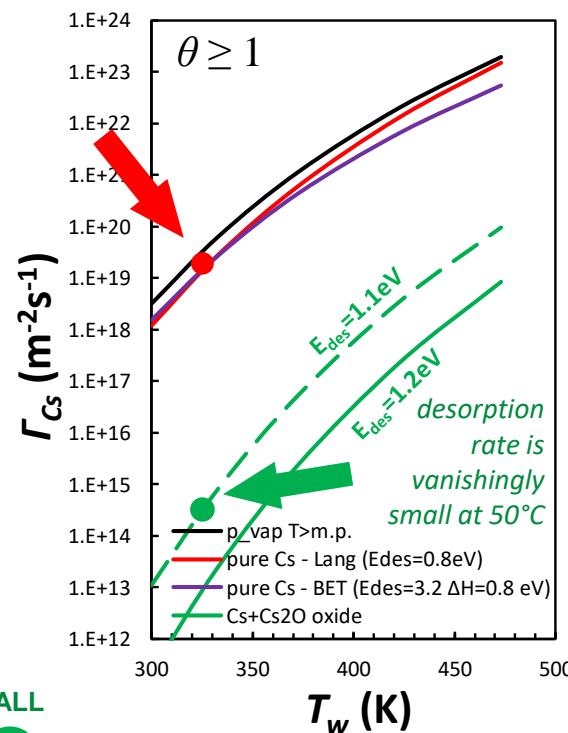
as working hypotheses:

(measurements to be done also in conditions more relevant to the ion source environment)

$$s(T_w=50^\circ\text{C})=0.09 \quad \left. \begin{array}{l} \\ \end{array} \right\} \text{QCM and TPD} \\ E_{des}=1.1-1.2\text{eV} \quad \left. \begin{array}{l} \\ \end{array} \right\} \text{measurements in «CATS»}$$

$$\Gamma_{Cs,th} = \frac{kT}{h} \frac{F^*}{F} \exp\left(-\frac{E_{des}(\theta)}{kT}\right) \theta \sigma$$

calculation for 0D ion source →
e.g. 27mg/h evaporation in vacuum,
~20mg/h are detained by adsorption at 50°C surfaces





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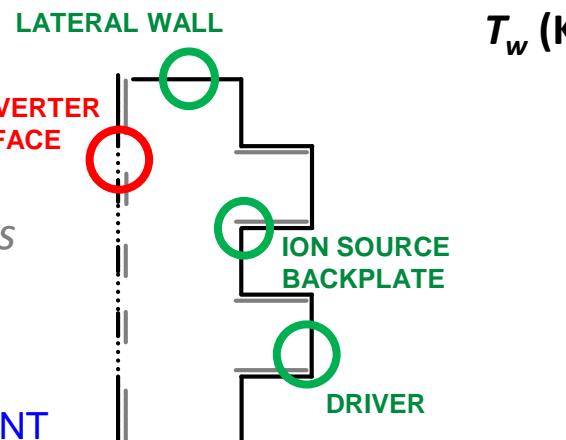
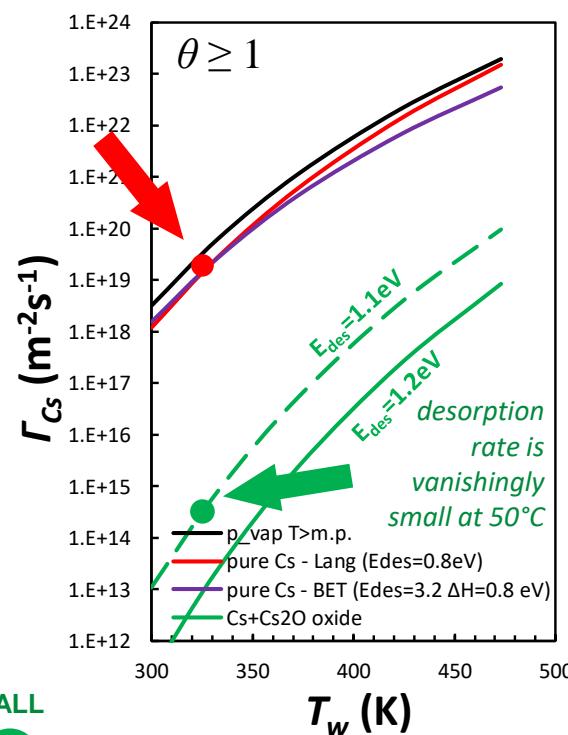
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NOTE:

$E_{des}=1.1\text{ eV}$ IS JUST A WORKING HYPOTHESIS AT THE MOMENT



OUTLINE

1

Surface processes
concerning Cs ads/desorption

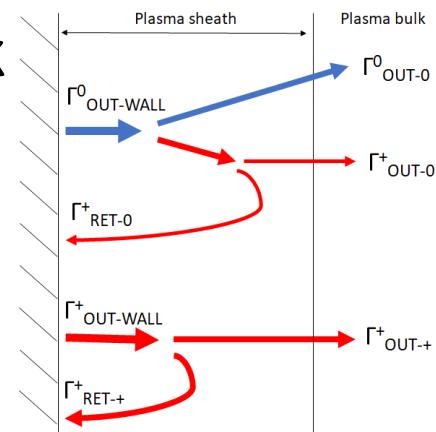
2

Transmission probability to plasma bulk

- Velocity of desorbed Cs atoms
(phys. sputt. or therm. desorp.)
- Transmission through plasma sheath

3

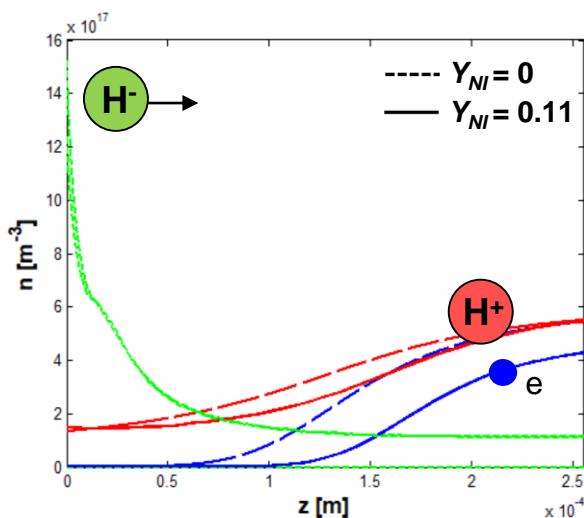
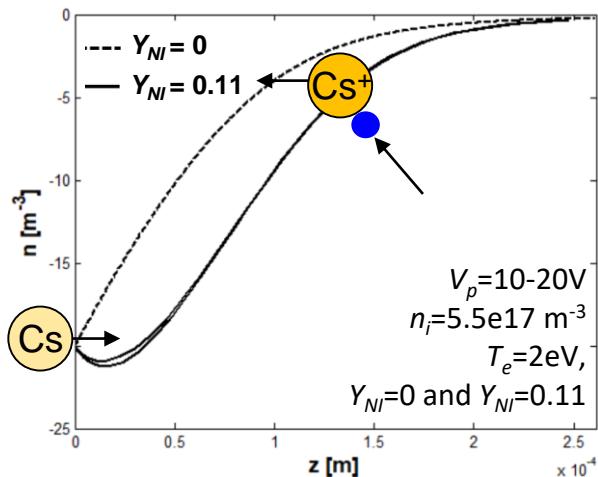
Case of SPIDER





Transmission probability to the plasma bulk

- Sheath model in presence of NI formation at the wall by McAdams¹⁾
- Transmission of **neutral Cs** to the plasma: use test particle of Cs to calculate the overall probability of ionization before reaching V_p , depends on Cs velocity v_z and $n_e(z)$, T_e

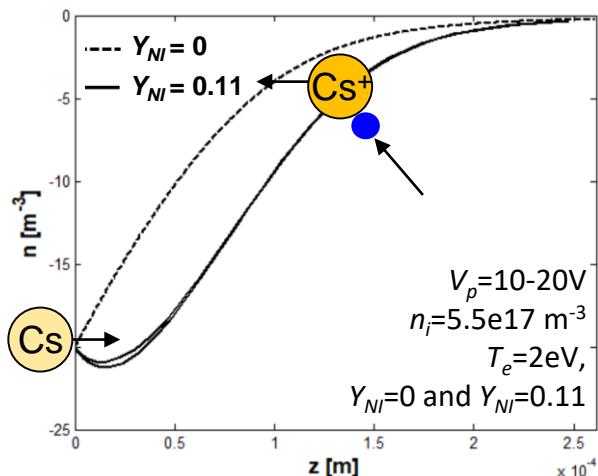


[1] R McAdams *et al* 2011 *Plasma Sources Sci. Technol.* **20** 035023

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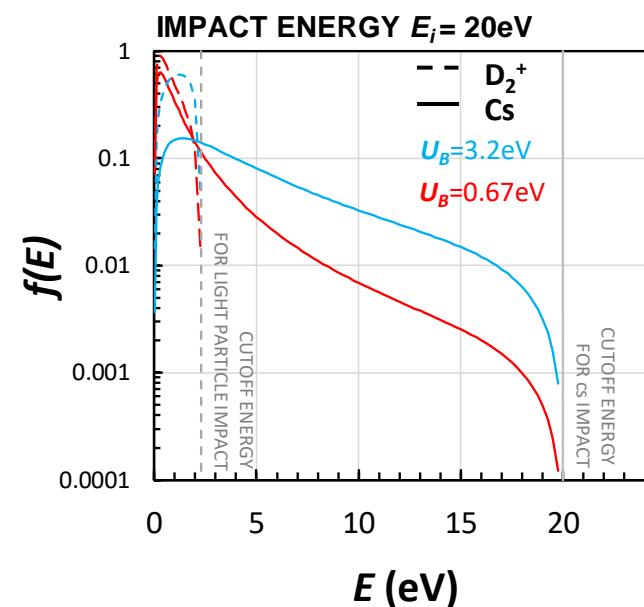
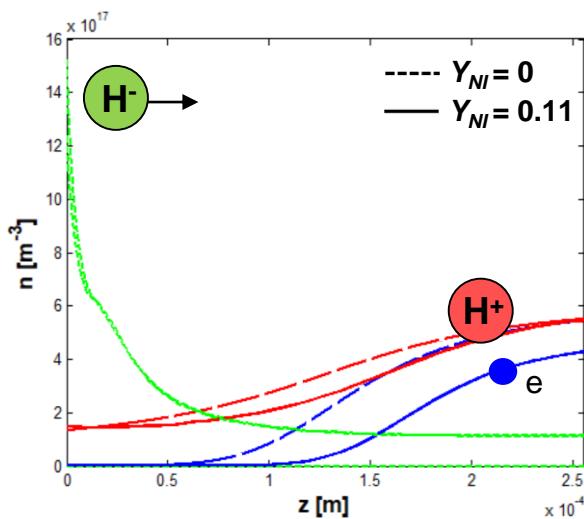
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*Thermal desorption:
Cs at wall temperature*

*Physical sputtering:
much higher energy²⁾
($f(E)$ of Cs particles
peaks at desorption energy)*

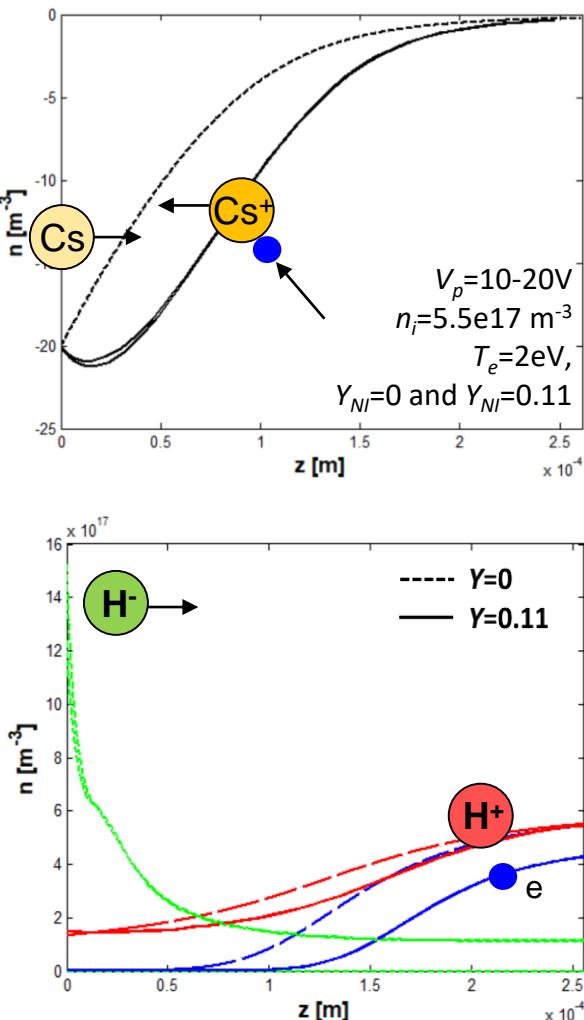


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$V_s (\text{V})$		$T_{des,Th}$	$T_{des,sputt}$
20	No NI yield	0.84	0.96
20	NI yield	0.86	0.97
10		0.85	0.96

$n_i = 5.5 \times 10^{17} \text{ m}^{-3}$

- Immediate recycling back to the wall might affect 15% of thermally desorbed Cs

NOTE:

- TRANSMISSION ANYWAY CLOSE TO UNITY → NOT SO EFFECTIVE COMPARED TO DESORPTION PROCESSES

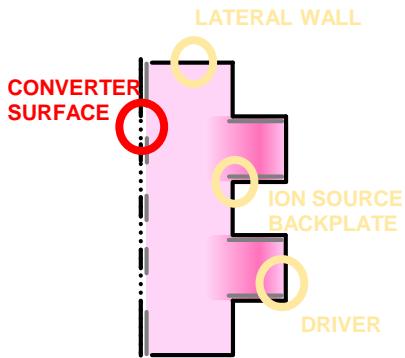


OUTLINE

- 1 Surface processes concerning Cs ads/desorption
- 2 Transmission probability to plasma bulk
- 3 Case of SPIDER

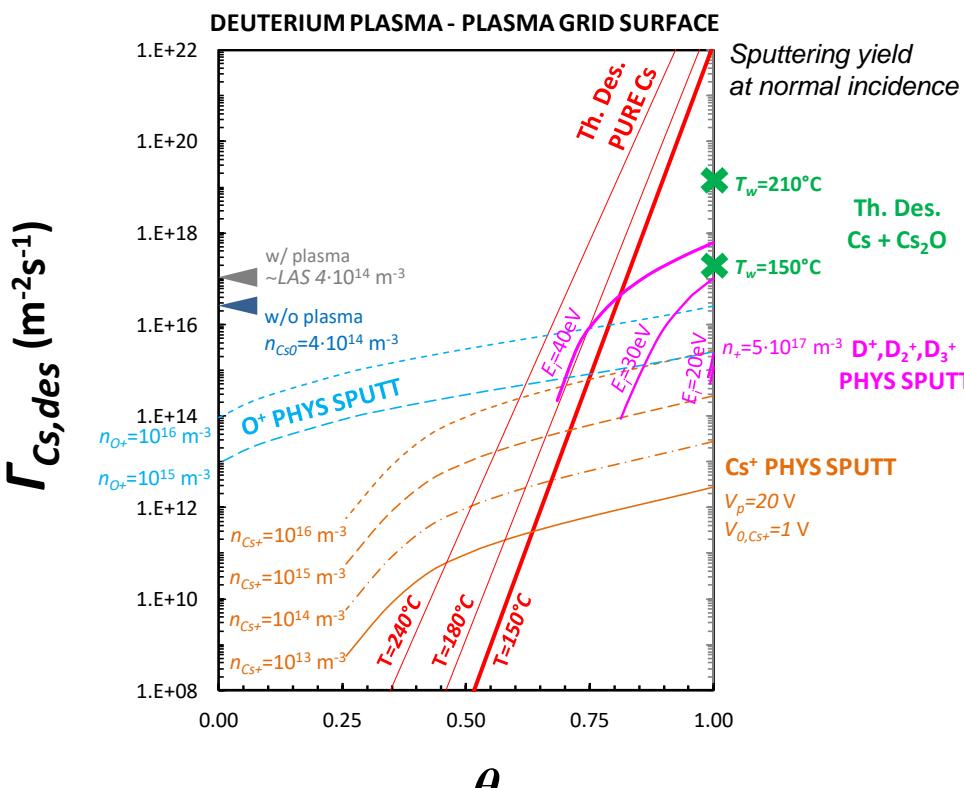


At plasma grid surface



- contributions to Cs desorption flux:

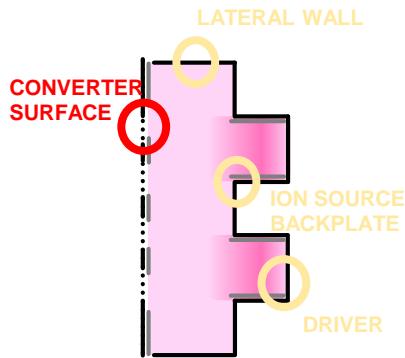
$$\Gamma_{des} = \underline{\Gamma_{th}(T_w)} + \underline{\Gamma_{sput}^{(D^+)}} + \underline{\Gamma_{sput}^{(Cs^+)}} + \underline{\Gamma_{sput}^{(X^+)}}$$



Cs fractional coverage



At plasma grid surface

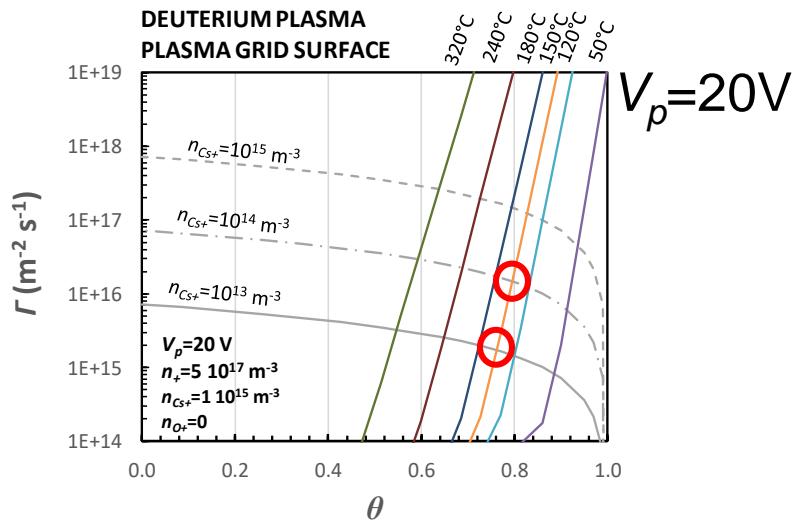


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- Try comparison with local incoming flux:
(first order adsorption $s=s_0(1-\theta)$, negligible incoming neutrals)

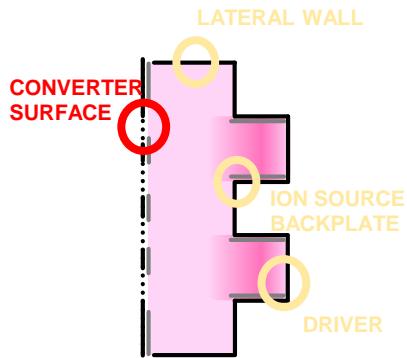
$$\underline{\Gamma_{Cs,ads} = s(\theta)\Gamma_{Cs^+} + s\Gamma_{Cs^0} \approx s(\theta)0.6 \cdot n_{Cs^+} \left(\frac{2V_0}{m_{Cs}}\right)^{\frac{1}{2}}}$$



But this is D plasma! Yet the effect of sputtering is not significant



At plasma grid surface

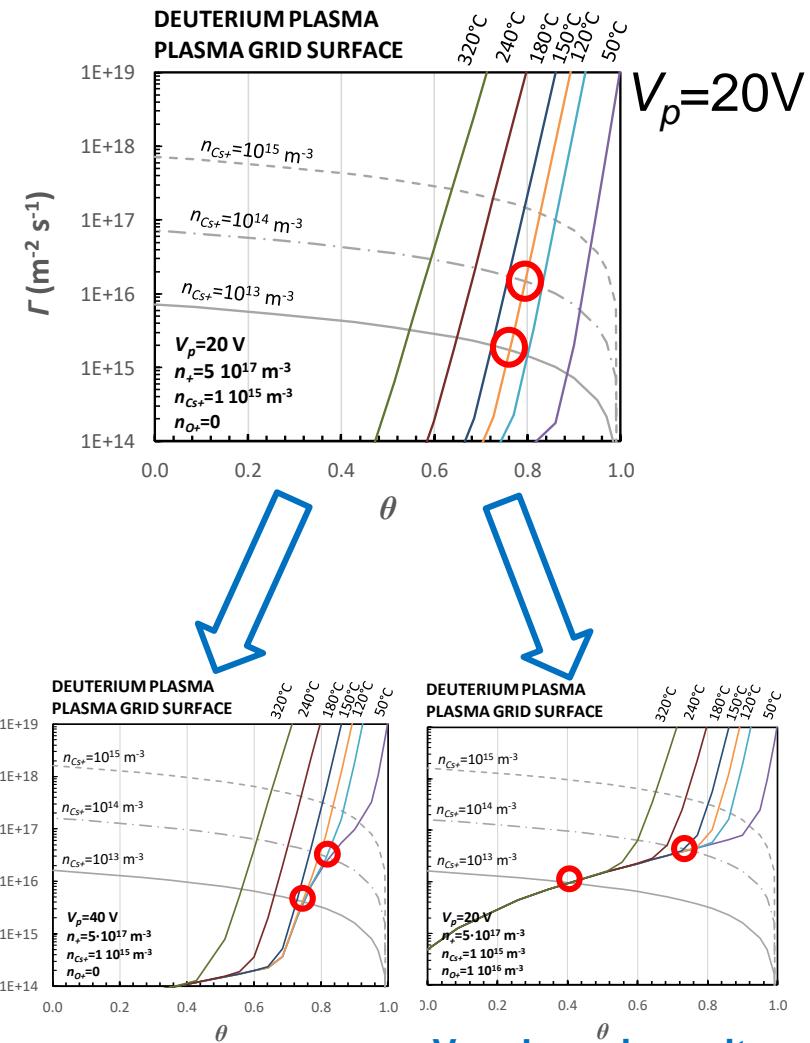


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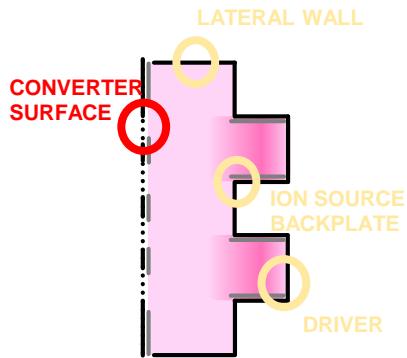


2x impact energy
($V_p=40\text{V}$)

θ
Very large impurity concentration
(equivalent O⁺ density $5 \times 10^{16} \text{ m}^{-3}$)



At plasma grid surface



- contributions to Cs desorption flux:

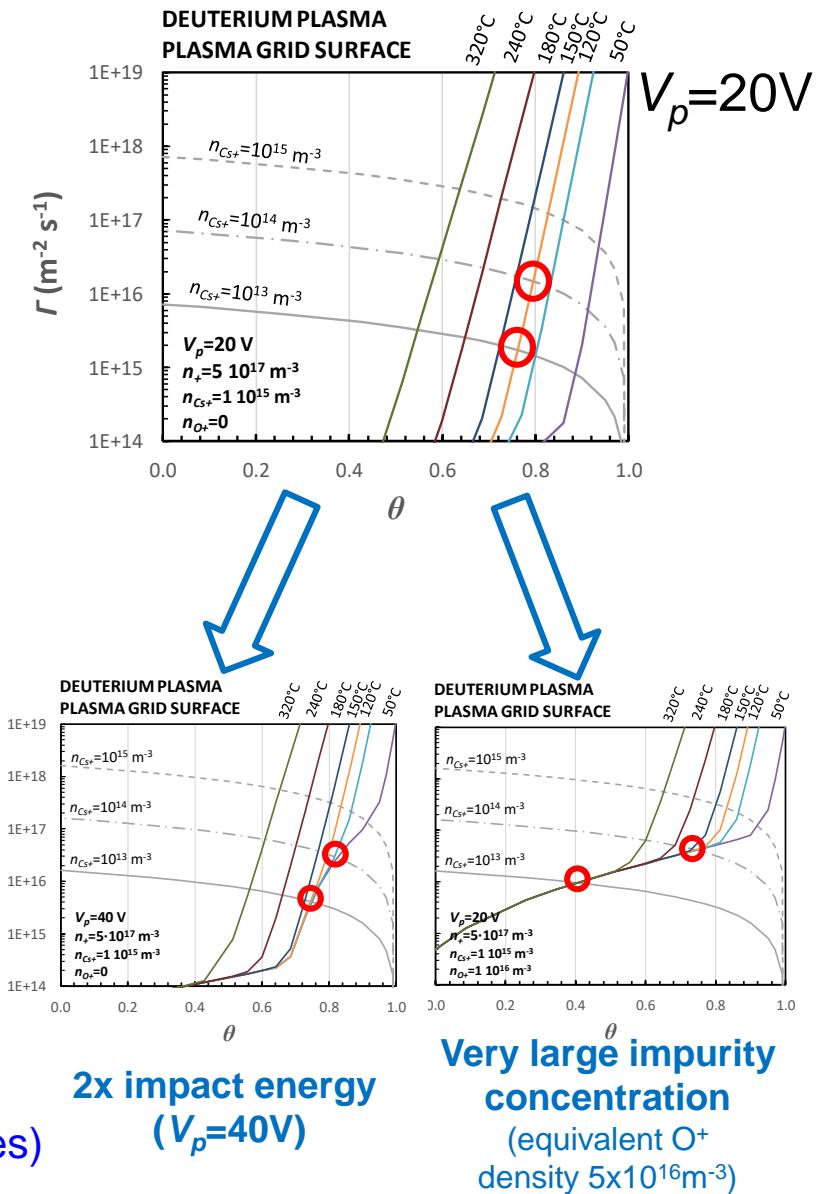
$$\Gamma_{des} = \underline{\Gamma_{th}(T_w)} + \underline{\Gamma_{sput}^{(D^+)}} + \underline{\Gamma_{sput}^{(Cs^+)}} + \underline{\Gamma_{sput}^{(X^+)}}$$

- Try comparison with local incoming flux:
(first order adsorption $s=s_0(1-\theta)$, negligible neutrals)

$$\underline{\Gamma_{Cs,ads} = s(\theta)\Gamma_{Cs^+} + s\Gamma_{Cs^0} \approx s(\theta)0.6 \cdot n_{Cs^+} \left(\frac{2V_0}{m_{Cs}}\right)^{\frac{1}{2}}}$$

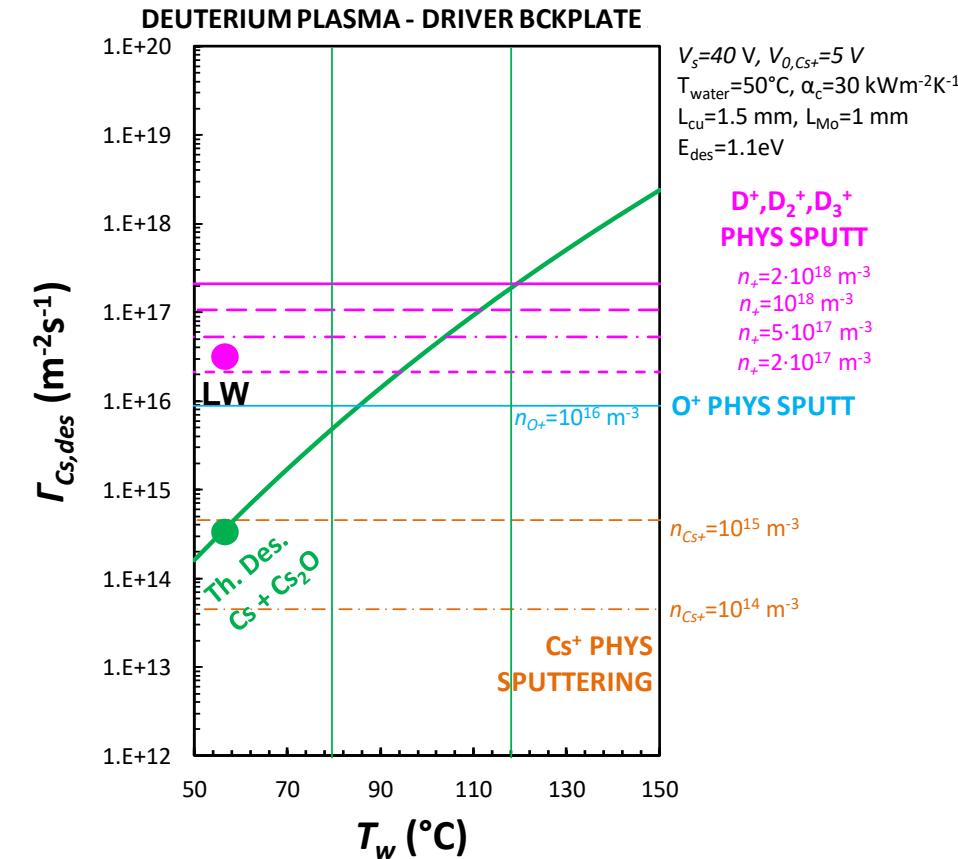
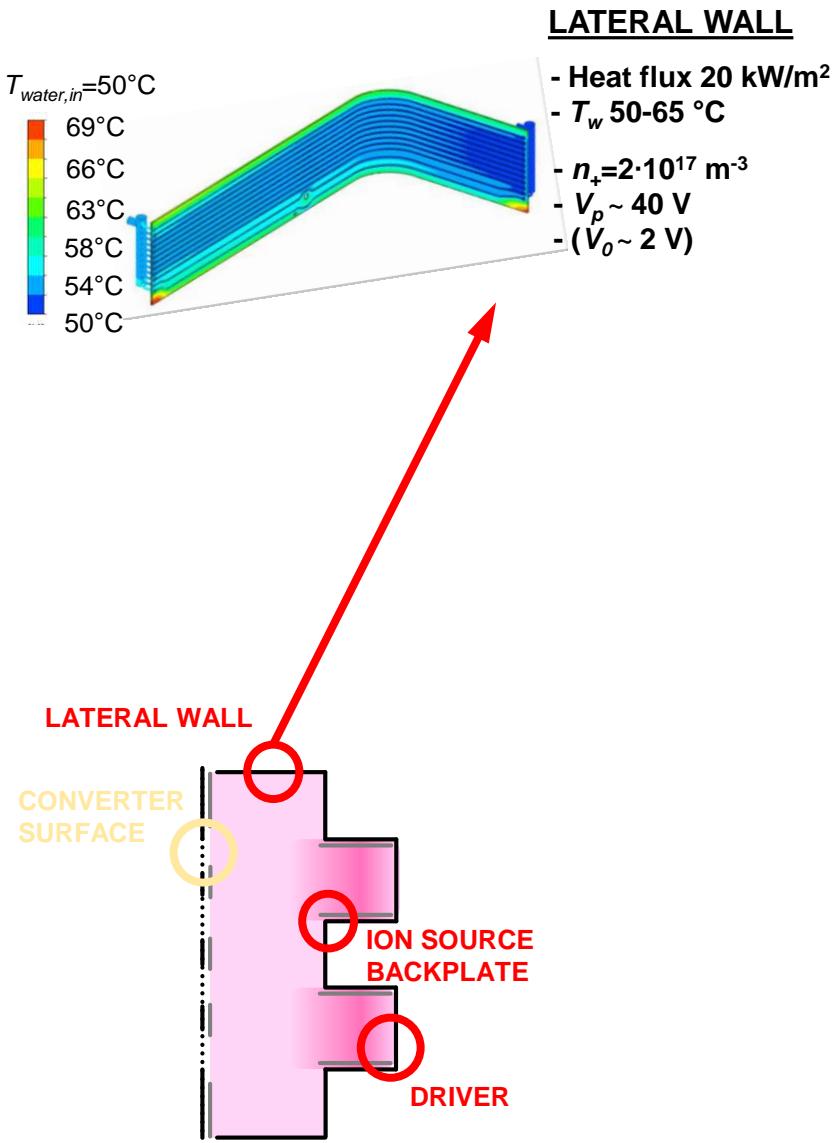
TO BE CLARIFIED:

- terms in sputtering yield:
 - angle of impact (E_{sheath} not parallel to $E_{presheath}$)
 - dependence of Y on surface temperature (MD)
 - chemical sputtering
- terms in sticking s_0
(surface temperature T_w , angle of incidence, impurities)



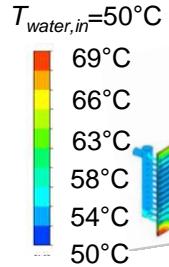


At lateral wall, backplate, driver plate during plasma discharge



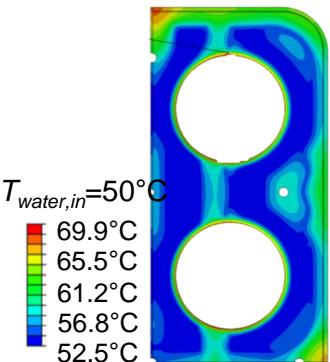


At lateral wall, backplate, driver plate during plasma discharge



LATERAL WALL

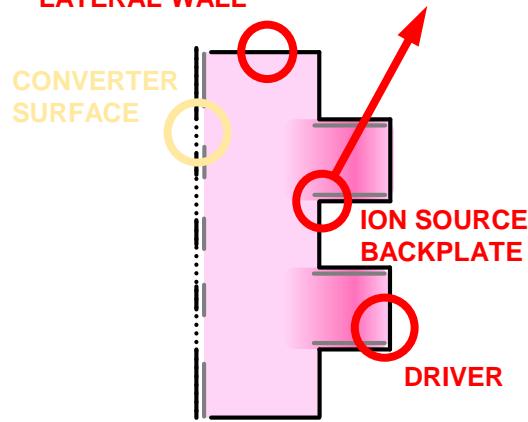
- Heat flux 20 kW/m^2
- T_w 50-65 °C
- $n_+ = 2 \cdot 10^{17} \text{ m}^{-3}$
- $V_p \sim 40 \text{ V}$
- ($V_0 \sim 2 \text{ V}$)



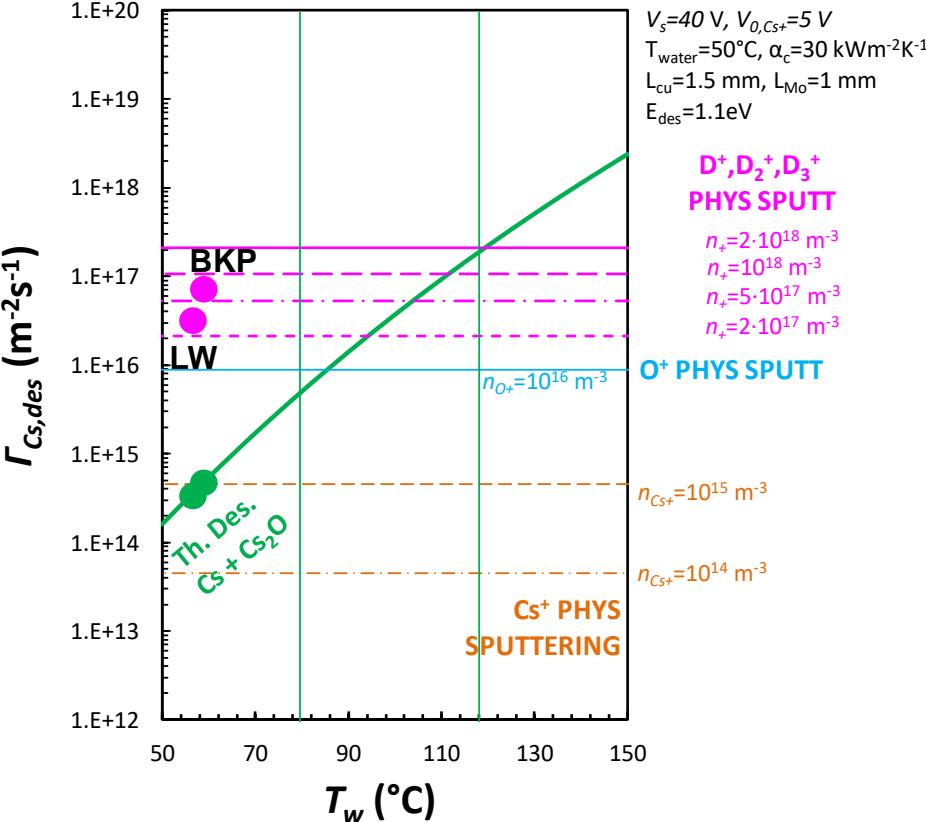
ION SOURCE BACKPLATE

- Heat flux $20-100 \text{ kW/m}^2$
- T_w 50-65 °C
- $n_+ = 2/5 \cdot 10^{17} \text{ m}^{-3}$
- $V_p \sim 40 \text{ V}$
- ($V_0 \sim 5 \text{ V}$)

LATERAL WALL

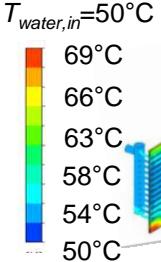


DEUTERIUM PLASMA - DRIVER BCKPLATE



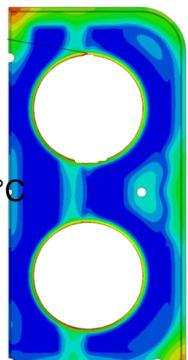


At lateral wall, backplate, driver plate during plasma discharge



LATERAL WALL

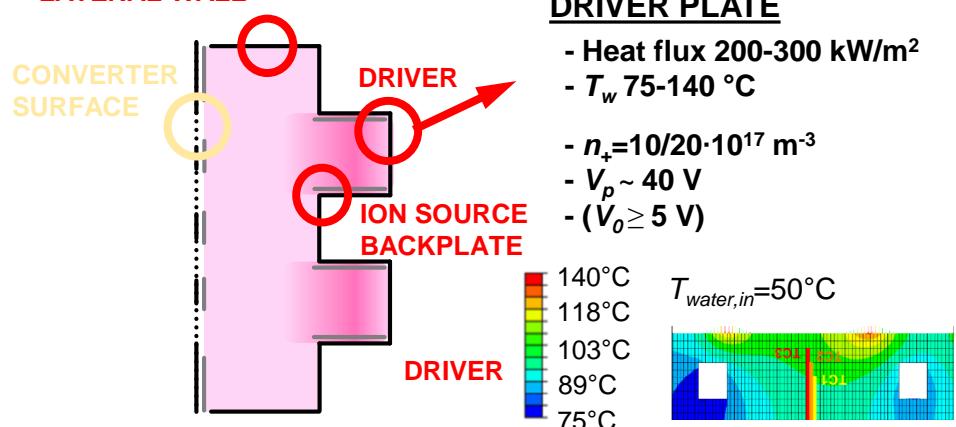
- Heat flux 20 kW/m^2
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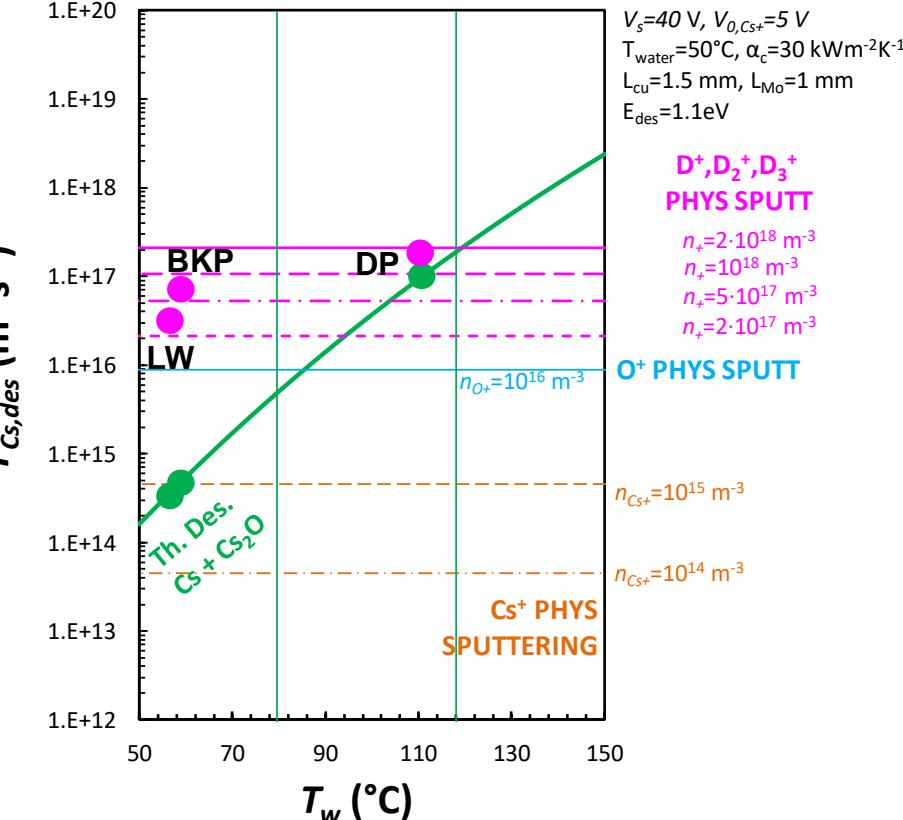
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DEUTERIUM PLASMA - DRIVER BCKPLATE

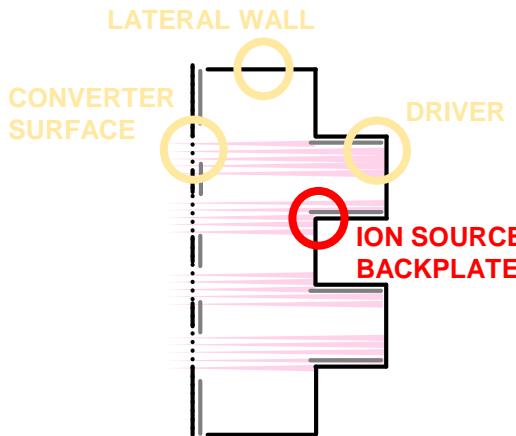


TO BE CLARIFIED:

- sputtering yield for Cs compounds
- desorption energy E_{des}

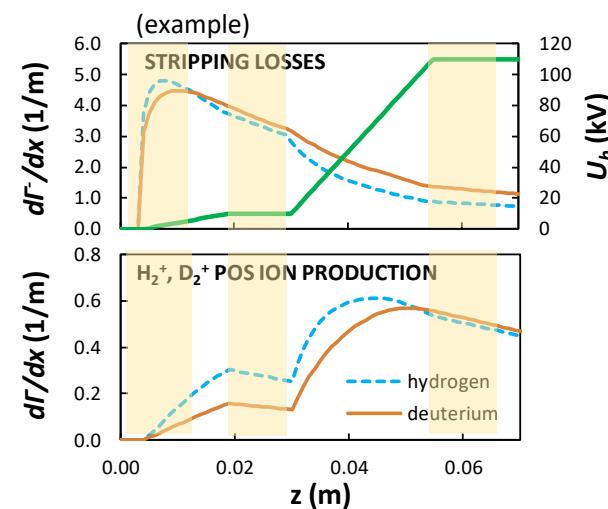


At backplate during plasma discharge and beam extraction: physical sputtering due to BSI



Physical sputtering

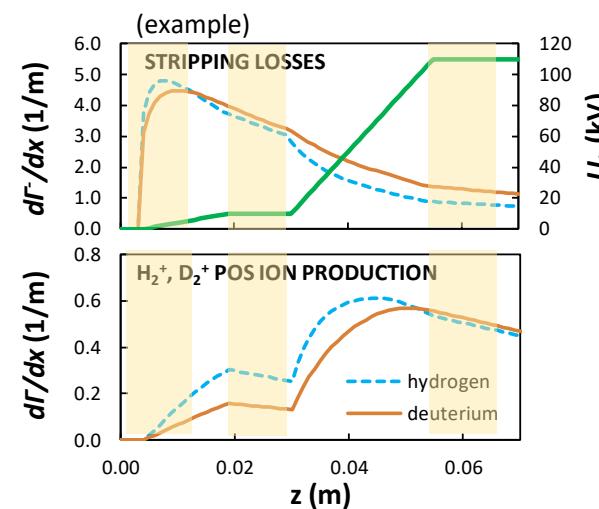
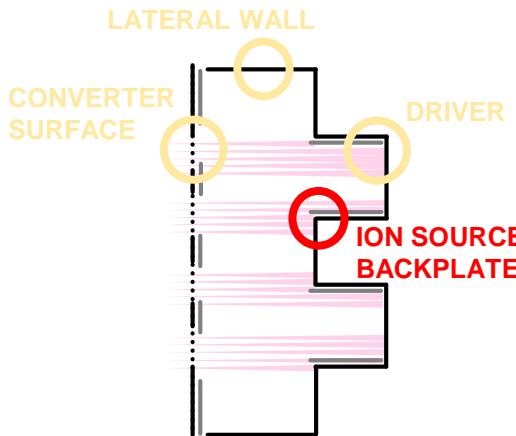
- Creation of pos. Ions in the electric field of the accelerator, or entering from downstream the accelerator → production of H_2^+ slightly larger



Example of stripping & ionization rates along the accelerator



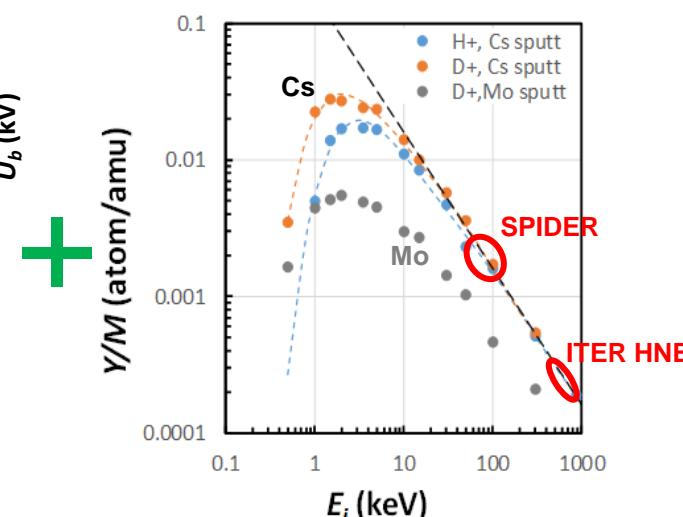
At backplate during plasma discharge and beam extraction: physical sputtering due to BSI



Example of stripping & ionization rates along the accelerator

Physical sputtering

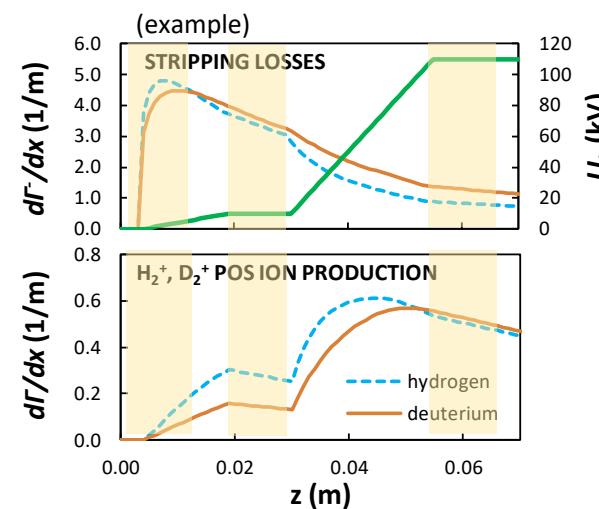
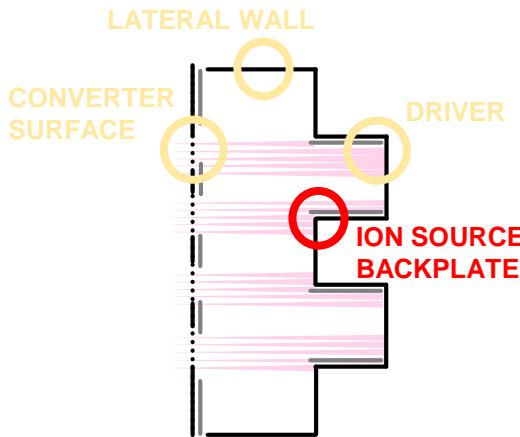
- Creation of pos. ions in the electric field of the accelerator, or entering from downstream the accelerator → production of H_2^+ slightly larger
- physical sputtering $Y(E_i) \rightarrow D_2^+$ more effective



Sputtering yield at high energies
(TRIM calculations)



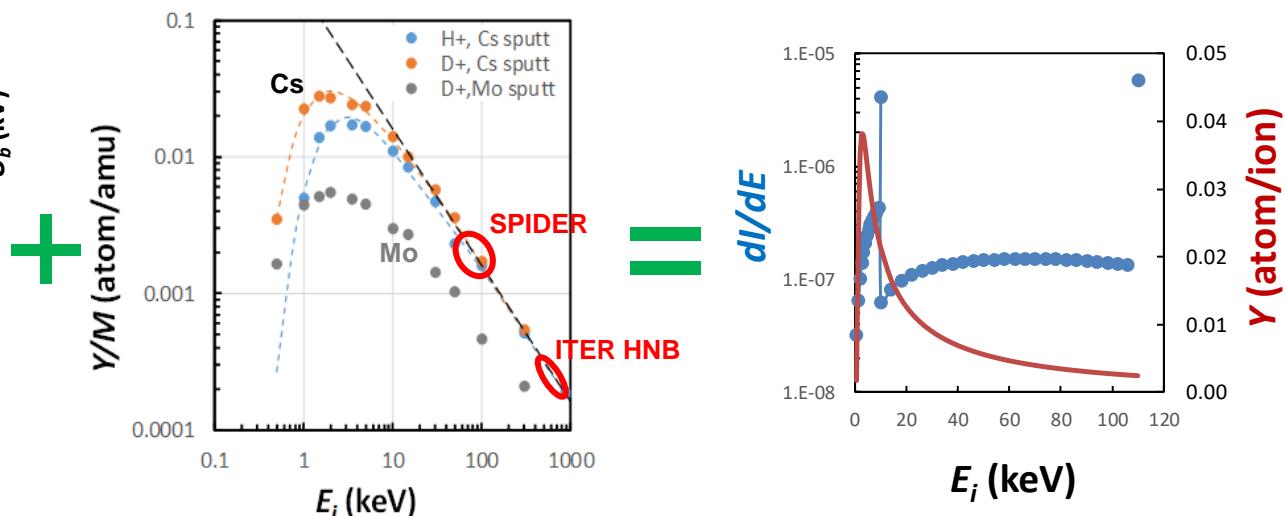
At backplate during plasma discharge and beam extraction: physical sputtering due to BSI



Example of stripping & ionization rates along the accelerator

Physical sputtering

- Creation of pos. ions in the electric field of the accelerator, or entering from downstream the accelerator → production of H_2^+ slightly larger
- physical sputtering $Y(E_i) \rightarrow D_2^+$ more effective
- calculation of $\langle Y \rangle$ from energy spectra of backstreaming H_2^+ / D_2^+



Sputtering yield at high energies
(TRIM calculations)

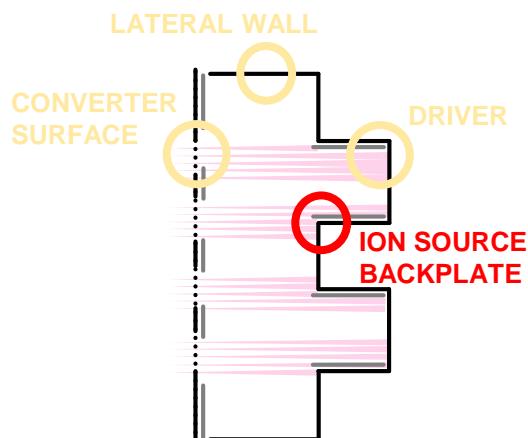
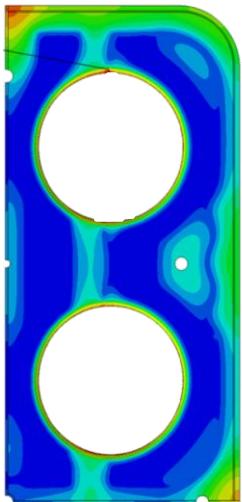
IEDF assuming transmission $T_0(z)=1$
(comparison against EAMCC at optim. perv. showed 40% overestimation of the total current)



At backplate during plasma discharge and beam extraction: thermal desorption due to BSI

$T_{water,in}=50^{\circ}\text{C}$

Thermal submodel of BSI impact position can be done (22x20 mm) to simulate local heating

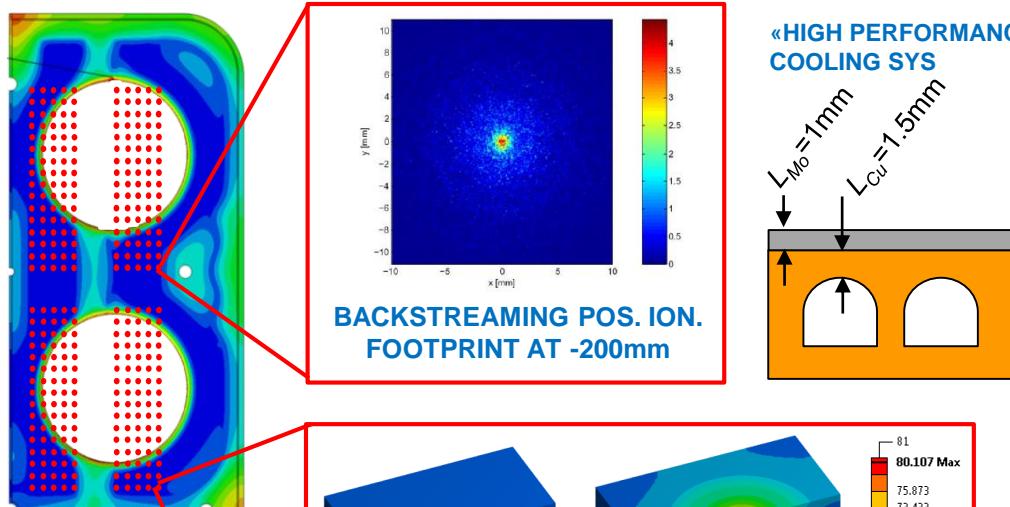




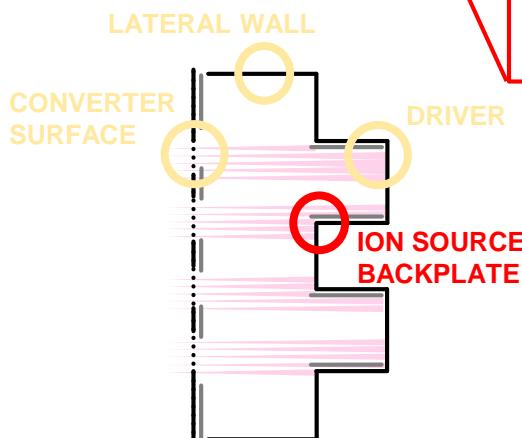
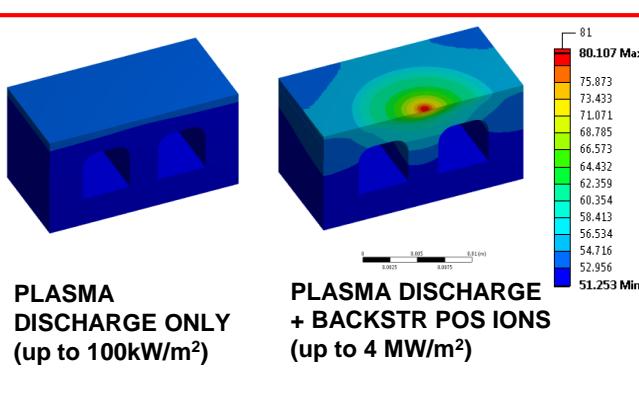
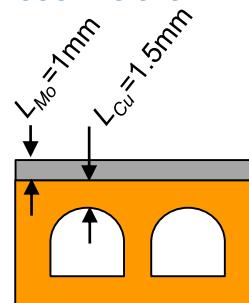
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$T_{water,in} = 50^\circ\text{C}$

Thermal submodel of BSI impact position can be done (22x20 mm) to simulate local heating



«HIGH PERFORMANCE» COOLING SYS



What is the dependence on the size of the footprint?

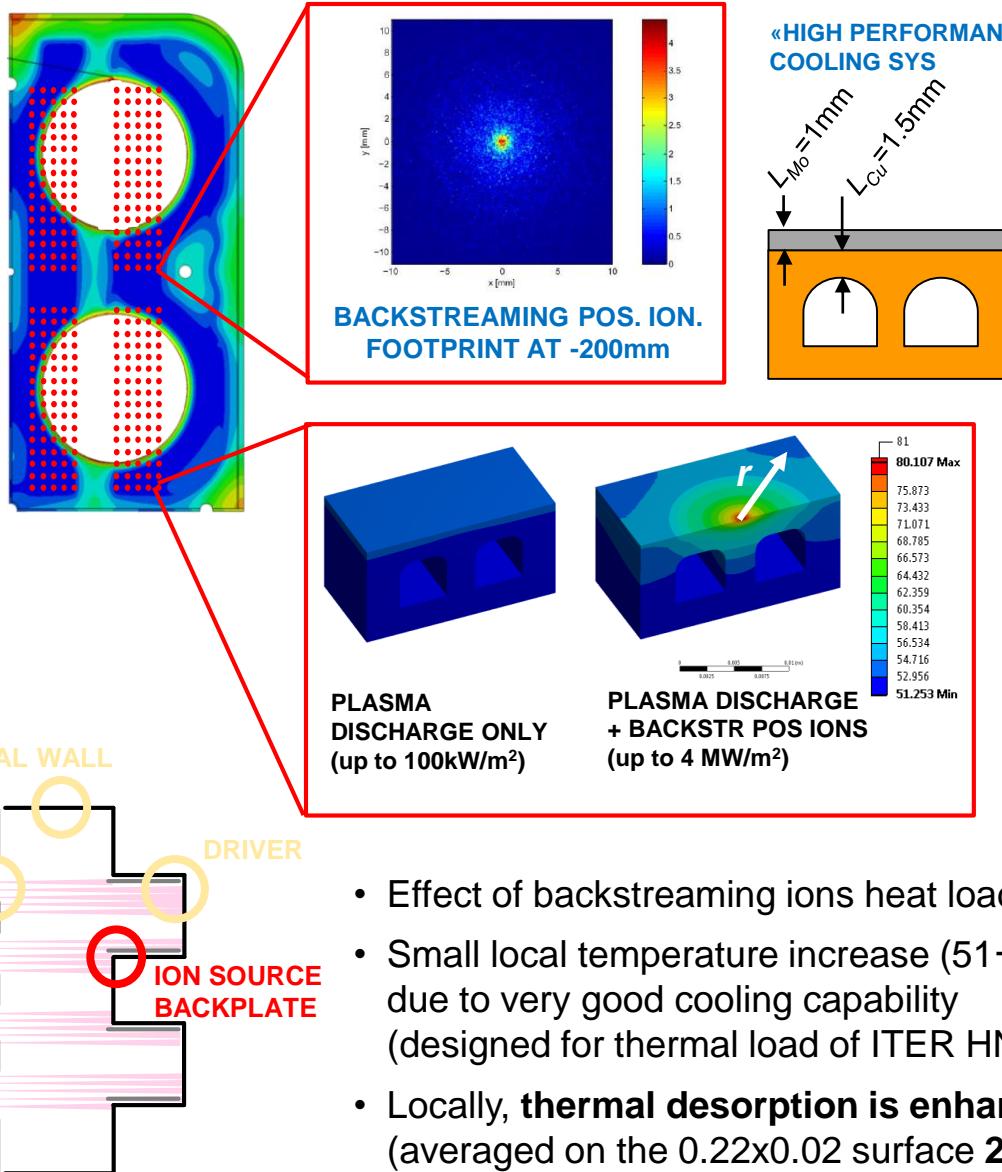
- Effect of backstreaming ions heatload
- Small local temperature increase ($51 \rightarrow 80^\circ\text{C}$) due to very good cooling capability (designed for thermal load of ITER HNB)



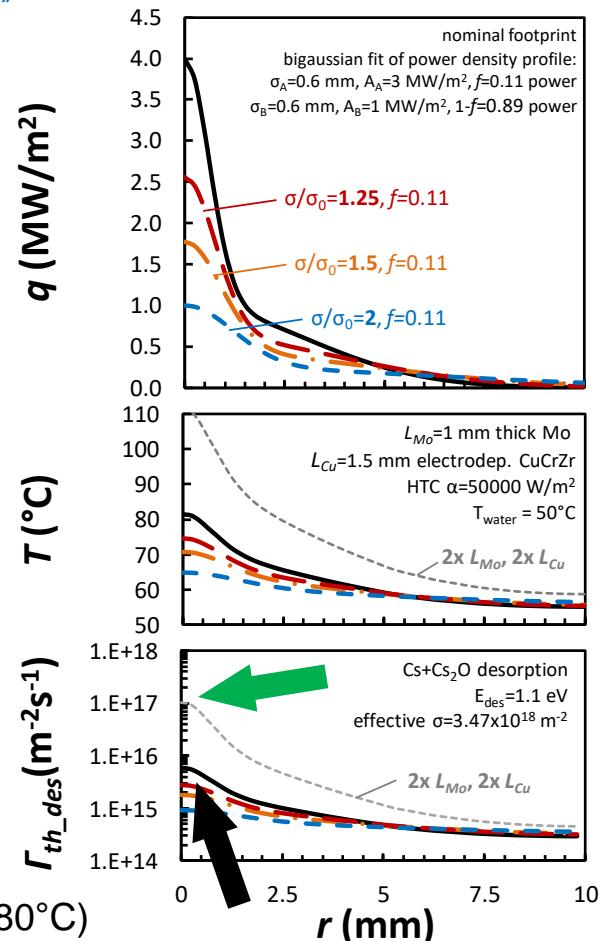
At backplate during plasma discharge and beam extraction: thermal desorption due to BSI

$T_{water,in}=50^\circ\text{C}$

Thermal submodel of BSI impact position can be done (22x20 mm) to simulate local heating



POWER DENSITY PROFILE OF BACKSTR POS IONS



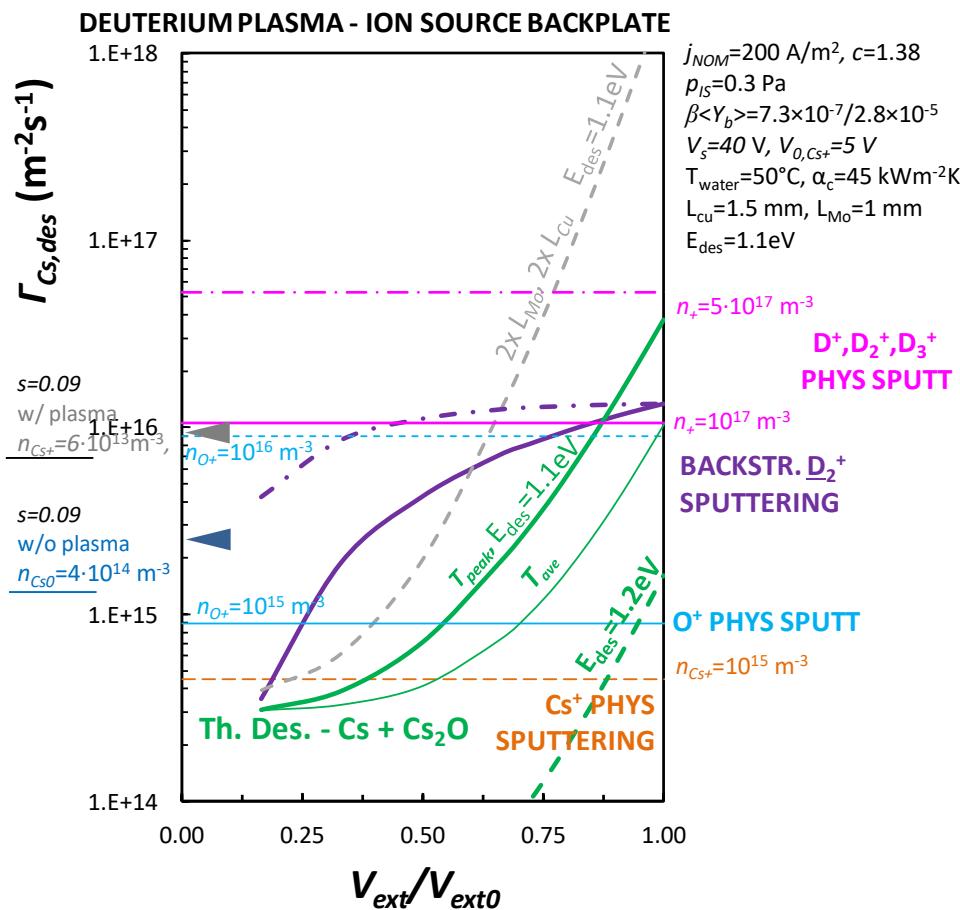
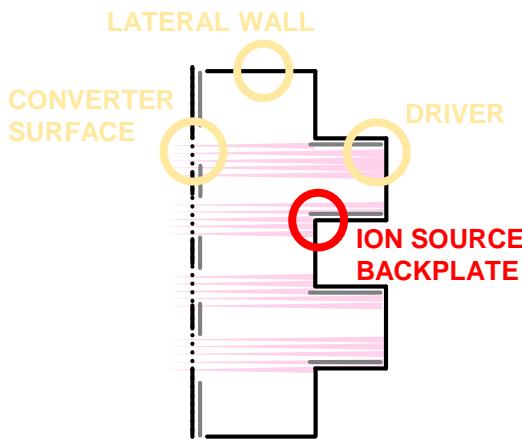
- Effect of backstreaming ions heat load
- Small local temperature increase ($51 \rightarrow 80^\circ\text{C}$) due to very good cooling capability (designed for thermal load of ITER HNB)
- Locally, **thermal desorption is enhanced up to 10x** (averaged on the 0.22x0.02 surface **2.7x**)

note the case the thickness of Cu and Mo are doubled: local increase 100x



At backplate during plasma discharge and beam extraction

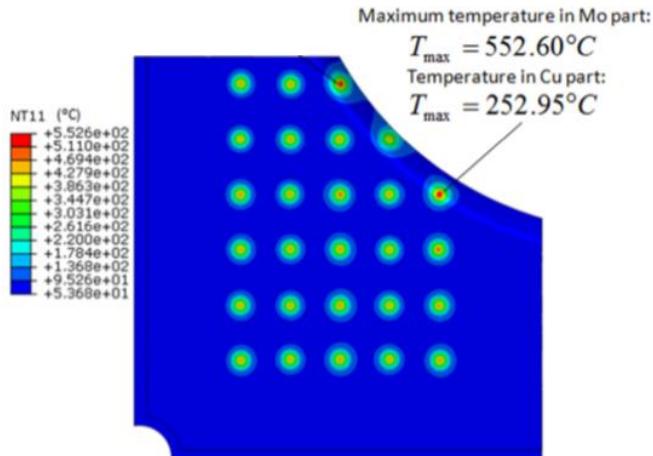
- fast BSI: in SPIDER, **Cs thermal desorption** due to localized heating might have the same order of magnitude of **Cs physical sputtering**
- probably, **sputtering by plasma ions** is anyway more effective (and applies to much larger area)



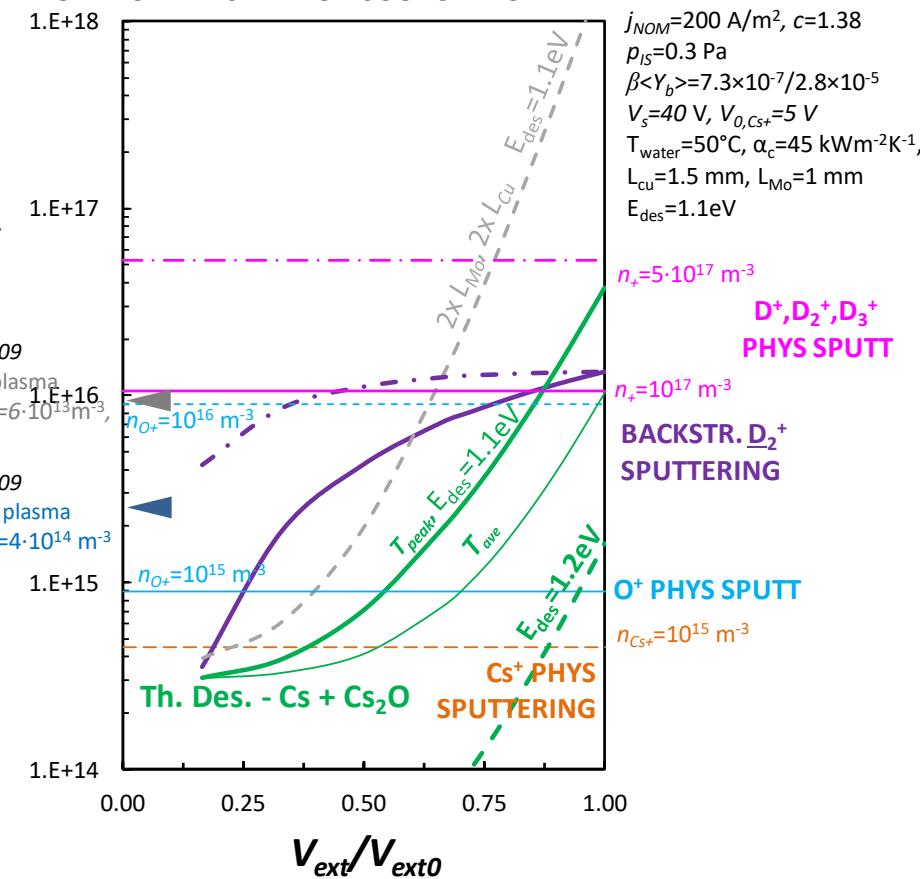
At backplate during plasma discharge and beam extraction

ITER HNB
peak backplate Temp.

- fast BSI: in SPIDER, **Cs thermal desorption** due to localized heating might have the same order of magnitude of **Cs physical sputtering**
- probably, **sputtering by plasma ions** is anyway more effective (and applies to much larger area)
- Fast BSI in ITER HNB:* Cs thermal desorption has huge contribution, Mo surface peak temperature up to 550°C ($\Gamma_{\text{des}} \sim 10^{24} \text{ m}^{-2} \text{s}^{-1}$); (average T is much less maybe about $90\text{-}100^{\circ}\text{C}$)



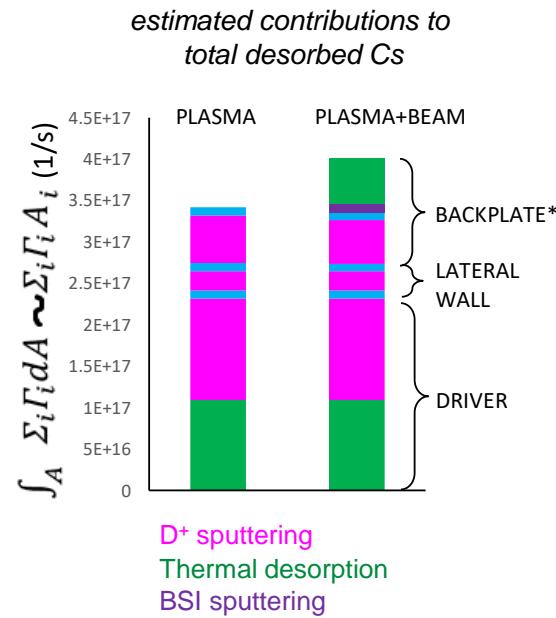
DEUTERIUM PLASMA - ION SOURCE BACKPLATE





SUMMARY

- Started a review of processes related to Cs redistribution
- TPD analysis for Cs compounds seems promising but should be done in ion-source relevant atmosphere to obtain reliable E_{des} (and pre-exponential factor)
- Cs sputtering yields in the 10eV energy range; electric fields in the plasma (presheath) are important for sputtering and for Cs redistribution; question of impurities; BSI contribute to Cs redistribution by thermal desorption rather than physical sputtering;
- Ab initio MD can provide quantitative results, but can be used only to study well «delimited» problems → from experimental observation we get the correct questions to ask
- SPIDER ion source is designed for thermal loads of ITER HNB → temperature control very «robust»









SUMMARY

- TPD analysis for Cs compounds might provide Edes, etc
 - hydrogen atmosphere and/or hydrogen discharge
- MD analysis
 - NI yield
 - Cs sticking at PG (Cs+, Cs+ energy, presence of impurities?)
- In SPIDER temperature-induced effects shall be less than other machines (cooling system designed for the loads of ITER HNB) both without (plasma only) and with beam extraction
- Sputtering seems the main mechanism for Cs redistribution: sputt. yields for D and impurities are key factors
- IEDF at walls: electric fields in the plasma (presheath) are important for sputtering and for Cs redistribution
- 0D approach based on averaged quantities might provide overall



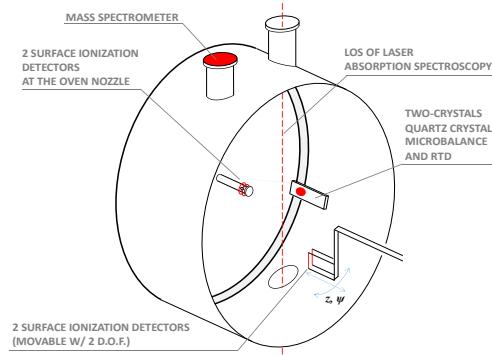
Help from caesium test stand measurements



CAesium Test Stand «CATS»
Main purpose: test SPIDER Cs ovens

Diagnostics:

- Cs evap rate: SID at oven nozzle
- Cs density: movable SID
- Cs line integr density: LAS
- Cs sticking: QCM and TC

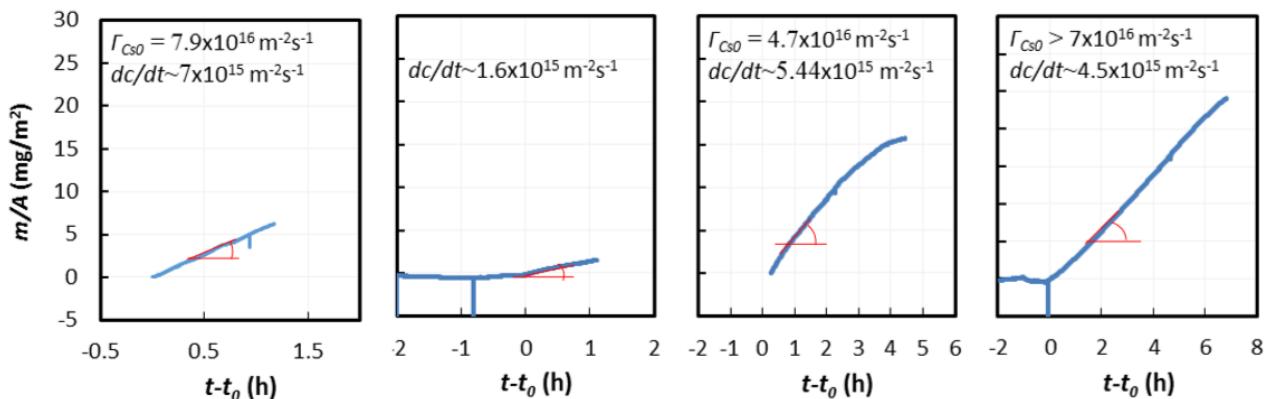
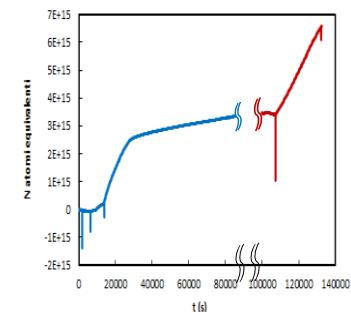


POSTER P1-18

E. Sartori

NIBS 2018 – 5-Sep-2018

- Three dimensional growth of Caesium stable compounds on the QCM (mass equivalent to tens of closed-packed Cs layers)
- At 48°C, mass increase is equivalent to **sticking s=0.09** (using mobile SID to measure Cs flux density in the volume and neglecting O mass)





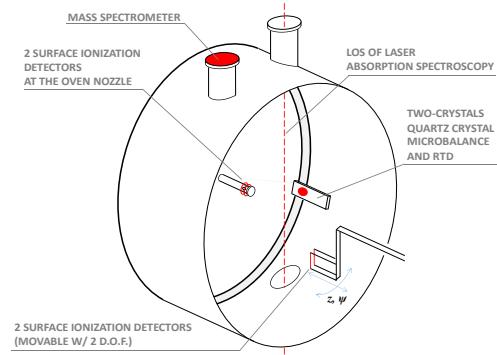
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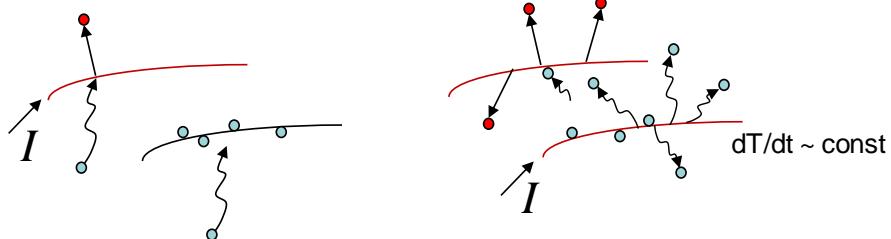
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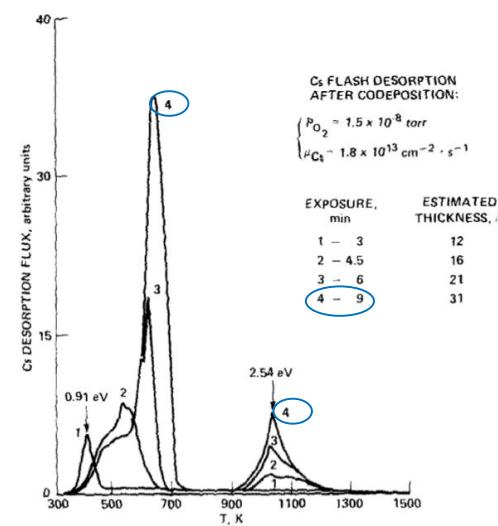
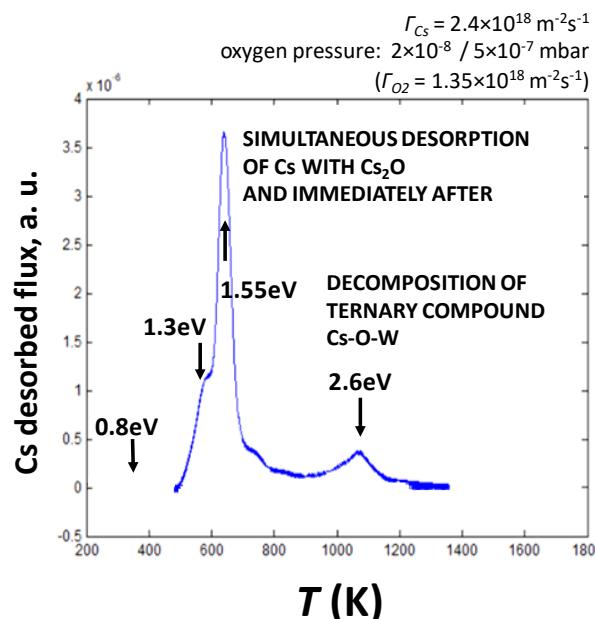


POSTER P1-18

- flash desorption carried out to obtain energy of desorption (very preliminary)



- similar spectra and desorption energies found in literature XX, with simultaneous desorption of Cs_2O
- Cs_2O zero order desorption energy **1.2eV** XX





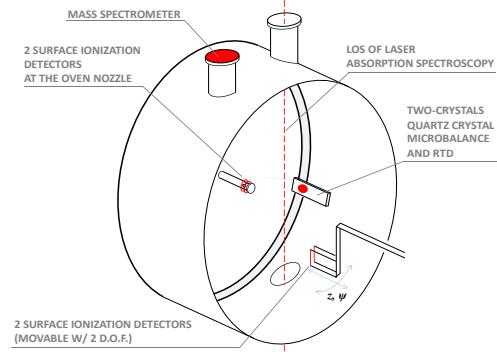
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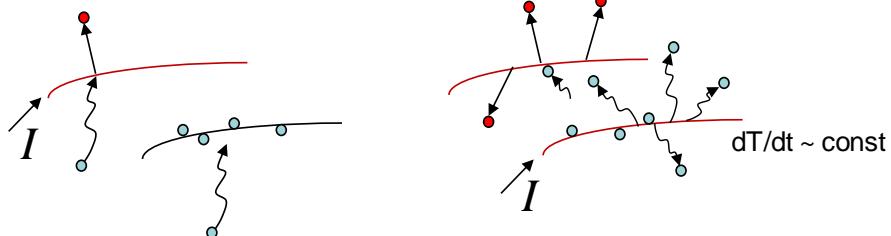
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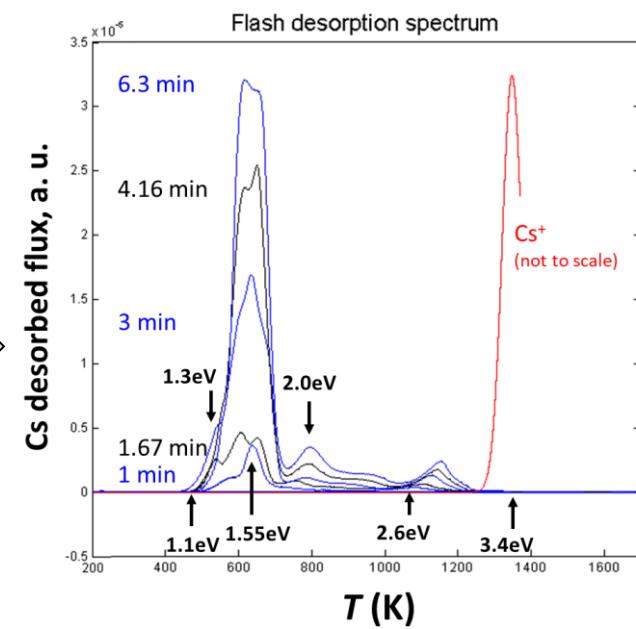
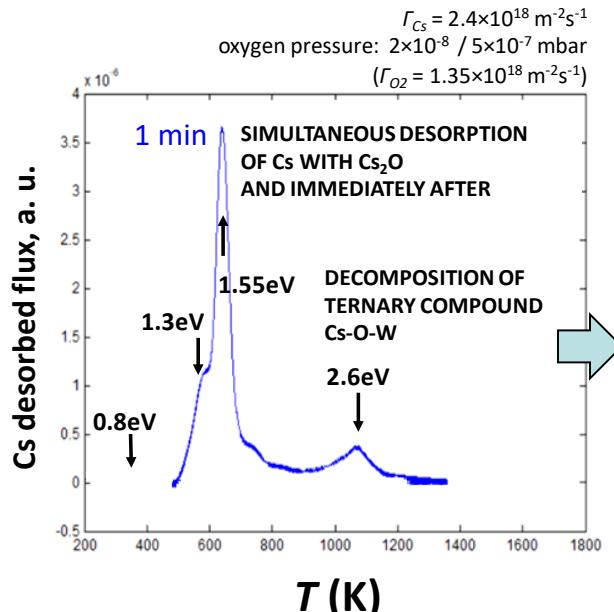


POSTER P1-18

- flash desorption carried out to obtain energy of desorption (very preliminary)



- similar spectra and desorption energies found in literature XX, with simultaneous desorption of Cs_2O
- Cs_2O zero order desorption energy **1.2 eV** XX
- with increasing coverage exhibits a shared leading edge; if zero order desorption, **Cs** desorption energy is approx **1.1 eV**, if first order 1.3 eV...

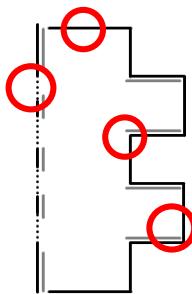




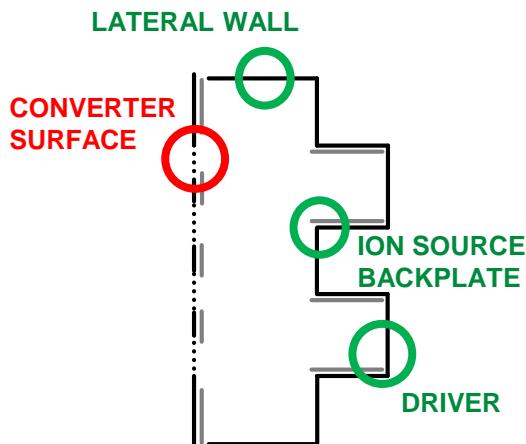


Thermal desorption, and adsorption

- At converter: partial coverage → modified Langmuir isotherm. (or Temkin) linear increase of ads energy ✓
- Other surfaces: TST as a generalization of desorption rate , BET theory for multilayer adsorption, condensation ... ($\Delta H=0.8\text{eV}$ XX) ✗



*If we use this flux for all surfaces → steady state, only 6min eq. of Cs evaporation is kept in the source!
→ does not describe reality*

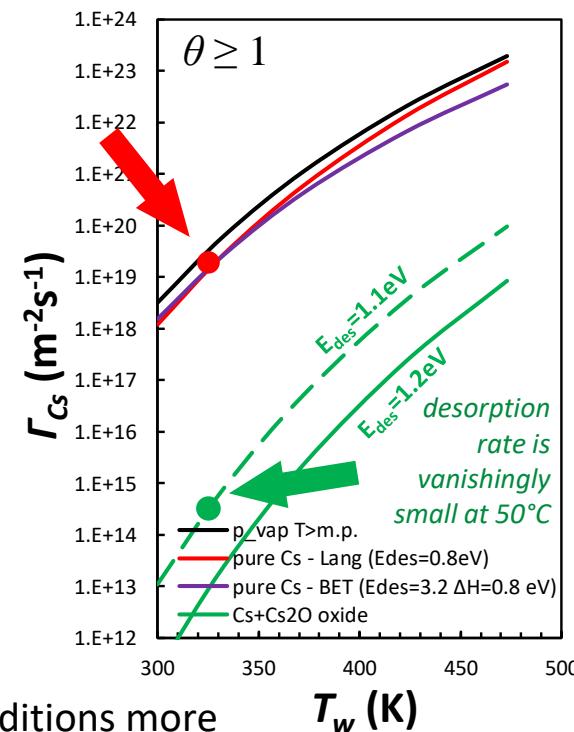


as working hypotheses:

(measurements to be done also in conditions more relevant to the ion source environment)

$$s(T_w=50^\circ\text{C})=0.09 \quad E_{des}=1.1-1.2\text{eV} \quad \left. \begin{array}{l} \text{QCM and TPD} \\ \text{measurements} \end{array} \right\}$$

Same 0-D calculation → e.g. 27mg/h evaporation in vacuum, ~20mg/h are detained by 50°C surfaces







Plasma discharge and beam extraction: transmission probability through the sheath

- high V_p, high T_e / low V_p, low T_e
- low V_p, low T_e, w/ NI
- Example of LAS for th desorption & phys sputtering

grafico dello strato

Attenuazione segnale LAS in
funzione di z e della f(E) dei
desorbiti

Vista delle aperture la
che permettono misu
diverse posizioni :



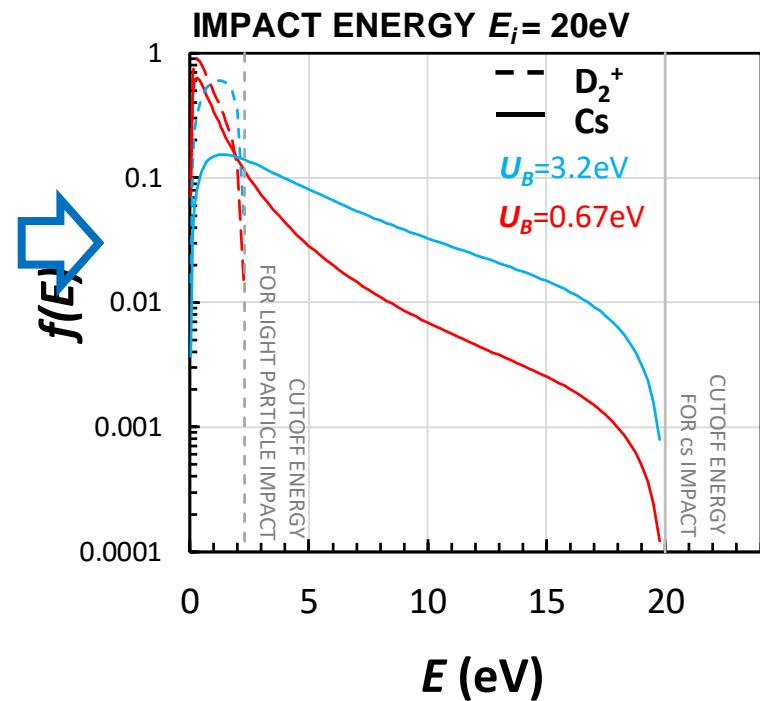


Energy distribution of sputtered Cs atoms

- Perpendicular velocity of Cs atoms depends on the process that caused desorption:
- **Energy distribution of the sputtered Cs atoms**¹⁾ caused by D₂⁺ impact or Cs⁺ impact
(in figure, EDF for impact energy of 20eV)

$$f(E) = \frac{E}{(E + U_B)^{3+2m}} \cos \left(\frac{\pi}{2} \left(\frac{E}{E'} \right)^4 \right)$$

Energy distribution peaked at binding energy U_B and extends to infinite Cutoff to limit the distribution to the maximum transferrable energy $E' = \gamma E_{in}$
 (reduced mass γ)



[1] Eckstein, W., Nucl. Instrum. and Methods in Physics Research B18, 344–348 (1987)