

# Beyond the Standard Model

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# Outline

1 Introduction to BSM

2 Neutrino oscillations

3 Cosmology

- Neutrino sector
- Dark Matter
- Matter-antimatter asymmetry

4 Theoretical problems

- Supersymmetry
- Portals

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# Standard Model + GR : Major Problems

Gauge and Higgs fields (interactions):  $\gamma$ ,  $W^\pm$ ,  $Z$ ,  $g$ ,  $G$ , and  $h$

Three generations of matter:  $L = \begin{pmatrix} v_L \\ e_L \end{pmatrix}$ ,  $e_R$ ;  $Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}$ ,  $d_R$ ,  $u_R$

- Describes all experiments dealing with
  - ▶ electroweak and strong interactions (anomalies:  $g - 2$ ,  $B$ -physics,  $M_W$ , ...)
- Does not describe (PHENO) (THEORY)
  - ▶ Neutrino oscillations (and anomalies...)
  - ▶ Dark matter ( $\Omega_{DM}$ )
  - ▶ Baryon asymmetry ( $\Omega_B$ )
  - ▶ Why the Universe is flat and homogeneous?
  - ▶ Where did the matter perturbations come from?
  - ▶ Dark energy ( $\Omega_\Lambda$ )
  - ▶ Strong CP-problem
  - ▶ Gauge hierarchy
  - ▶ Quantum gravity
  - ▶ Quantization of electric charge/gauge unification?
  - ▶ Why 3 generations?
  - ▶ Why  $Y_e \ll Y_\mu \ll \dots \ll Y_t$

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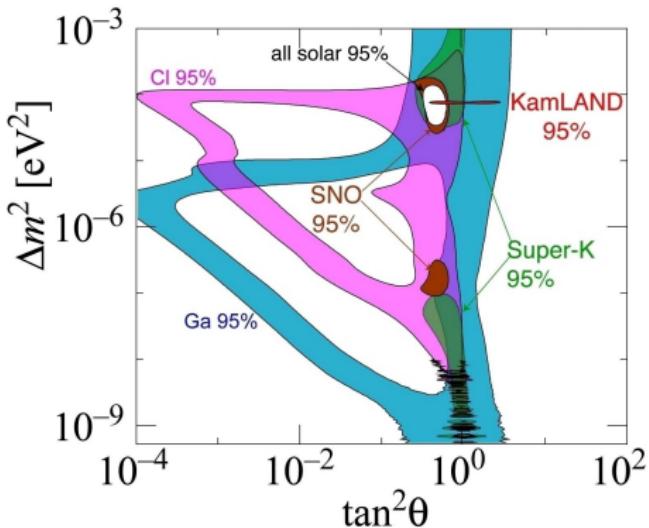
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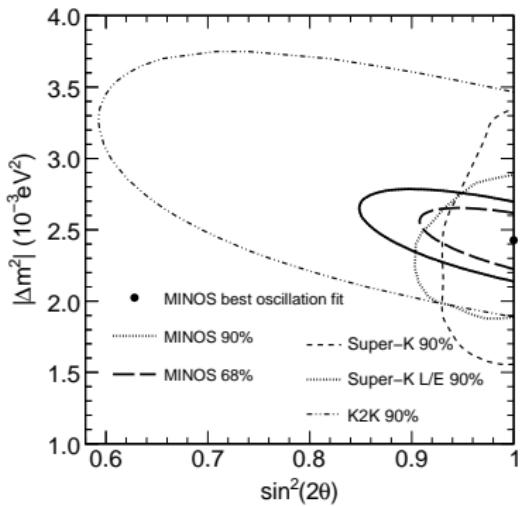
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# Neutrino oscillations: masses and mixing angles

## Solar $2 \times 2$ “subsector”



## Atmospheric $2 \times 2$ “subsector”



<http://hitoshi.berkeley.edu/neutrino/>

$m_1 > 0.008$  eV

DAYA-BAY, RENO, T2K:  $\sin^2 2\theta_{13} \approx 0.08$

arXiv:0806.2237

$m_2 > 0.05$  eV

# Description of neutrino oscillations (I)

- Two bases: gauge  $|v_\alpha\rangle$ ,  $\alpha = e, \mu, \tau$  and mass  $|v_i\rangle$ ,  $i = 1, 2, 3$

$$|v_i\rangle = U_{\alpha i} |v_\alpha\rangle \quad \text{with unitary PMNS } 3 \times 3 \text{ matrix } U_{\alpha i}$$

- Neutrino mass matrix is then

$$M_{\alpha\beta} = \langle v_\alpha | M | v_\beta \rangle = (U M^{(m)} U^\dagger)_{\alpha\beta}, \quad \text{where } M_{ij}^{(m)} = m_j \delta_{ij}.$$

- Free neutrino evolution in time and space

$$|v_j(t)\rangle = e^{-i m_j t} |v_j(0)\rangle \quad \rightarrow \quad |v_j(t, L)\rangle = e^{-i(E_j t - p_j L)} |v_j(0)\rangle,$$

in ultrarelativistic case

$$p_j = \sqrt{E^2 - m_j^2} = E - m_j^2/2E \quad \rightarrow \quad |v_j(L)\rangle = e^{-i \frac{m_j^2}{2E} L} |v_j(0)\rangle.$$

# Description of neutrino oscillations (II)

- Neutrino effective Hamiltonian

$$|v_j(L)\rangle = e^{-i \frac{m_j^2}{2E} L} |v_j(0)\rangle \quad \rightarrow H_{\text{eff}} = \frac{M^2}{2E}$$

- Transition amplitude of neutrino  $v_\alpha$  to neutrino  $v_\beta$  is

$$A(\alpha \rightarrow \beta) = \sum_j \langle v_\beta | v_j(L) \rangle \langle v_j(0) | v_\alpha \rangle = \sum_j \langle v_\beta | v_j \rangle e^{-i \frac{m_j^2}{2E} L} \langle v_j | v_\alpha \rangle = \sum_j U_{\beta j} e^{-i \frac{m_j^2}{2E} L} U_{\alpha j}^*$$

- and the transition probability

$$\Delta m_{ji}^2 \equiv m_j^2 - m_i^2$$

$$\begin{aligned} P(v_\alpha \rightarrow v_\beta) &= |A(\alpha \rightarrow \beta)|^2 \\ &= \delta_{\alpha\beta} - 4 \sum_{j>i} \operatorname{Re}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin^2 \left( \frac{\Delta m_{ji}^2}{4E} L \right) \\ &\quad + 2 \sum_{j>i} \operatorname{Im}[U_{\alpha j}^* U_{\beta j} U_{\alpha i} U_{\beta i}^*] \sin \left( \frac{\Delta m_{ji}^2}{2E} L \right), \end{aligned}$$

# Description of neutrino oscillations (III)

- Two-neutrino oscillations: transition probability

$$P(\nu_\alpha \rightarrow \nu_{\beta \neq \alpha}) = \sin^2 2\theta \cdot \sin^2 \left( \frac{\Delta m^2}{4E} L \right),$$

- Two-neutrino oscillations: survival probability

$$P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \cdot \sin^2 \left( \frac{\Delta m^2}{4E} L \right)$$

- Oscillation length

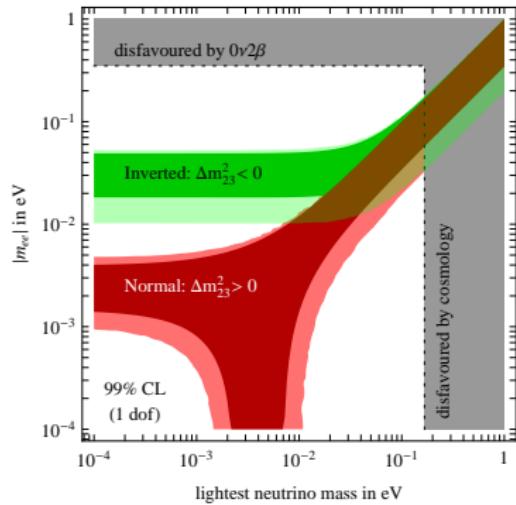
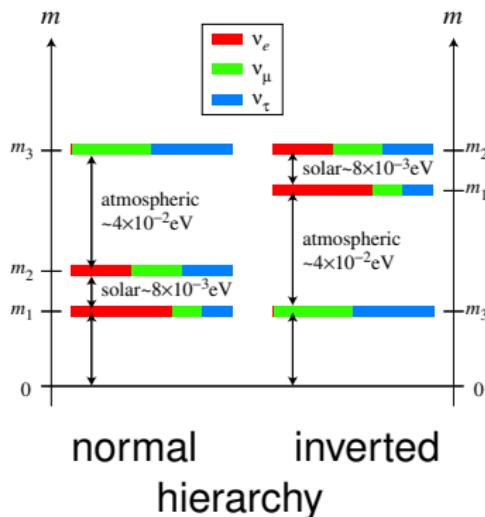
$$L_{\text{osc}} = \frac{4\pi E}{\Delta m^2} = (2.5 \text{ km}) \cdot \frac{E}{\text{GeV}} \frac{\text{eV}^2}{\Delta m^2}$$

# Active neutrinos: normal and inverted hierarchy

Only mass squared are fixed, neutrino masses are model-dependent

to be determined by T2K&Novae

CP ??



$$|m_{ee}| = \left| \sum U_{ei}^2 m_i \right|, \text{ if Majorana masses } 1205.3867$$

# Physics behind the neutrino oscillations is still elusive

- nature of neutrino mass (Dirac vs Majorana)
- neutrino mass hierarchy
- $CP$ -violation
- relevance for the matter-antimatter asymmetry
- neutrino anomalies do not fit to  $3\nu$ 
  - ▶ LSND → MiniBooNE
  - ▶ SAGE & GALLEX & BEST(gallium anomaly)
  - ▶ reactor antineutrinos → DANSS, NEUTRINO-4, etc

# Active neutrino masses without new fields

Dimension-5 operator       $\Delta L = 2$

$$\mathcal{L}^{(5)} = \frac{\beta_L}{4\Lambda} F_{\alpha\beta} \bar{L}_\alpha \tilde{H} H^\dagger L_\beta^c + \text{h.c.}$$

$L_\alpha$  are SM leptonic doublets,  $\alpha = 1, 2, 3$ ,  $\tilde{H}_a = \varepsilon_{ab} H_b^*$ ,  $a, b = 1, 2$ ;      in a unitary gauge  
 $H^T = (0, (\nu + h)/\sqrt{2})$  and

$$\mathcal{L}_{\nu\nu}^{(5)} = \frac{\beta_L v^2}{4\Lambda} \frac{F_{\alpha\beta}}{2} \bar{\nu}_\alpha \nu_\beta^c + \text{h.c.}$$

hence

$$\Lambda \sim 3 \times 10^{14} \text{ GeV} \times \beta_L \times \left( \frac{3 \times 10^{-3} \text{ eV}^2}{\Delta m_{\text{atm}}^2} \right)^{1/2}$$

The model has to be UV-completed at the neutrino scale  $\Lambda_\nu < \Lambda$

What is beyond the neutrino scale  $\Lambda_\nu$  ?

# Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

sterile: new fermions uncharged under the SM gauge group

neutrino: explain observed oscillations by mixing with SM (active) neutrinos

Attractive features:

- possible to achieve within renormalizable theory
- only  $N = 2$  Majorana neutrinos needed
- baryon asymmetry via leptogenesis
- dark matter (with  $N \geq 3$  at least)
- light(?) sterile neutrinos might be responsible for neutrino anomalies...?

Disappointing feature:

Major part of parameter space is UNTESTABLE

### Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III	
mass →	2.4 MeV	1.27 GeV	171.2 GeV	
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	
name →	Left <b>u</b> up	Left <b>c</b> charm	Left <b>t</b> top	Right
Quarks	Left <b>d</b> down	Left <b>s</b> strange	Left <b>b</b> bottom	Right
<0.0001 eV	$\sim 10 \text{ keV}$	$\sim 0.01 \text{ eV}$	$\sim 0.04 \text{ eV}$	$\sim \text{GeV}$
$\nu_e^0$	$\nu_\mu^0$	$\nu_\tau^0$	$\nu_1^0$	$\nu_2^0$
Left electron neutrino	Left muon neutrino	Left tau neutrino	Left sterile neutrino	Right sterile neutrino
Leptons	Left <b>e</b> electron	Left <b><math>\mu</math></b> muon	Left <b><math>\tau</math></b> tau	Right
0.511 MeV	105.7 MeV	1.777 GeV		
-1	-1	-1		
Left	Left	Left		

Bosons (Forces) spin 1

0	0	<b>g</b>	gluon
0	0	<b><math>\gamma</math></b>	photon
91.2 GeV	0	<b><math>Z^0</math></b>	weak force
$>114 \text{ GeV}$	0	<b>H</b>	Higgs boson
80.4 GeV	$\pm 1$	<b><math>W^\pm</math></b>	spin 0

# Sterile neutrino lagrangian

Most general renormalizable with  $\textcolor{blue}{2(3\dots)}$  right-handed neutrinos  $N_i$

$$\mathcal{L}_N = \bar{N}_I i\partial^\mu N_I - f_{\alpha I} \bar{L}_\alpha \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

## Parameters to be determined from experiments

9(7): active neutrino sector

$2 \Delta m_{ij}^2$ : oscillation experiments

$3 \theta_{ij}$ : oscillation experiments  
1 CP-phase: oscillation experiments

2(1) Majorana phases:  $0\nu ee$ ,  $0\nu \mu\mu$

1(0)  $m_\nu$ :  ${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e$ , cosmology, ...

11:  $N = 2$  sterile neutrinos  
(works if  $m_\nu = 0$  !!!)

2: Majorana masses  $M_{N_i}$

9: New Yukawa couplings  $f_{\alpha I}$   
which form

2: Dirac masses  $M^D = f(H)$

3+1: mixing angles

2+1: CP-violating phases

4 new parameters in total

18:  $N = 3$  sterile neutrinos:

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Profit: can suggest why neutrinos are so light,  $m_\nu \sim 0.1 - 0.01$  eV

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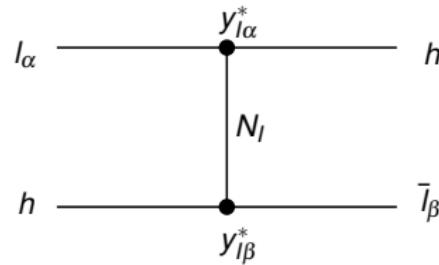
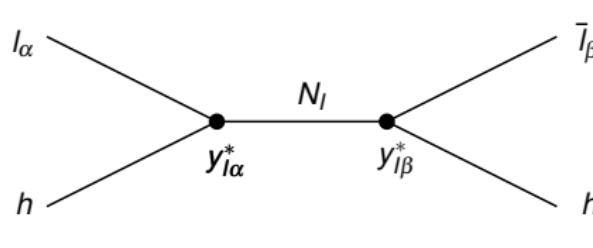
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Profit: can suggest why neutrinos are so light,  $m_\nu \sim 0.1 - 0.01$  eV

# Producing the effective dim-5 operator at $M_N \rightarrow \infty$

i.e., integrating out the Heavy Sterile neutrinos



thus we obtain

$$\propto \frac{y^2}{M_N} |h| h \quad \xrightarrow{\text{red}} \quad \frac{(LH)(LH)}{\Lambda}$$

# Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With  $m_{active} \lesssim 1 \text{ eV}$  we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i\partial N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains  $\langle H \rangle = v/\sqrt{2}$  and then see Lecture by S.Bilenky and Lectures by A.Smirnov

$$\mathcal{V}_N = \frac{1}{2} \begin{pmatrix} \bar{v}_e & \bar{N}^c \end{pmatrix} \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} v_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy  $M_N \gg M^D \equiv v \frac{f}{\sqrt{2}}$  we have

flavor state  $v_e = U v_1 + \theta N$  with  $U \approx 1$  and

active-sterile mixing:  $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{active} = \theta^2 M_N \ll M_N$$

# Violation of $L$ , $C$ and $CP$ symmetries

$$\mathcal{L}_N = \overline{N} i\partial N - f \overline{L}_e^c \tilde{H} N - \frac{M_N}{2} \overline{N}^c N + \text{h.c.}$$

- $f = 0 \rightarrow$  free fermion, no need to call 'sterile'
- $M_N = 0 \rightarrow N$  and  $\nu$  form pure Dirac neutrino,  
the most boring case, worth than we have with the Higgs boson  
one may refuse to call it 'new physics'
- $f \neq 0, M_N \neq 0 \rightarrow$  introduces new massive parameter,  
violates lepton symmetry  $L$   
(and  $C$ - and  $CP$ -symmetry with several  $N$ 's)  
see Lecture by G.Mitselmakher

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When Higgs gains  $\langle H \rangle = v/\sqrt{2}$  we get in neutrino sector

$$\mathcal{V}_N = \frac{1}{2} \left( \bar{v}_1, \dots, \bar{N}_1^c, \dots \right) \begin{pmatrix} 0 & v \frac{\hat{f}}{\sqrt{2}} \\ v \frac{\hat{f}^T}{\sqrt{2}} & \hat{M}_N \end{pmatrix} (v_1, \dots, N_1, \dots)^T + \text{h.c.}$$

Then for  $M_N \gg \hat{M}^D = v \frac{\hat{f}}{\sqrt{2}}$  we find the eigenvalues:

$$\simeq \hat{M}_N \quad \text{and} \quad \hat{M}^v = -(\hat{M}^D)^T \frac{1}{\hat{M}_N} \hat{M}^D \propto f^2 \frac{v^2}{M_N} \ll M_N$$

Mixings: flavor state  $v_{\alpha} = U_{\alpha i} v_i + \theta_{\alpha I} N_I$  neither  $U_{\alpha i}$  nor  $\theta_{\alpha I}$  is unitary

active-active mixing:  $U^\dagger \hat{M}^v U = \text{diag}(m_1, m_2, m_3)$

active-sterile mixing:  $\theta_{\alpha I} = \frac{(M^D)_{\alpha I}^T}{M_I} \propto \hat{f}^T \frac{v}{M_N} \ll 1$

# Seesaw mechanism: sterile neutrino scale

For  $M_N \gg \hat{M}^D = v \frac{\hat{f}}{\sqrt{2}}$  we found the eigenvalues:

$$\simeq \hat{M}_N \quad \text{and} \quad \hat{M}^\nu = -(\hat{M}^D)^T \frac{1}{\hat{M}_N} \hat{M}^D \propto f^2 \frac{v^2}{M_N} \ll M_N$$

SEESAW says nothing about the sterile neutrino scale  $M_I$  !

Unitarity:  $f \lesssim 1 \implies M_N \lesssim 3 \times 10^{14} \text{ GeV} \times \left( \frac{3 \cdot 10^{-3} \text{ eV}^2}{\Delta m_{atm}^2} \right)^{1/2} \rightarrow \Lambda \text{ in } (LH)^2/\Lambda$

At given  $M_N$  without fine tuning the scale of Yukawas  $\hat{f}$  and strength of active-sterile mixing

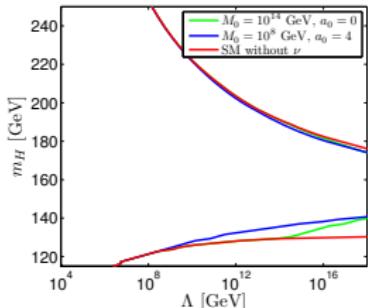
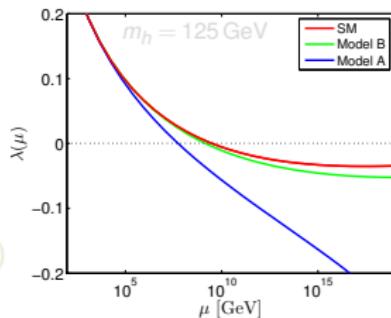
$$\theta_{\alpha I} = \frac{(\hat{M}^D)_{\alpha I}^T}{M_I} \propto \hat{f}^T \frac{v}{M_N} \ll 1 \text{ are fixed}$$

1203.3825

With fine tuning in  $\hat{f}^T \hat{M}^{-1} \hat{f}$  can have larger  $f$ :

and change the Higgs mass window

$$\frac{d\lambda}{d\log \mu} \propto \lambda^2 + \lambda \text{tr}(\hat{f}^\dagger \hat{f}) - \text{tr}(\hat{f}^\dagger \hat{f} \hat{f}^\dagger \hat{f}) \\ m_h^2 = 2\lambda v^2$$



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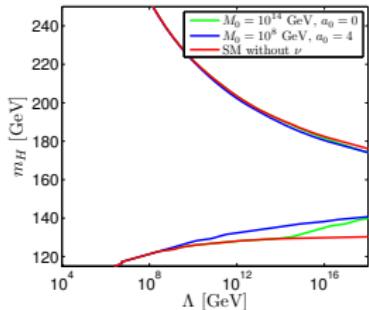
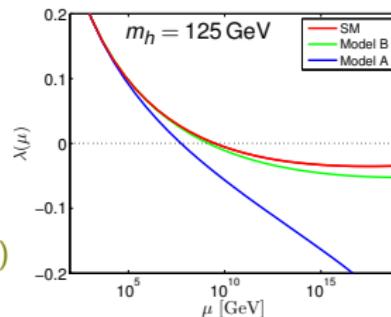
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# Where is sterile neutrino scale?

eigenvalues:  $\hat{M}^{\nu} \simeq \hat{M}_N$  and  $\hat{M}^{\nu} = -\hat{M}^{DT} \frac{1}{\hat{M}_N} \hat{M}^D \propto f^2 \frac{v^2}{M_N} \ll M_N$

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Integrating out sterile neutrinos get dim-5 operator  $-f_{\alpha I} \bar{L}_{\alpha} \tilde{H} N_I - \frac{M_{N_L}}{2} \bar{N}_I^c N_I \rightarrow f^2 (LH)^2/M_N$

SM Higgs without NP at EW-scale favors sterile neutrinos at EW-scale (or below) !

- Majorana mass violates scale-invariance  $\implies$  finite corrections  $\delta m_h^2 \propto f^2 M_N^2$
- Scale invariance helps to abandon infinite corrections  $\delta m_h^2 \propto f^2 \Lambda^2$
- In SM scale invariance is broken by the Higgs mass and running of coupling constants  $T_{\mu}^{\mu} \propto \beta(\alpha) \times \hat{O} + (m_h^2 + \alpha \Lambda^2) \times h^2 \implies$  quadratic divergences are irrelevant

$$\delta m_h^2 \lesssim m_h^2 \quad \text{then} \quad M_N \lesssim 10^7 \text{ GeV}$$

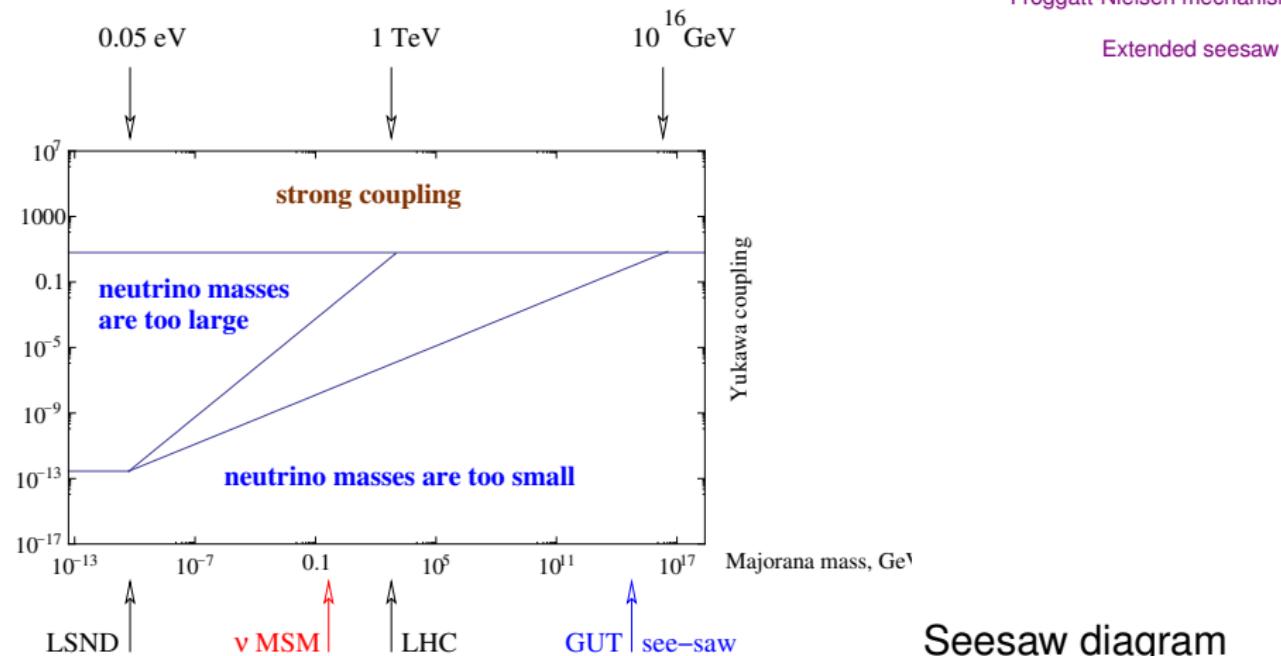
W.Bardeen (1995)

# Sterile neutrino mass scale: $\hat{M}_\nu = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

**NB:** With fine tuning in  $\hat{M}_N$  and  $\hat{f}$  we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos

$L_e - L_\mu - L_\tau$  or discrete symmetries  
Froggatt-Nielsen mechanism

Extended seesaw



Seesaw diagram

# Sterile neutrino lagrangian

Most general renormalizable with  $2(3\dots)$  right-handed neutrinos  $N_I$

$$\mathcal{L}_N = \bar{N}_I i\partial^\mu N_I - f_{\alpha I} \bar{L}_\alpha \tilde{H} N_I - \frac{M_{N_I}}{2} \bar{N}_I^c N_I + \text{h.c.}$$

Parameters to be determined from experiments

9(7): active neutrino sector

$2 \Delta m_{ij}^2$ : oscillation

experiments

$3 \theta_{ij}$ : oscillation experiments

1 CP-phase: oscillation

experiments

2(1) Majorana phases:  $0\nu ee$ ,  $0\nu \mu\mu$

1(0)  $m_\nu$ :  ${}^3\text{H} \rightarrow {}^3\text{He} + e + \bar{\nu}_e$ , cosmology, ...

11:  $N = 2$  sterile neutrinos  
(works if  $m_\nu = 0$  !!!)

2: Majorana masses  $M_{N_I}$

9: New Yukawa couplings  $f_{\alpha I}$

which form

2: Dirac masses  $M^D = f\langle H \rangle$

3+1: mixing angles

2+1: CP-violating phases

4 new parameters in total

18:  $N = 3$  sterile neutrinos:

3: Majorana masses  $M_{N_I}$

15: New Yukawa couplings  $f_{\alpha I}$

which form

3: Dirac masses  $M^D = f\langle H \rangle$

3+3: mixing angles

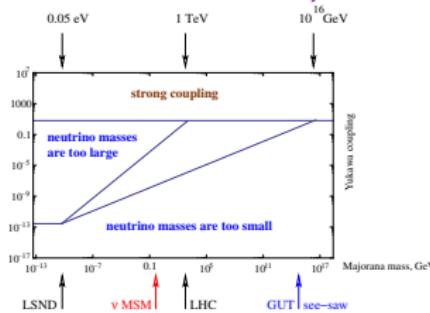
3+3: CP-violating phases

9 new parameters in total

Profit: can suggest why neutrinos are so light,  $m_\nu \sim 0.1 - 0.01$  eV

# Bonus: depends on the sterile neutrino mass range

**NB:** With fine tuning in  $\hat{M}_N$  and  $\hat{f}$  we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos



$L_e - L_\mu - L_\tau$  or discrete symmetries  
 Froggatt-Nielsen mechanism  
 Extended seesaw

There are different regions:

$$M_N \sim 1 \text{ eV}-100 \text{ GeV}$$

- keV-scale dark matter
- BAU via leptogenesis
- Neutrino anomalies  
(1 eV sterile neutrinos?)

direct searches!

$$M_N \sim 100 \text{ GeV}-5 \text{ TeV}$$

- BAU via leptogenesis

$$f \sim 10^{-6} \simeq Y_e$$

but with fine tuning or new global or gauge symmetries  
(e.g.  $SU(2)_L \times SU(2)_R$ )

direct searches at colliders

$$M_N \sim 10^{12}-10^{14} \text{ GeV}$$

- BAU via leptogenesis

$$f \simeq 0.01 - 1$$

Untestable...?  
or already confirmed?

preferred by many theorists

# Outline

1 Introduction to BSM

2 Neutrino oscillations

3 Cosmology

- Neutrino sector
- Dark Matter
- Matter-antimatter asymmetry

4 Theoretical problems

- Supersymmetry
- Portals

# Standard cosmological model $ds^2 = dt^2 - a^2(t)dx^2$

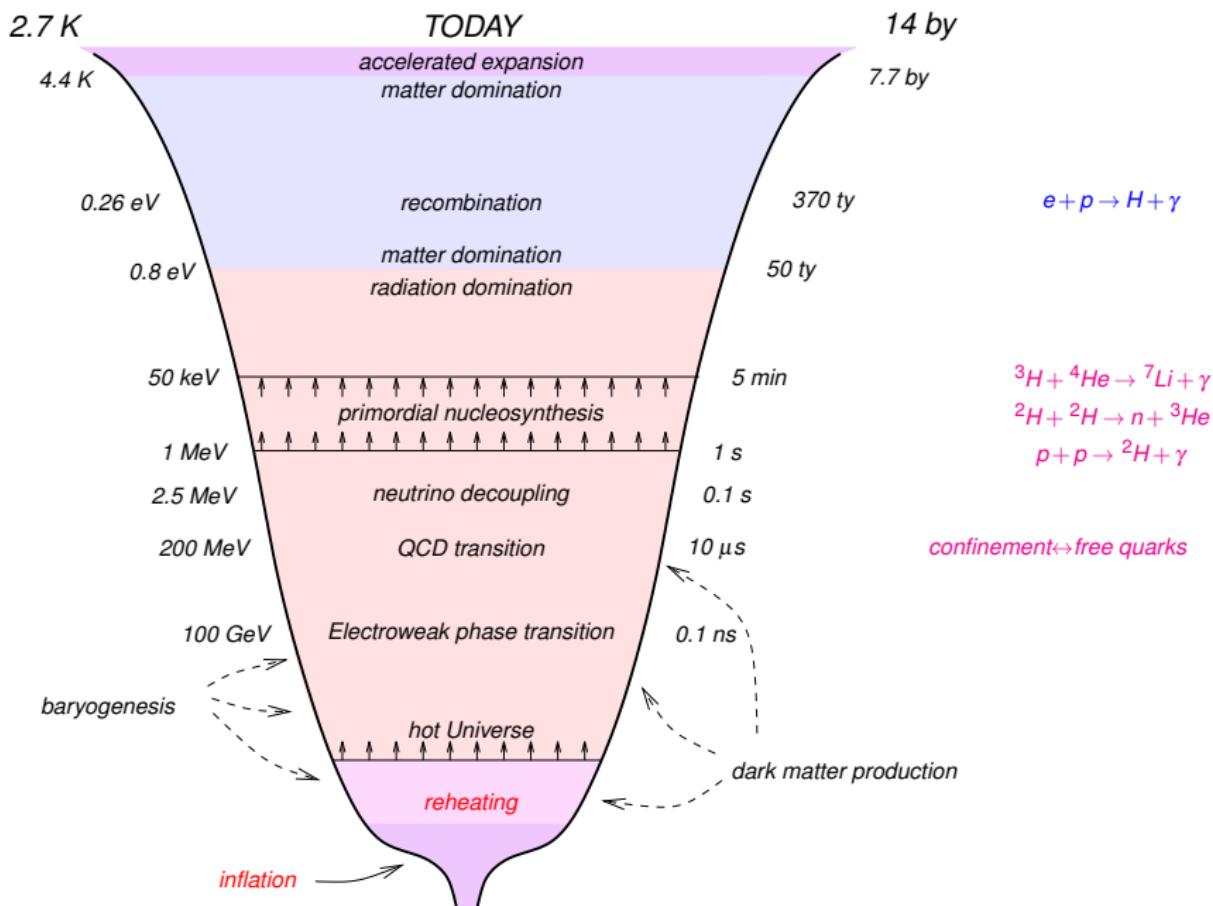
$$\left(\frac{\dot{a}}{a}\right)^2 \equiv H^2 = H_0^2 \left[ \Omega_\Lambda + (\Omega_{DM} + \Omega_B + \Omega_{\nu, m \neq 0}) \left(\frac{a_0}{a}\right)^3 + (\Omega_\gamma + \Omega_{\nu, m=0}) \left(\frac{a_0}{a}\right)^4 \right]$$

- $T_\gamma = 2.735 \text{ K}$ ,  $\Rightarrow \Omega_\gamma \sim 10^{-5}$
- $N_\nu \approx 3$ ,  $\sum m_\nu < 0.2 \text{ eV}$   $\Rightarrow \Omega_{\nu, \neq 0}, \Omega_{\nu, 0} \sim 10^{-5}$  ?
- $\Omega_B = 4.5\%$   $\Rightarrow \eta_B \equiv n_B/n_\gamma = 6 \times 10^{-10}$
- $\Omega_{DM} = 27.5\%$
- $I_{s, rec} \sim I_{H, rec}/\sqrt{3} \rightarrow H_0 = 67 \text{ km/s/Mpc} \Rightarrow \rho_0 = 5 \text{ GeV/m}^3$
- $\Omega_\Lambda = 68\% \Rightarrow \text{flat space}$
- adiabatic, gaussian matter perturbations

$$\langle \left( \frac{\delta \rho}{\rho} \right)^2 \rangle \sim A_S \int \frac{dk}{k} \left( \frac{k}{k_*} \right)^{n_S - 1}$$

with  $A_S = 3 \times 10^{-9}$  and  $n_S = 0.97$

- no tensor perturbations,  $r \equiv A_T/A_S < 0.03$
- reionization at  $z_{rei} \equiv a_0/a = 8$



# New Physics in Cosmology: any energy scales...

Cosmology constrains the time-scale, rather than energy-scale

- Dark energy be present by  $T \gg 5\text{ K}$
- Dark matter (if particles) be produced by  $T \gg 1\text{ eV}$
- Baryon asymmetry be generated by  $T \gg 1\text{ MeV}$

$$\left(\frac{\dot{a}}{a}\right)^2 = H^2(t) = \frac{8\pi}{3} G \rho_{\text{density}}^{\text{energy}}$$

$$\rho_{\text{density}}^{\text{energy}} = \rho_{\text{radiation}} + \rho_{\text{matter}}^{\text{ordinary}} + \rho_{\text{matter}}^{\text{dark}} + \rho_{\Lambda}$$

$$\rho_{\text{radiation}} \propto 1/a^4(t) \propto T^4(t), \quad \rho_{\text{matter}} \propto 1/a^3(t)$$

$$\rho_{\Lambda} = \text{const}$$

Why do we think it is most probably new particle physics  
(new gravity if any is not enough) ?

- BAU requires baryon number violation
- DM evidences at various spatial scales and epochs

# World-wide accepted problems . . .

## Origins of...?

- Dark Matter
- Matter-antimatter asymmetry
- Dark Energy
- matter perturbations (inflation?)
- ...
- extragalactic magnetic field
- superheavy black holes in the galaxy centers

## Coincidences

- $\Omega_{DM} \sim \Omega_B$
- $\Omega_M \sim \Omega_{DE}$
- $(\delta\rho/\rho)^2 \simeq n_B/n_\gamma$
- $T_d^n \sim (m_n - m_p)$
- $\tau_U \approx H_0^{-1}$
- ...

## Anomalies

- BBN: Lithium
- CMB: multipole statistics
- Planck: low and high multipoles
- Planck: lensing, SZ-clusters
- Hubble measurements
- $\sigma_8$

# Microscopic processes in the expanding Universe

A competition between scattering, decays, etc and expansion

for general processes one should solve kinetic equations

$$\frac{dn_{X_i}}{dt} + 3Hn_{X_i} = \sum (\text{production} - \text{destruction})$$

Boltzmann equation in a comoving volume:  $\frac{d}{dt}(na^3) = a^3 \int \dots$

*production:*

$$\sigma(A+B \rightarrow X+C)n_A n_B, \quad \Gamma(D \rightarrow E+X)n_D \cdot M_D/E_D, \quad \text{etc}$$

*destruction:*

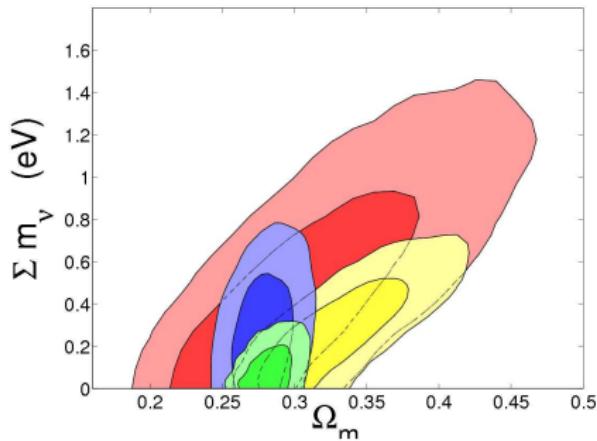
$$\sigma(A+X \rightarrow C+B)n_A n_X, \quad \Gamma(X \rightarrow F+G)n_X \cdot M_X/E_X, \quad \text{etc}$$

Fast processes,  $\Gamma \gtrsim H$ , are in equilibrium,

$$\Sigma(\ ) = 0$$

and thermalize particles  
no history-dependence

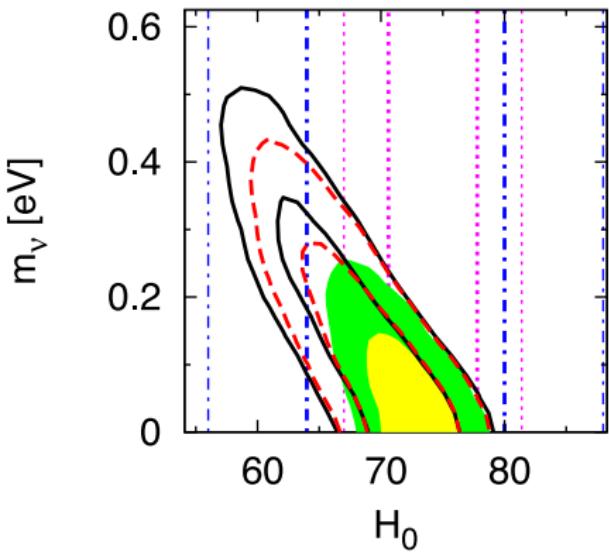
# Cosmological limits on active neutrino masses



LRG+BAO+WMAP5+SNe+BAO

$\Sigma m_\nu < 0.28 \text{ eV}$  (95% CL)

0911.5291



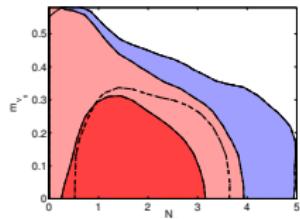
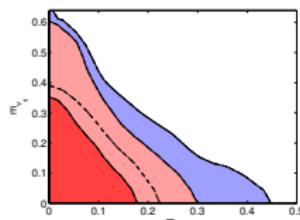
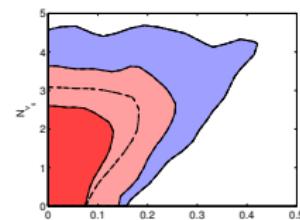
CMB+Hubble measurements

$\Sigma m_\nu < 0.20 \text{ eV}$  (95% CL)

0911.0976

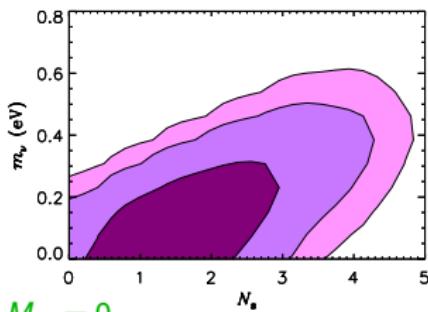
# Combined analysis for sterile and active neutrinos

WMAP7+LRG+HST

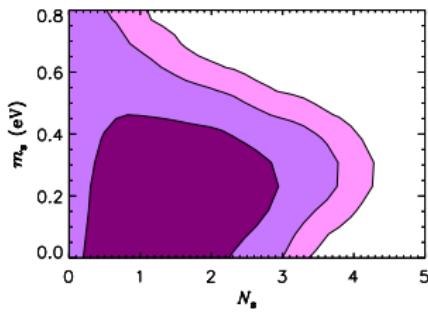


flat  $\Lambda$ CDM      1102.4774

CMB+SDSS+HST



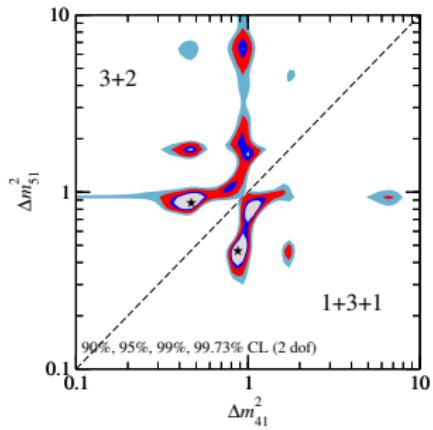
$M_{\nu_s} = 0$



$m_\nu = 0$   
flat  $\Lambda$ CDM

1006.5276

LSND+MiniBooNE



1103.4570

“3+1” :

$$\Delta m_{41}^2 = 1.76 \text{ eV}^2, |U_{e4}| = 0.151$$

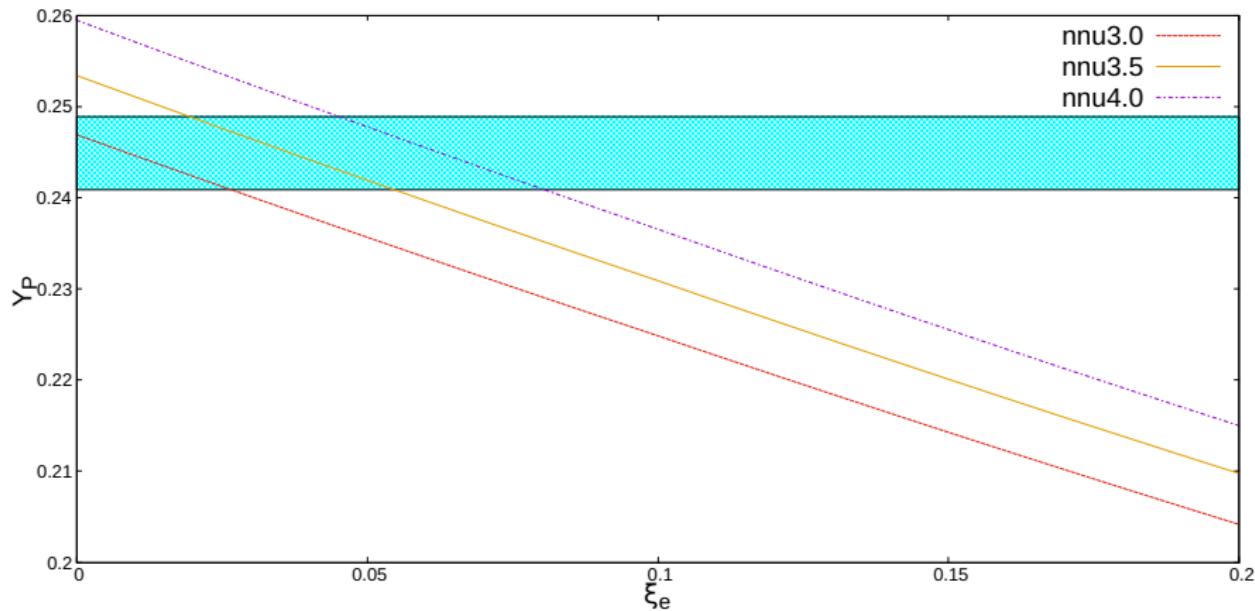
“3+2” :

$$\Delta m_{41}^2 = 0.46 \text{ eV}^2, |U_{e4}| = 0.108$$

$$\Delta m_{51}^2 = 0.89 \text{ eV}^2, |U_{e5}| = 0.124$$

# BBN: extra-radiation and lepton asymmetry

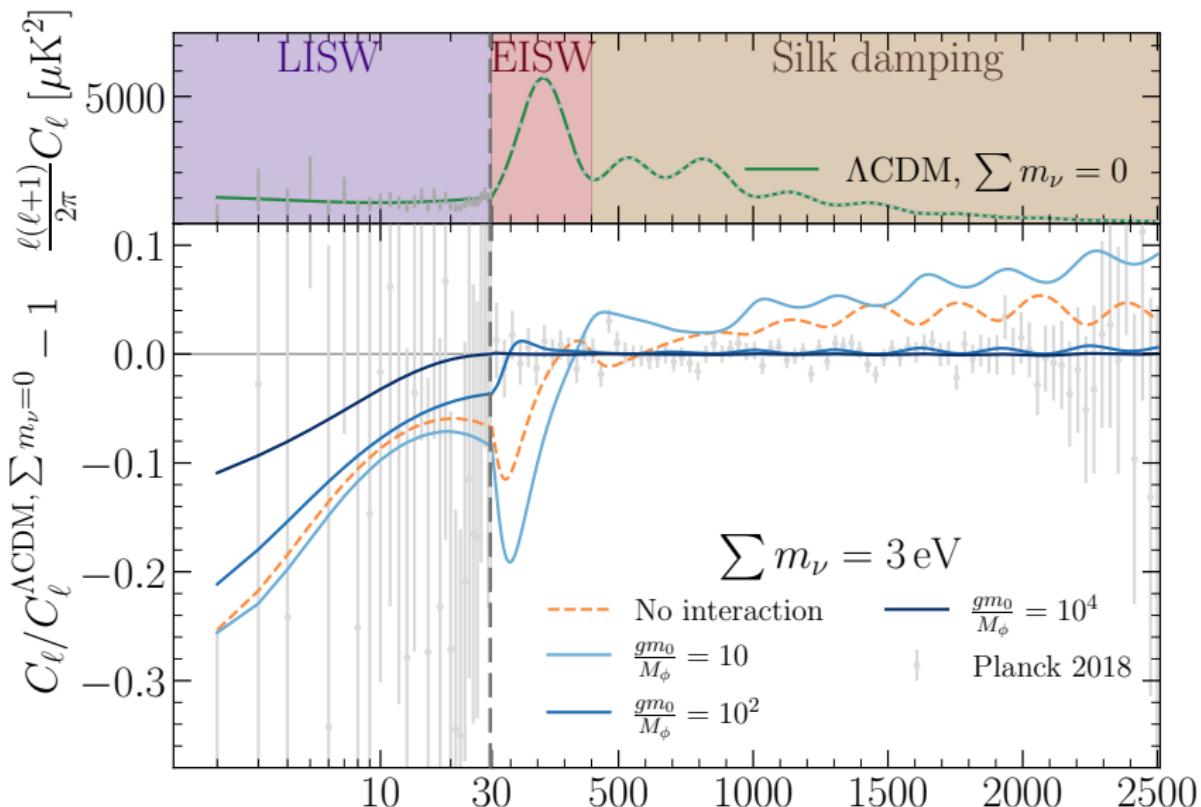
2104.04381



$$n_n \propto e^{-\frac{\mu_\nu}{T}}, \quad H^2 \propto (\dots \Delta N_\nu) T^4$$

# CMB: 'heavy' neutrinos with long-range force

2101.05804



# World-wide accepted problems...

Origins of...?

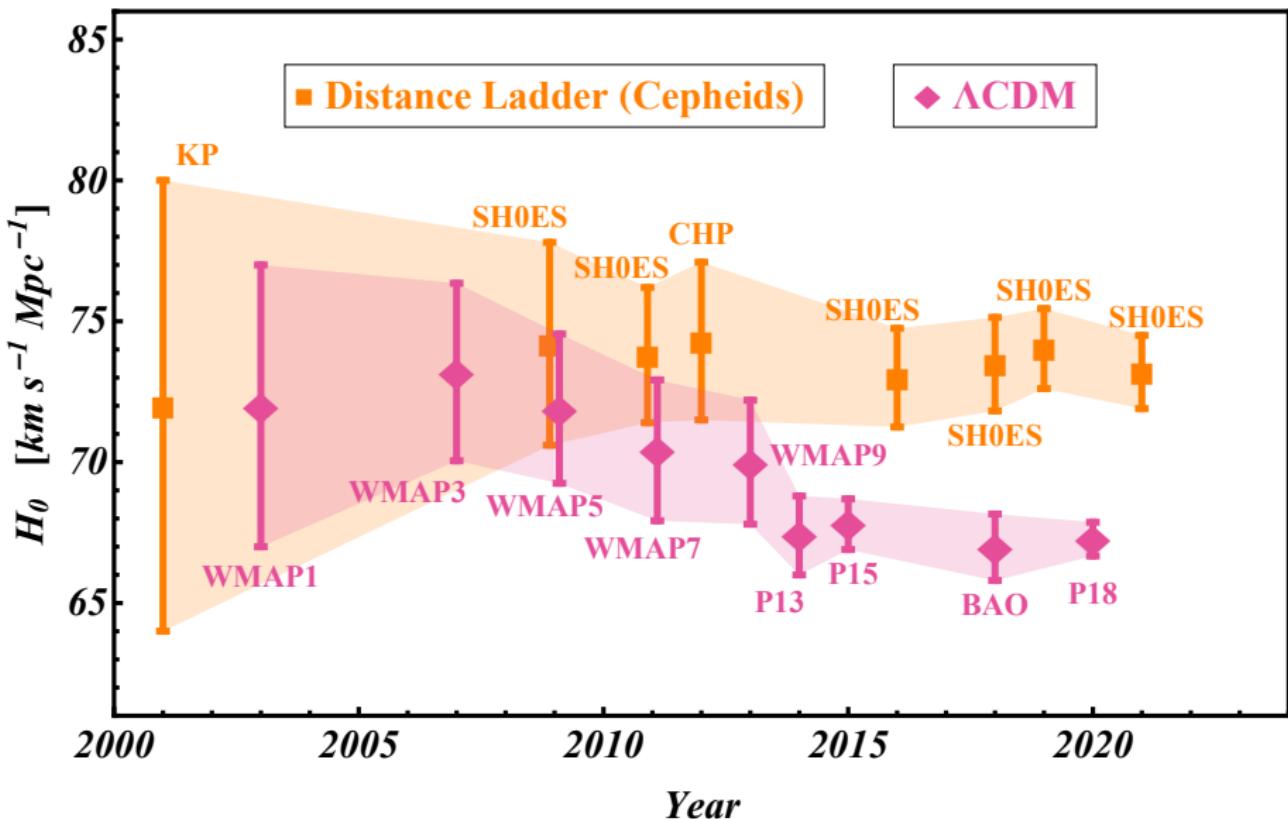
- Dark Matter
- Matter-antimatter asymmetry
- Dark Energy
- matter perturbations (inflation?)
- ...
- extragalactic magnetic field
- superheavy black holes in the galaxy centers

Coincidences

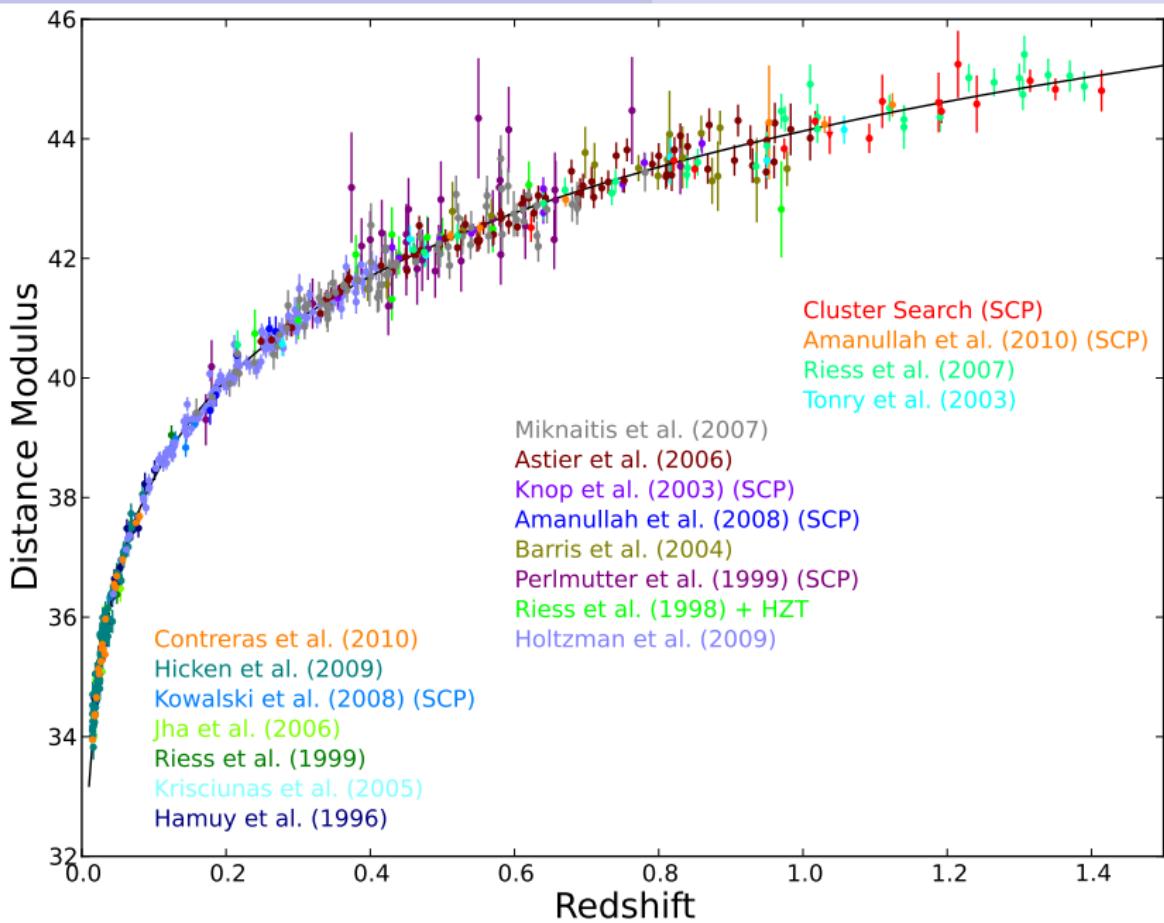
- $\Omega_{DM} \sim \Omega_B$
- $\Omega_M \sim \Omega_{DE}$
- $(\delta\rho/\rho)^2 \simeq n_B/n_\gamma$
- $T_d^n \sim (m_n - m_p)$
- $\tau_U \approx H_0^{-1}$
- ...

Anomalies

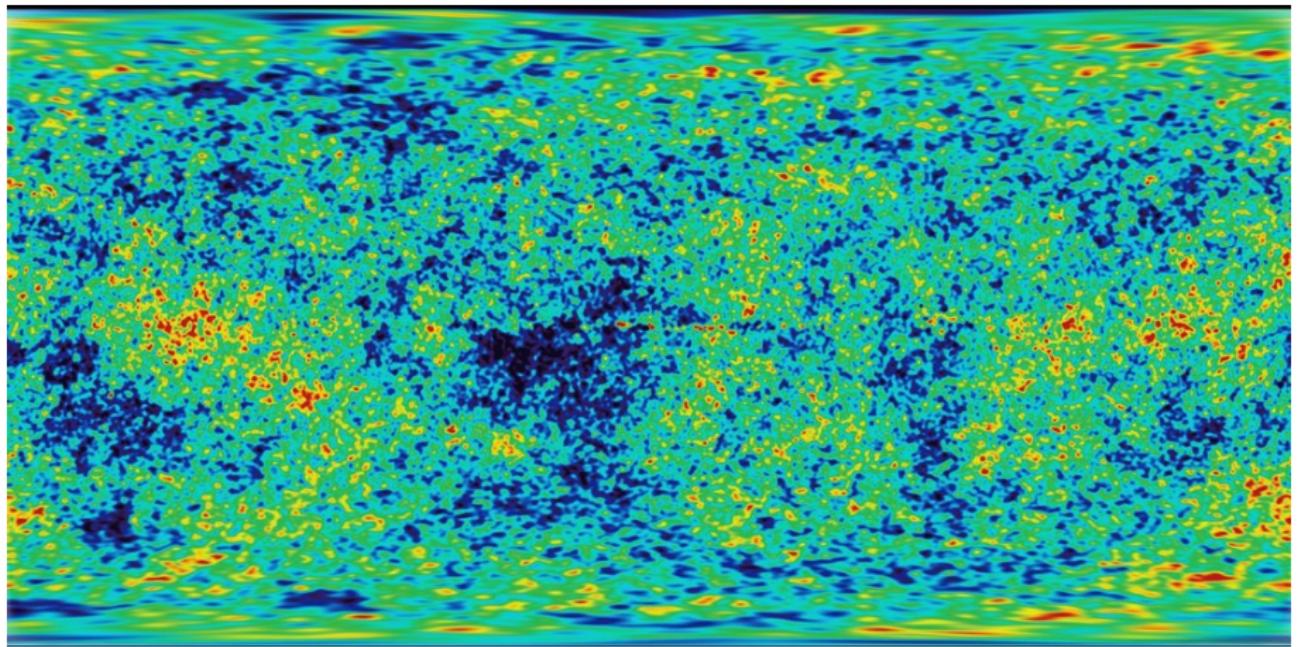
- BBN: Lithium
- CMB: multipole statistics
- Planck: low and high multipoles
- Planck: lensing, SZ-clusters
- Hubble measurements
- $\sigma_8$



2105.05208

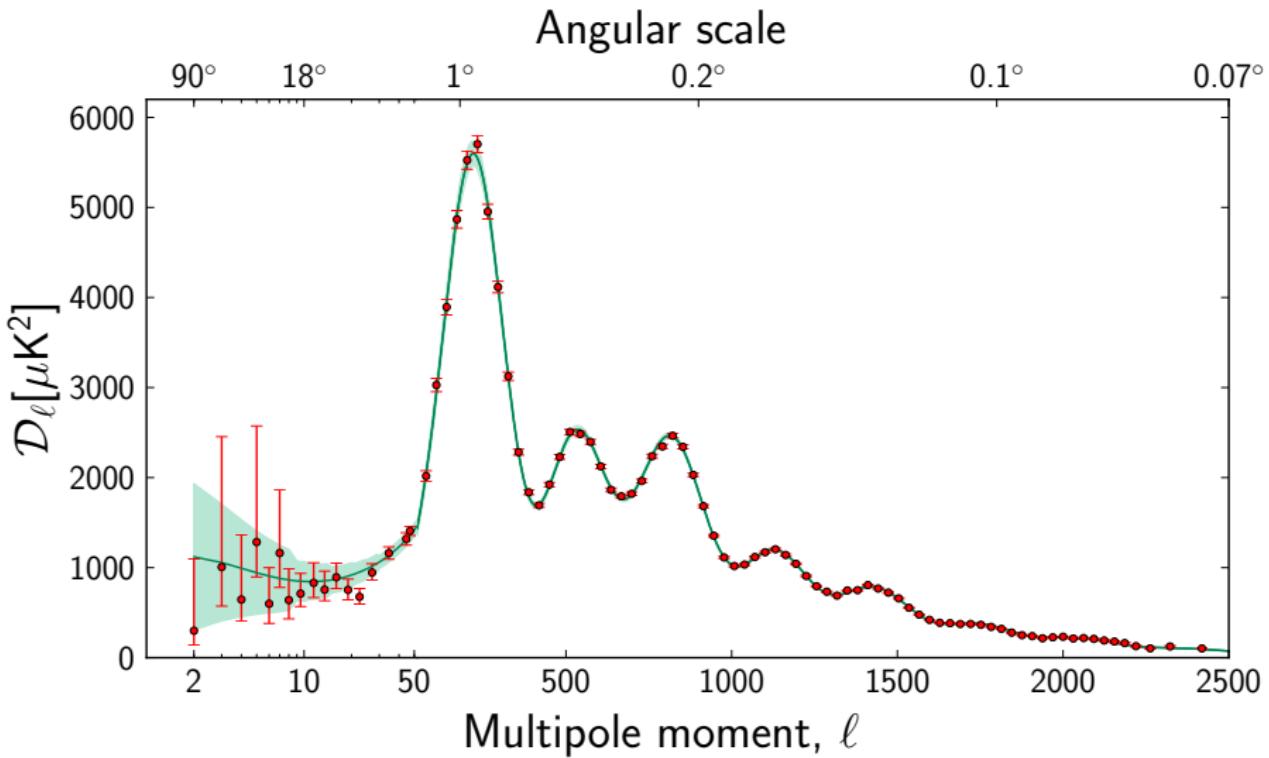


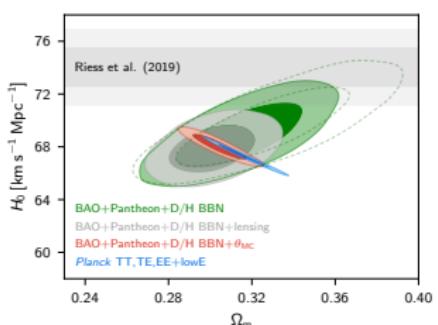
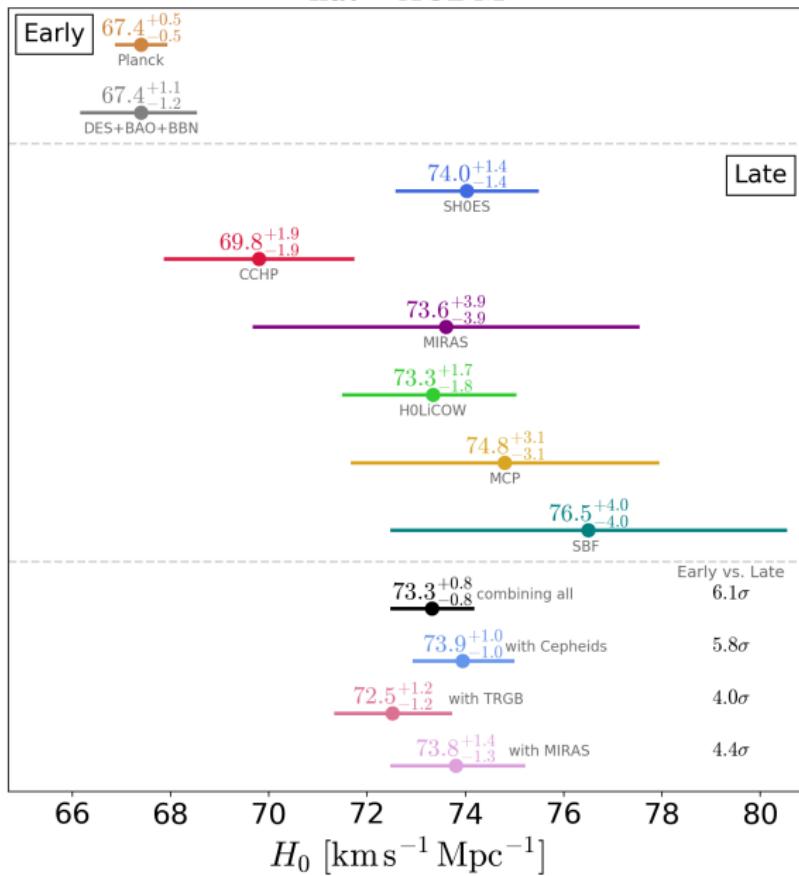
# CMB map

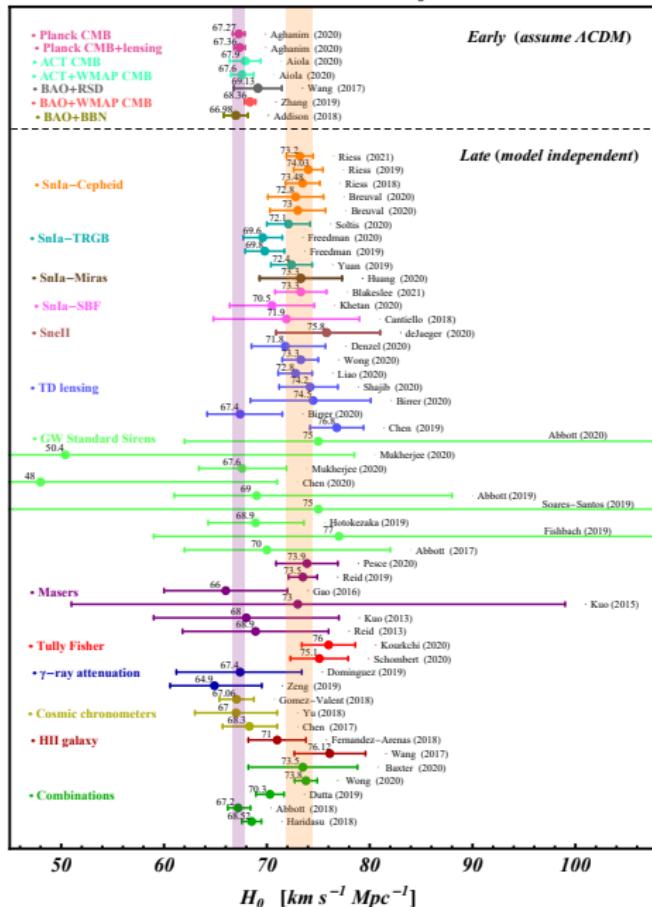


fit to  $\Lambda$ CDM model

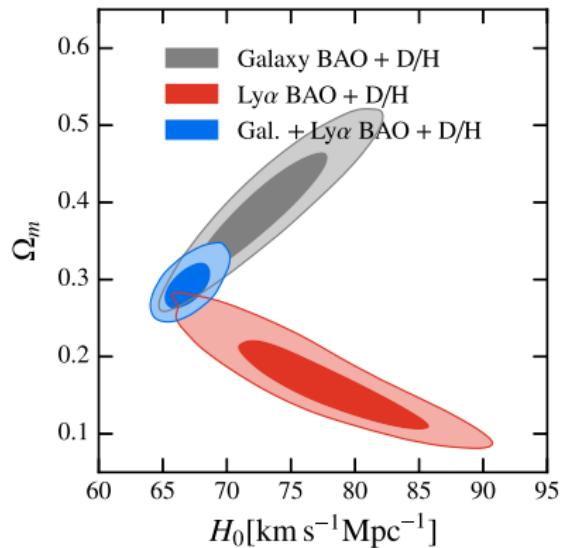
$\theta_{rec}, \Omega_{DM} h^2, \Omega_B h^2, z_{rei}, A_S, n_s$



flat  $-\Lambda$ CDM

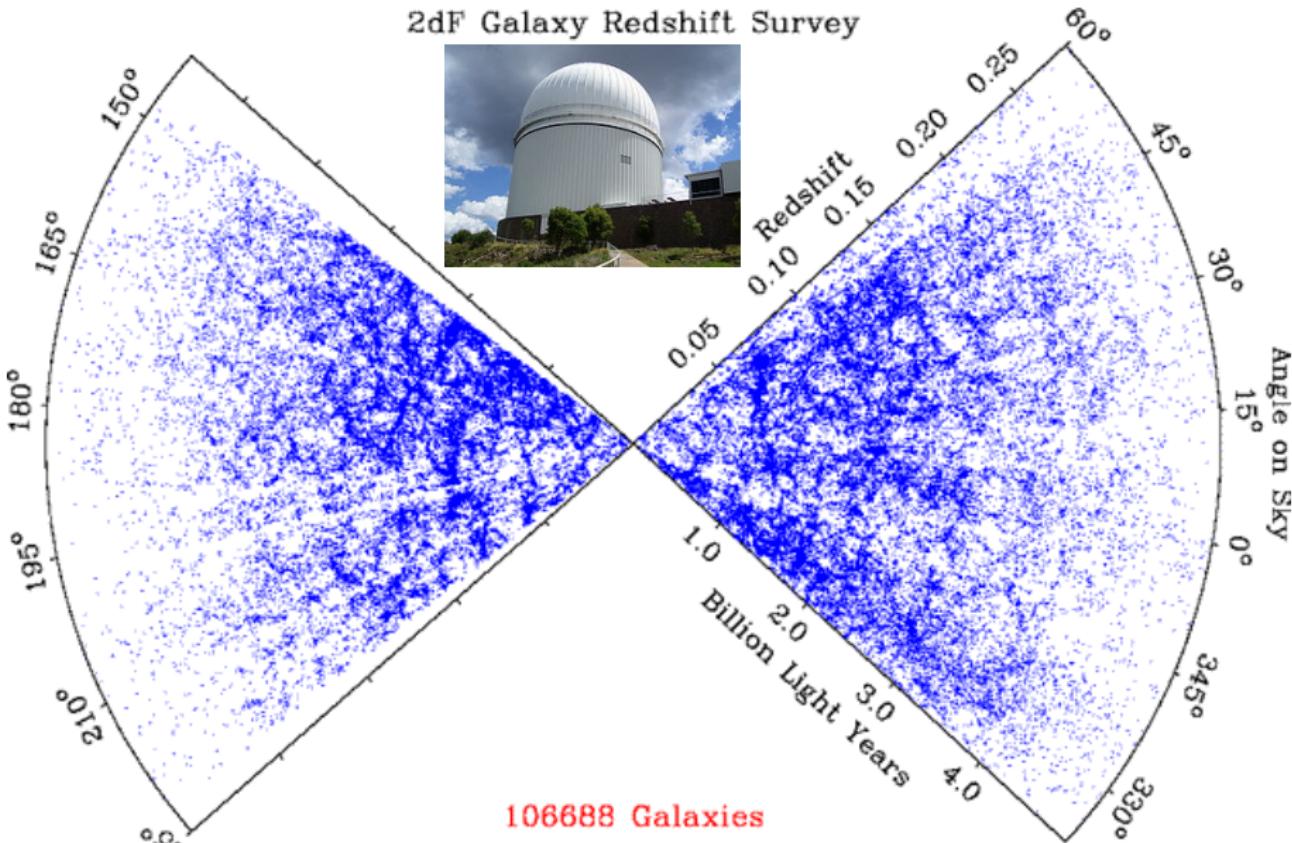
*Constraints on  $H_0$* 

2105.05208

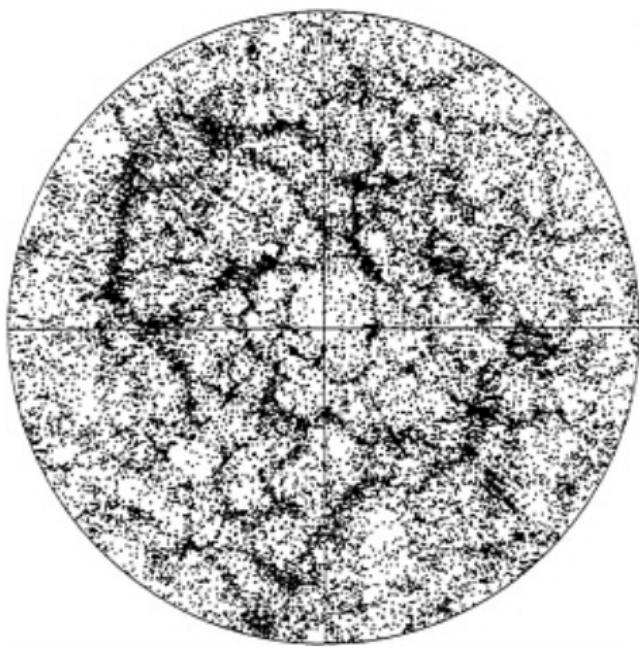


1707.06547

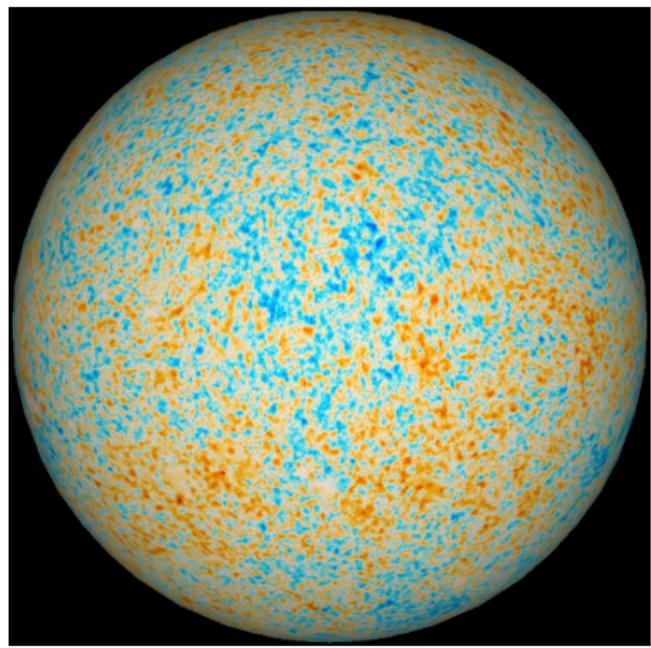
# Very large scales: homogeneity and isotropy



# Inhomogeneous Universe



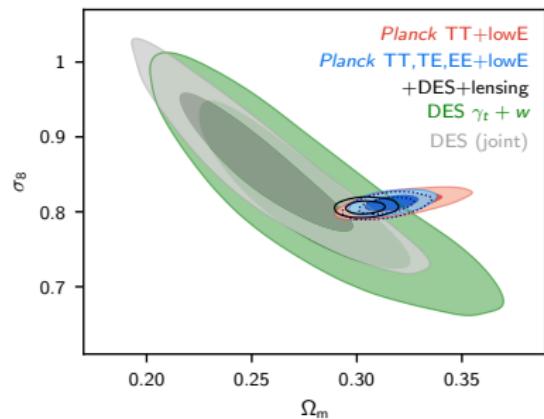
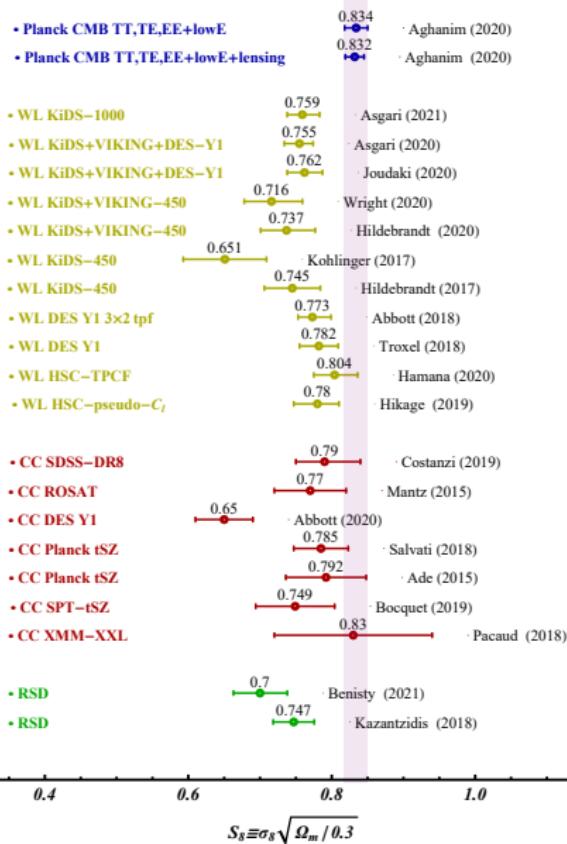
Large Scale Structure



CMB anisotropy

*Flat  $\Lambda$ CDM – Growth Tension*

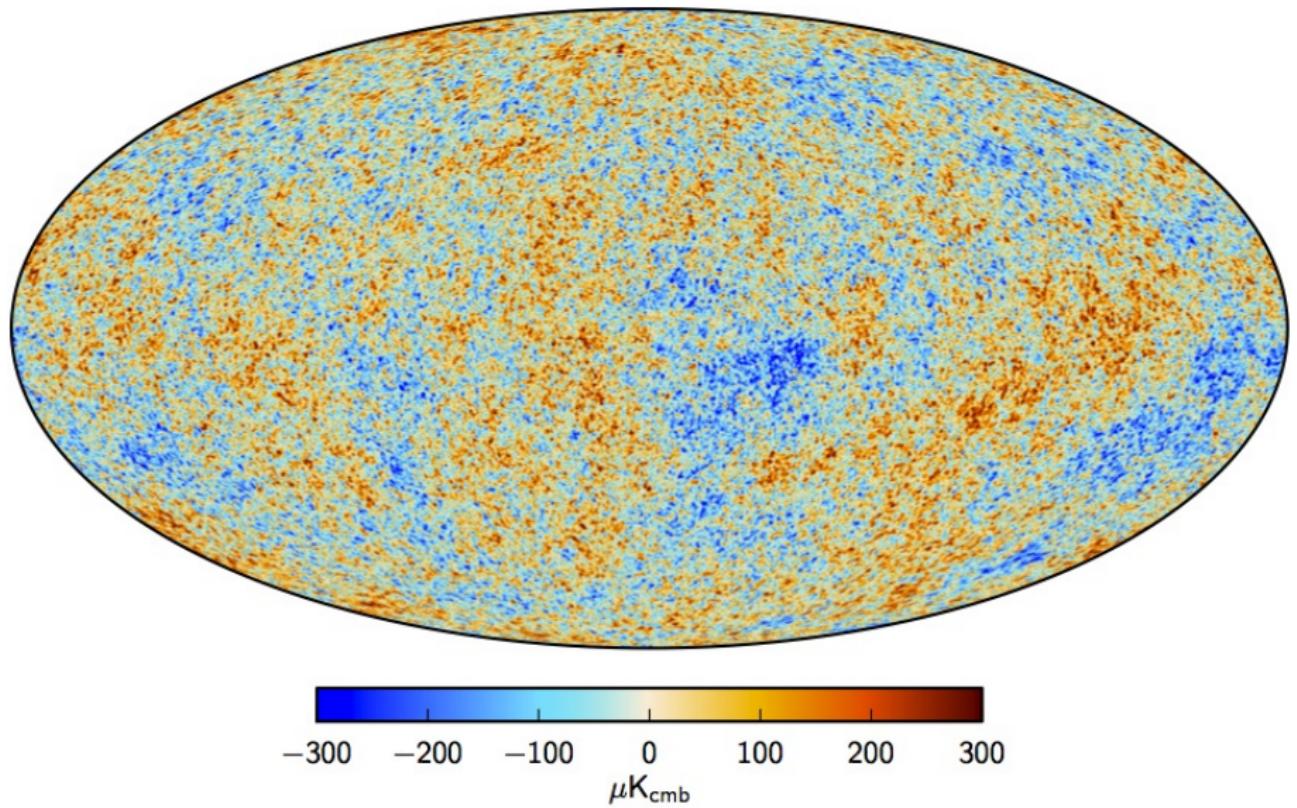
2105.05208



1807.06209

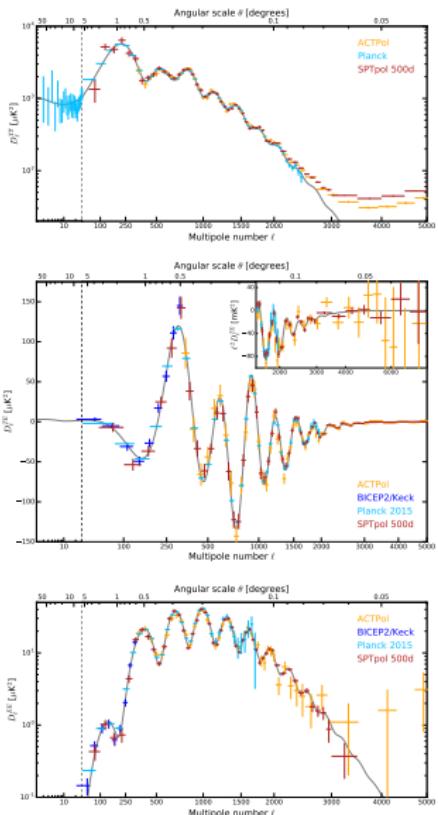
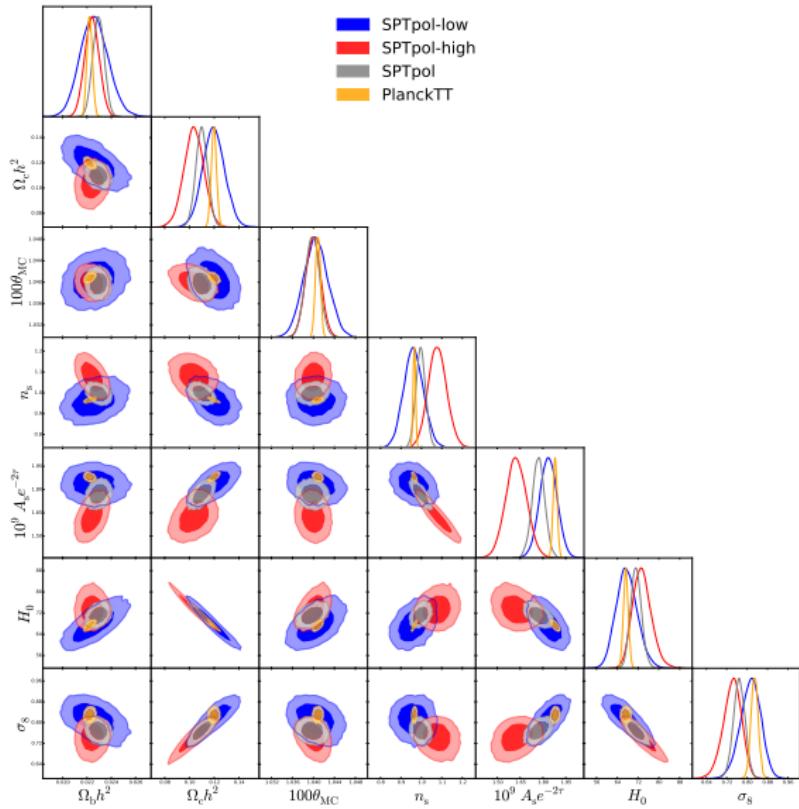
## CMB map (Planck)

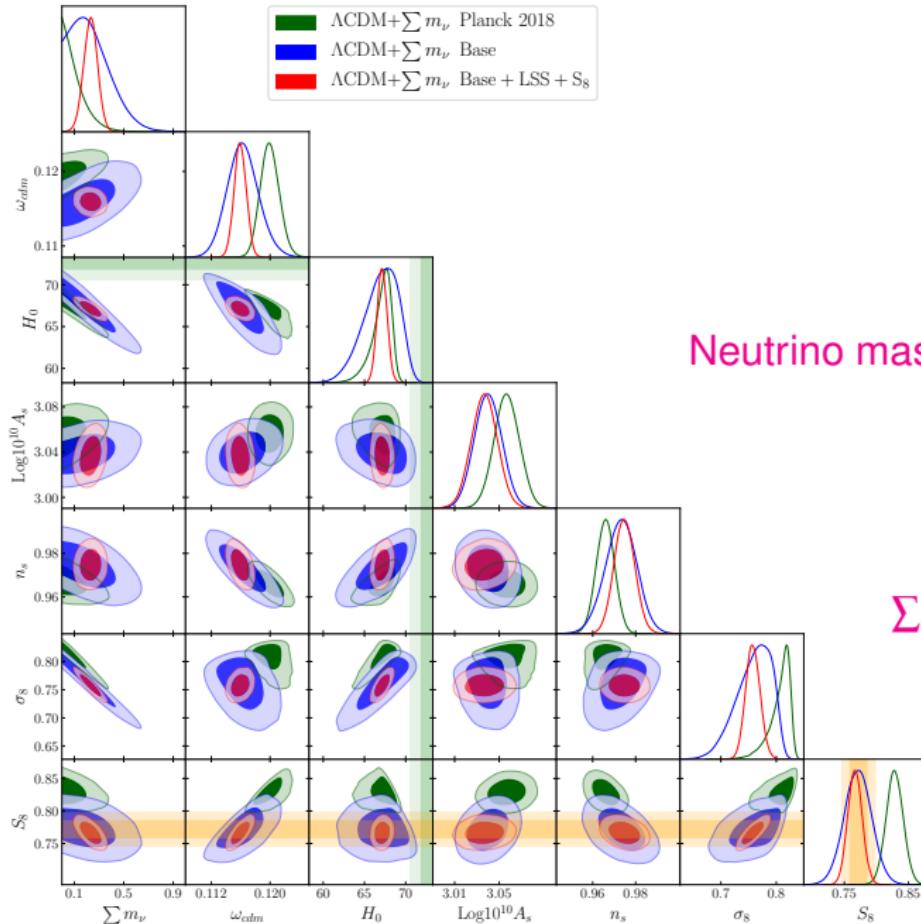
1502.01582



# SPTPole with critical $\ell = 1000$

1707.09353





Neutrino masses:  $4\sigma$  evidence...

2203.03666

both  $H_0$  and  $\sigma_8$ ,

$\sum m_\nu = 0.32 \pm 0.06 \text{ eV}$

# World-wide accepted problems...

## Origins of...?

- Dark Matter
- Matter-antimatter asymmetry
- Dark Energy
- matter perturbations (inflation?)
- ...
- extragalactic magnetic field
- superheavy black holes in the galaxy centers

## Coincidences

- $\Omega_{DM} \sim \Omega_B$
- $\Omega_M \sim \Omega_{DE}$
- $(\delta\rho/\rho)^2 \simeq n_B/n_\gamma$
- $T_d^n \sim (m_n - m_p)$
- $\tau_U \approx H_0^{-1}$
- ...

## Anomalies

- BBN: Lithium
- CMB: multipole statistics
- Planck: low and high multipoles
- Planck: lensing, SZ-clusters
- Hubble measurements
- $\sigma_8$

# Dark Matter properties from cosmology: $p = 0$

(If) particles:

- ① stable on cosmological time-scale  
requires new (almost) conserved quantum number
- ② produced in the early Universe  
some time before RD/MD-transition ( $T = 0.8 \text{ eV}$ )
- ③ nonrelativistic particles long before RD/MD-transition ( $T = 0.8 \text{ eV}$ )  
(either Cold or Warm,  $v_{RD/MD} \lesssim 10^{-3}$ )

Otherwise no small-size structures, like dwarf galaxies:  
 $I_{fs} = a \int v(t) dt / a(t)$   
smoothed out by free streaming

If were in thermal equilibrium:

- ④ (almost) collisionless  
 $p = 0, v_{\text{sound}} = 0$
- ⑤ (almost) electrically neutral  
CMB distortion
- ⑥ all matter inhomogeneities (perturbations) are adiabatic:

$$\delta \left( \frac{n_B}{n_{DM}} \right) = \delta \left( \frac{n_B}{n_\gamma} \right) = \delta \left( \frac{n_\nu}{n_\gamma} \right) = 0$$

# Decoupling of nonrelativistic Dark Matter

Assumptions:

- ① no  $X - \bar{X}$  asymmetry either  $X = \bar{X}$  or  $n_X = n_{\bar{X}}$
- ② @  $T \lesssim M_X$  in thermal equilibrium with plasma (e.g. neutrons)

$$n_X = n_{\bar{X}} = g_X \left( \frac{M_X T}{2\pi} \right)^{3/2} e^{-M_X/T}$$

$X\bar{X} \rightarrow$  light particles

freeze-out temperature  $T_f$

$$H \equiv T^2/M_{\text{Pl}}^*$$

$$n_X \langle \sigma_{\text{ann}} v \rangle = H(T_f) \longrightarrow T_f = \frac{M_X}{\ln \left( \frac{g_X M_X M_{\text{Pl}}^* \sigma_0}{(2\pi)^{3/2}} \right)} .$$

Bethe formula:

$$\text{s-wave: } \sigma_{\text{ann}} = \frac{\sigma_0}{v}$$

# Weakly Interacting Massive Particles

density after freeze-out:

$$n_x(T_f) = \frac{T_f^2}{M_{\text{Pl}}^* \sigma_0}$$

present density:

$$n_x(T_0) = \left( \frac{a(T_f)}{a(T_0)} \right)^3 n_x(T_f) = \left( \frac{s_0}{s(T_f)} \right) n_x(T_f) \propto \frac{1}{T_f}$$

$X + \bar{X}$  contribution to critical density:

$$\begin{aligned} \Omega_x &= 2 \frac{M_x n_x(T_0)}{\rho_c} = 7.6 \frac{s_0 \ln \left( \frac{g_x M_{\text{Pl}}^* M_x \sigma_0}{(2\pi)^{3/2}} \right)}{\rho_c \sigma_0 M_{\text{Pl}} \sqrt{g_*(T_f)}} \\ &= 0.1 \cdot \left( \frac{(10 \text{ TeV})^{-2}}{\sigma_0} \right) \frac{10}{\sqrt{g_*(T_f)}} \ln \left( \frac{g_x M_{\text{Pl}}^* M_x \sigma_0}{(2\pi)^{3/2}} \right) \cdot \frac{1}{2h^2} \end{aligned}$$

# WIMPs: discussion

$$\Omega_X = 0.1 \cdot \left( \frac{(10 \text{ TeV})^{-2}}{\sigma_0} \right) \frac{10}{\sqrt{g_*(T_f)}} \ln \left( \frac{g_x M_{\text{Pl}}^* M_X \sigma_0}{(2\pi)^{3/2}} \right) \cdot \frac{1}{2h^2}$$

- natural DM: subweak-scale cross section  $\sigma_0 \sim 0.01 \times \sigma_W$   
say,  $M_X \sim 1 \text{ TeV}$  or  $X$  is not a weak gauge eigenstate
- naturally “light”                         unitarity                          $\sigma_0 \lesssim \frac{4\pi}{M_X^2} \frac{g^4}{1} \longrightarrow M_X \lesssim 100 \text{ TeV}$
- all stable particles with smaller  $\sigma_0$  are forbidden !!
- WIMPs remain in kinetic equilibrium with plasma till  $T \sim 10 \text{ MeV}$   
this is Cold Dark Matter,  $v_{RD/MD} \ll 10^{-3}$

WIMPs may form dark halos (clumps) much lighter than

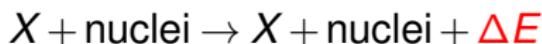
dwarf galaxies

# Weakly IMPs are mostly welcome (e.g. LSP in SUSY)

We can fully explore the model !!

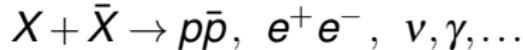
- Direct searches for Galactic Dark Matter ( $v \sim 10^{-3}$ )

a hit



- Can search for WIMPs in cosmic rays: products of WIMPs annihilation (in Galactic center, dwarf galaxies, Sun)

$\propto n^2$

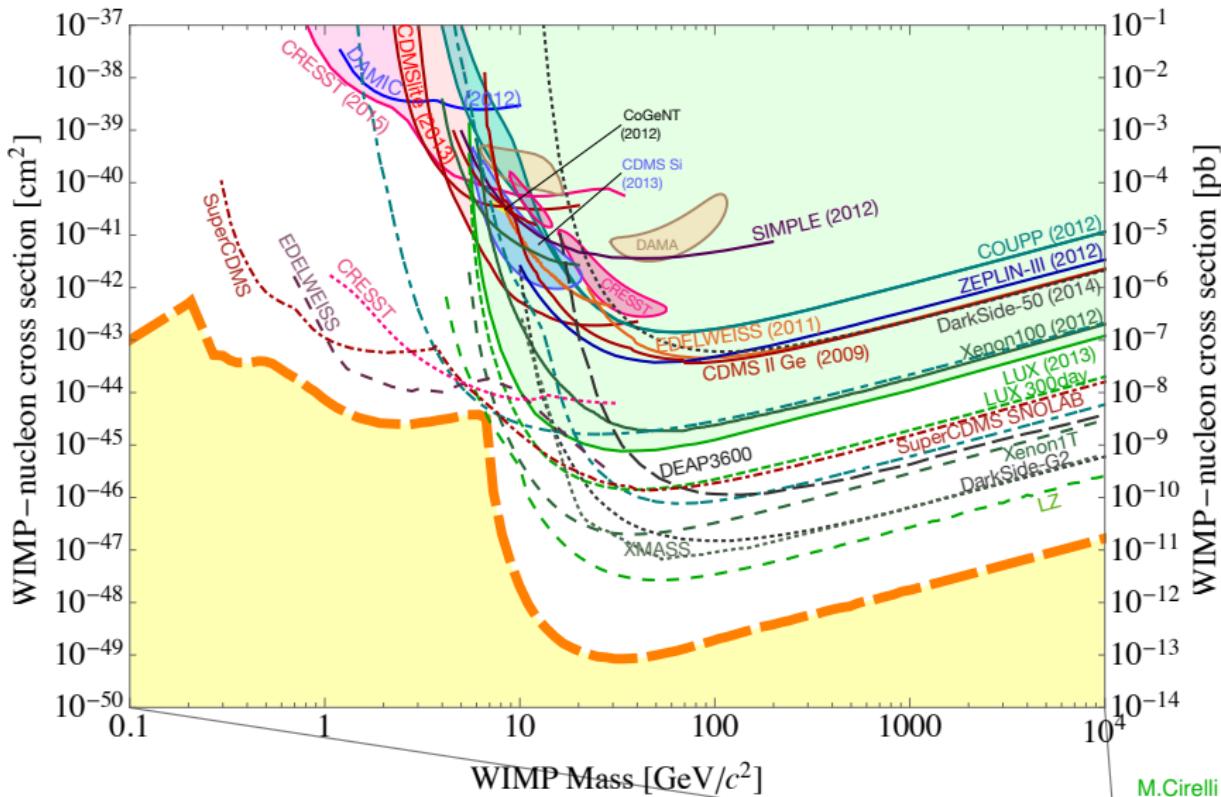


- Can search for WIMPs in collision experiments (LHC):

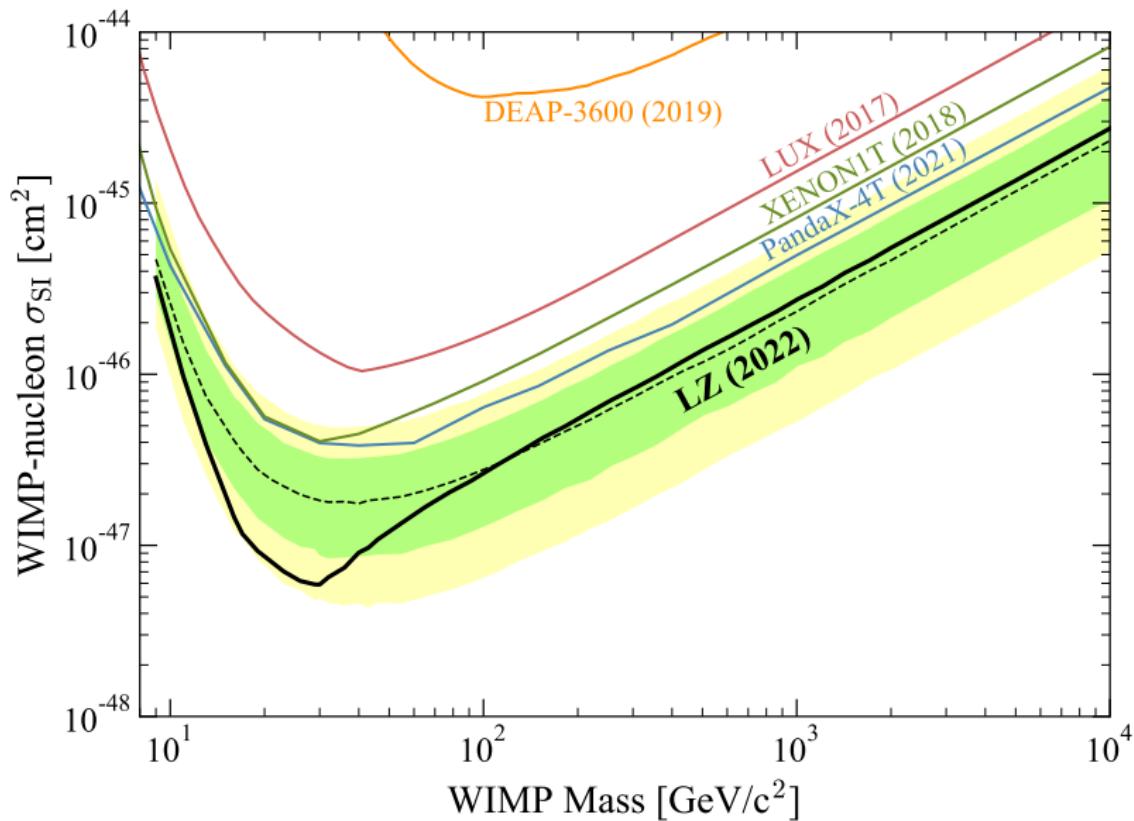
missing



# Prospects in WIMP searches



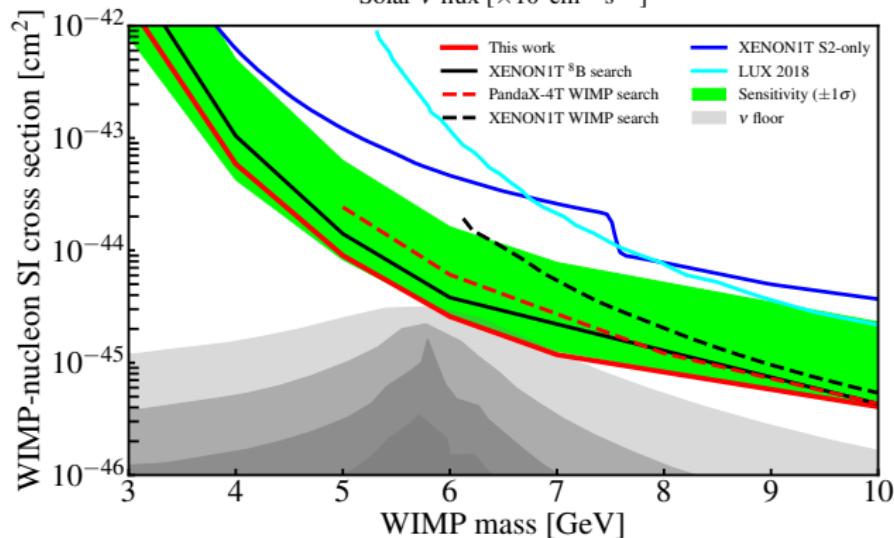
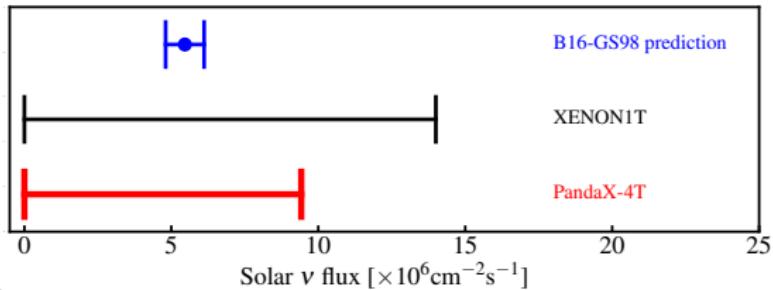
# First results of next-gen experiments: LUX-ZEPLIN



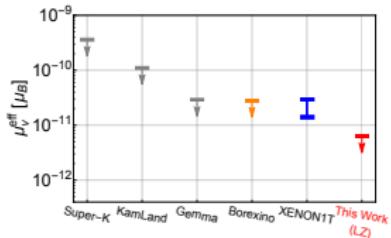
2207.03764

# Testing neutrino floor with PandaX-4T

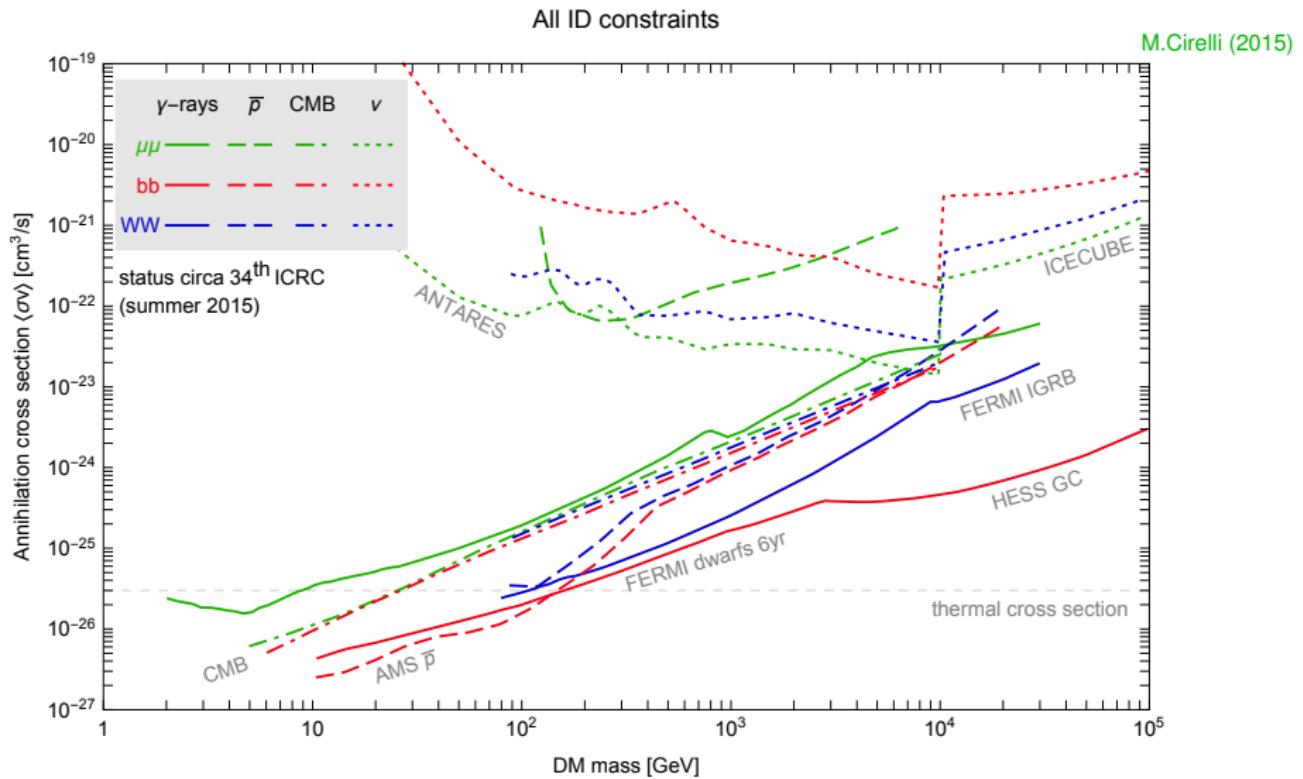
2207.04883



Other by-product results:  
2207.05036  
the strongest limit  
on  $\mu_\nu$  from LZ:

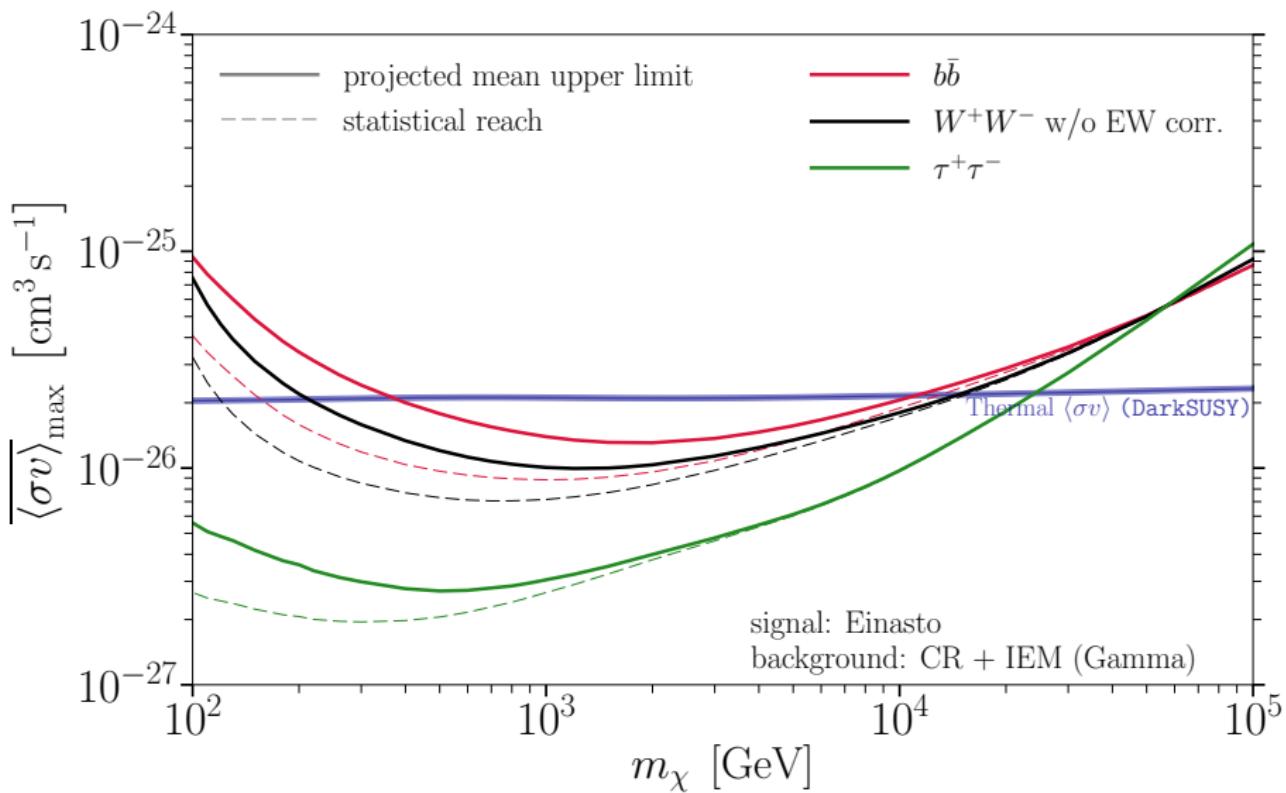


# Indirect limits on DM annihilation (clumps..)



# Next generation: CTA

2108.09078



# If thermal CDM but not Weakly IMPs?

We still can study the model if DM annihilates (partly) into SM particles

- But DM particle  $X$  can be light and feebly coupled ( $t$ -channel)

$$\sigma_0 \sim \frac{\xi^4}{M_X^2}$$

$\xi$  is not a gauge coupling within GUT !

- With small  $\sigma_0$  one needs entropy production
- $\sigma_0$  may be increased by **s-channel resonance**,  $M_Y \approx 2M_X$
- annihilation can be amplified by **co-annihilation channels**,  $X + A \rightarrow SM$
- With light messengers between Dark and Visible sectors many estimates change, say  $\sigma_0 = \sigma_0(v)$
- DM interaction at freeze-out and now are not the same  
say, **Sommerfield enhancement** of the annihilation of slow particles  $v \sim 10^{-3}$

- In this way we may fully explore the model parameter space of the **Weakly** interacting Massive Particles
- Similar case with another 'light' and physically well-motivated candidate: **sterile neutrino**

### Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III	
mass →	2.4 MeV	1.27 GeV	171.2 GeV	
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	
name →	Left <b>u</b> up	Left <b>c</b> charm	Left <b>t</b> top	Right
Quarks	Left <b>d</b> down	Left <b>s</b> strange	Left <b>b</b> bottom	Right
<0.0001 eV	$\sim 10 \text{ keV}$	$\sim 0.01 \text{ eV}$	$\sim 0.04 \text{ eV}$	$\sim \text{GeV}$
$\nu_e^0$ Left electron neutrino	$\nu_\mu^0$ Left muon neutrino	$\nu_\tau^0$ Left tau neutrino	$\nu_1^0$ Left sterile neutrino	$\nu_2^0$ Left sterile neutrino
$e^-$ Left electron	$\mu^-$ Left muon	$\tau^-$ Left tau		
Leptons	0.511 MeV -1 Left <b>e</b> electron	105.7 MeV -1 Left <b>μ</b> muon	1.777 GeV -1 Left <b>τ</b> tau	
Bosons (Forces) spin 1				
				$Z^0$ 91.2 GeV 0 0 weak force
				$W^\pm$ 80.4 GeV $\pm 1$ spin 0 Higgs boson

# Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With  $m_{active} \lesssim 1 \text{ eV}$  we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i\partial N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains  $\langle H \rangle = v/\sqrt{2}$  and then

$$\mathcal{V}_N = \frac{1}{2} \begin{pmatrix} \bar{v}_e & \bar{N}^c \end{pmatrix} \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} v_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy  $M_N \gg M^D = v \frac{f}{\sqrt{2}}$  we have

flavor state  $v_e = U v_1 + \theta N$  with  $U \approx 1$  and

active-sterile mixing:  $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{active} = \theta^2 M_N \ll M_N$$

# Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable,  $N \rightarrow \nu\nu\nu$  is always open

but exceeding the age of the Universe if

(applicable for  $M_N < M_W$ )

$$\theta^2 < 1.5 \times 10^{-7} \left( \frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint  $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left( G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \sim 1 / \left( G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV}/M_N)^4$$

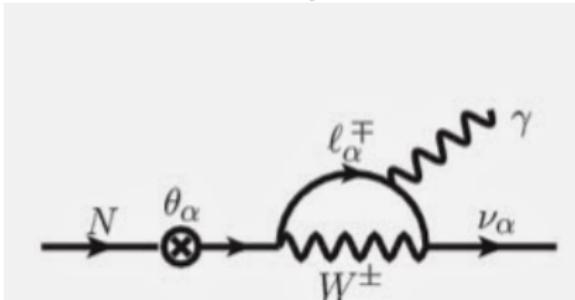
# Sterile neutrino: indirect searches

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left( \frac{10 \text{ keV}}{M_N} \right)^5$$

- DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay give limits in absence of the feature

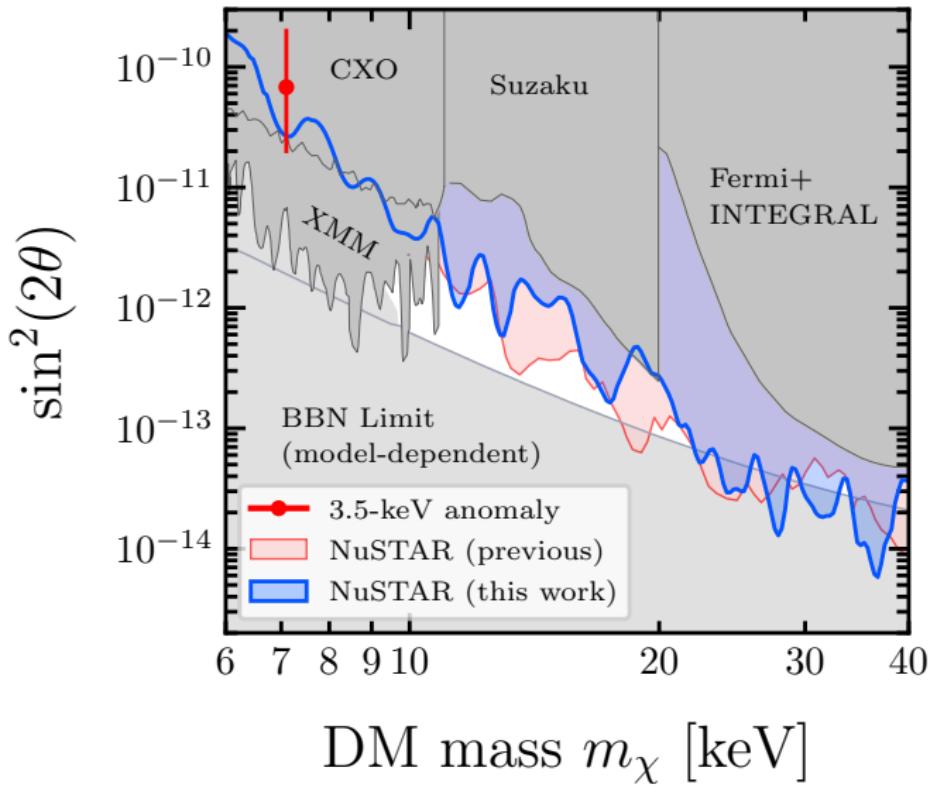


a narrow line ( $\delta E_\gamma / E_\gamma \sim v \sim 10^{-3}$ )  
at photon frequency  $E_\gamma = M_N/2$

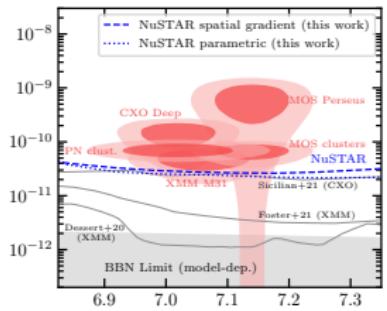
$$\frac{\theta^2}{10^{-11}} \lesssim \left( \frac{10 \text{ keV}}{M_N} \right)^4$$

# ... present searches: NuSTAR

2207.04572

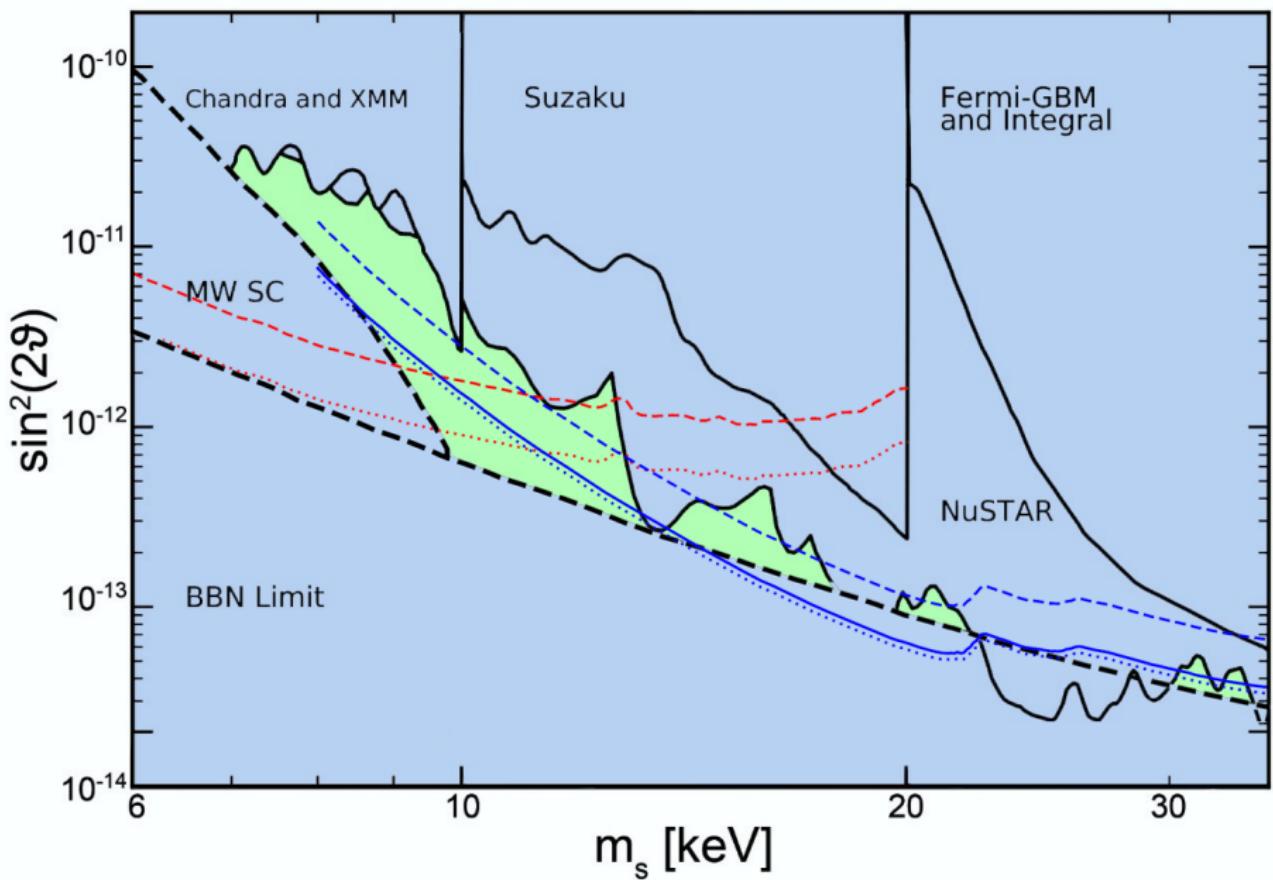


- upper limits on mixing: from X-ray searches
- lower limits on mass: from structure formation and BBN predictions



# eROSITA (0.2-10 keV), ART-XC (4-30 keV)





1908.09037

2007.07969 (V. Barinov, R. Burenin, D.G., R. Krivonos)

# Production in oscillations, $p_X \approx p_T$ , except resonances

$$\frac{\partial}{\partial t} f_s - H \mathbf{p} \frac{\partial}{\partial \mathbf{p}} f_s = \Gamma_\alpha P(v_\alpha \rightarrow v_s) f_\alpha(t, \mathbf{p}).$$

where  $\Gamma_\alpha \sim G_F^2 T^4 E$  is the **weak interaction** rate in plasma

$$P(v_\alpha \rightarrow v_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left( \frac{t}{2t_\alpha^{\text{mat}}} \right),$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}},$$

$$\sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

and **effective plasma potential** for active neutrinos

$$V_{\alpha\alpha} \sim -\# G_F^2 T^4 E + \# G_F T^2 \mu_{L_\alpha}$$

**resonant production in the lepton asymmetric plasma**

- In this way we may fully explore the model parameter space of the **Weakly** interacting Massive Particles
- Similar case with another 'light' and physically well-motivated candidate: **sterile neutrino**
- But some DM models, even the simplest ones, can be incredibly elusive

# Illustration with a simple example of scalar DM

most general renormalizable coupled to SM:

$Z_2$ -invariant Higgs ( $\Phi$ ) portal

$$\Delta\mathcal{L} = \frac{1}{2}g^{\mu\nu}\partial_\mu S\partial_\nu S - \frac{1}{2}m^2 S^2 + g^2 S^2 \Phi^\dagger \Phi - \frac{\lambda}{4}S^4$$

Options:

- freeze-out: sufficiently large  $g^2$

$$\sigma_{hh \rightarrow SS} \times n_h \gtrsim H \rightarrow \sigma_{SS \rightarrow \dots} = \sigma_0, \text{ e.g. } \frac{g^4}{(4\pi \dots)^2 m_S^2} = \sigma_0$$

- freeze-in: intermediate  $g^2$

$$\dot{n}_S + 3Hn_S = \sigma_{hh \rightarrow SS} n_h^2 \rightarrow \frac{n_S}{s} = \# \int dT \frac{n_h^2}{sHT} \times \frac{g^4}{T^2} \sim g^4 \frac{M_{Pl}}{m_S} \rightarrow$$

$$\Omega_S \propto g^4 \rightarrow g^2 \approx 10^{-11} \quad \text{still natural...}$$

## Free massive scalar field

$$g^2 = 0$$

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_\phi^2 \phi^2$$

Homogeneous scalar field in the expanding Universe

$$\ddot{\phi} + 3H\dot{\phi} + m_\phi^2\phi = 0$$

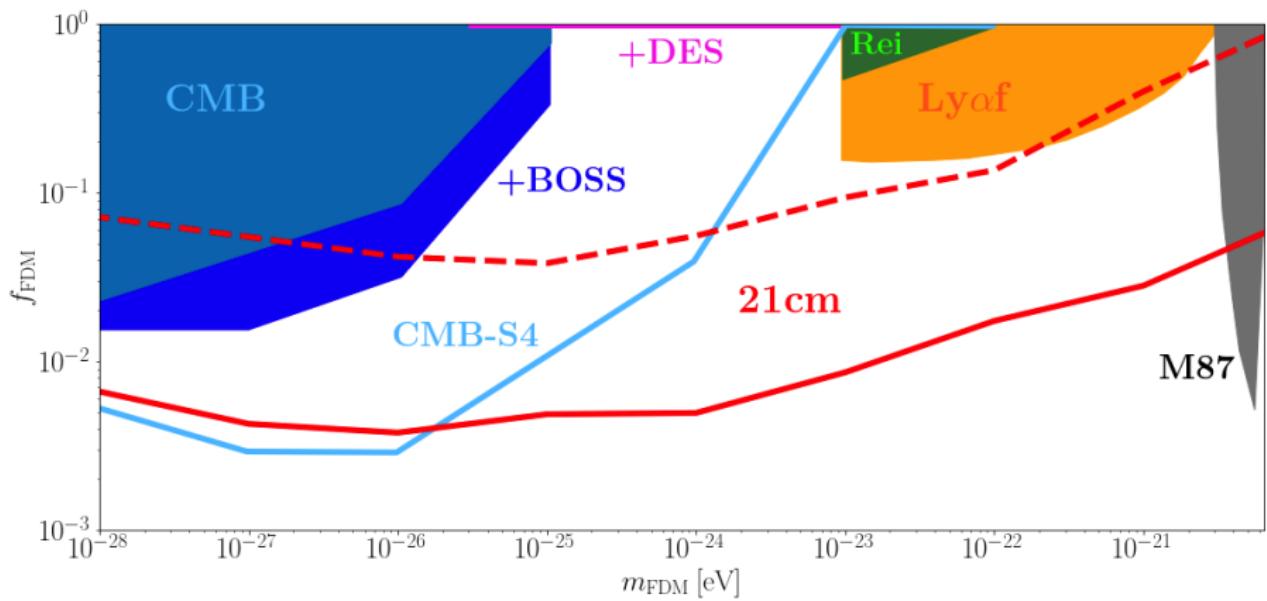
Two-stage evolution:

$$m_\phi < H(t) \implies \phi = \phi_i = \text{const}$$

$$m_\phi > H(t) \implies p = \langle E_k \rangle - \langle E_p \rangle = 0, \quad \rho \sim m_\phi^2 \phi^2 \propto 1/a^3$$

- dust-like substance in the late Universe,  $\Omega \propto m_\phi^{1/2} \phi_i^2$   
depends on initial conditions
- pressureless at spatial scales  $l > M_{Pl}^{1/2}/\rho^{1/4} m_\phi^{1/2}$  fuzzy DM
- isocurvature mode:  $\delta\rho_\phi \propto \delta H, \quad \delta f_i$

# HERA sensitivity to Fuzzy DM (quantum pressure)



new observable to test the model...

2207.05083

# scalar DM without dependence on initial field

$$0 \neq g^2 < 10^{-11}$$

$Z_2$ -invariant Higgs ( $\Phi$ ) portal

$$\Delta\mathcal{L} = \frac{1}{2}g^{\mu\nu}\partial_\mu S\partial_\nu S - \frac{1}{2}m^2S^2 + g^2S^2\Phi^\dagger\Phi - \frac{\lambda}{4}S^4$$

Higgs particles in plasma change the potential:

$$g^2S^2\Phi^\dagger\Phi \rightarrow g^2S^2T^2/3$$

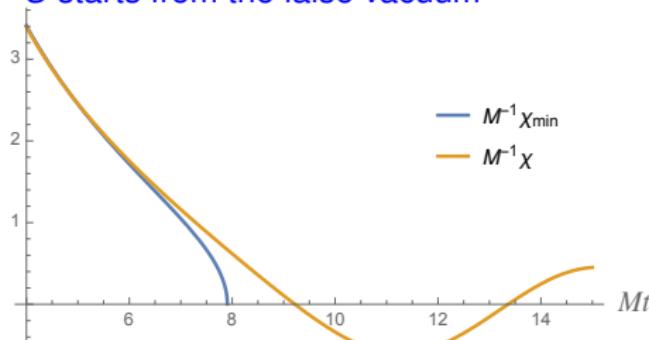
$Z_2$  symmetry is broken after reheating by the plasma contribution

# Temperature decrease restores $Z_2$

2004.03410

$$\Delta \mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu S \partial_\nu S - \frac{1}{2} m^2 S^2 + g^2 S^2 T^2 / 3 - \frac{\lambda}{4} S^4$$

$S$  starts from the false vacuum



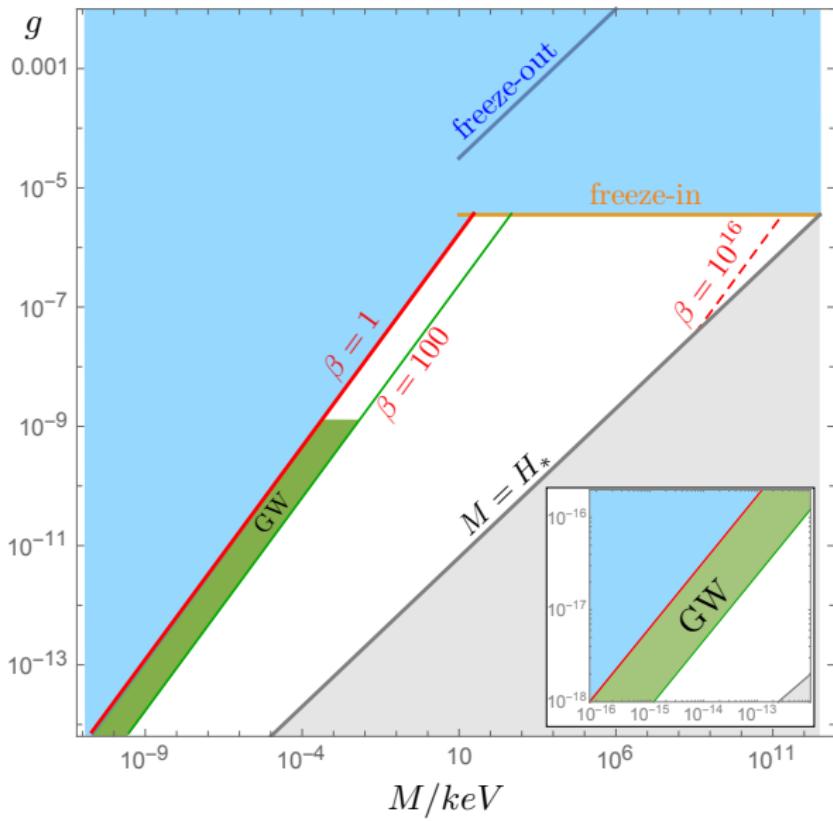
at  $g^2 T_*^2 \simeq m^2$  sign changes  
and  $S$  starts to oscillate  
gravitational misalignment

$$\rho_{DM}(t_*) = \frac{m^2 \cdot S_*^2}{2} \simeq \frac{(m^5 H_*)^{2/3}}{4\lambda}$$

And the correct amount of DM by classical oscillating field

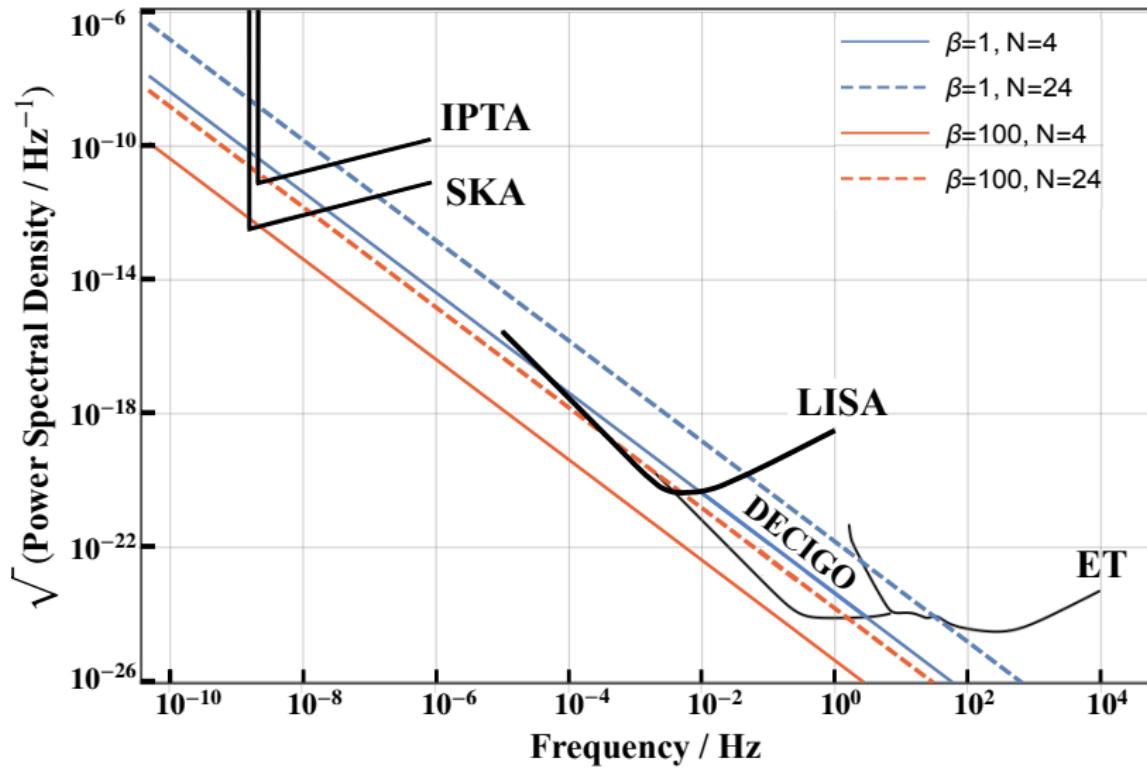
$$g^2 \simeq 10^{-12} \times \left( \frac{\lambda}{10^{-6}} \right)^{6/5} \times \left( \frac{10^6 \text{ GeV}}{m} \right)^2$$

# DM and Gravitational Waves from Domain Walls [2104.13722](#)



## GW signals from dissipating DM ...

2104.13722



# Freeze in via gravitational scatterings..?

any particles in plasma

$$\sigma_{XX \rightarrow SS} \propto \frac{T^2}{M_{Pl}^4} \rightarrow \frac{n_S}{s} \sim \frac{T_i^3}{M_{Pl}^3} \dots$$

assuming  $m \ll T_i$

# World-wide accepted problems...

## Origins of...?

- Dark Matter
- Matter-antimatter asymmetry
- Dark Energy
- matter perturbations (inflation?)
- ...
- extragalactic magnetic field
- superheavy black holes in the galaxy centers

## Coincidences

- $\Omega_{DM} \sim \Omega_B$
- $\Omega_M \sim \Omega_{DE}$
- $(\delta\rho/\rho)^2 \simeq n_B/n_\gamma$
- $T_d^n \sim (m_n - m_p)$
- $\tau_U \approx H_0^{-1}$
- ...

## Anomalies

- BBN: Lithium
- CMB: multipole statistics
- Planck: low and high multipoles
- Planck: lensing, SZ-clusters
- Hubble measurements
- $\sigma_8$

# Baryogenesis

- Need BAU  $\eta_B \equiv n_B/n_\gamma \approx 6 \times 10^{-10}$  starting from BBN epoch,  $T \lesssim 1 \text{ MeV}$
- The same number at recombination and later

## Sakharov conditions of successful baryogenesis

- **B-violation**  $(\Delta B \neq 0) XY \dots \rightarrow X' Y' \dots B$
- **C- & CP-violation**  $(\Delta C \neq 0, \Delta CP \neq 0) \bar{X} \bar{Y} \dots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium  $X' Y' \dots B \rightarrow XY \dots$

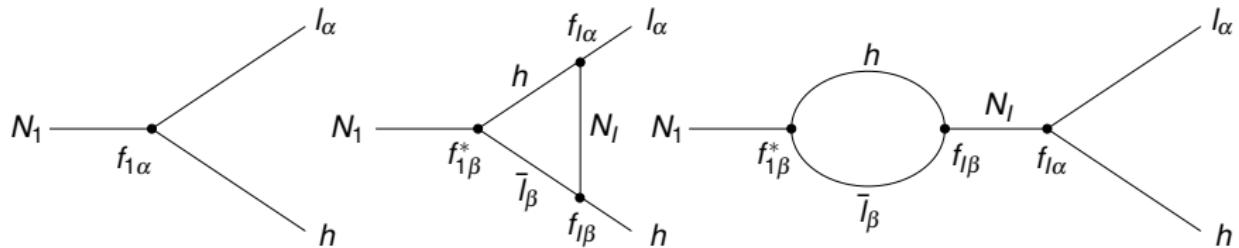
At  $100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$  nonperturbative processes (EW-sphalerons) violate  $B$ ,  $L_\alpha$ , so that only three charges are conserved out of four, e.g.

$$B - L, \quad L_e - L_\mu, \quad L_e - L_\tau$$

Leptogenesis: Baryogenesis from lepton asymmetry of the Universe ... due to sterile neutrinos

# Lepton asymmetry $\delta$ at 1-loop level

$$f_{I\alpha} \bar{L}_\alpha N_I \tilde{H}$$



$$\Gamma(N_1 \rightarrow lh) = \frac{M_1}{8\pi} \cdot \sum_{\alpha} \left| f_{1\alpha} + \frac{1}{8\pi} \sum_{\beta, I} F\left(\frac{M_1}{M_I}\right) \cdot f_{1\beta}^* f_{I\alpha} f_{I\beta} \right|^2, \quad m_v \ll M_I$$

$$\delta \equiv \frac{\Gamma(N_1 \rightarrow lh) - \Gamma(N_1 \rightarrow \bar{l}h)}{\Gamma_{tot}} = -\frac{1}{8\pi} \sum_{I=2,3} \text{Im} \left[ F\left(\frac{M_1}{M_I}\right) \right] \cdot \frac{\text{Im} \left( \sum_{\alpha} f_{1\alpha} f_{I\alpha}^* \right)^2}{\sum_{\gamma} |f_{1\gamma}|^2}.$$

$$\text{for } M_{2,3} \gg M_1, f\left(\frac{M_1}{M_I}\right) = -\frac{3}{2} \frac{M_1}{M_I}, \quad \delta = \frac{3M_1}{16\pi} \frac{1}{\sum_{\gamma} |y_{1\gamma}|^2} \sum_{\alpha\beta I} \text{Im} \left[ y_{1\alpha} y_{1\beta} \left( y_{I\alpha}^* \frac{1}{M_I} y_{I\beta}^* \right) \right].$$

# Superheavy sterile neutrinos: $M_N \simeq 10^9\text{-}10^{14}\text{ GeV}$

- Motivation: close to GUT scales, e.g.  $SO(10)$
- Bad fact: huge finite quantum corrections  $\delta m_H^2 \propto f^2 M_N^2 \gg m_H^2$  ( $\Rightarrow M_N < 10^7\text{ GeV}$ )  
SUSY solution?  
(New fields...new problems: e.g. gravitino overproduction with high  $T_{reh}$  for leptogenesis)
- Good fact: If  $T > M_N$  decays of thermal sterile neutrino yield the lepton asymmetry in the early Universe:  
M.Fukugita, T.Yanagita (1986)

$$\delta \equiv \frac{\Gamma(N_1 \rightarrow lh) - \Gamma(N_1 \rightarrow \bar{l}h)}{\Gamma_{tot}} = \frac{1}{8\pi} \sum_{I=2,3} f\left(\frac{M_{N_1}}{M_{N_I}}\right) \cdot \frac{\text{Im} \left( \sum_\alpha f_{1\alpha} f_{I\alpha}^* \right)^2}{\sum_\gamma |f_{1\gamma}|^2}.$$

Needs  $M_{N_1} \gtrsim 10^9\text{ GeV}$  or  $M_{N_1} \gtrsim 10^{12}\text{ GeV}$  without fine tuning in  $f$

- Exciting fact: to avoid washing out of  $\Delta_L$  in  $hl_\alpha \leftrightarrow h\bar{l}_\beta$  we need ...  
 $M^\nu < 0.1 - 0.3\text{ eV} !!!$
- Cooling down: No way to test further. Can get  $\Delta_B \sim 10^{-10}$  even with

$$\theta_{13} = \delta_{CP} = 0!$$

**NB:** can work for nonthermal case as well

production by inflaton decay G.Lazarides, Q.Shafi (1991)

e.g. in  $R^2$ -inflation D.G., A.Panin (2010)

# Very heavy sterile neutrinos: $M_N \simeq 100 \text{ GeV}-5 \text{ TeV}$

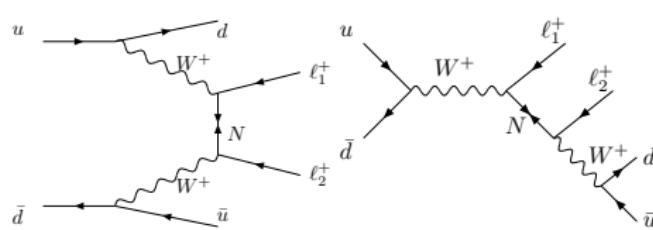
- Good fact: small finite quantum corrections  $\delta m_H^2 \propto f^2 M_N^2 \ll m_H^2$   
No hierarchy between  $\Lambda_V$  and  $\Lambda_{EW}$
- Bad fact: Without extra symmetries, fine tuning or new interactions generation of lepton asymmetry and hence No BAU
- Way out: fine tuning can help: e.g. resonant enhancement of CP-violation in out-of-equilibrium sterile neutrino decays:  
leptogenesis for  $M_N \gtrsim 1 \text{ TeV}$  if  $\Delta M_N \sim \Gamma_N$
- Further cooling down:  
can be directly produced but at a tiny amount only: as small as  $f \sim 10^{-6}!$
- Conclusion: Seesaw type I is generally untestable in direct searches:  
Yukawa couplings are too small, while sterile neutrinos are quite heavy.

Pilaftsis (1997, ...)

To make interesting either NEW fields or fine tuning (larger  $f$ )  
or symmetries, e.g.  $SU(2)_L \times SU(2)_R$  are required!!!

# Very heavy sterile neutrinos: $M_N \simeq 50 \text{ GeV}-5 \text{ TeV}$

- Without fine tuning or extra symmetries:  
can be directly produced but @ tiny amount:  $f \sim 10^{-6}!$
- With extra symmetries and/or interactions, e.g.  $SU(2)_L \times SU(2)_R$   
can be studied at LHC       $pp \rightarrow W_R \rightarrow \mu N$
- Indirect searches ...  $\Delta L = 2$  processes     $pp \rightarrow \dots \mu^+ \mu^+ \dots, t \rightarrow b \mu^+ \mu^+ W^-$   
 $D^+ \rightarrow \mu^+ \mu^+ K^-, \quad K^+ \rightarrow \mu^+ \mu^+ \pi^-$



see Lectures by B.Kayser

$$\sigma(pp \rightarrow W^{\pm*} W^{\pm*} \rightarrow \ell_1^\pm \ell_2^\pm X) = (2 - \delta_{\ell_1 \ell_2}) |\theta_{\ell_1 N} \theta_{\ell_2 N}|^2 \sigma_0(WW)$$

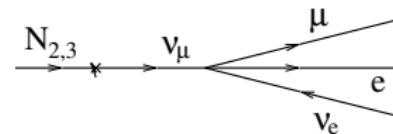
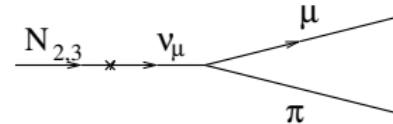
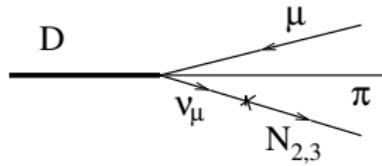
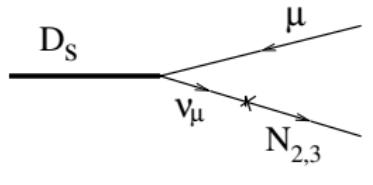
$$\sigma(pp \rightarrow W^{+*} \rightarrow \ell_1^\pm \ell_2^\pm W^\mp) \approx (2 - \delta_{\ell_1 \ell_2}) \sigma(pp \rightarrow \ell_1^\pm N) Br(N \rightarrow \ell_2^\pm W^\mp)$$

- Conclusion: Seesaw type I is testable only indirectly for this range of masses. To make interesting NEW fields (symmetries) are required!!!

# Heavy sterile neutrinos: $M_N \simeq 1 \text{ keV}-100 \text{ GeV}$

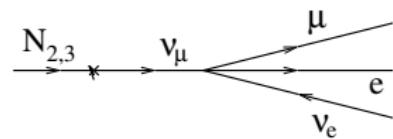
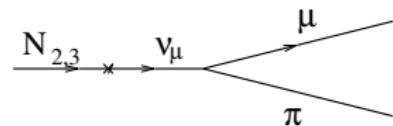
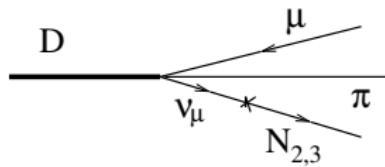
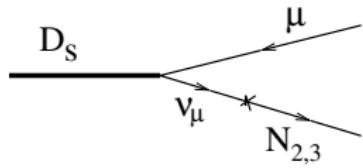
- Good fact: small finite quantum corrections  $\delta m_H^2 \propto f^2 M_N^2 \ll m_H^2$   
True low-energy scale modification of the SM
- Good fact: At  $T > 100 \text{ GeV}$  active-sterile neutrino oscillations produce lepton asymmetry in the early Universe, if  $\Delta M_N \ll M_N$   
E.Akhmedov, V.Rubakov, A.Smirnov (1998)
- can be directly produced !!

Weak decays due to mixing



# More on direct searches

## Weak decays due to mixing



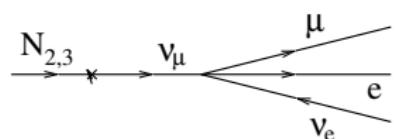
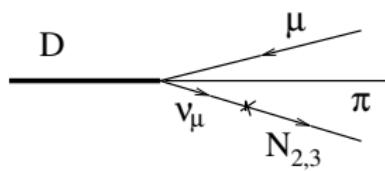
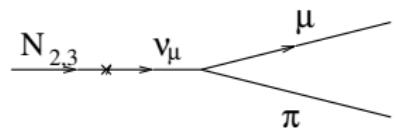
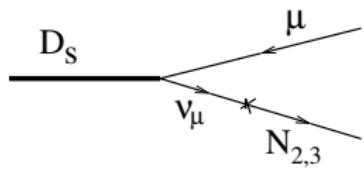
$$\Gamma(A \rightarrow N + \dots) \propto \theta^2$$

$$\Gamma(N \rightarrow B + \dots) \propto \theta^2$$

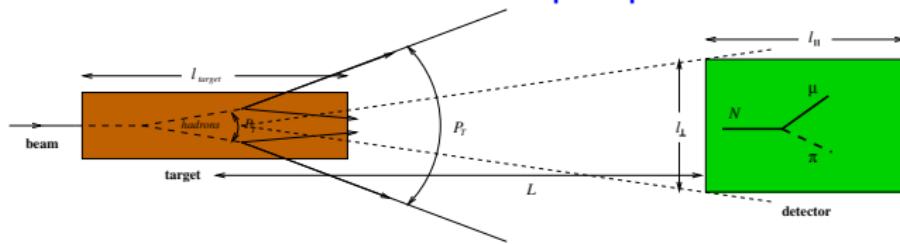
- Searches for production of  $N$ :  $S \propto \theta^2$  e.g. peaks in  $p_\mu$
- Searches for decays of  $N$ : If the decay length is shorter than the detector size, but with  $\theta^2 \rightarrow 0$  arrive at  $S \propto \theta^2$   
 $S \propto \theta^2 \times \theta^2$

# Heavy sterile neutrinos: direct searches

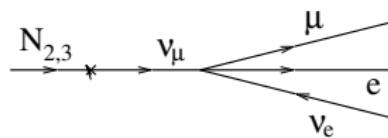
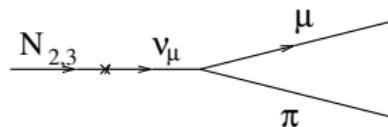
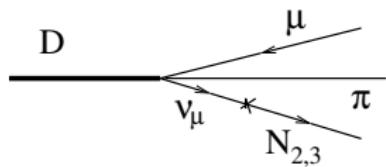
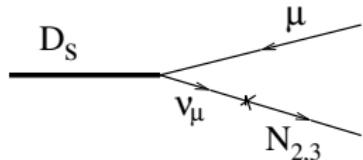
## Weak decays due to mixing



## Production in beam-dump experiments



# And More...



- Amplification of production in  $1 \rightarrow 2$  (chirality)

$$\Gamma(M \rightarrow N + I) \propto \theta^2 M_N^2$$

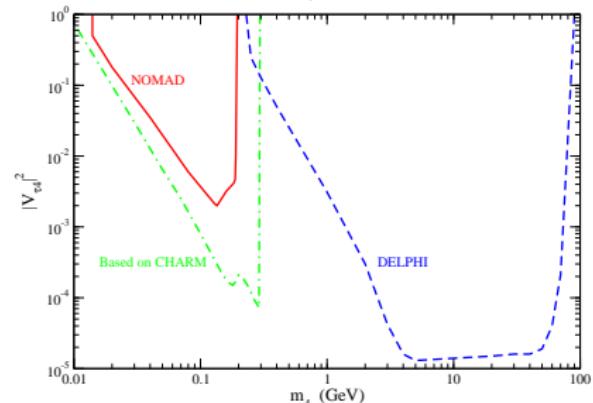
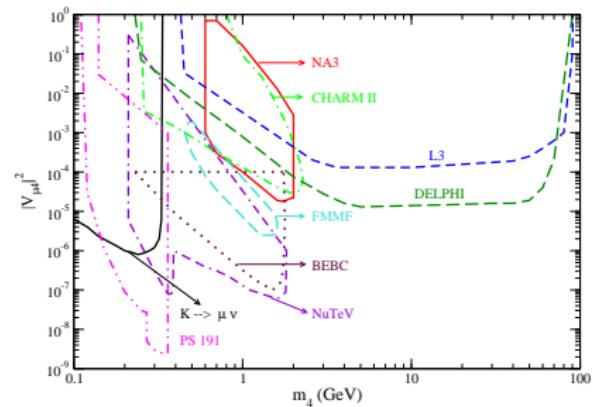
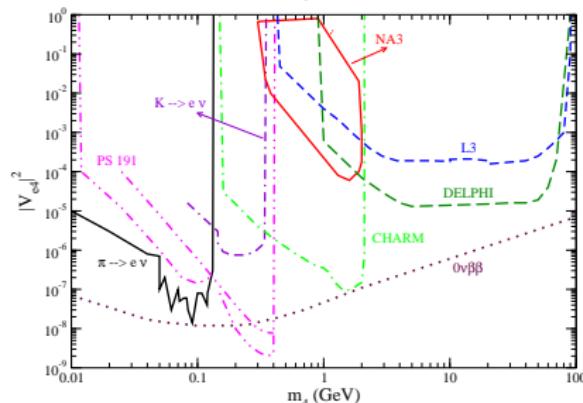
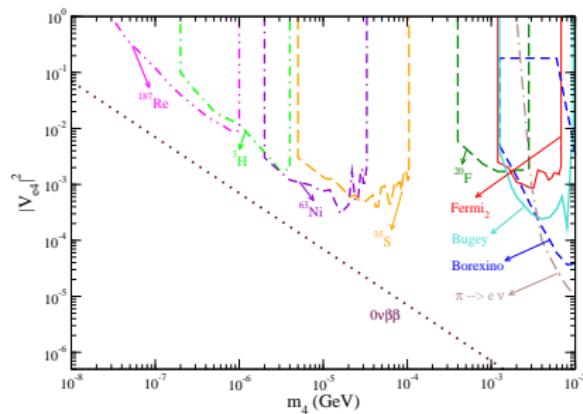
- heavy  $N$  decay is fast

$$\tau = \frac{\tau_\mu}{\theta^2} \times \left( \frac{m_\mu}{M_N} \right)^5 = 10^{-10} \text{ s} \times \frac{10^{-6}}{\theta^2} \times \left( \frac{10 \text{ GeV}}{M_N} \right)^5$$

tends to decay inside the detector

# Limits 10 years ago...

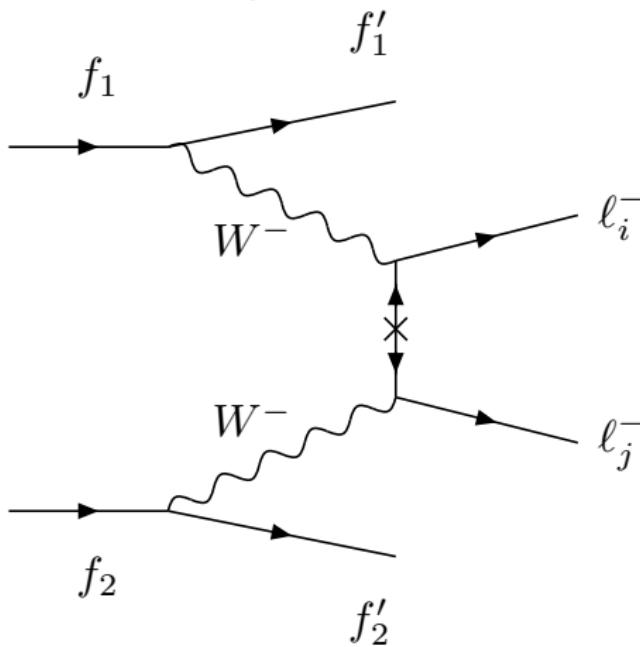
0901.3589



# Indirect searches: $\Delta L = 2$ processes

limits are only for one sterile neutrino...

$0\nu\beta\beta$



for light sterile neutrinos

$$\langle m \rangle_{\ell_i \ell_j}^2 = \left| \sum_I U_{\ell_i I} U_{\ell_j I} M_{N_I} \right|^2$$

for heavy sterile neutrinos

$$\left| \sum_I \frac{V_{\ell_i I} V_{\ell_j I}}{M_{N_I}} \right|^2,$$

# How far we should go?

## vMSM

T.Asaka, S.Blanchet, M.Shaposhnikov (2005)

see Lectures by A.Smirnov

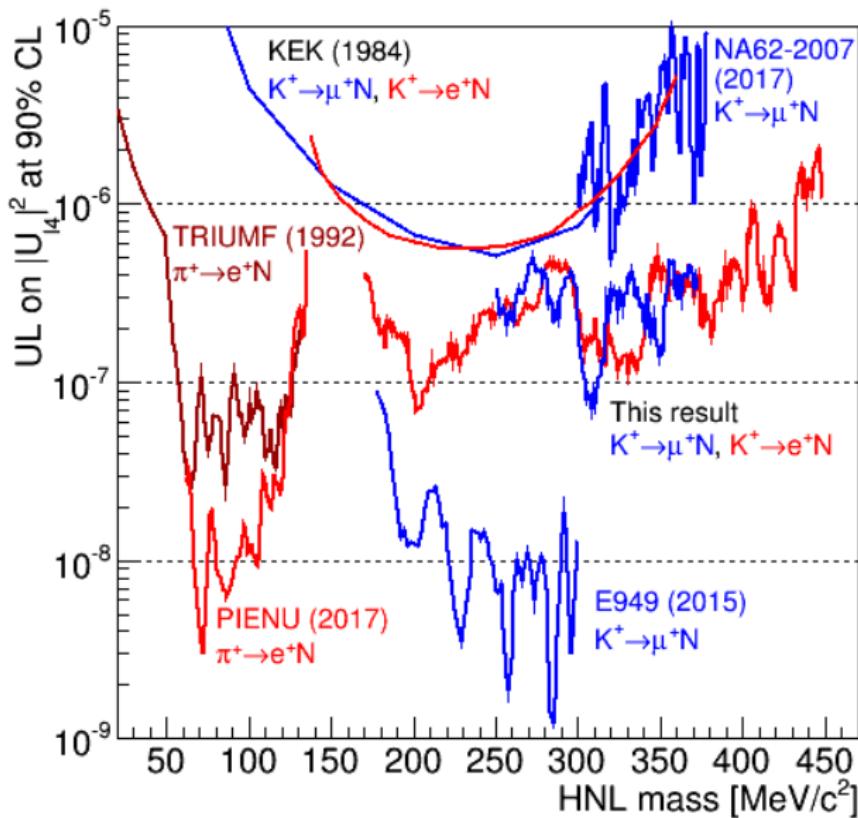
- Seesaw mechanism is provided mostly by two 'heavy' sterile neutrinos      lightest active is almost massless
- They are (highly) degenerate in mass,  $\Delta M_N \ll M_N$  producing matter-antimatter asymmetry of the Universe via leptogenesis in primordial plasma at  $T > 100$  GeV      E.Akhmedov, V.Rubakov, A.Smirnov (1998)  
mixing is constrained from above and from below !!
- The third 'light' sterile neutrino,  $M_N \simeq 1\text{-}10$  keV, is almost decoupled and serve as dark matter  
mixing is constrained from above and from below !!

the model explain while the previous experiments fail. . .

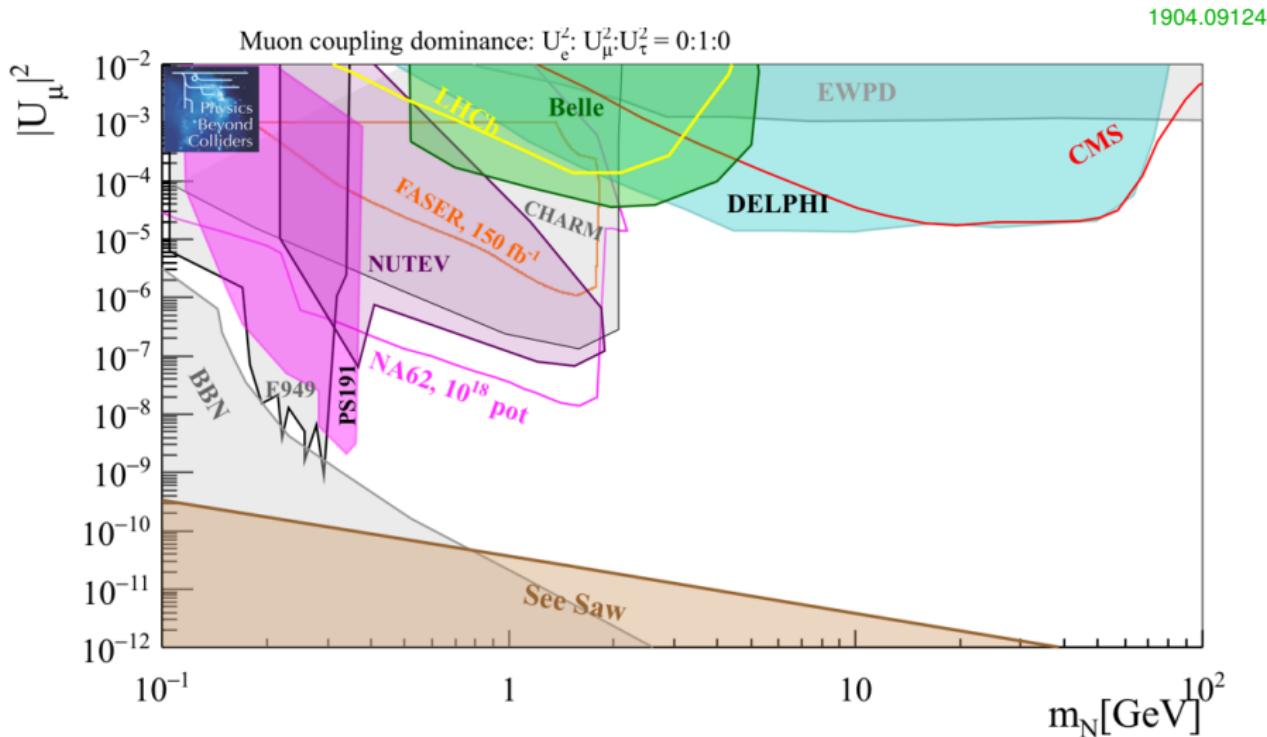
the model can be fully explored

# Present limits from production

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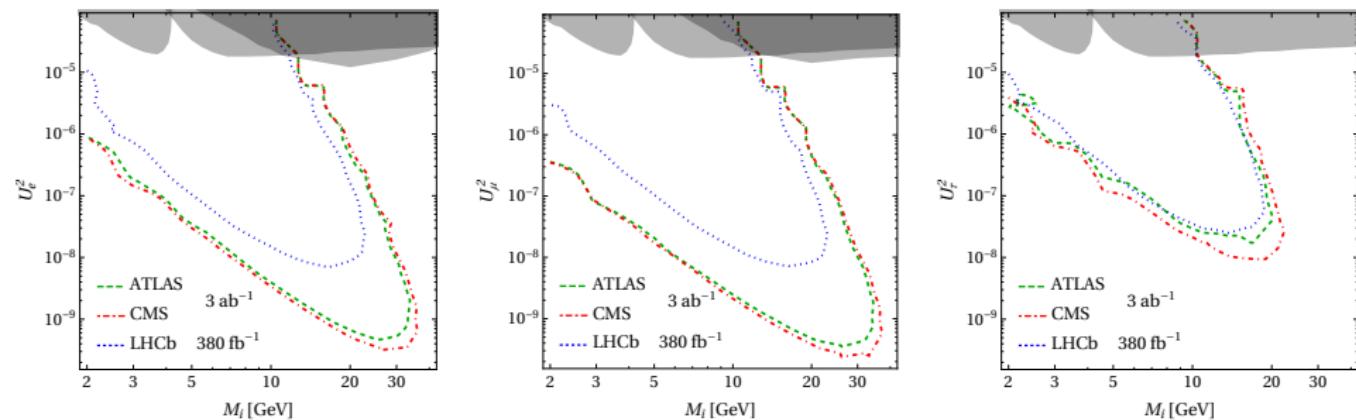


# Present limits and expectations



# LHC-HL: expectations for a displaced vertex

1903.06100



# Outline

1 Introduction to BSM

2 Neutrino oscillations

3 Cosmology

- Neutrino sector
- Dark Matter
- Matter-antimatter asymmetry

4 Theoretical problems

- Supersymmetry
- Portals

# Standard Model + GR : Major Problems

Gauge and Higgs fields (interactions):  $\gamma$ ,  $W^\pm$ ,  $Z$ ,  $g$ ,  $G$ , and  $h$

Three generations of matter:  $L = \begin{pmatrix} v_L \\ e_L \end{pmatrix}$ ,  $e_R$ ;  $Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}$ ,  $d_R$ ,  $u_R$

- Describes all experiments dealing with
  - ▶ electroweak and strong interactions (anomalies:  $g - 2$ ,  $B$ -physics,  $M_W$ , ...)
- Does not describe (PHENO) (THEORY)
  - ▶ Neutrino oscillations (and anomalies...)
  - ▶ Dark matter ( $\Omega_{DM}$ )
  - ▶ Baryon asymmetry ( $\Omega_B$ )
  - ▶ Why the Universe is flat and homogeneous?
  - ▶ Where did the matter perturbations come from?
  - ▶ Dark energy ( $\Omega_\Lambda$ )
  - ▶ Strong CP-problem
  - ▶ Gauge hierarchy
  - ▶ Quantum gravity
  - ▶ Quantization of electric charge/gauge unification?
  - ▶ Why 3 generations?
  - ▶ Why  $Y_e \ll Y_\mu \ll \dots \ll Y_t$

# Theoretical guideline: gauge hierarchy problem

Quantum corrections to the SM Higgs boson mass

quadratic divergences regularized by a cut in loop 4-momentum

$$\delta m_h^2 \propto \Lambda^2 + m_X^2 + \dots$$

Physical meaning? Bardeen's argument: regularization violates scale invariance

$$T_{\mu\nu} \propto \Lambda^2 \phi^2 + \dots$$

Becomes convincing with some heavy states involved...

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# More severe problem in cosmology

## Contribution to dark energy

Higgs vev and quartic divergences regularized by a cut in loop  
4-momentum

$$(0.002 \text{ eV})^4 = \text{Cosmological Constant} = \frac{\lambda}{4} v^4 + (\dots) \Lambda^4$$

there must be a mechanism to cancel them

The solutions involve cosmological evolution  
and some (relaxation, etc.)  
pretend to cancel the  $\delta m^2$  as well...

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# Other solutions within particle physics

- Supersymmetry (but with heavy superpartners)
- Technicolor
- ...

Predicts new ingredients in the early Universe !!

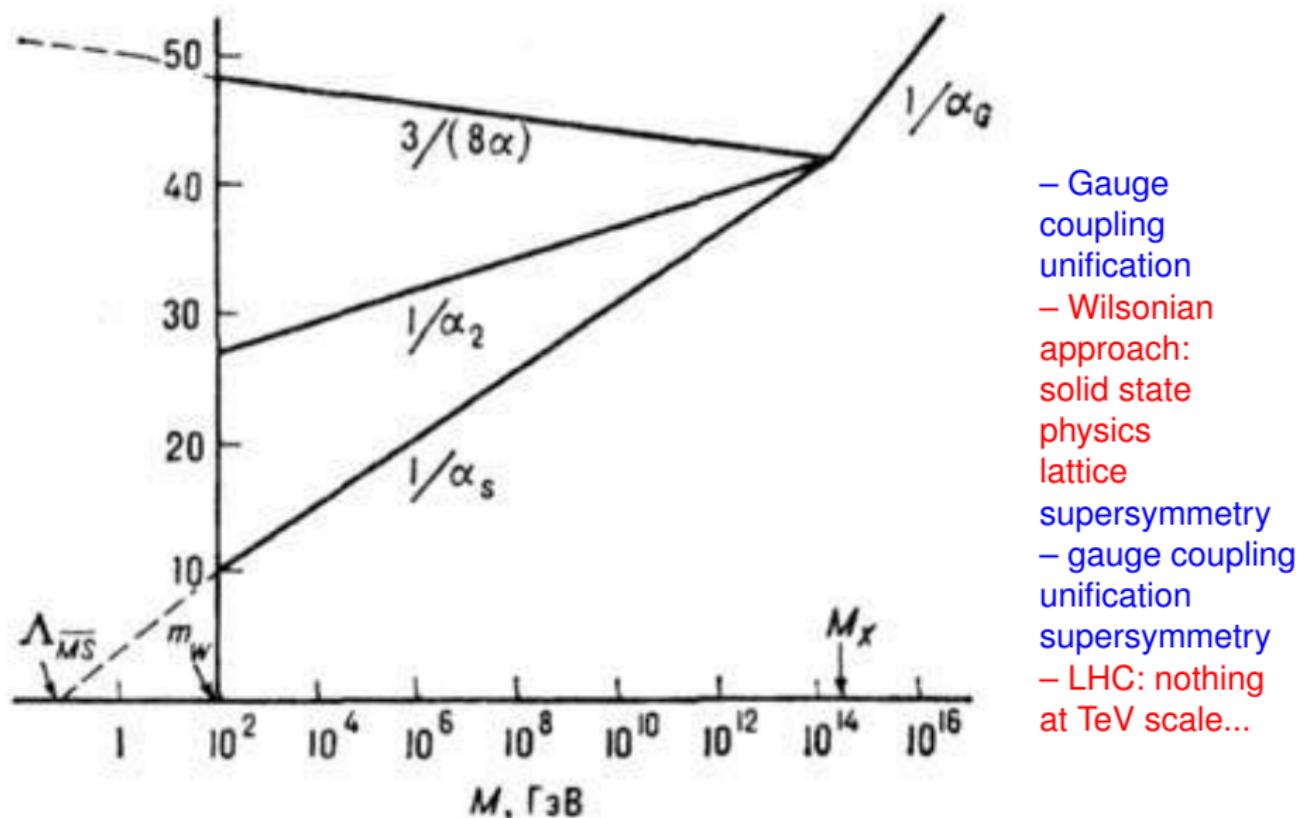
Predicts higher order non-renormalizable operators...

the lowest one is dim-5

and gives neutrino masses !!

$$\mathcal{L} = \frac{1}{\Lambda} \bar{L} \tilde{H} L \tilde{H}^\dagger \xrightarrow{\text{green arrow}} \frac{v^2}{\Lambda} \bar{\nu} \nu$$

# New physics at high energies...



- Gauge coupling unification
- Wilsonian approach: solid state physics lattice supersymmetry
- gauge coupling unification supersymmetry
- LHC: nothing at TeV scale...

# Supersymmetry is a symmetry of bosons and fermions

supercharge  $\hat{Q}_{SUSY}$

- SUSY exchanges **bosons** and **fermions**:

$$\hat{Q}_{SUSY} \text{ boson} \longrightarrow \text{fermion}$$

$$\hat{Q}_{SUSY} \text{ fermion} \longrightarrow \text{boson}$$

they become **superpartners**

- In supersymmetric theory

$$\text{bosonic d.o.f.} = \text{fermionic d.o.f.}$$

- 

$$[\hat{Q}_{SUSY}, \hat{H}] = 0$$

**superpartners**

are of the same mass and exhibit the same interactions

# How does it work? Supersymmetric QED

- the same number of d.o.f. in **bosonic** and **fermionic** sectors

Dirac fermion  $\Psi$  : 4 d.o.f.  $\rightarrow$  complex scalars  $\phi_+, \phi_-$

massless vector  $A_\mu$  : 2 d.o.f.  $\rightarrow$  Majorana fermion  $\lambda$

- superpartners** are of the same masses

$$m\bar{\Psi}\Psi \rightarrow m^2\phi_+^*\phi_+ + m^2\phi_-^*\phi_-, \quad M_A = M_\lambda = 0,$$

- and exhibit the same interactions

$\mathcal{L}$  is a scalar !!

$$eA_\mu\bar{\Psi}\gamma^\mu\Psi \rightarrow ieA^\mu(\phi_+\partial_\mu\phi_+^* - \phi_+^*\partial_\mu\phi_+) - ieA^\mu(\phi_-\partial_\mu\phi_-^* - \phi_-^*\partial_\mu\phi_-)$$

$$eA_\mu\bar{\psi}_+\bar{\sigma}^\mu\psi_+ - eA_\mu\bar{\psi}_-\bar{\sigma}^\mu\psi_- \rightarrow -ie\sqrt{2}(\phi_+\bar{\psi}_+\bar{\lambda} - \phi_-\bar{\psi}_-\bar{\lambda}) + \text{h.c.}$$

$$\text{total derivative} \quad \leftarrow \quad e^2 A_\mu A^\mu \phi_+^* \phi_+ + e^2 A_\mu A^\mu \phi_-^* \phi_-$$

$$\text{total derivative} \quad \leftarrow \quad -e^2 \frac{1}{2} (\phi_+^* \phi_+ - \phi_-^* \phi_-)^2$$

# Most attractive features

- Theory: **bosonic loops cancel fermionic ones**  
only logarithmic divergences remain:  
**stability of the hierarchical structure of energy scales**, e.g.  
 $M_W \ll M_{Pl}$  is stable
- Phenomenology: **number of particles gets doubled !!**  
get new interactions but with the same coupling constants !!

# SUSY: a couple is more **stable** and **promising**



# Supersymmetrizing the Standard Model

MSSM

gluons, $g$	$\longleftrightarrow$	gluino, $\tilde{g}$
photon, $\gamma$	$\longleftrightarrow$	photino, $\tilde{\gamma}$
weak gauge bosons, $W^\pm, Z$	$\longleftrightarrow$	winos, zino, $\tilde{W}^\pm, \tilde{Z}$
quarks, leptons, $q, l$	$\longleftrightarrow$	squarks, sleptons, $\tilde{q}, \tilde{l}$
	e.g.	
r.h. electron, $e_R$	$\longleftrightarrow$	r.h. selectron, $\tilde{e}_R$
l.h. top, $t_L$	$\longleftrightarrow$	l.h. stop, $\tilde{t}_L$
neutrino, $\nu$	$\longleftrightarrow$	sneutrino, $\tilde{\nu}$
SM Higgs boson	$\longleftrightarrow$	higgsino
to avoid the anomaly		due to higgsino set
two Higgs doublets, $h, H, A, H^\pm$	$\longleftrightarrow$	neutral $\tilde{h}, \tilde{H}$ and charged $\tilde{H}^\pm$ or $\chi_{1,2}^0$ and $\chi^\pm$ higgsinos
graviton, $G$	$\longleftrightarrow$	gravitino, $\tilde{G}$

# Problems of a supersymmetric extension

there are no superpartners of the same mass with the same couplings

→ SUSY must be spontaneously broken

- superpartners are heavy bases are not aligned  
Higgs makes SM particles (and superpartners) massive  
hundred new parameters ⇔ mixing and FCNC

# At least a huge gap is between us



# SUSY is broken and thereby even more attractive

- Higgs mass gets corrections of the types

$$\propto \log(m_t^2/m_{\tilde{t}}^2), \quad \text{and} \quad \propto (m_t^2 - m_{\tilde{t}}^2),$$

the superpartners must be not far from the EW-scale

- Massive, emerge in pairs  $\Rightarrow$  lightest superpartner is stable (LSP)  
R-parity  $\qquad$  most natural DM candidate (WIMPs) we have
- 2 Higgs doublets can arrange  $\qquad$  EW phase transition of the I order  
additional sources of CP-violation  
 $\qquad\qquad\qquad\Rightarrow$  prospects for EW baryogenesis
- There are several anomalies in particle physics (and closely  
related) experiments:  
 $(g-2)$ ,  $\qquad\qquad\qquad \text{Br}(B \rightarrow K^* \mu^+ \mu^-)$   
 $\Gamma(B \rightarrow D^{(*)} \tau v)/\Gamma(B \rightarrow D^{(*)} l v)$ ,  
 $\Gamma(B \rightarrow K \mu^+ \mu^-)/\Gamma(B \rightarrow K e^+ e^-)$

# Problems of a supersymmetric extension

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→ SUSY must be spontaneously broken

- superpartners are heavy bases are not aligned  
Higgs makes SM particles (and superpartners) massive  
hundred new parameters ⇐ mixing and FCNC
- in local SUSY supergravity (SUGRA)  
super Higgs mechanism works:  
gravitino eats Goldstone field, goldstino, and becomes massive

$$m_{\tilde{G}} = \frac{\Lambda_{SUSY}^2}{\sqrt{3} M_{Pl}}, \quad \mathcal{L}_{int} = \frac{1}{\Lambda_{SUSY}^2} J_{SUSY}^\mu \partial_\mu \tilde{G}$$

in many SUSY setups

gravitino production overcloses the Universe

# Gravitino production in scatterings and decays

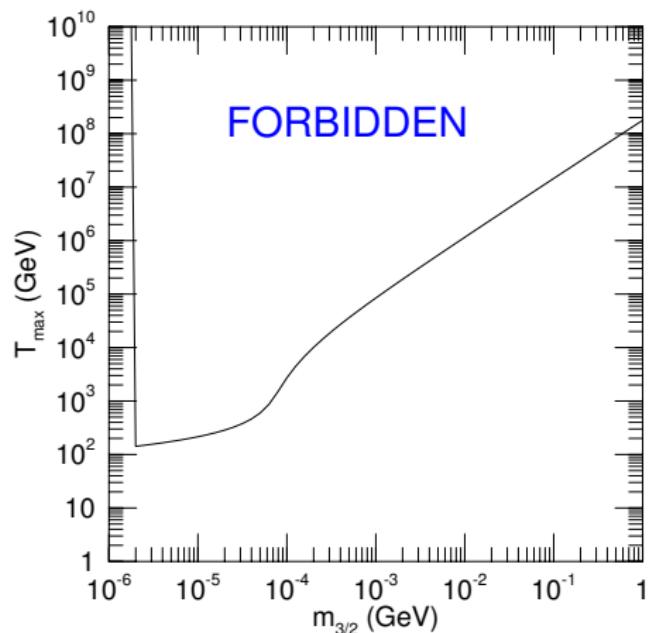
$$\tilde{X}_i \rightarrow \tilde{G} + X_i, \quad X_i + X_j \rightarrow \tilde{X}_k + \tilde{G}$$

$$\Gamma \propto \frac{1}{F^2} \propto \frac{1}{m_{3/2}^2}, \quad \sigma \propto \frac{1}{F^2} \propto \frac{1}{m_{3/2}^2}$$

$$\begin{aligned} \frac{dn_{3/2}}{dt} + 3Hn_{3/2} \\ = \sum_i \Gamma_{\tilde{X}_i} \cdot \gamma_i^{-1} \cdot n_{\tilde{X}_i} + \sum_{i,j} \langle \sigma_{ij} \rangle \cdot n_{X_i} n_{X_j}, \end{aligned}$$

$$\begin{aligned} \frac{d}{dT} \left( \frac{n_{3/2}}{s} \right) = - \sum_i \Gamma_{\tilde{X}_i} \cdot \frac{n_{\tilde{X}_i}}{\gamma_i \cdot sHT} - \sum_{i,j} \frac{\langle \sigma_{ij} \rangle \cdot n_{X_i} n_{X_j}}{sHT}, \\ \propto \frac{1}{T^3} \quad \propto \text{const} \end{aligned}$$

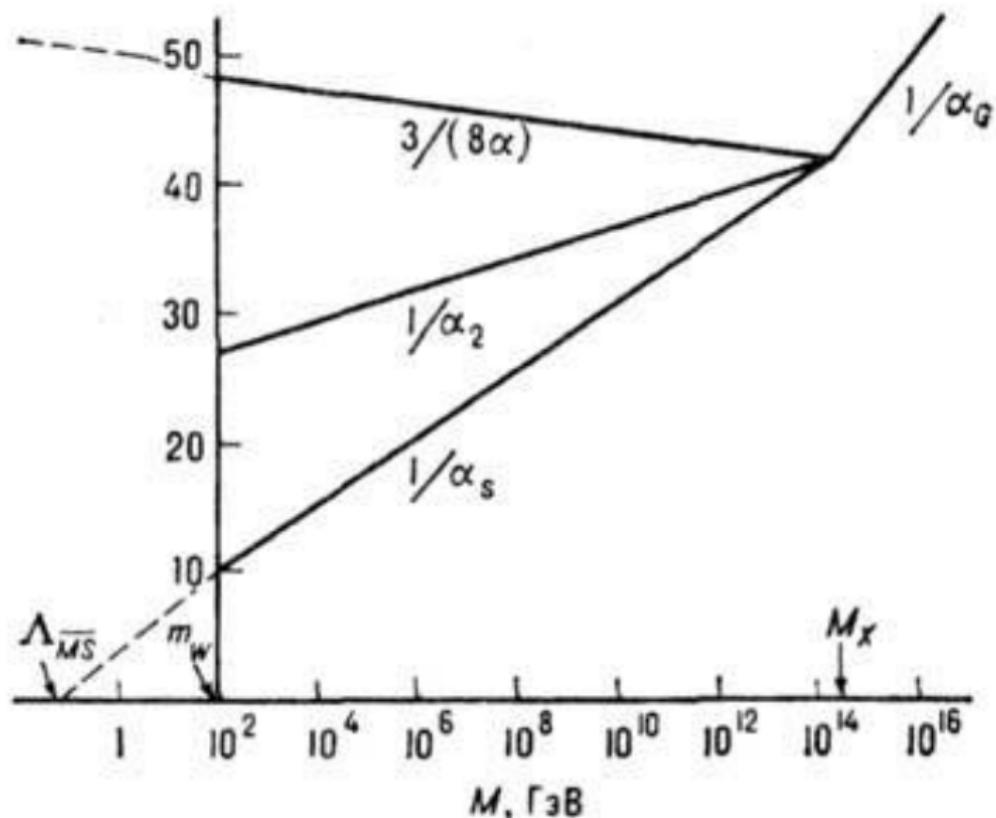
$$\begin{aligned} \Omega_{3/2} \sim & \left( \frac{200 \text{ keV}}{m_{3/2}} \right) \cdot \left( \frac{T_{max}}{10 \text{ TeV}} \right) \\ & \times \left( \frac{M_S}{1 \text{ TeV}} \right)^2 \cdot \left( \frac{15}{\sqrt{g_*(T_{max})}} \right) \cdot \frac{1}{2h^2} \end{aligned}$$



... issues of QFT description  
of a gauge theory at finite temperature

Outcome depends on initial conditions !!!

# Comments on SUSY GUTs



- Gauge coupling unification
- Dependence on the structure of soft terms
- dim-5 operator violating baryon number
- No Yukawa coupling unification
- No unification for 1st and 2nd gen anyway: no neutrino masses

NMSSM ?

# Why NMSSM ?

- $\mu$ -problem :

MSSM:  $\hat{W} = \mu \hat{H}_u \hat{H}_d$

- mechanism of baryogenesis:

MSSM: Affleck-Dine

$$\mu^2 (H_U^\dagger H_U + H_D^\dagger H_D)$$

NMSSM:  $\hat{W} = \hat{N} \hat{H}_u \hat{H}_d$

NMSSM : Electroweak

EWB does not work in SM:

- $CP$ -violating processes are too weak
- crossover, so no departure from thermal equilibrium

MSSM: new sources of  $CP$ -violation

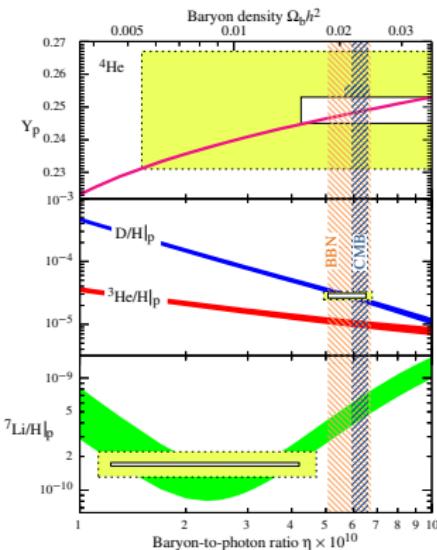
NMSSM: + the strongly first order phase transition

Electroweak baryogenesis is attractive:  
both ingredients can be directly tested

# Baryogenesis

## Sakharov conditions of successful baryogenesis

- B-violation  $(\Delta B \neq 0) XY \dots \rightarrow X' Y' \dots B$
- C- & CP-violation  $(\Delta C \neq 0, \Delta CP \neq 0) \bar{X} \bar{Y} \dots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium  $X' Y' \dots B \rightarrow XY \dots$

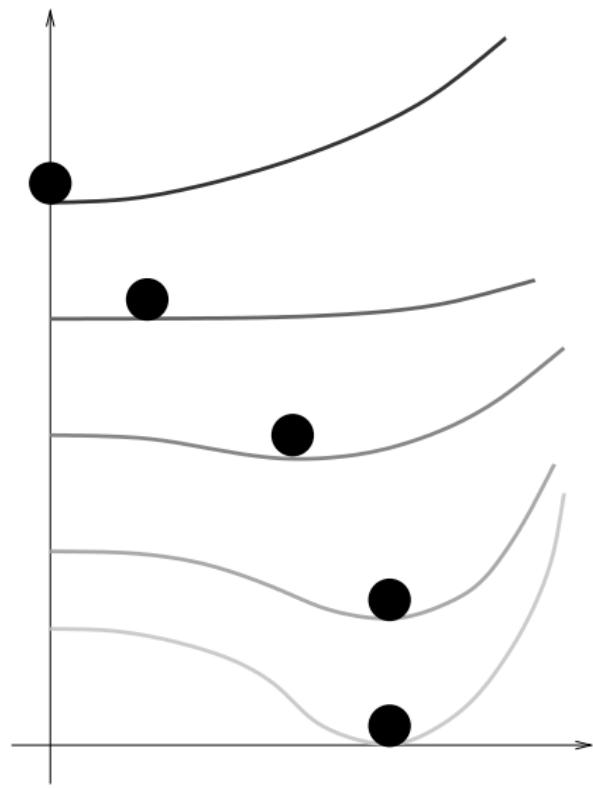
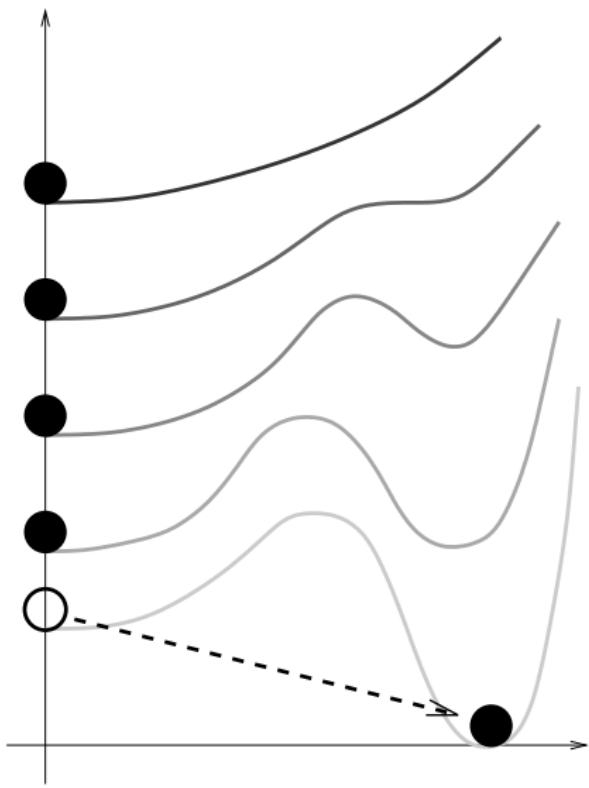


At  $100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$  nonperturbative processes (EW-sphalerons) violate  $B$ ,  $L_\alpha$ , so that only three charges are conserved out of four, e.g.

$$B - L, \quad L_e - L_\mu, \quad L_e - L_\tau$$

Find similar estimates from BBN, CMB and LSS data  
need baryon asymmetry production before  $T \simeq 1 \text{ MeV}$

# Phase transitions of the I and II orders



# EW phase transition

strongly first order:  $v_c/T_c \gtrsim 1.1$  (otherwise erasure by sphalerons)

FTFT:

$$V_T(v, v_S, v_P) = V_{tree}(v, v_S, v_P) + V^{(1)}(v, v_S, v_P) + V_T^{(1)}(v, v_S, v_P).$$

$$V_T^{(1)} = \sum_i (\pm) \frac{T^4}{2\pi^2} n_i \int_0^\infty dx x^2 \log \left( 1 \mp e^{-\sqrt{x^2 + (m_i(\phi)/T)^2}} \right),$$

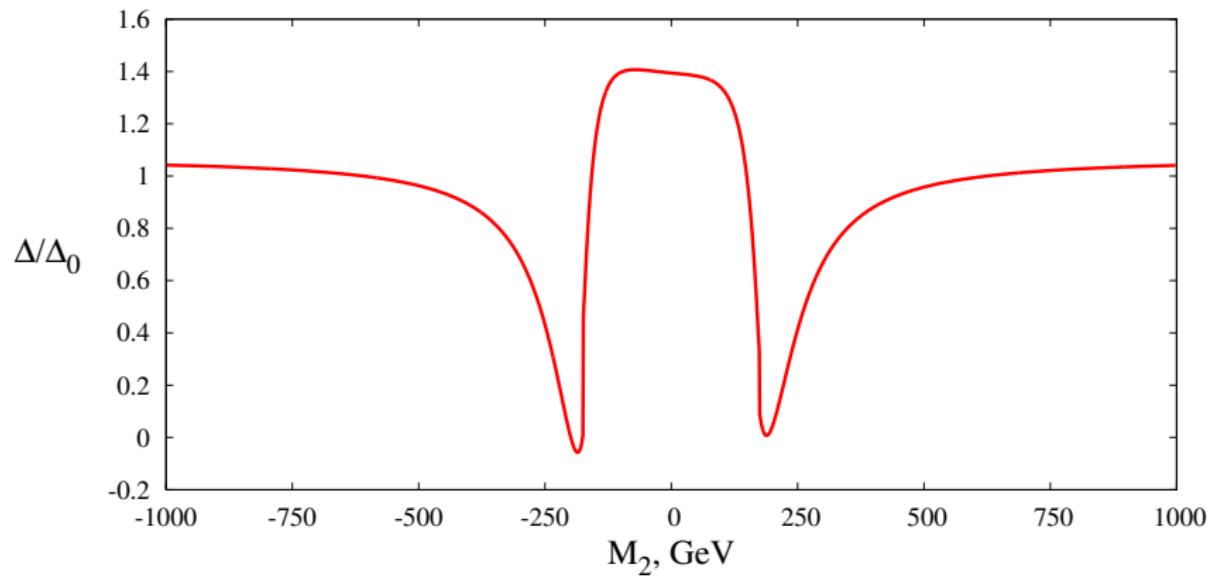
@  $T_c$  first bubbles begin to nucleate:  $S_3(T_c)/T_c \sim 130 - 140$   
 critical bubble is a saddle point of the free energy functional

$$S_3(T) = 4\pi \int_0^\infty dr r^2 \left[ \left( \frac{dh}{dr} \right)^2 + \left( \frac{dS}{dr} \right)^2 + \left( \frac{dP}{dr} \right)^2 + V_T(h, S, P) \right].$$

# The baryon asymmetry

EWB works perfectly (only  $\mu$  is switched on)

Set (2),  $\Delta_0 = 8.7 \times 10^{-11}$  or  $\eta_B \equiv n_B/n_\gamma = 6.5 \times 10^{-10}$



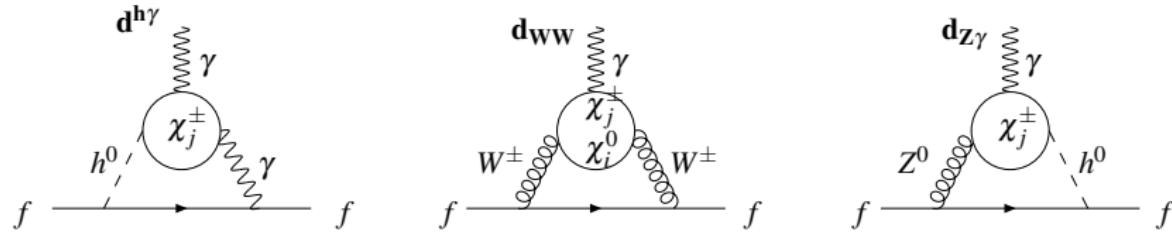
# Electric dipole moments of electron and neutron

**CP-source:** the same contributions to EDMs as in Split MSSM  
 but here one has generally two additional phases,

$$\phi_1 = \arg(\tilde{g}_u^* \tilde{g}_d^* M_2 \tilde{\mu}), \quad \phi_2 = \arg\left(\kappa k^* \lambda_u \lambda_d (\tilde{\mu}^*)^{-2}\right), \quad \phi_3 = \arg(\lambda_u \lambda_d^* \tilde{g}_u^* \tilde{g}_d)$$

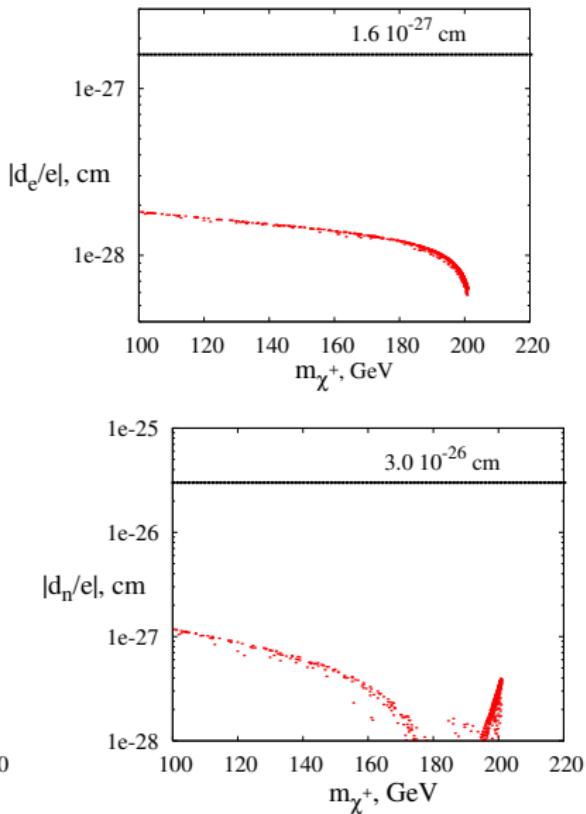
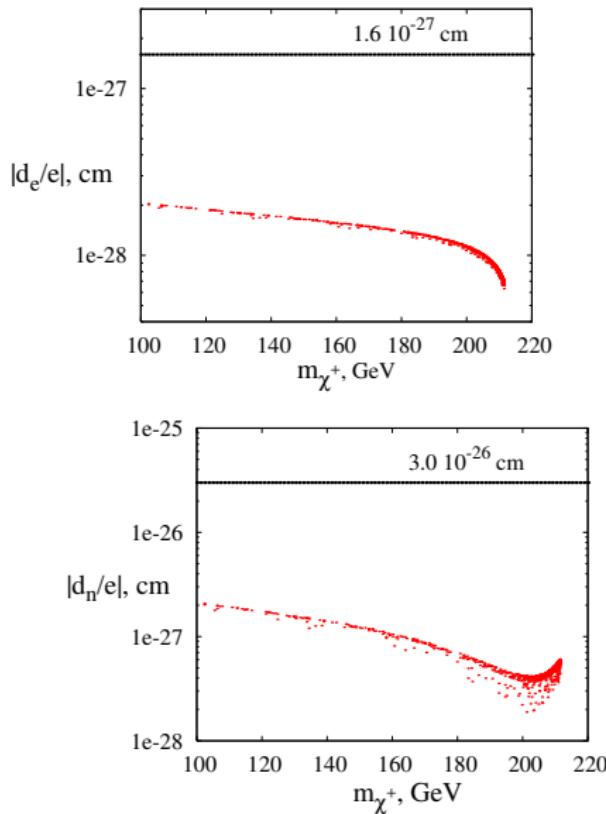
$$\tilde{\mu} = \mu + \kappa(v_s + i v_P)/\sqrt{2}$$

$$d_f = d_{h\gamma} + d_{WW} + d_{hZ}$$



# Electric dipole moments

... (2007)



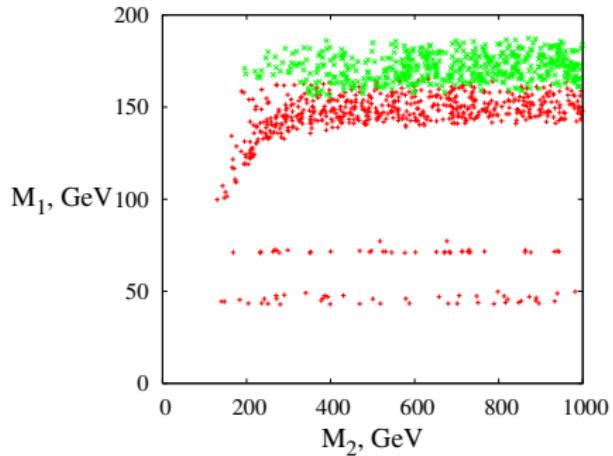
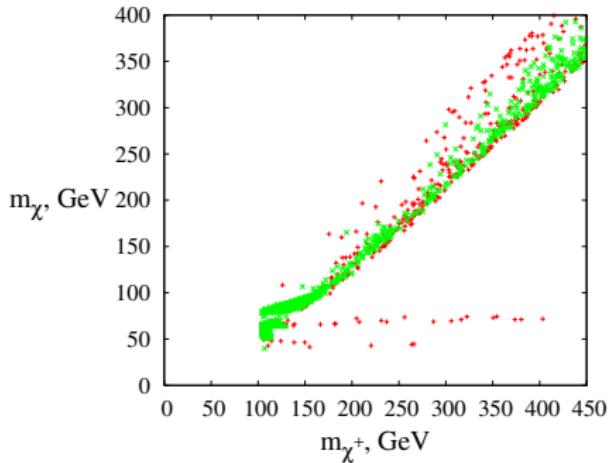
# Dark Matter: WIMPs made of neutralino or singlino

$$\chi = N_{51} \tilde{B} + N_{52} \tilde{W} + N_{53} \tilde{H}_u + N_{54} \tilde{H}_d + N_{55} \tilde{N}$$

$$\frac{m_\chi}{T_F} = x_F = \log \left( \frac{m_\chi}{2\pi^3} \sqrt{\frac{45}{2g_* G_N X_F}} \langle \sigma v \rangle_{M\!pl} \right)$$

$$\langle \sigma v \rangle_{M\!pl} = \frac{1}{8m_\chi^4 T K_2^2(m_\chi/T)} \int_{4m_\chi^2}^{\infty} ds \sigma(s) (s - 4m_\chi^2) \sqrt{s} K_1 \left( \frac{\sqrt{s}}{T} \right)$$

$$\Omega_\chi h^2 = \frac{(1.07 \times 10^9 \text{ GeV}^{-1})}{M_{Pl}} \left( \int_{x_F}^{\infty} dx \frac{\langle \sigma v \rangle_{M\!pl}(x)}{x^2} g_*^{1/2} \right)^{-1}.$$



# Split NMSSM in 2017

S.Demidov, D.G., D.Kirpichnikov (2016)

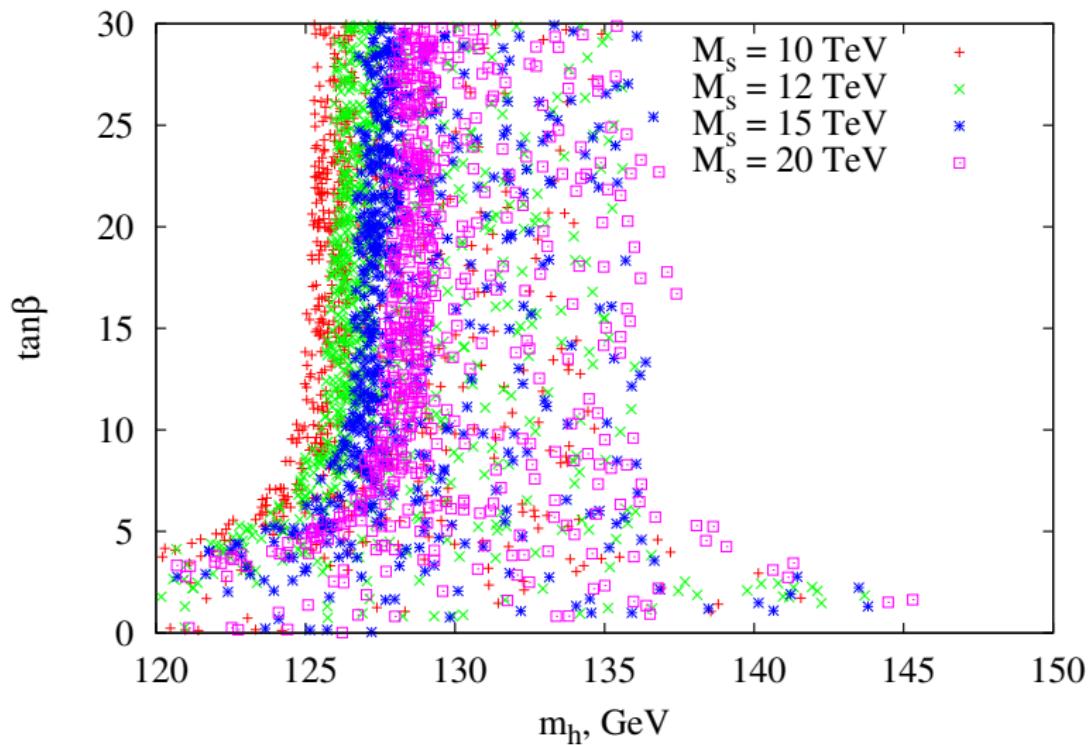
10 years passed

- Higgs of 125 GeV has been discovered
- LHC bounds on superpartner masses
- new bounds on EDMs
- stronger bounds on WIMPs from direct and indirect searches

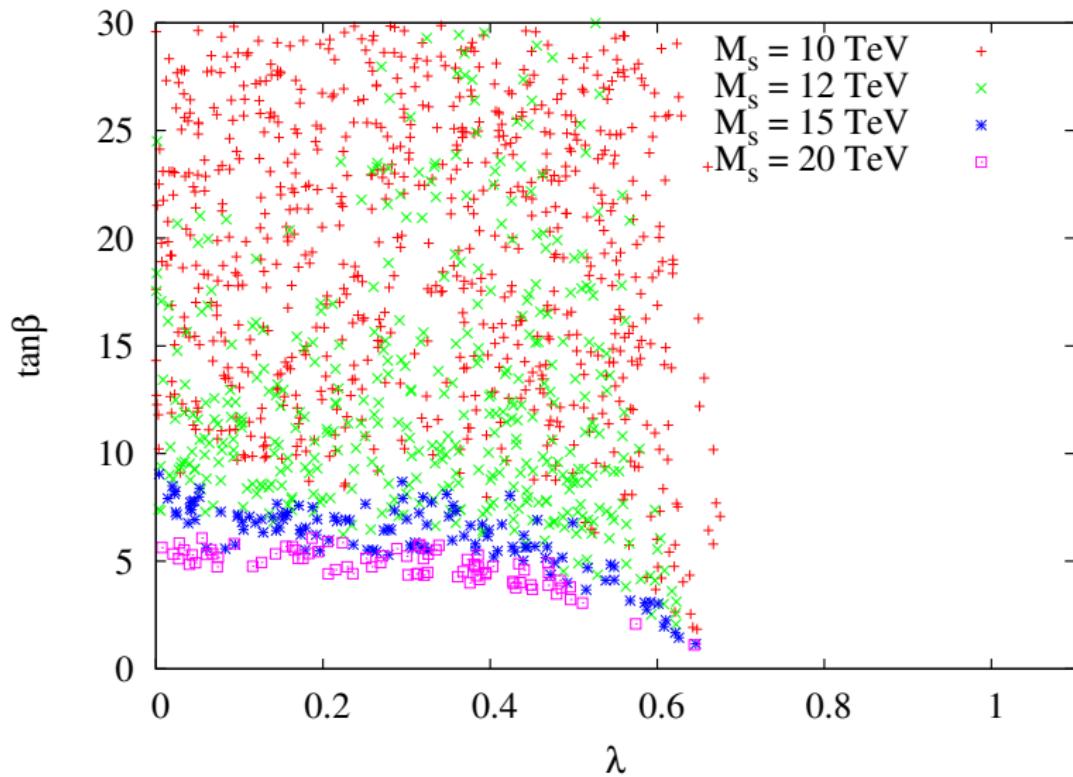
Is it still interesting? Yes. Why?

- Heavy higgs, heavy squarks are natural in Split SUSY
- Neither DM nor BAU problems are solved

# The main concern: SM Higgs



# 125 GeV SM Higgs with $\tan \beta \simeq 10, M_s \simeq 12 \text{ TeV}$

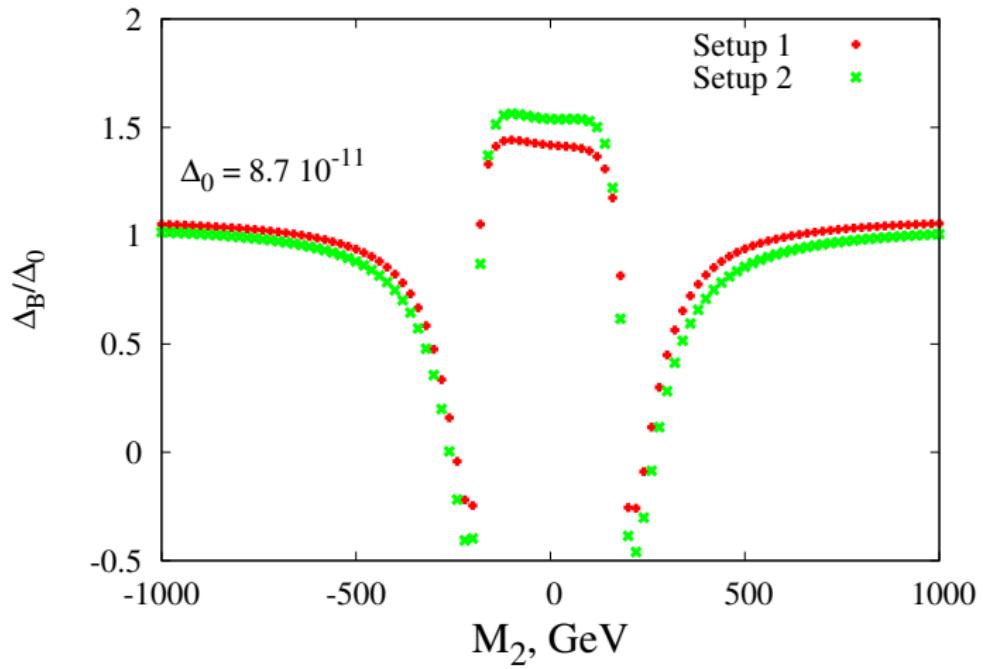


# BAU in Split NMSSM (2017)

still EWB works (only  $\mu$  is switched on)

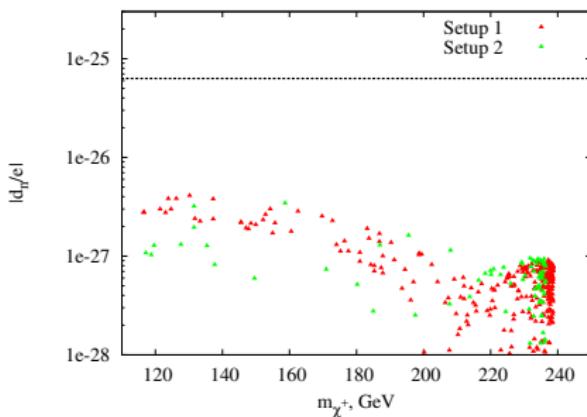
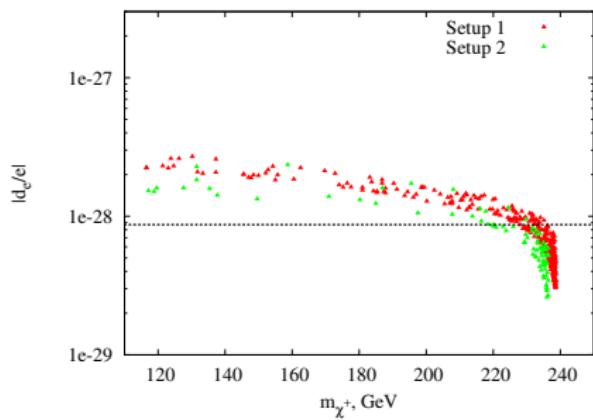
2 Setups

$$\Delta_0 = 8.3 \times 10^{-11} \text{ or } \eta_B \equiv n_B/n_\gamma = 6.2 \times 10^{-10}$$



# EDMs in Split NMSSM (2017)

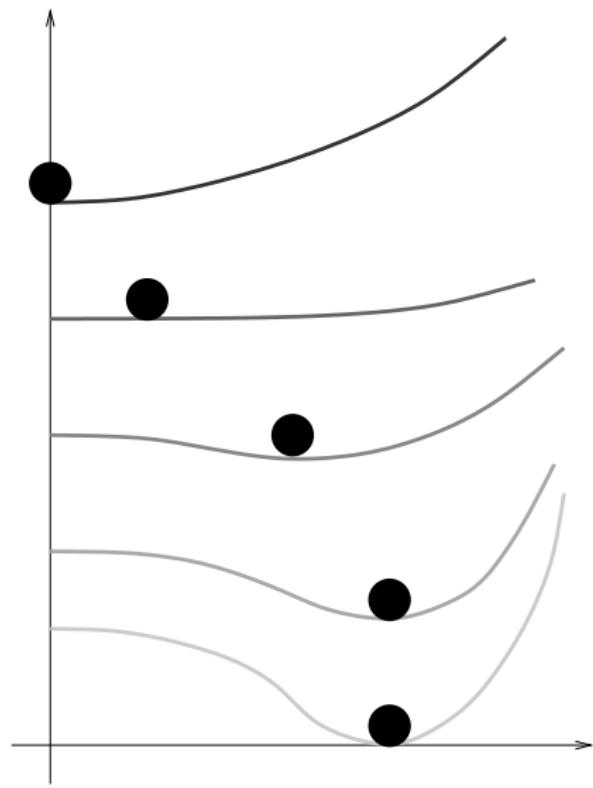
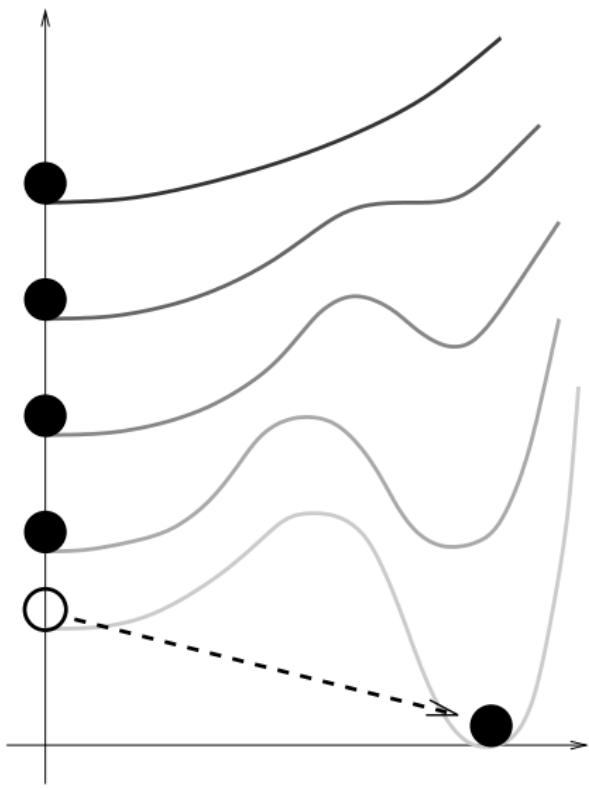
a factor of 30 improvement in electron EDM for last 10 years



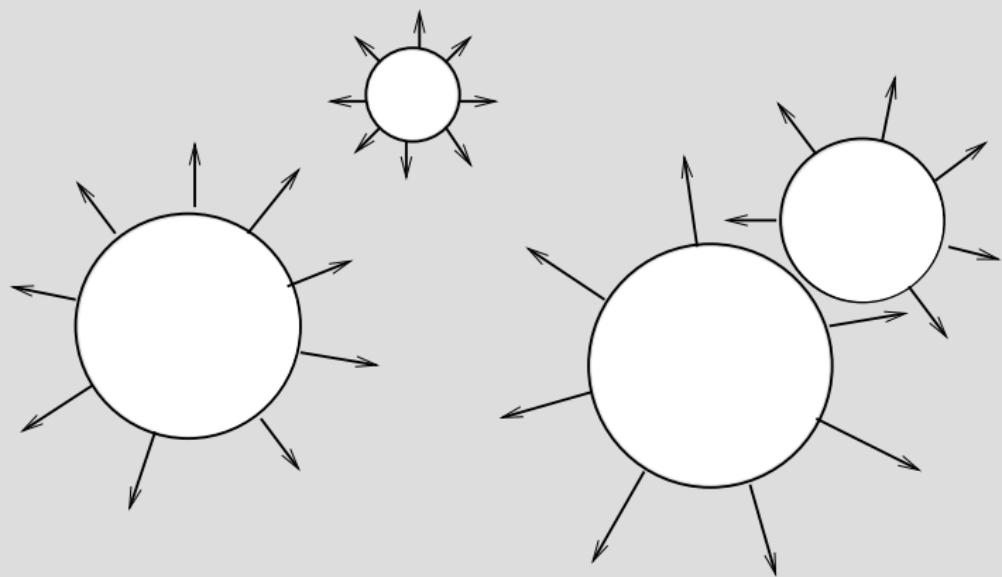
Also light charginos are disfavored from LHC

the rest ( $m_{\chi^+} \simeq 200 - 240 \text{ GeV}$ ) can be tested in  $\chi_1^+ \rightarrow \chi_1^0 + W^+$

# Phase transitions of the I and II orders

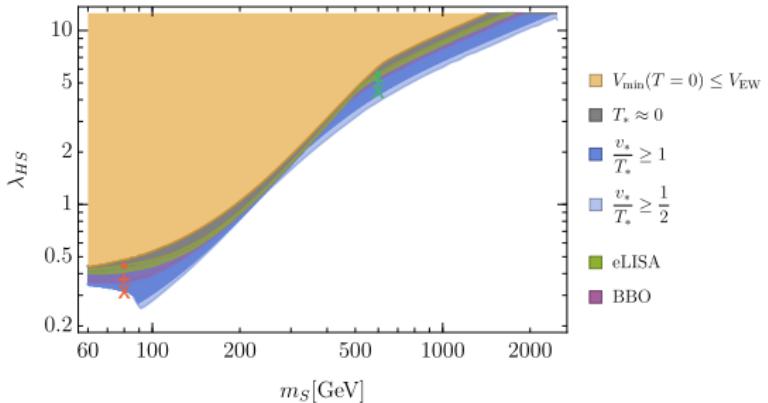


# Gravitational waves are produced at the transition



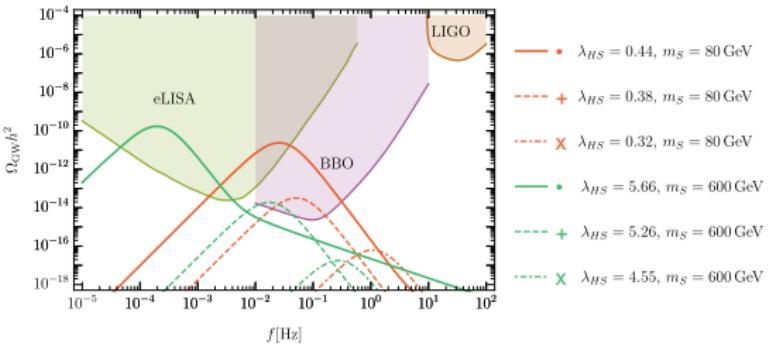
# Minimal extension with one real scalar

$$\Delta V = \frac{1}{2} \mu_S^2 S^2 + \frac{1}{4} \lambda_S S^4 + \frac{1}{2} \lambda_{HS} S^2 H^\dagger H$$

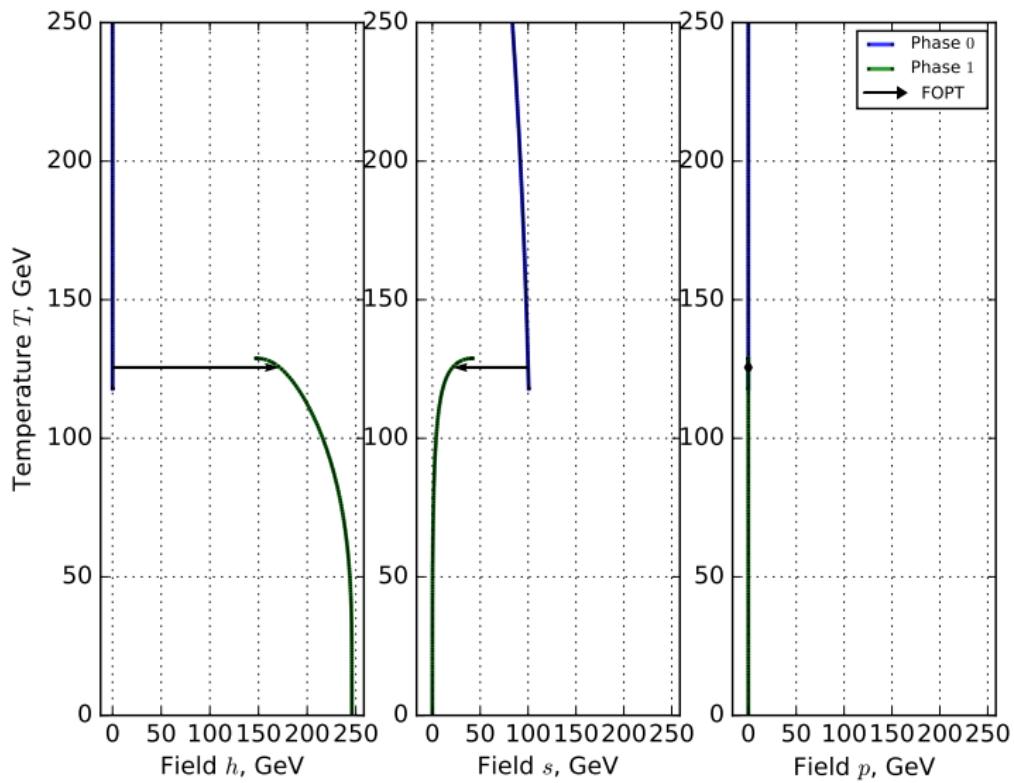


- EW phase transition of the strongly I order
- Gravitational waves production by the new phase bubbles

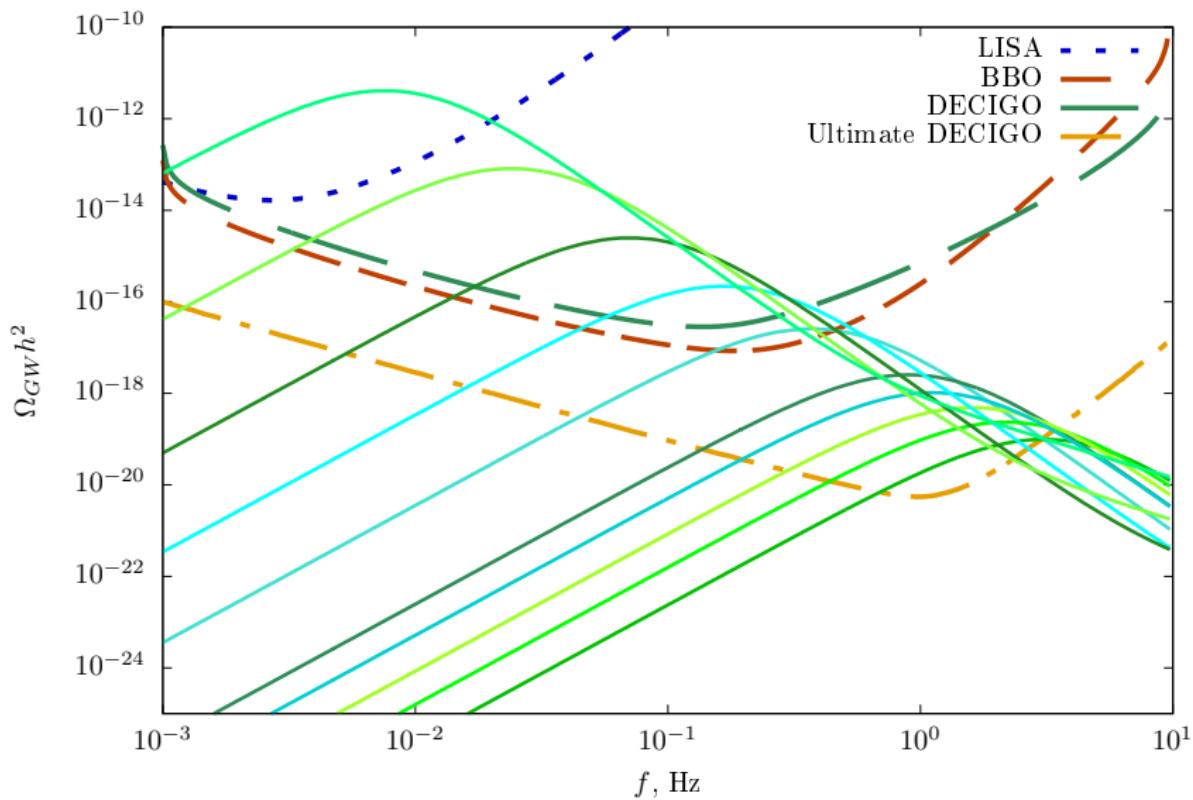
1702.06124



# EW-I with one more scalar (S.Demidov, D.G., E.Kriukova (2021))



# GW signals for sets of model parameters



# More ingredients in the Higgs sector

With SM Higgs renormalizably coupled to Inert doublet

one can obtain

see e.g. 2205.06669

- ① EW 1st order Phase transition (even in 2 steps !)
- ② Gravitational waves (even with 2 peaks !)
- ③ Dark Matter

# New physics is below EW scale and hidden so far

- Why no hints recognized at EW scale and below?  
*couplings to the SM fields are tiny*
- which certainly implies not a GUT-like new physics (all is  $\propto g$ )  
*hence coupling to new gauge singlets*
- that is usually nonrenormalizable interactions...  
*however, there are exceptions...*  
*thus we arrive at the portals*

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however, there are exceptions...  
*thus we arrive at the portals*

# Three Portals to the hidden World

Renormalizable interaction including SM field and new (hypothetical) fields singlets with respect to the SM gauge group

Attractive feature:

couplings are insensitive to energy in c.m.f.,  
hence low energy experiments (intensity frontier) are favorable

- Scalar portal: SM Higgs doublet  $H$  and hidden scalar  $S$

the simplest dark matter

$$\mathcal{L}_{\text{scalar portal}} = -\beta H^\dagger H S^\dagger S$$

- Spinor portal: SM lepton doublet  $L$ , Higgs conjugate field  $\tilde{H} = \varepsilon H^*$  and hidden fermion  $N$   
sterile neutrino !!

$$\mathcal{L}_{\text{spinor portal}} = -y \bar{L} \tilde{H} N$$

- Vector portal: SM gauge field of  $U(1)_Y$  and gauge hidden field of abelian group  $U(1)'$

$$\mathcal{L}_{\text{vector portal}} = -\frac{\varepsilon}{2} B_{\mu\nu}^{U(1)_Y} B_{\mu\nu}^{U(1)'}$$

# Massive vectors (paraphotons)

NA64

Vector portal to a secluded sector:

one more  $U(1)'$  gauge group [spontaneously broken] in secluded sector

e.g. with Dark matter  $\Psi$

0711.4866

$$\mathcal{L}_{\text{DM+mediator}} = \bar{\Psi} \left( i\gamma^\mu \partial_\mu - e' \gamma^\mu A'_\mu - m_\Psi \right) \Psi - \frac{1}{4} A'_{\mu\nu} A'^{\mu\nu} + \frac{m_{\gamma'}^2}{2} A'_\mu A'^\mu + \epsilon A'_\mu \partial_\nu B^{\mu\nu}$$

when  $m_\Psi > m_{\gamma'} \sim 1 \text{ GeV}$

- limit from BBN:

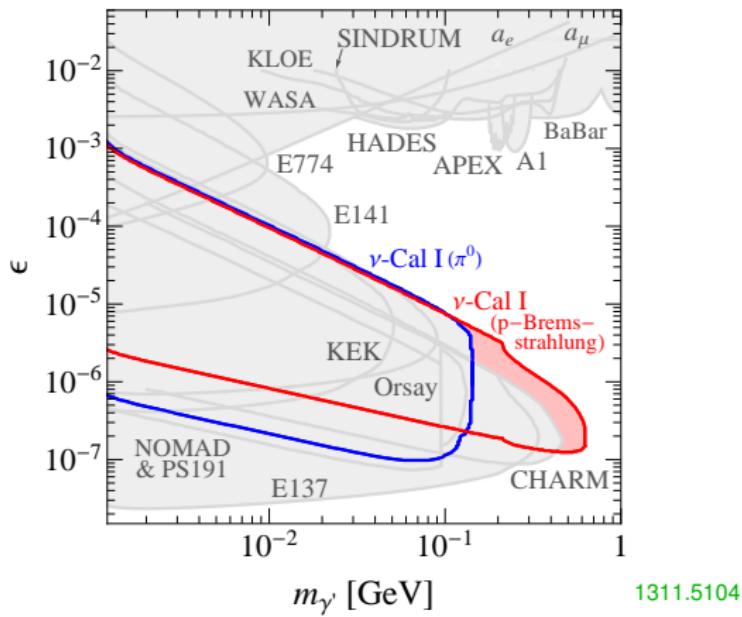
$$\tau_V < 1 \text{ s}, \implies \epsilon^2 \left( \frac{m_{\gamma'}}{1 \text{ GeV}} \right) \gtrsim 10^{-21}$$

- light for  $(g-2)$
- light for Pamela, Fermi, etc

Production by virtual photon  
 Decay through virtual photon,  
 $V \rightarrow e^+ e^- , \mu^+ \mu^-$ , etc

$$\sigma \propto \epsilon^2$$

$$\Gamma \propto \epsilon^2$$



1311.5104

# Massive vectors: decays are under control

Decay into SM via **mixing** with photon  
into leptons

$$\Gamma_{A'}^{I^+ I^-} = \frac{1}{3} \alpha_{\text{QED}} m_{A'} \epsilon^2 \sqrt{1 - \frac{4m_I^2}{m_{A'}^2}} \left( 1 + \frac{2m_I^2}{m_{A'}^2} \right),$$

into hadrons

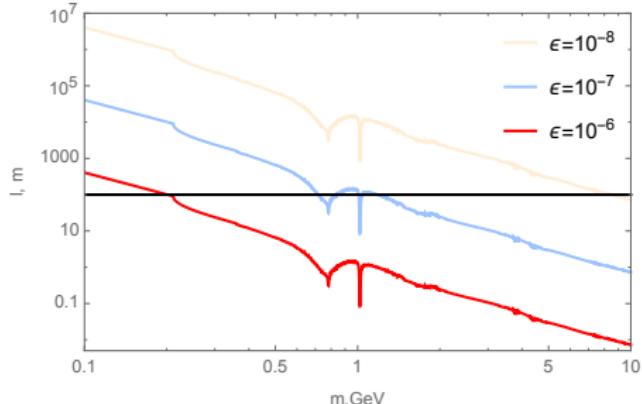
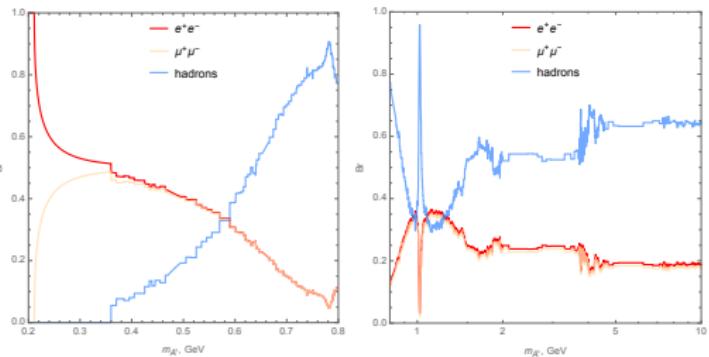
$$\Gamma_{A'}^{\text{hadrons}} = \frac{1}{3} \alpha_{\text{QED}} m_{A'} \epsilon^2 \cdot R(m_{A'}),$$

where

$$R(\sqrt{s}) = \frac{\sigma(e^+ e^- \rightarrow \text{hadrons})}{\sigma(e^+ e^- \rightarrow \mu^+ \mu^-)}$$

and

$$\Gamma_{A'}^{\text{tot}} = \Gamma_{A'}^{e^+ e^-} + \Gamma_{A'}^{\mu^+ \mu^-} + \Gamma_{A'}^{\text{hadrons}}$$



1411.4007

# Massive vectors: production by protons

- decays of  $\pi^0$ ,  $\eta^0$  and  $\rho^\pm$ ,  $\rho^0$ ,  $\omega$

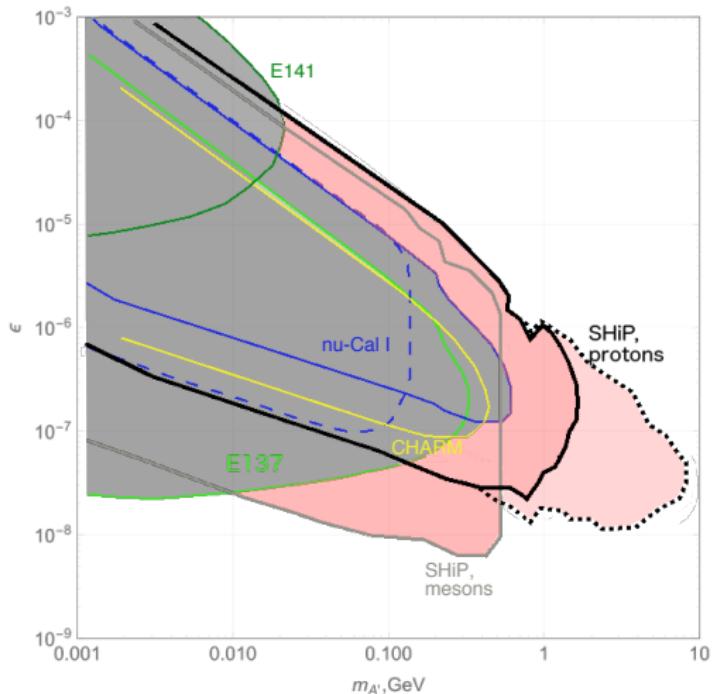
$$\text{Br}_{\pi^0 \rightarrow A'\gamma} \simeq 2\epsilon^2 \left(1 - \frac{m_{A'}^2}{m_{\pi^0}^2}\right)^3 \text{Br}_{\pi^0 \rightarrow \gamma\gamma}$$

- proton bremsstrahlung  
conservatively corrected by  
the Dirac (electric) form factor of  
proton

$$F_1 = \frac{1}{\left(1 + \frac{q^2}{m_D^2}\right)^2} \rightarrow \frac{1}{m_{A'}^4}$$

with Dirac mass squared  $m_D^2 = 12/r_D^2$   
and the Dirac radius  $r_D \approx 0.8 \text{ fm}$

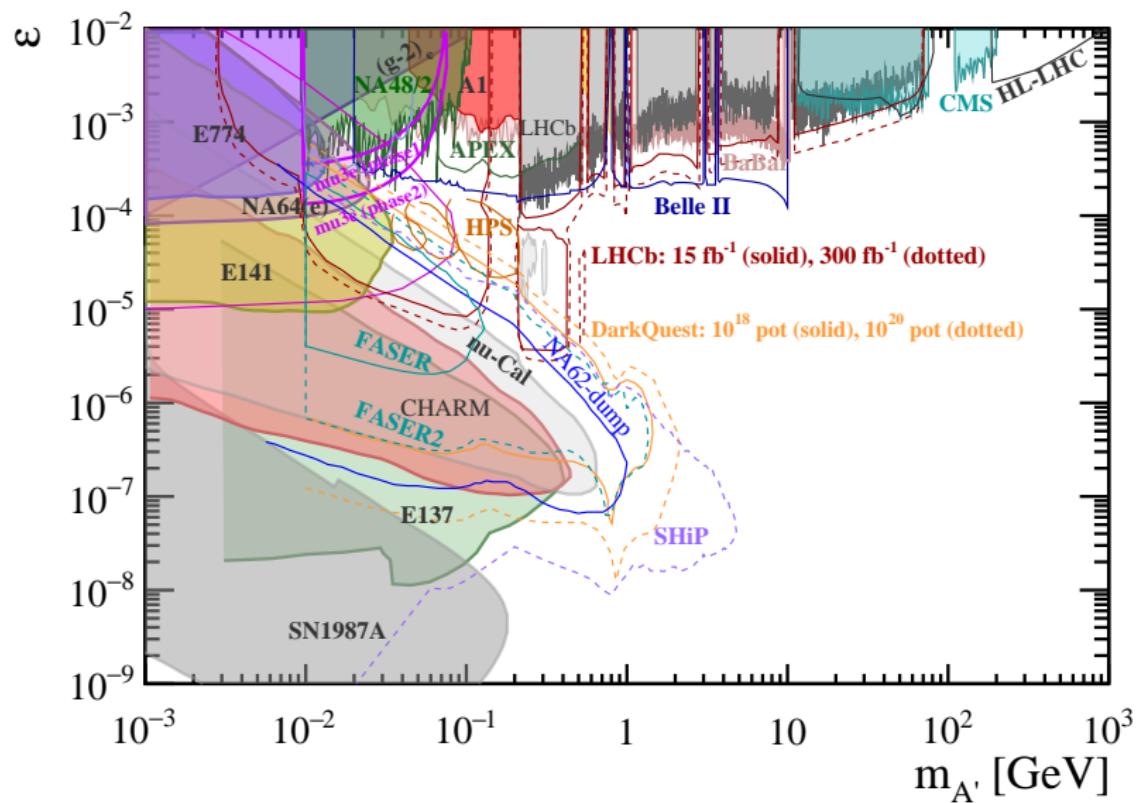
- quark bremsstrahlung ??  
still under study...



1411.4007

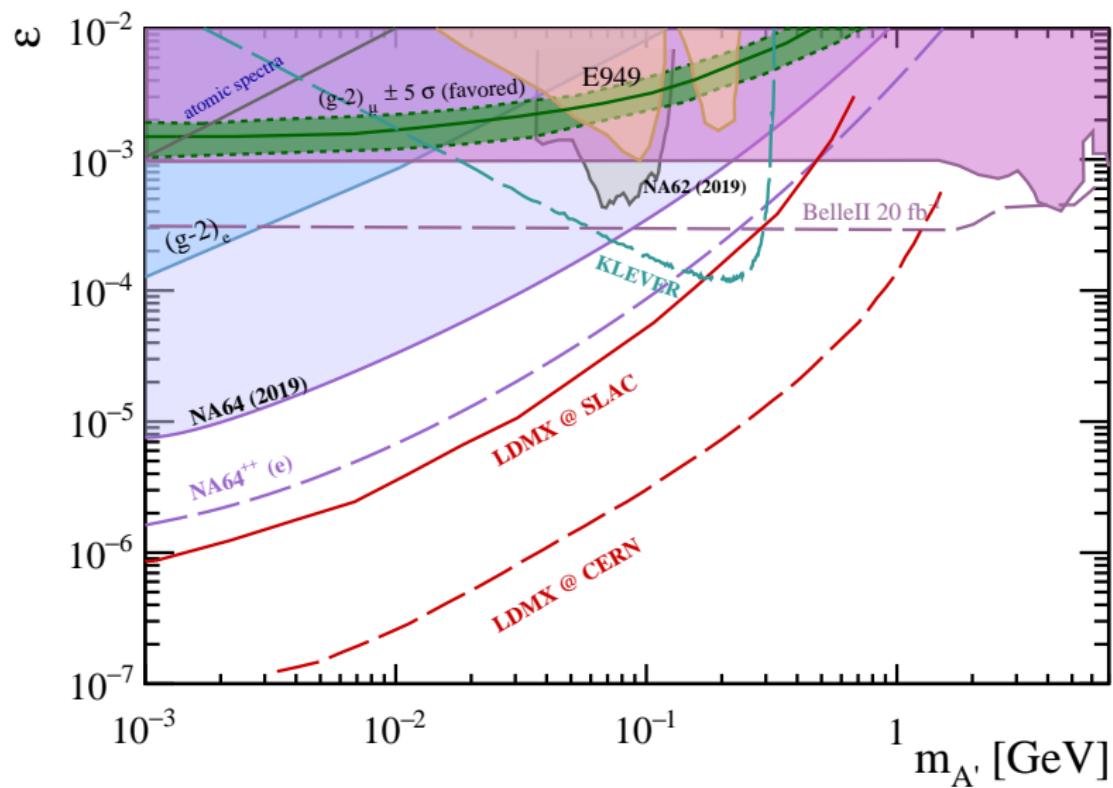
# Searches for visible decays

2102.12143



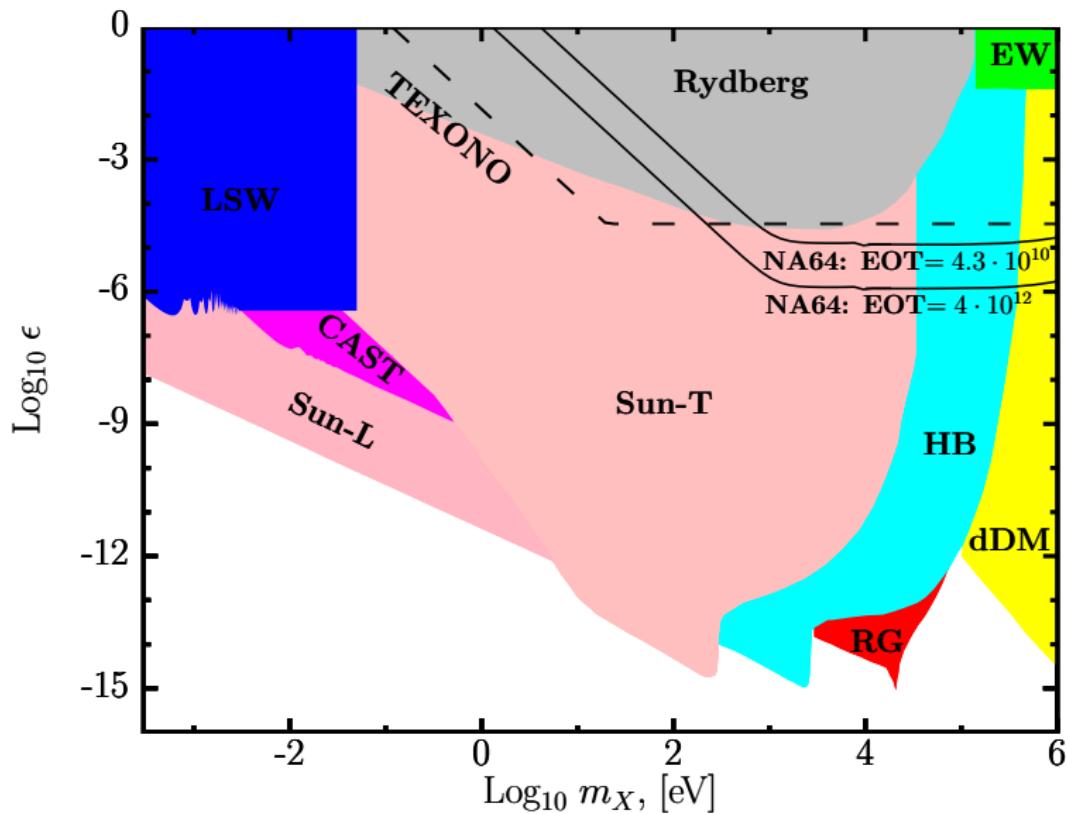
# Searches for invisible mode

2102.12143



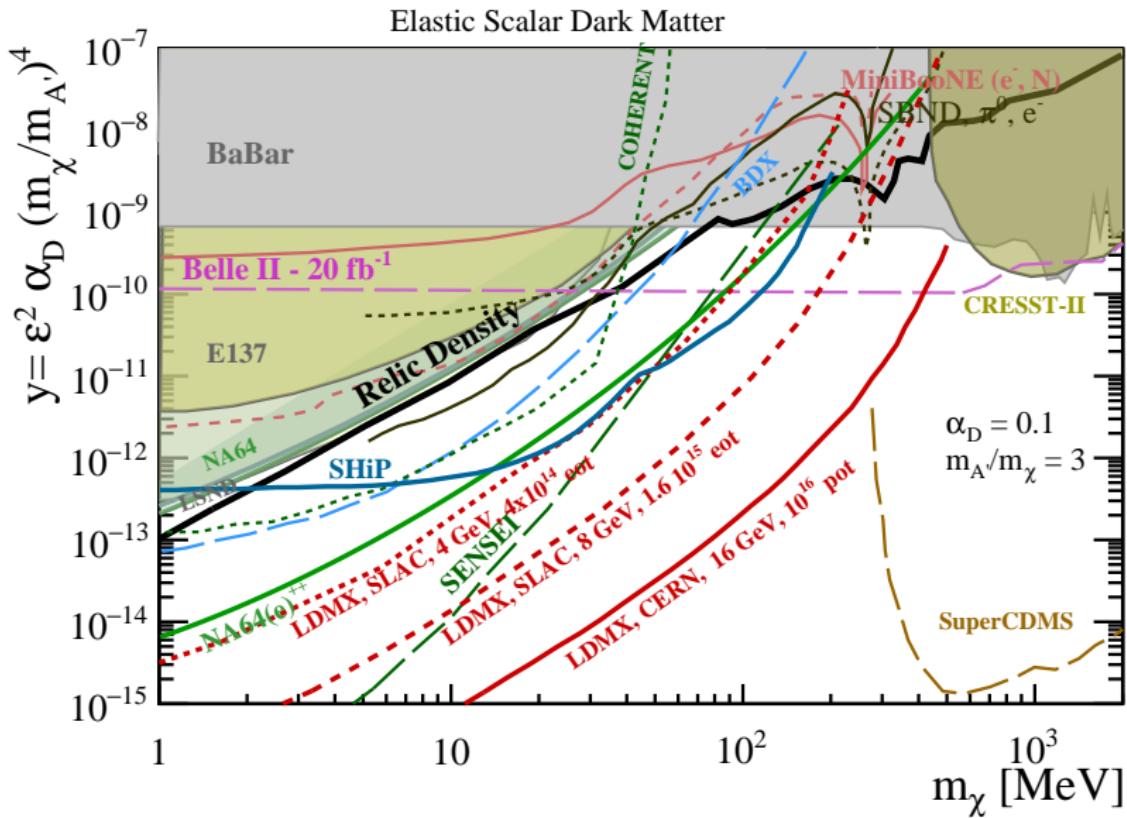
# Searches for invisible mode

1812.02719



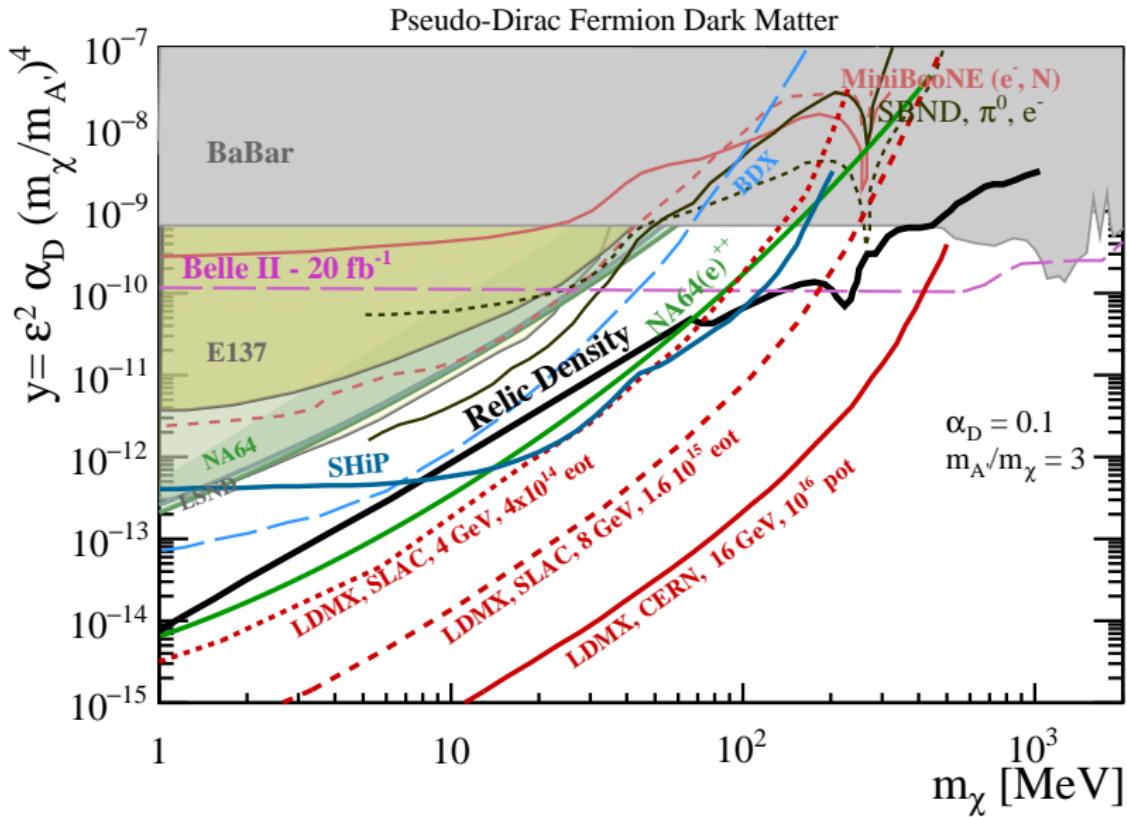
# Searches for dark matter

2102.12143



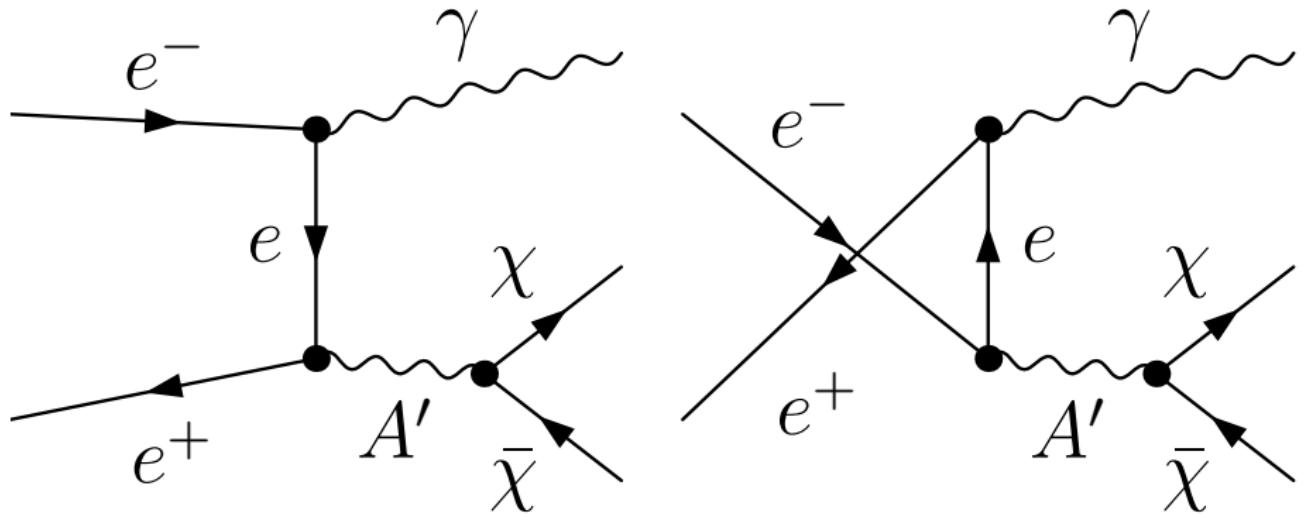
# Searches for dark matter

2102.12143



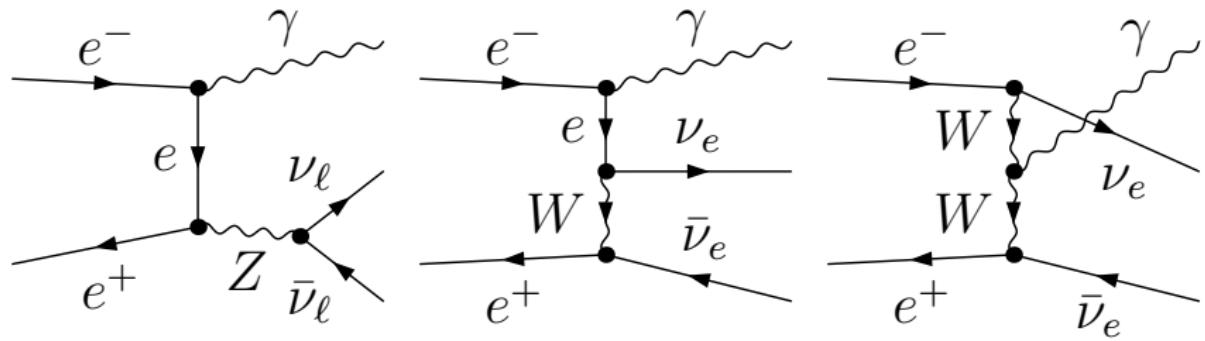
# Searching for dark matter particles at STCF

1907.07046



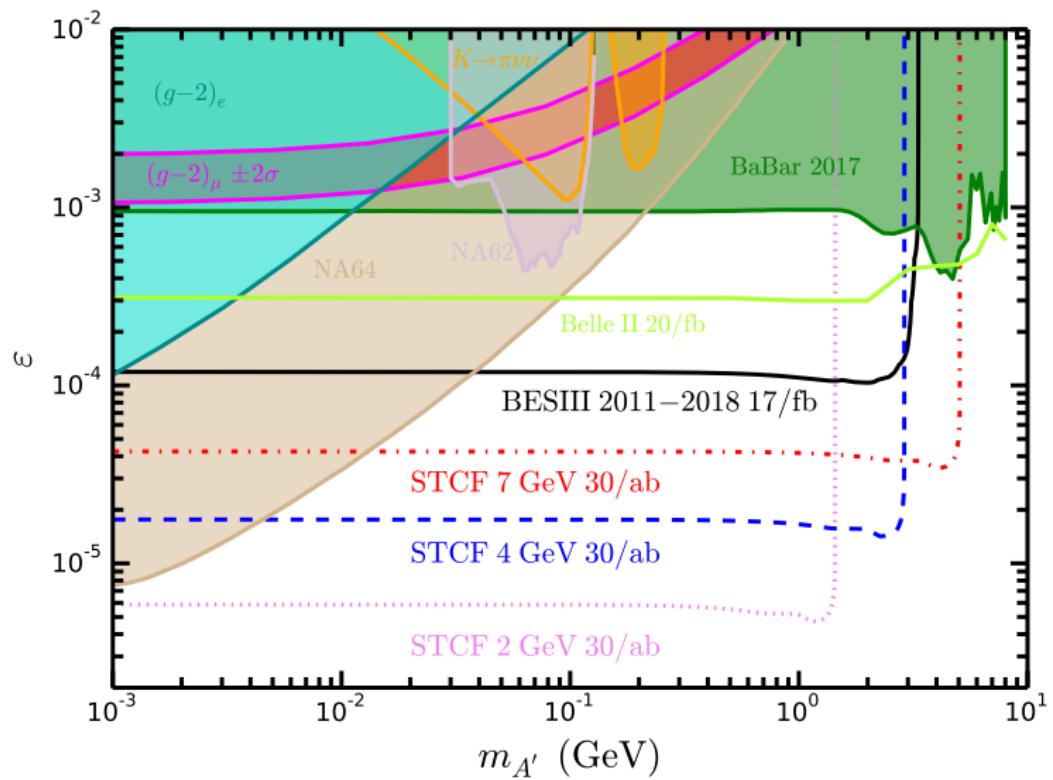
# Searching for dark matter particles at STCF

1907.07046



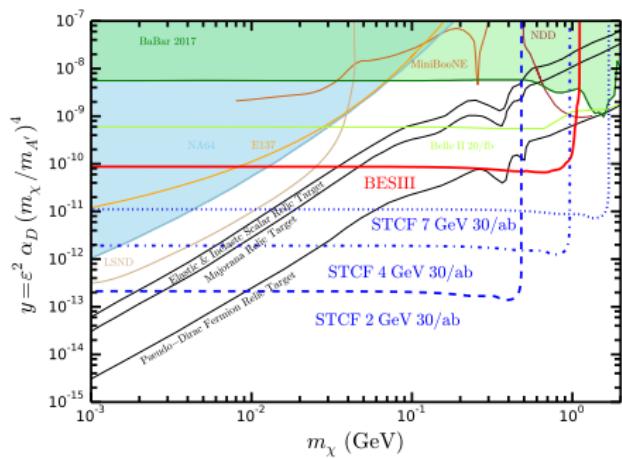
# Searching for the messenger at STCF

1907.07046

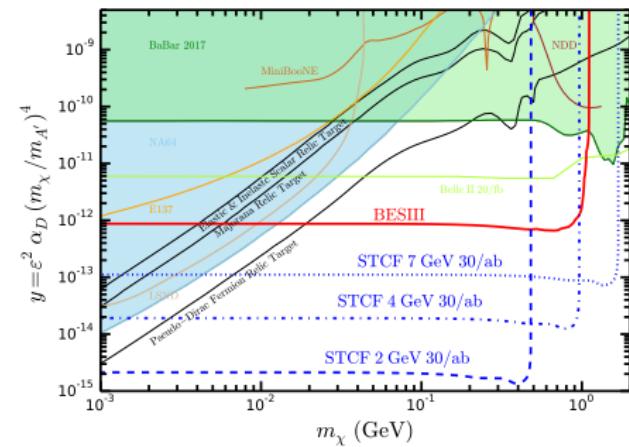


# Searching for dark matter at STCF

1907.07046

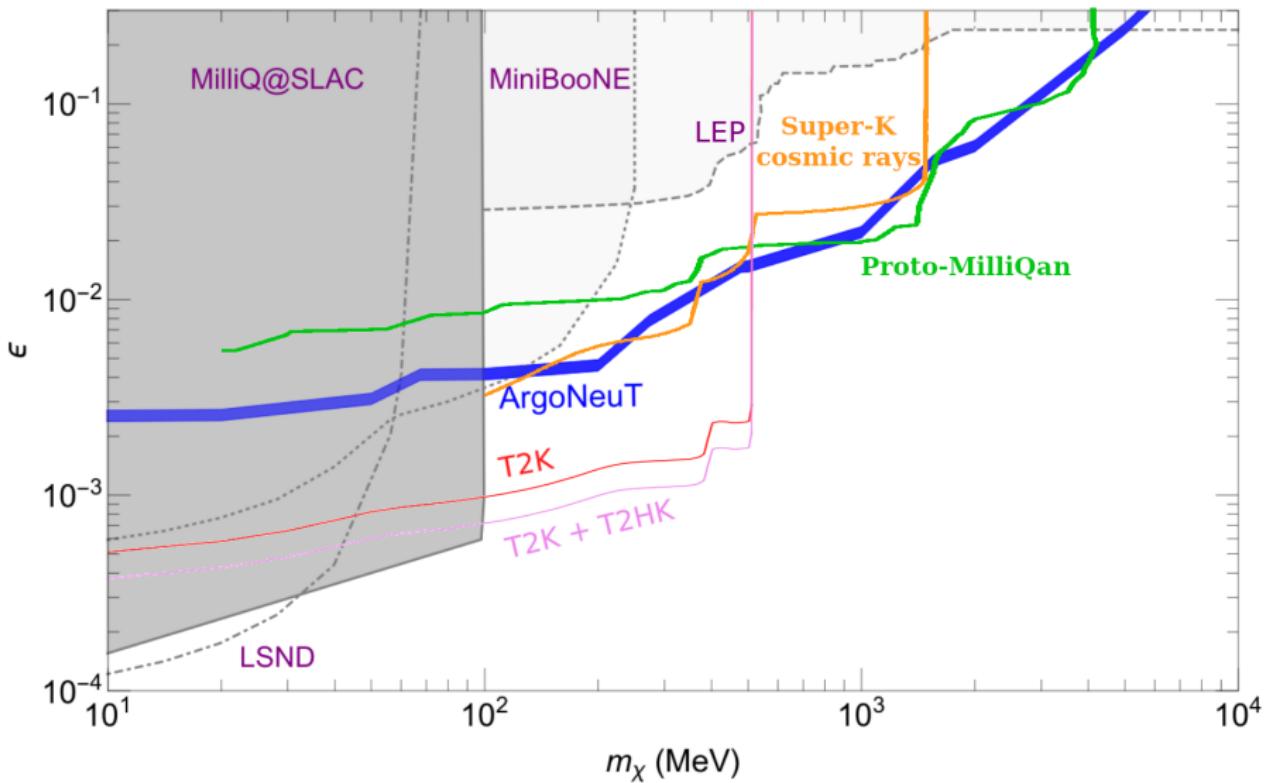


$$m_{A'} = 3m_\chi, \quad \alpha_D = 0.5$$



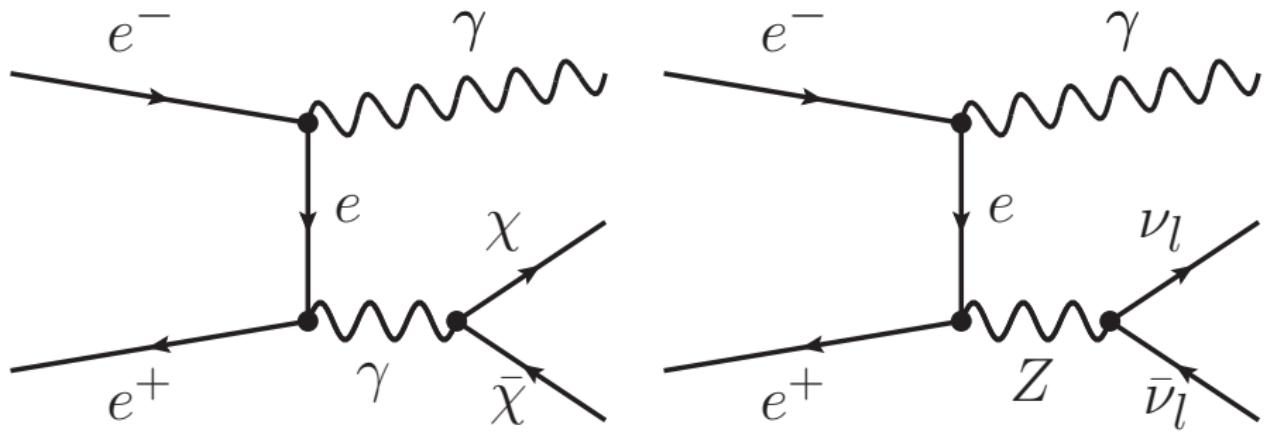
$$\alpha_D = 0.005$$

# Heavy vector: leaving with millicharged particles 2103.11814



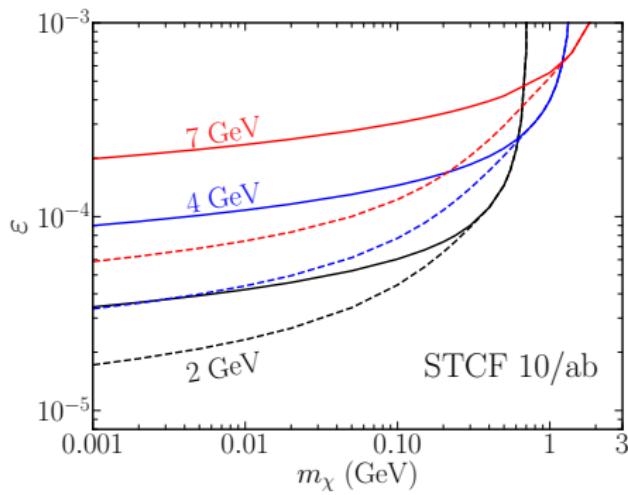
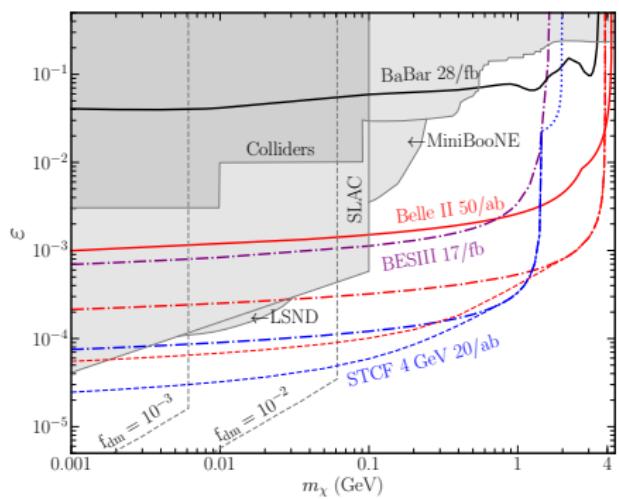
# Searching for millicharged particles at STCF

1909.06847



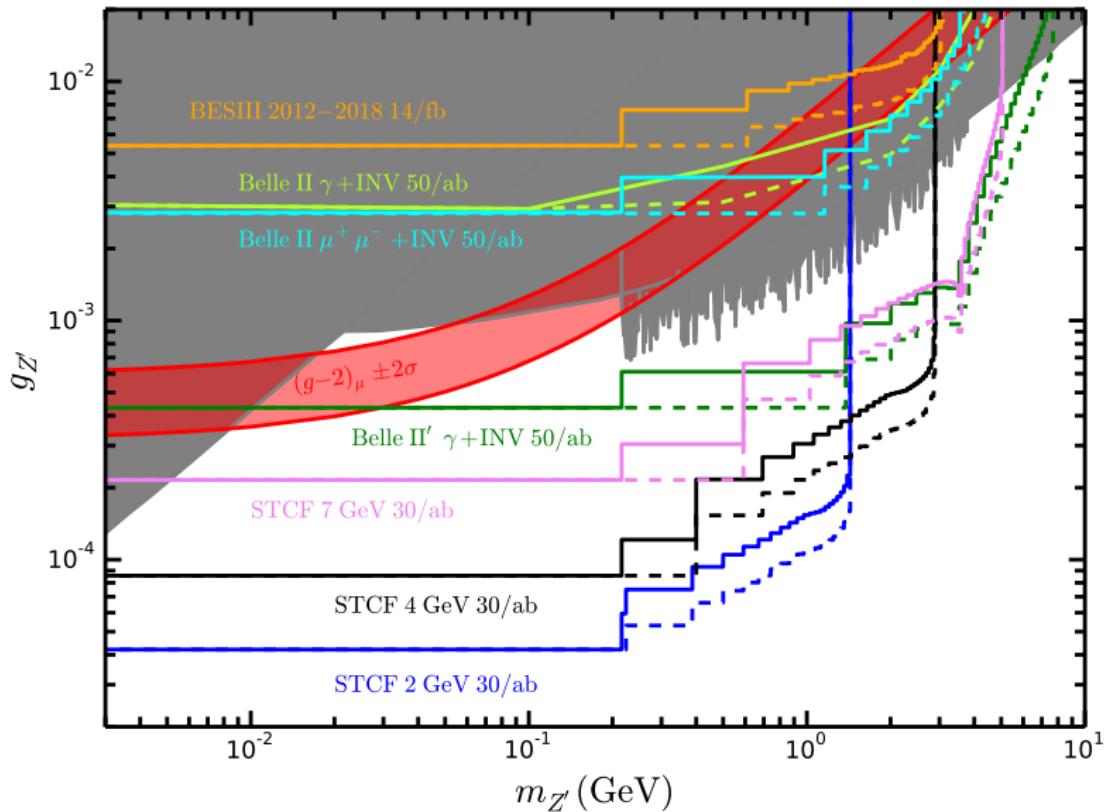
# Searching for millicharged particles at STCF

1909.06847



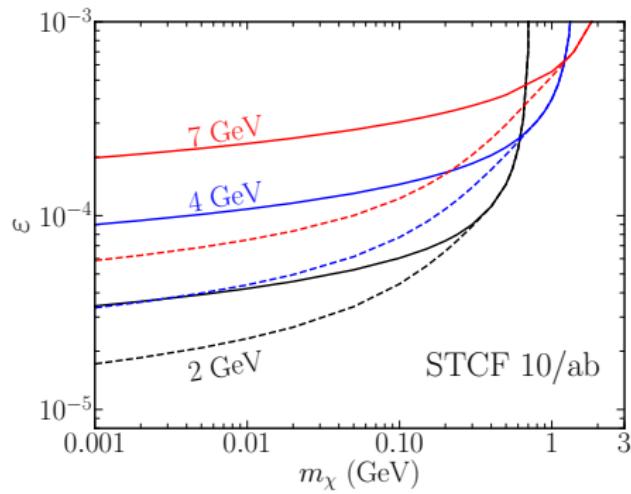
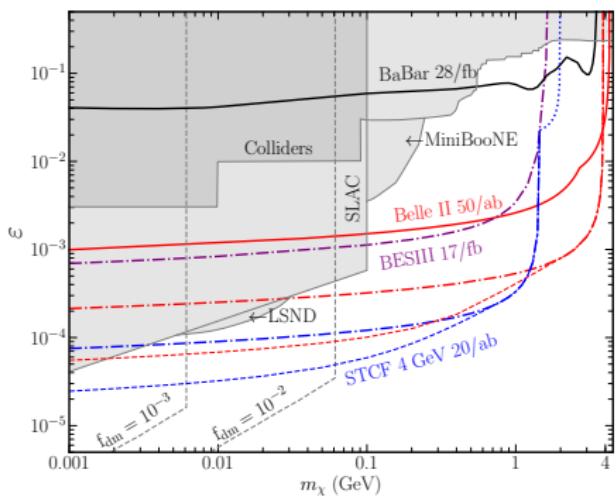
# Searching for $L_\mu - L_\tau Z'$ at STCF

2012.10893



# Searching for $L_\mu - L_\tau$ $Z'$ at STCF

2012.10893



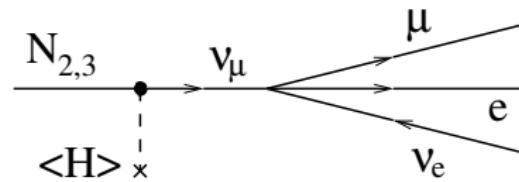
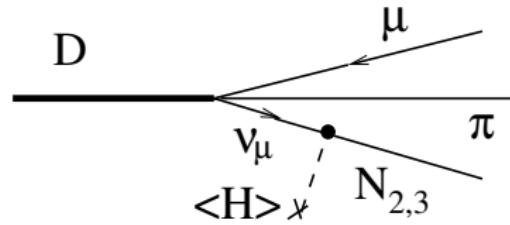
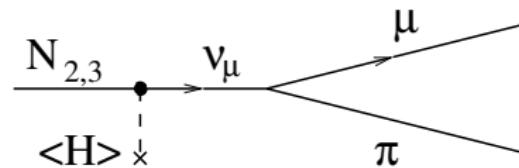
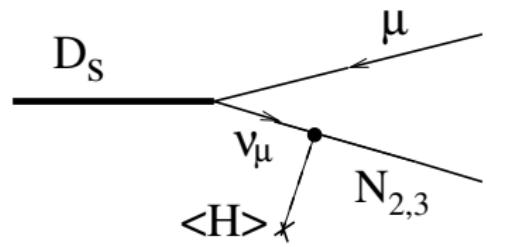
# Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III	
mass →	2.4 MeV	1.27 GeV	171.2 GeV	
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	
name →	Left up Right	Left charm Right	Left top Right	
Quarks	<b>u</b> down	<b>c</b> strange	<b>t</b> bottom	<b>g</b> gluon
	4.8 MeV	104 MeV	4.2 GeV	
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	
Leptons	<b>d</b> electron neutrino	<b>s</b> muon neutrino	<b>b</b> tau neutrino	<b>γ</b> photon
	$<0.0001$ eV	$\sim 10$ keV	$\sim 0.01$ eV	$\sim \text{GeV}$
	<b>ν<sub>e</sub></b> sterile neutrino	<b>ν<sub>μ</sub></b> sterile neutrino	<b>ν<sub>τ</sub></b> sterile neutrino	<b>Z<sup>0</sup></b> weak force
	0.511 MeV	105.7 MeV	1.777 GeV	<b>H</b> Higgs boson
	-1	-1	-1	spin 0
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau	

## Bosons (Forces) spin 1

$0^+$	$91.2$ GeV
$0^-$	$0$
$\pm 1$	$80.4$ GeV

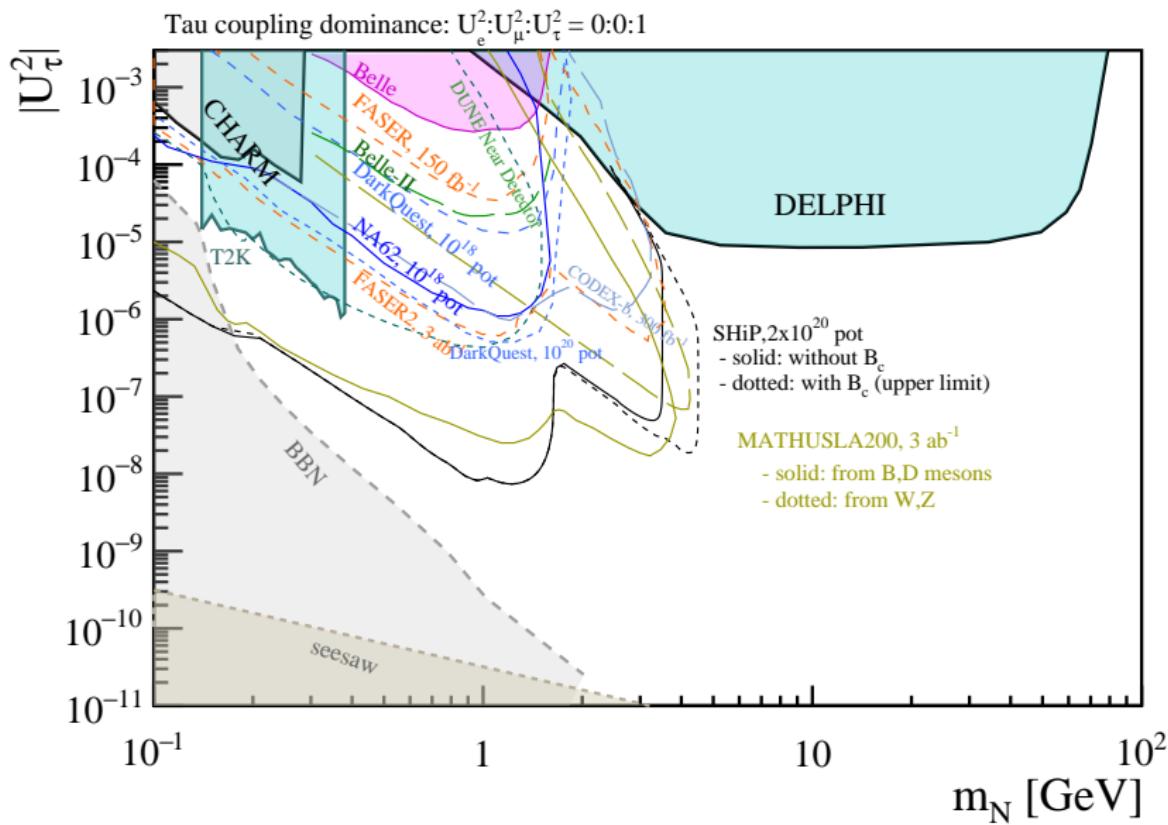
# Sterile neutrinos: production and decays



Interaction via neutral and charged weak hadronic currents

# mixing with $\nu_\tau$ ... $\tau^\pm \rightarrow N + H^\pm$

2102.12143



# Renormalizable inflaton at GeV scale

0912.0390

$$S_{XSM} = \int \sqrt{-g} d^4x (\mathcal{L}_{SM} + \mathcal{L}_{ext} + \mathcal{L}_{grav}),$$

$$\mathcal{L}_{ext} = \frac{1}{2} \partial_\mu X \partial^\mu X + \frac{1}{2} m_X^2 X^2 - \frac{\beta}{4} X^4 - \lambda \left( H^\dagger H - \frac{\alpha}{\lambda} X^2 \right)^2,$$

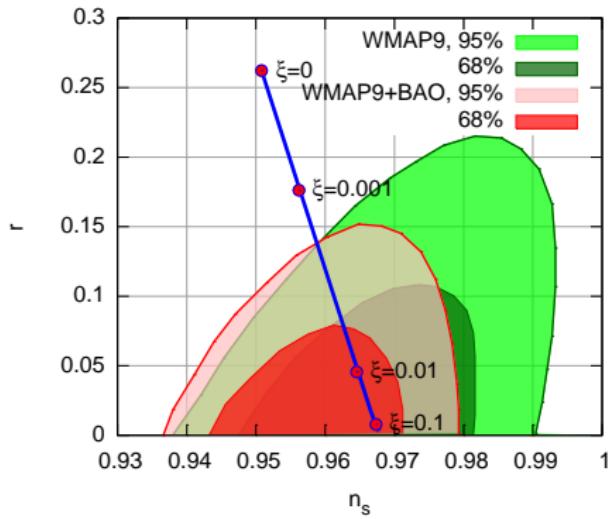
$$\mathcal{L}_{grav} = -\frac{M_P^2 + \xi X^2}{2} R,$$

inflaton mass

$$m_\chi = m_h \sqrt{\frac{\beta}{2\alpha}} = \sqrt{\frac{\beta}{\lambda \theta^2}}.$$

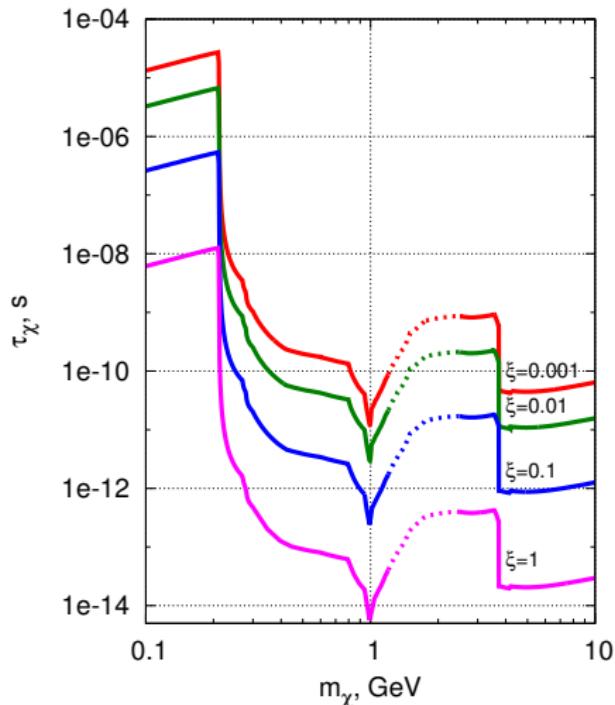
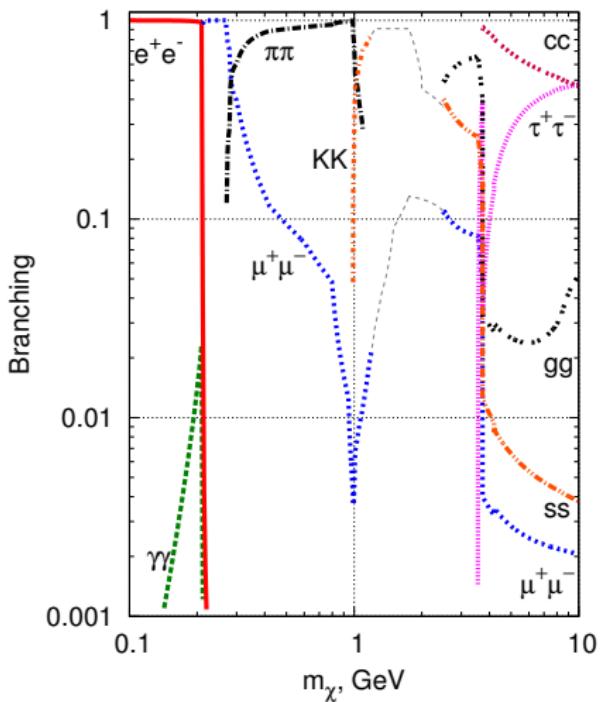
phenomenology is fixed by  
mixing with Higgs

$$\theta^2 = \frac{2\beta v^2}{m_\chi^2} = \frac{2\alpha}{\lambda}.$$



# QCD modes: claimed uncertainties upto $10^2$

1303.4395

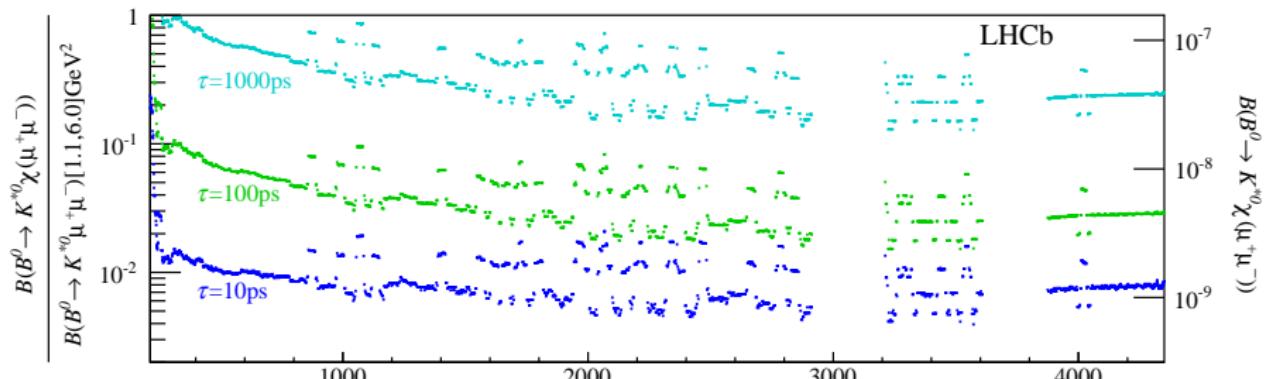
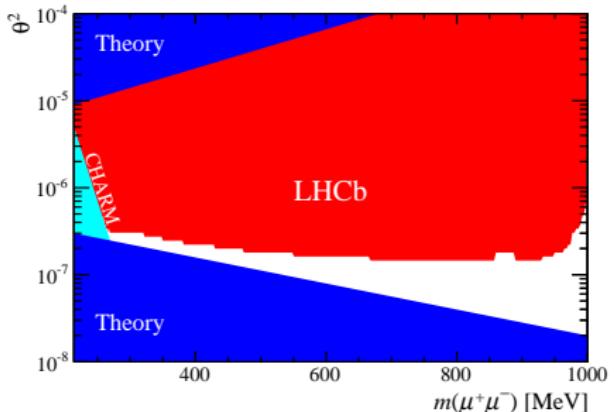
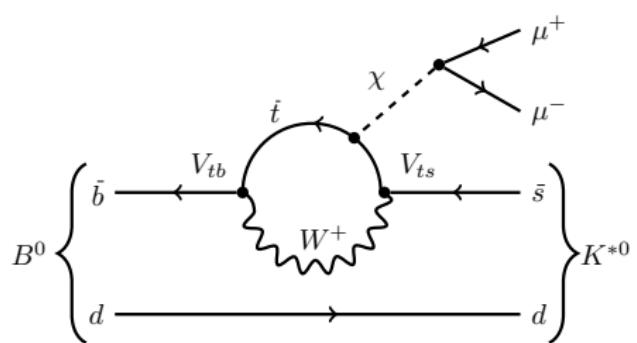


Interaction among the final hadronic states

following J.Donoghue, J.Gasser and H Leutwyler (1990)

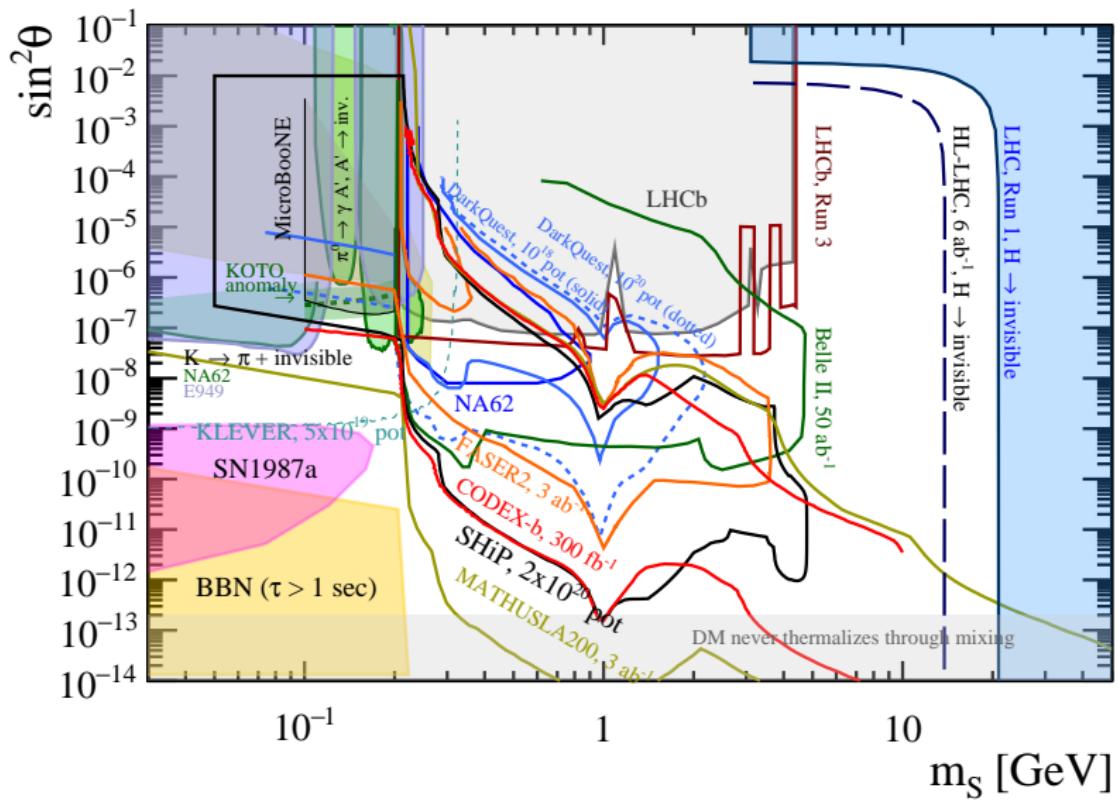
# Limits from LHCb

1508.04094



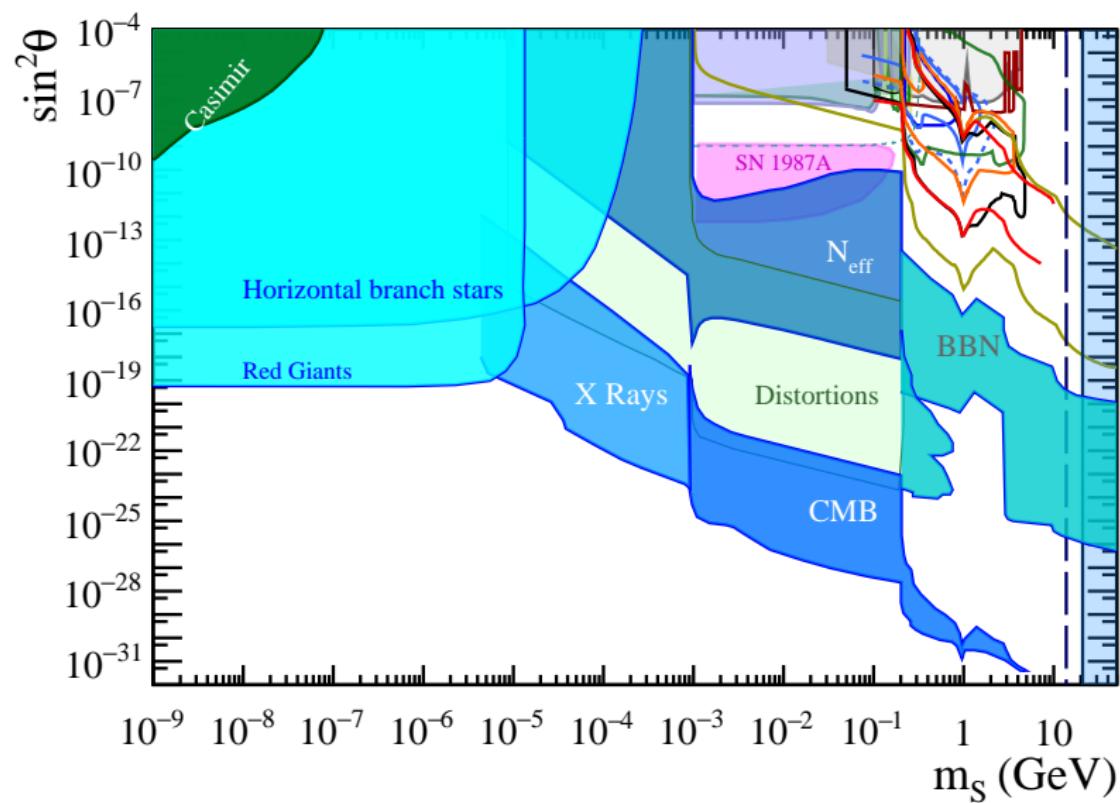
# direct limits on scalars

2102.12143



# scalars in astrophysics

2102.12143



# Conclusions

We definitely need new physics

- There are many well-motivated BSM models
- Phenomenology, Theory, Anomalies...
- Not all the models can be presently tested

for  $c\tau$  the viable field is BSM with portals: new light feebly coupled particles

Both experimental work (construction, background) and theoretical work (problems with QCD-calculus at the 1 GeV scale) are needed



# Anomalies with matter structures at small scales

- Core-cusp problem

Dark Matter density profiles in the centers of simulated halos are cusped while in observed dwarf galaxies are cored

- Lack of dwarf galaxies

Matter perturbations of almost flat spectrum produce flat halo mass spectrum low abundance of small galaxies

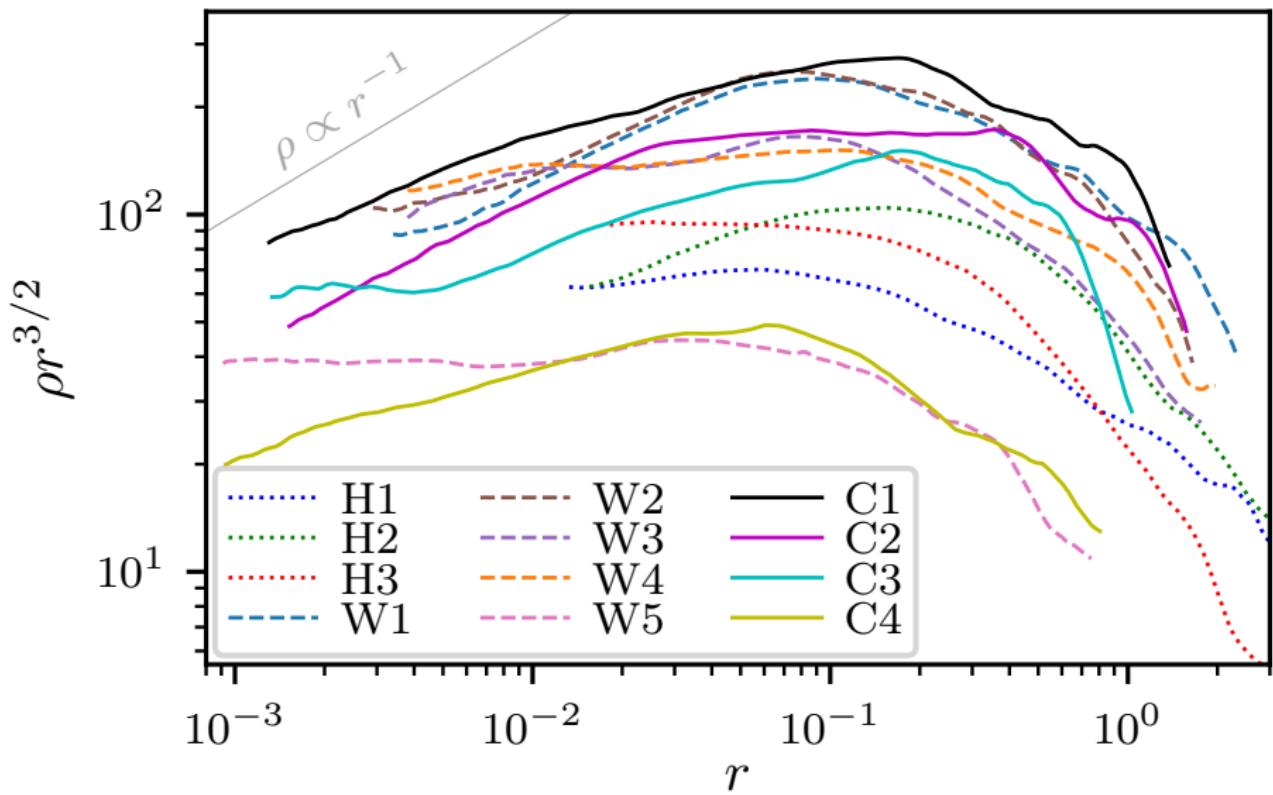
- Too-big-To-fail problem

There must be galaxies heavy enough to keep baryons inside  
Milky Way hosts only two such galaxies

WDM, SIDM, Fuzzy DM etc: to suppress structures at small scales

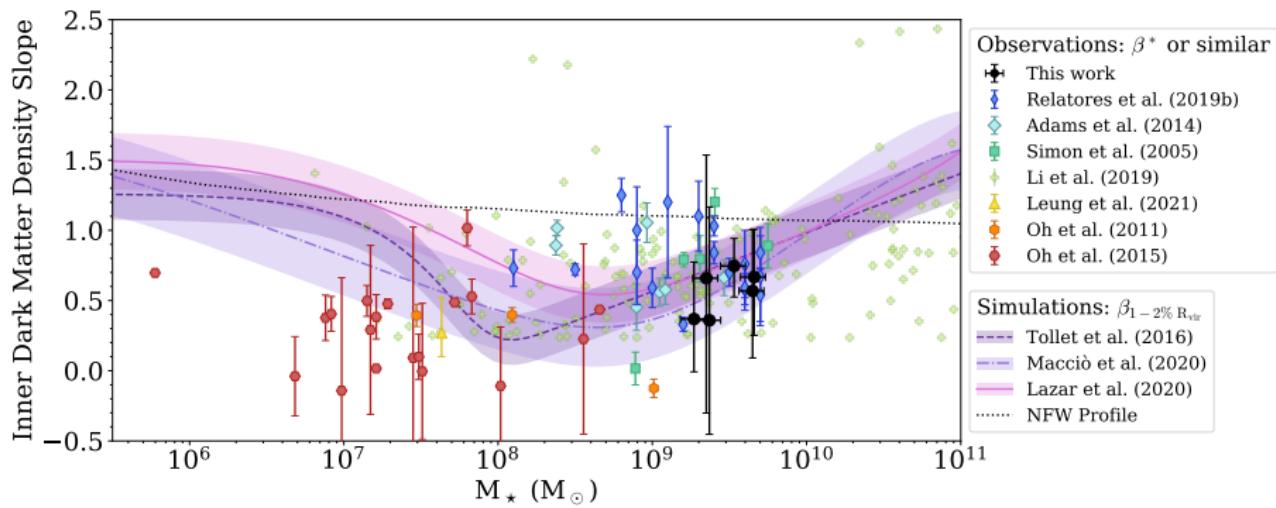
# Cusps in simulations

2207.05082



# Core vs cusp in a galaxy...

2203.00694



$$\rho(r) \propto \frac{1}{r^{\beta^*}}$$

# Axion-like portal: Light sgoldstinos in SUSY

SUSY is spontaneously broken

breaking of  $SU(2)_W \times U(1)_Y$  by the  $\langle H \rangle = v$

**Goldstones bosons** couple to all massive fields  
(Goldberger–Treiman formula like for pion)

$$\mathcal{L} = \frac{1}{v} J_{SU(2)_W \times U(1)_Y}^\mu \partial_\mu H$$

breaking of SUSY by  $\langle F_\varphi \rangle = F$

**Goldstone fermion: goldstino**

$$\mathcal{L}_\psi \propto \frac{1}{F} J_{SUSY}^\mu \partial_\mu \psi$$

**Goldstino supermultiplet:** (boson  $\varphi$  (**sgoldstino**), fermion  $\psi$  (**goldstino**))

$$\cancel{\text{SUSY}} \longleftrightarrow F \equiv \langle F_\varphi \rangle \neq 0 \quad \Phi = \varphi + \sqrt{2}\theta\psi + F_\varphi\theta\theta \quad \frac{1}{\sqrt{2}}(\varphi + \varphi^\dagger) \equiv S - \text{scalar}$$

$$\text{sgoldstino: } \mathcal{L}_{S,P} \propto \frac{M_{soft}}{F} \quad F \sim (\text{SUSY scale})^2 \quad \frac{1}{i\sqrt{2}}(\varphi - \varphi^\dagger) \equiv P - \text{pseudoscalar}$$

$M_{soft}$ : MSSM soft terms

superpartner masses and trilinear couplings,

massless at tree level  
naturally may be light...

gauginos:

squarks, sleptons:

$$M_\lambda \lambda \lambda \longrightarrow \frac{M_\lambda}{F} S F_{\mu\nu} F^{\mu\nu}, \quad \frac{M_\lambda}{F} P F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$A_{ij} h_u \tilde{q}_i \tilde{u}_j \longrightarrow \frac{A_{ij}}{F} S h_u q_i u_j, \quad \frac{A_{ij}}{F} P h_u q_i u_j$$

# Direct coupling to gluonic tensor

- For  $M_S \ll 1 \text{ GeV}$  estimate coupling to pions through the **triangle anomaly** in  $T_{\mu\mu}$

M.Voloshin, V.Zakharov (1980)

$$-\langle \pi\pi \left| \frac{bg_s^2}{32\pi^2} G_{\mu\nu}^a G_{\mu\nu}^a \right| 0 \rangle = \langle \pi\pi | T_{\mu\mu} | 0 \rangle = q^2 \varphi_\pi^\alpha \varphi_\pi^\alpha / 2$$

hence we get an **amplification**

1511.05403

$$\Gamma(S \rightarrow \pi^0 \pi^0) \approx \frac{\alpha_s^2(M_3)}{\beta^2(\alpha_s(M_3))} \frac{\pi m_S^3 M_3^2}{4F^2} \sqrt{1 - \frac{4m_{\pi^0}^2}{m_S^2}},$$

- For  $M_S \gg 1 \text{ GeV}$  we have gluons and a **suppression**

$g_s^2 G_{\mu\nu}^2$  is a renorm-invariant

$$\Gamma(S \rightarrow gg) = \left( \frac{\alpha_s(m_S)\beta(\alpha_s(M_3))}{\beta(\alpha_s(m_S))\alpha_s(M_3)} \right)^2 \frac{m_S^3 M_3^2}{4\pi F^2}.$$

- The two rates mismatch by orders...