
НЕЙТРИННАЯ ФИЗИКА В ПРОЕКТАХ T2K→T2K-II→HYPER-KAMIOKANDE



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НЦФМ, МГУ, Саров
25-29 июля 2022 г

NEUTRINO OSCILLATIONS

- Neutrino have mass and mixings:

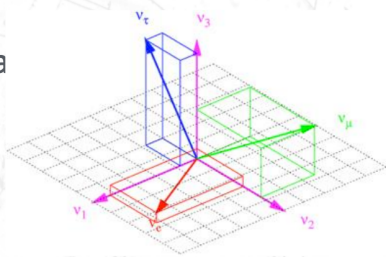
- Neutrino flavour eigenstates are not the same than the neutrino Lorentz eigenstates.
- Eigenstates are related through a rotation matrix.

$$\nu_\alpha = \sum_i U_{\alpha i} \nu_i$$

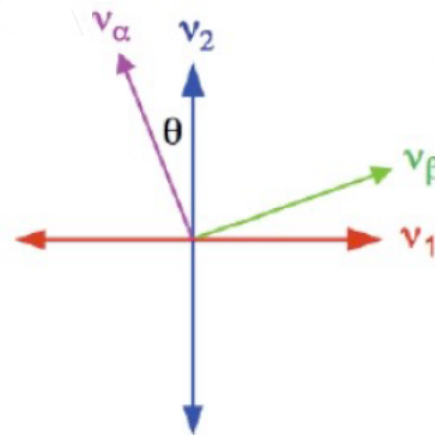
Flavour eigenstates (ν_e, ν_μ, ν_τ) state of the neutrino interactions	Lorentz eigenstates (ν_1, ν_2, ν_3) states of the neutrino propagation in space
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Pontecorvo–Maki–Nakagawa–Sakata
(PMNS) matrix

$$\begin{pmatrix} \nu_e & \nu_\mu & \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

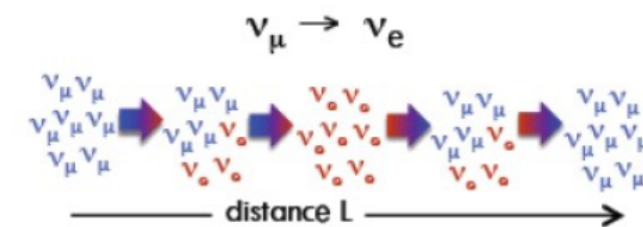


Neutrino
Oscillations imply
neutrino mass



$$\Delta m_{ij}^2 \equiv m_j^2 - m_i^2$$

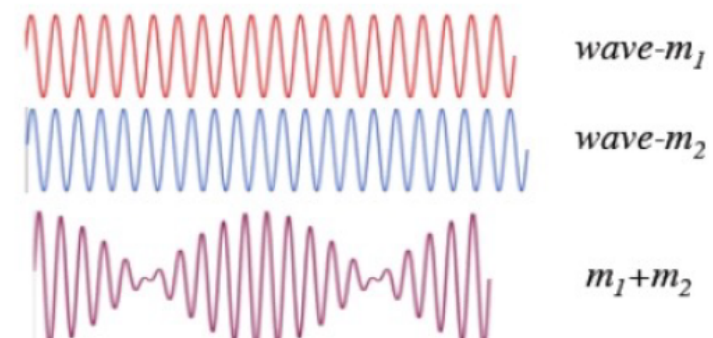
$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta_{ij} & \sin \theta_{ij} \\ -\sin \theta_{ij} & \cos \theta_{ij} \end{pmatrix} \begin{pmatrix} \nu_i \\ \nu_j \end{pmatrix}$$



$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta_{ij} * \sin^2 \left(1.27 \Delta m_{ij}^2 \frac{L}{E} \right)$$

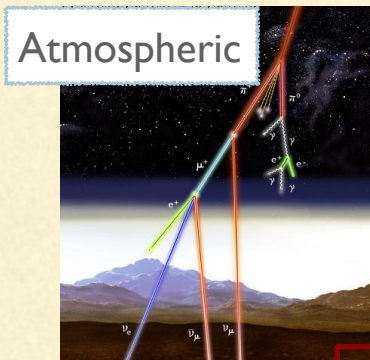
The mixing angle, θ ,
determines the amplitude
of the oscillation

Δm^2 determines the
shape of the oscillation
as a function of L (or E)



3-FLAVOUR NEUTRINO OSCILLATIONS

Neutrino mixing:
Pontecorvo-Maki-
Nakagawa-Sakata
(PMNS) matrix



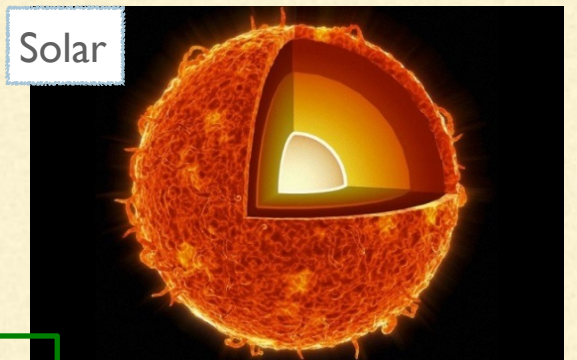
Atmospheric



Accelerator



Reactor



Solar

$$c_{ij} = \cos \theta_{ij}$$

$$s_{ij} = \sin \theta_{ij}$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Oscillations governed by

* PDG 2022

- three mixing angles:
 - $\theta_{12} \approx 34^\circ$, $\theta_{13} \approx 9^\circ$, $\theta_{23} \approx 48^\circ$ (41-51 within 3σ)
- two mass squared differences:
 - $\Delta m_{21}^2 \approx 7.4 \times 10^{-5} \text{ eV}^2$ and $|\Delta m_{32}^2| \approx 2.5 \times 10^{-3} \text{ eV}^2$
- source-detector baseline and neutrino energy

Open questions:

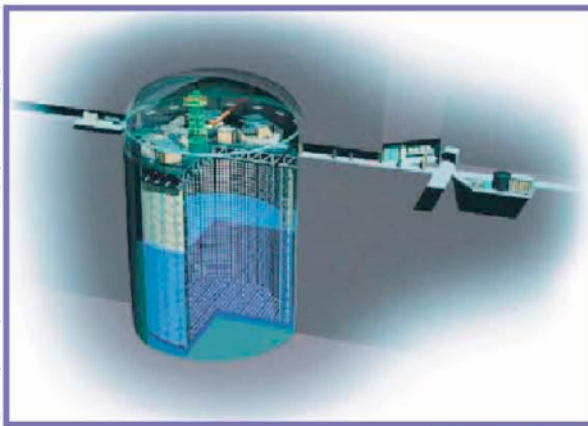
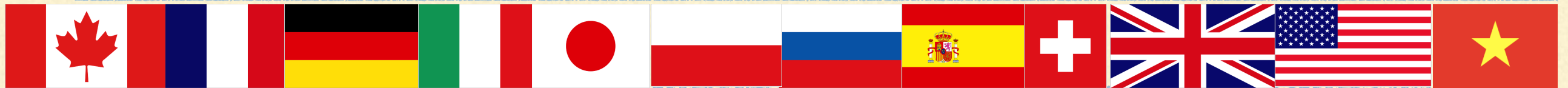
- CP-violation in lepton sector? δ_{CP} value?
- Mass hierarchy(MH), “normal” (NH) or “inverted”(IH):
 - $m_1 < m_2 \ll m_3$ or $m_3 \ll m_1 < m_2$?
- Octant of θ_{23} : $<$, $>$ or $= 45^\circ$?
- Dirac/Majorana, steriles, Lorentz violation, CPT...

T2K (TOKAI-TO-KAMIOKA) EXPERIMENT

- World-leading neutrino physics project
- T2K — long-baseline neutrino oscillation accelerator experiment in Japan
- International collaboration:
 - ~500 members, 12 countries

Taking data since 2010

Russia: INR RAS and JINR Dubna



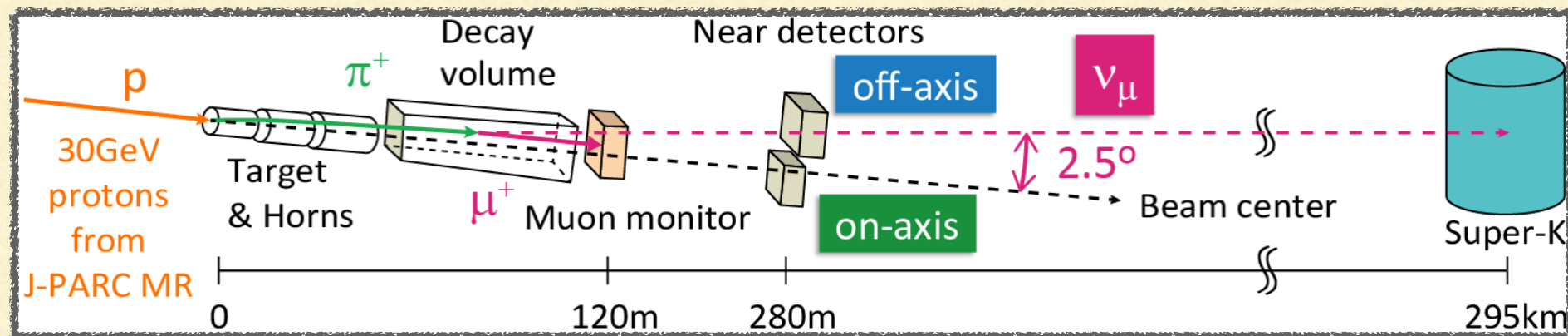
Super-Kamiokande
(ICRR, Univ. Tokyo)



J-PARC Main Ring
(KEK-JAEA, Tokai)



T2K DESIGN

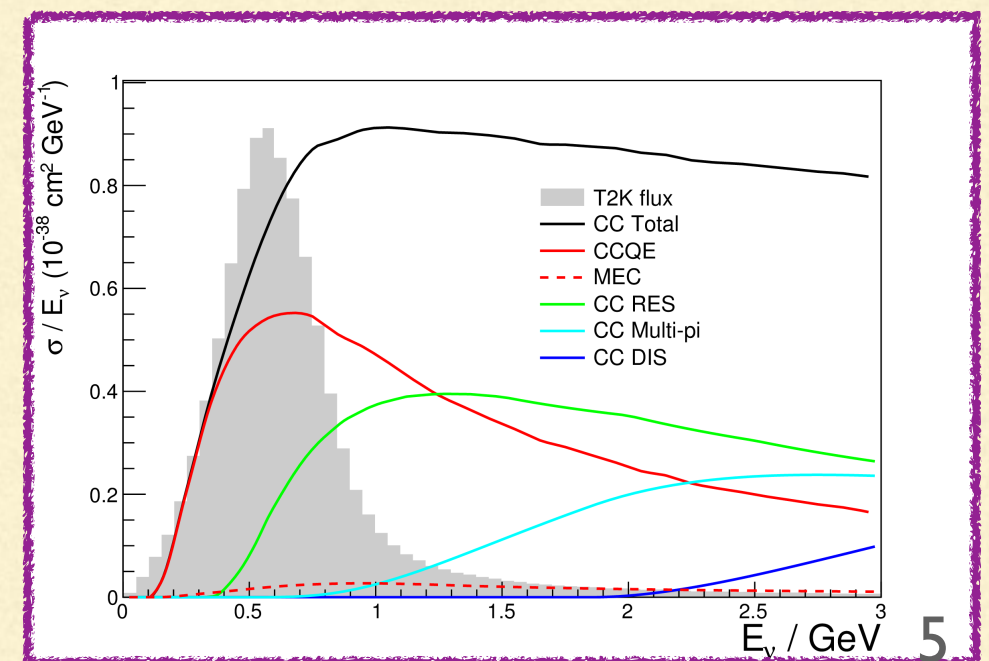
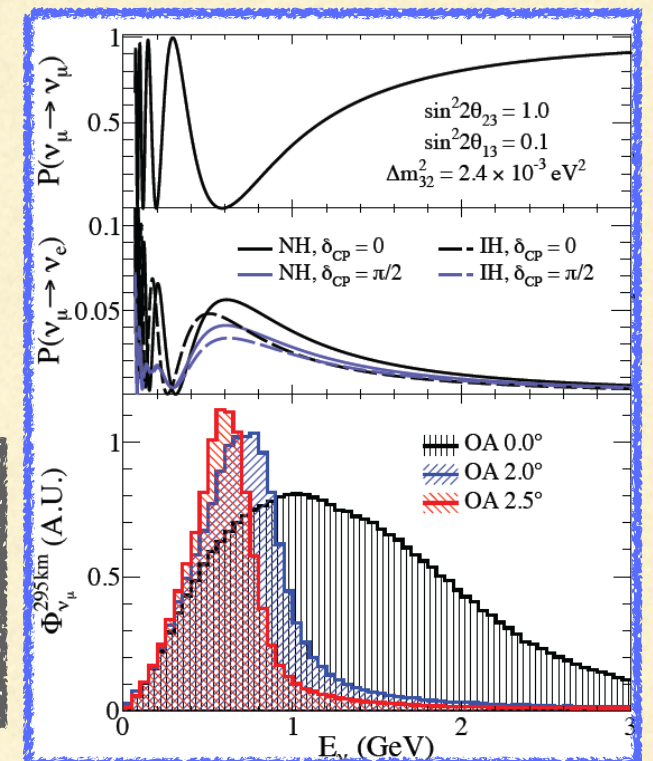


- Near and Far detectors
- **Off-axis (anti)muon-neutrino beam**
- **Energy peaked at oscillation maximum ($E \sim 0.6$ GeV)**
- Neutrino and antineutrino enhanced modes via horn polarity switching
- Dominant process for ν detection: charged-current quasi-elastic interactions (CCQE)
- **Reduced intrinsic ν_e contamination ($\approx 1\%$)**
- **Reduced backgrounds from high-energy tail**

$$N_{ND} \sim \Phi_{ND} \cdot \sigma_{ND} \cdot \epsilon_{ND}$$

Observable Flux Cross section Detector response

$$N_{FD} \sim \Phi_{FD} \cdot \sigma_{FD} \cdot \epsilon_{FD} \cdot P_{Osc}$$



NEUTRINO OSCILLATIONS IN T2K

$$P(\nu_\mu \rightarrow \nu_\mu) \simeq 1 - (\cos^4 \theta_{13} \sin^2 2\theta_{23}) \sin^2 \left(\Delta m_{31}^2 \frac{L}{4E} \right)$$

“Disappearance” channel

- Precise measurement of “atmospheric” parameters θ_{23} and Δm_{32}^2 and CPT test via ν vs anti- ν analysis

From Phys. Rev. D64 (2001) 053003

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \times \frac{\sin^2[(1-x)\Delta]}{(1-x)^2} \quad \text{Leading term}$$

CP-violating $-\alpha \sin \delta_{CP}$ $\times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \sin \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}$
 “+” sign for anti- ν

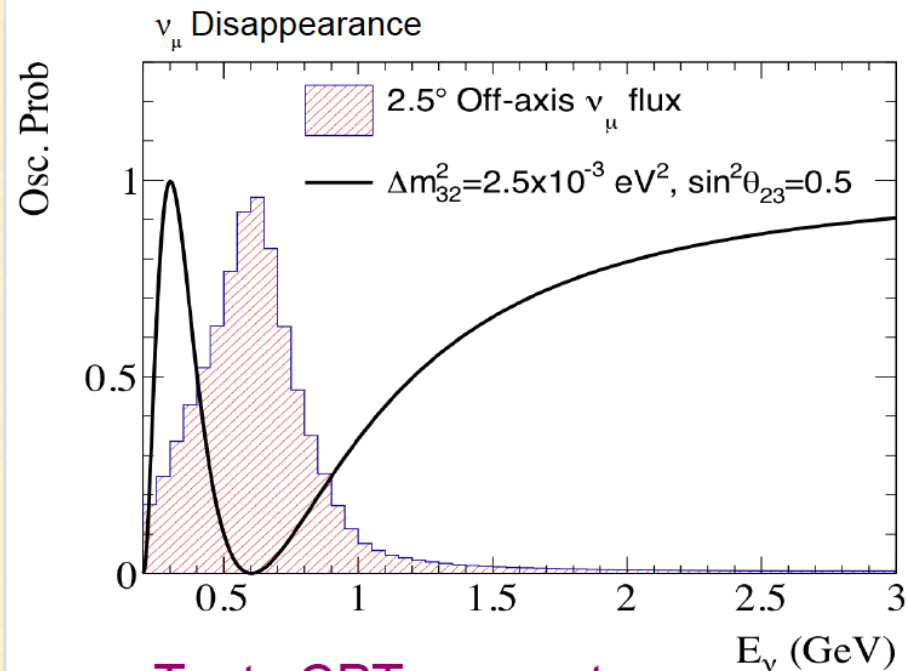
CP-conserving $+\alpha \cos \delta_{CP}$ $\times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \cos \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}$

$$+O(\alpha^2) \quad \alpha = \left| \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right| \sim \frac{1}{30} \quad \Delta = \frac{\Delta m_{31}^2 L}{4E} \quad x = \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$$

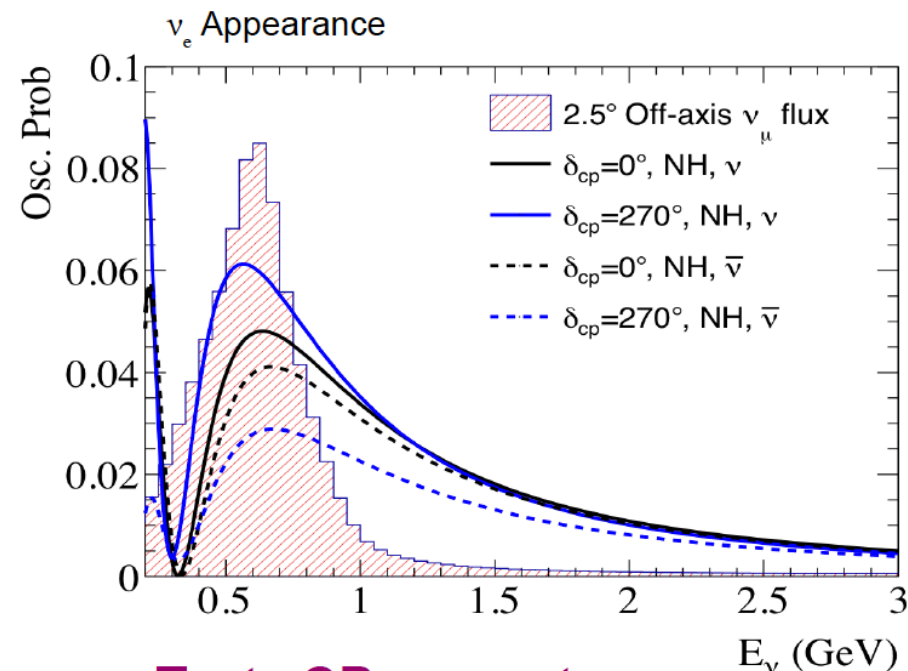
“Appearance” channel: measuring θ_{13} and probing CP-violation (CPV)

- Leading term defines the octant of θ_{23} : $<$, $>$ or $= 45^\circ$ * T2K leading efforts
- Sub-leading term accounts for CPV: enhanced effect when comparing neutrino and antineutrino data

NEUTRINO OSCILLATIONS IN T2K



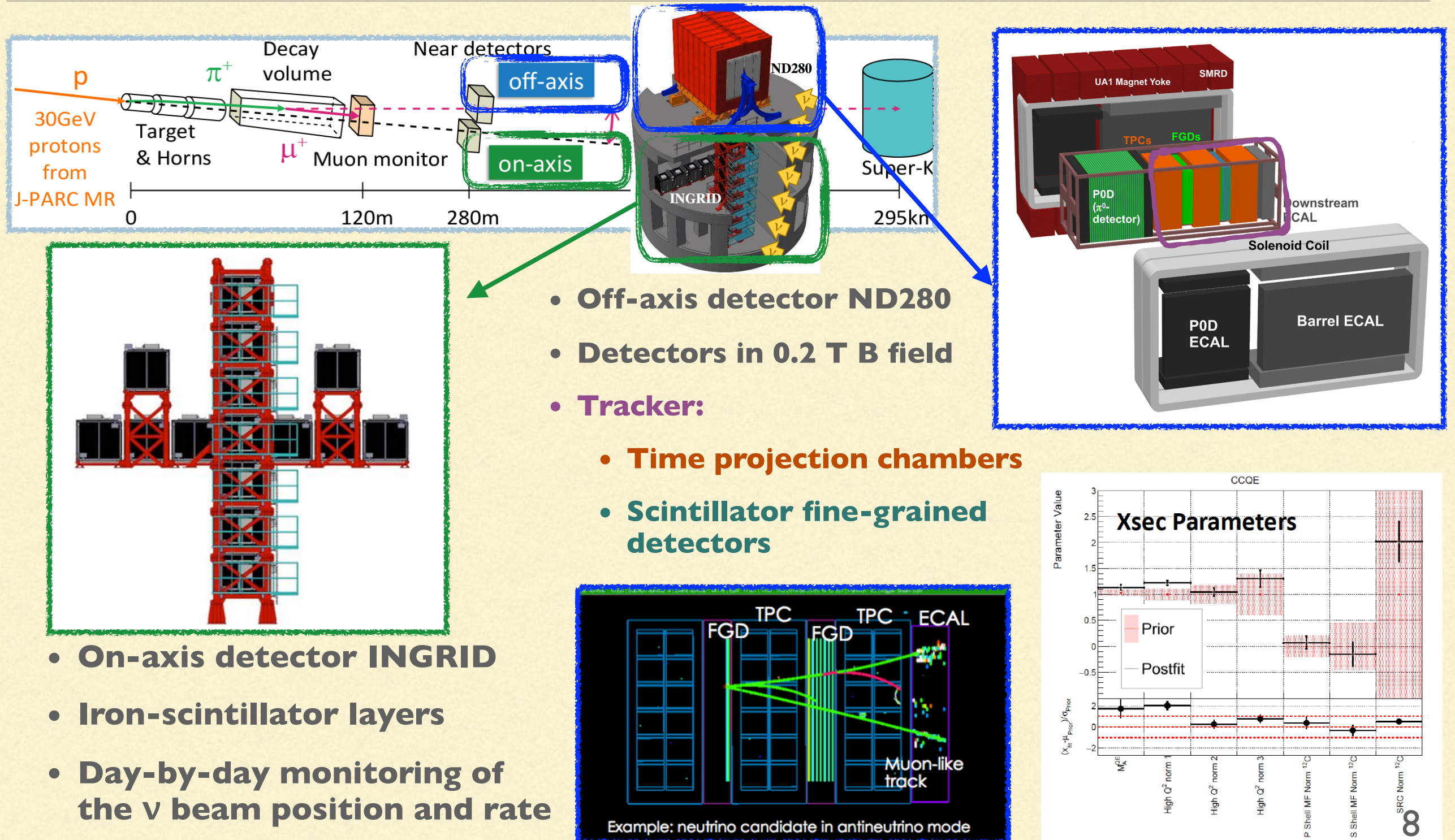
- Tests CPT symmetry
 - LO* dependence on $\sin^2 2\theta_{23}$
 - hard to distinguish $\theta_{23} > 45^\circ$ from $\theta_{23} < 45^\circ$
 - LO dependence on $|\Delta m_{32}^2|$
 - doesn't depend on sign of mass splitting
- (* Leading Order)



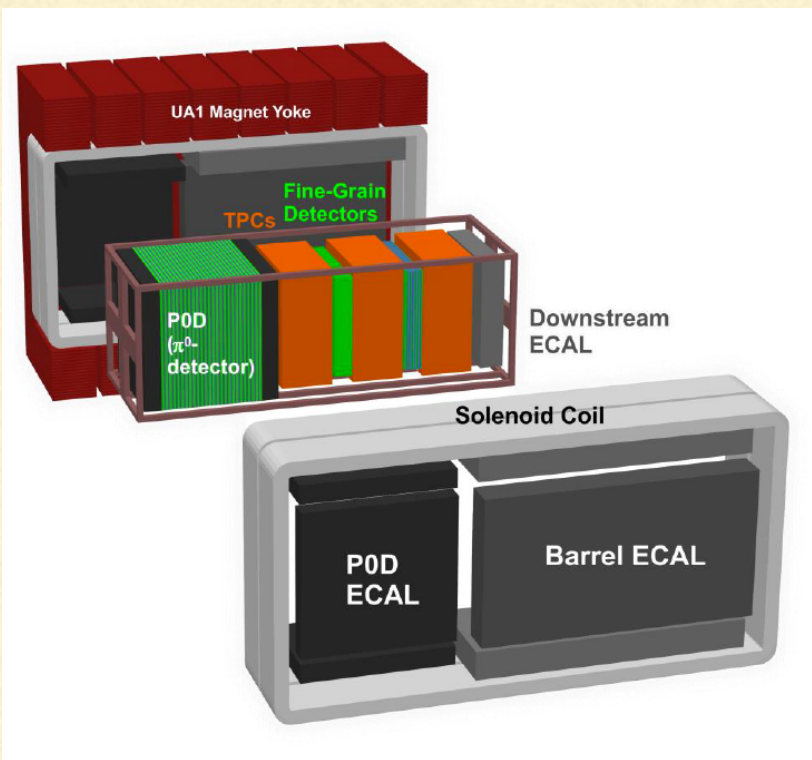
- Tests CP symmetry
- LO dependence on $\sin^2 2\theta_{13}, \sin^2 \theta_{23}$
 - can separate $\theta_{23} > 45^\circ$ from $\theta_{23} < 45^\circ$
- Sub-leading dependence on $\sin(\delta_{CP})$
 - can detect CP violation (~27% effect)
- Sub-leading dependence on $\pm \Delta m_{32}^2$
 - ~10% matter effect

CP PHASE/ CHANNEL	$P(\nu_\mu \rightarrow \nu_e)$	$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
$\delta_{CP} = -\pi/2$	Enhance	Suppress
$\delta_{CP} = \pi/2$	Suppress	Enhance

NEAR DETECTOR COMPLEX

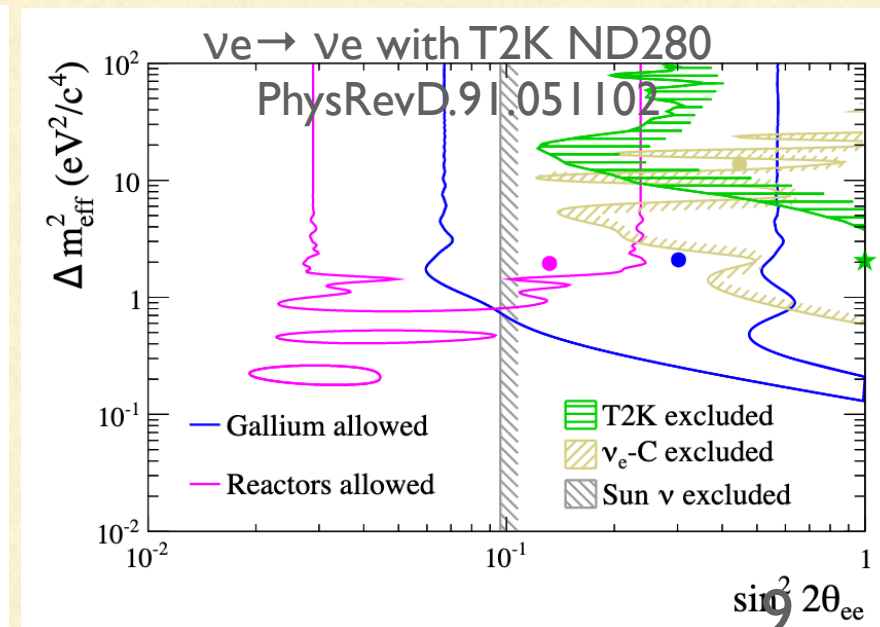
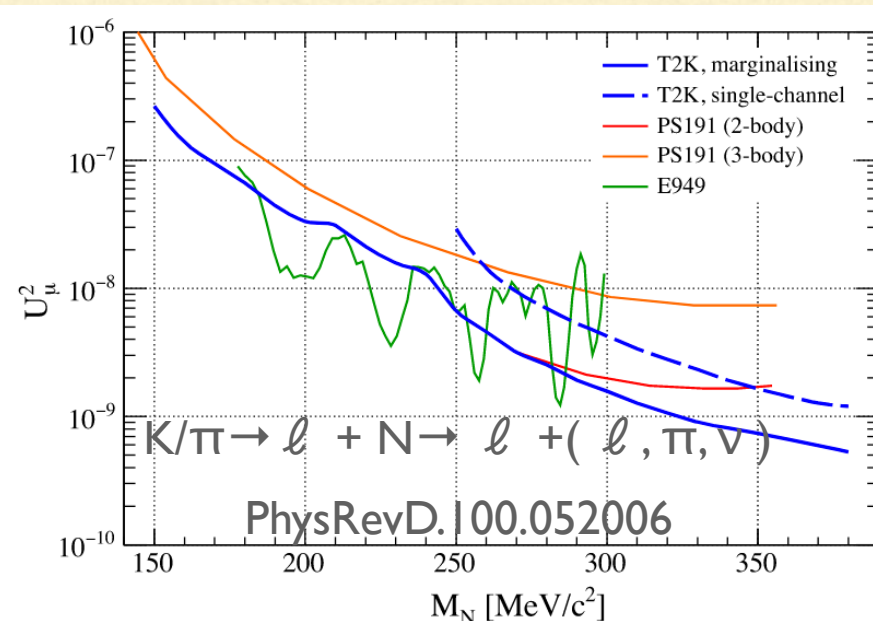
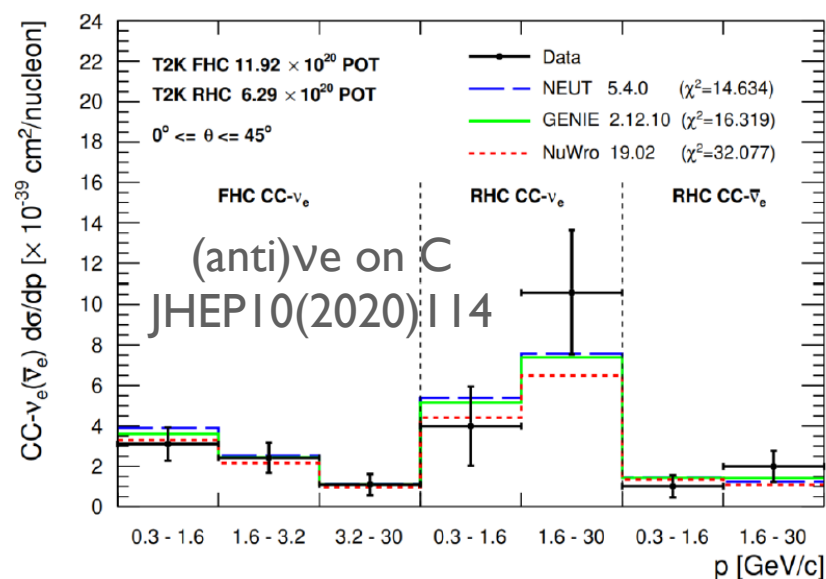


ANALYSES WITH T2K ND280



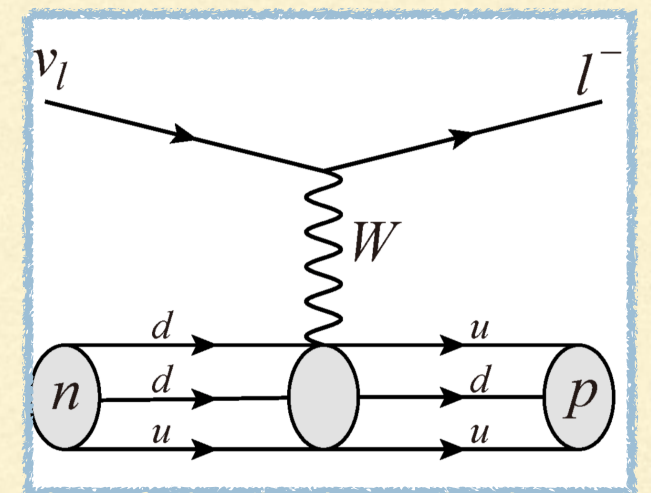
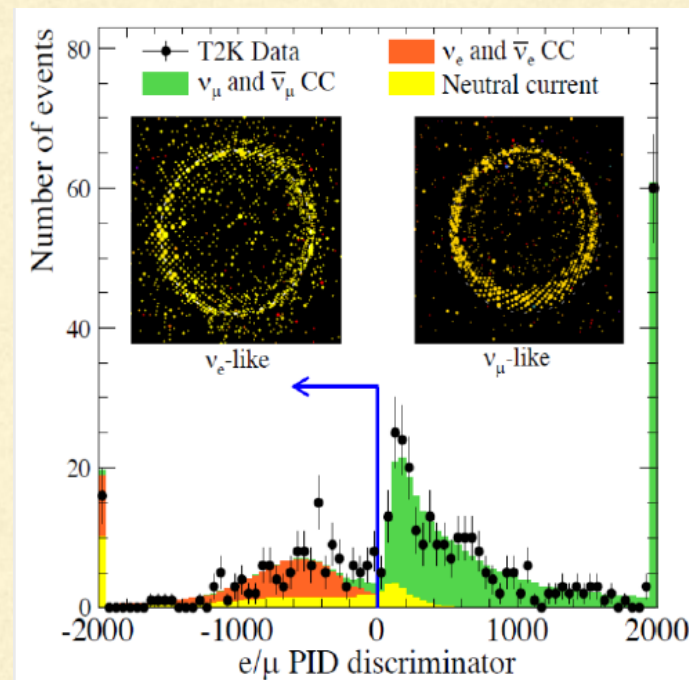
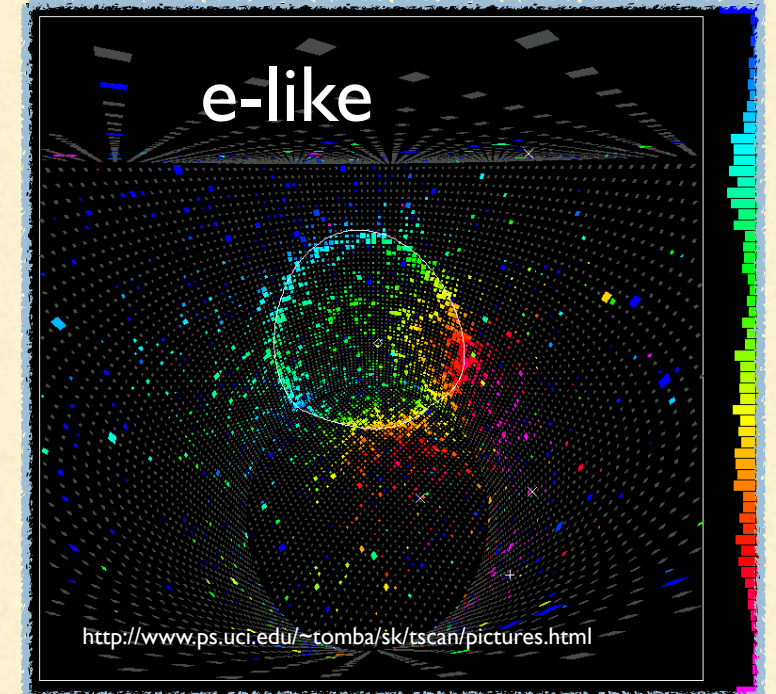
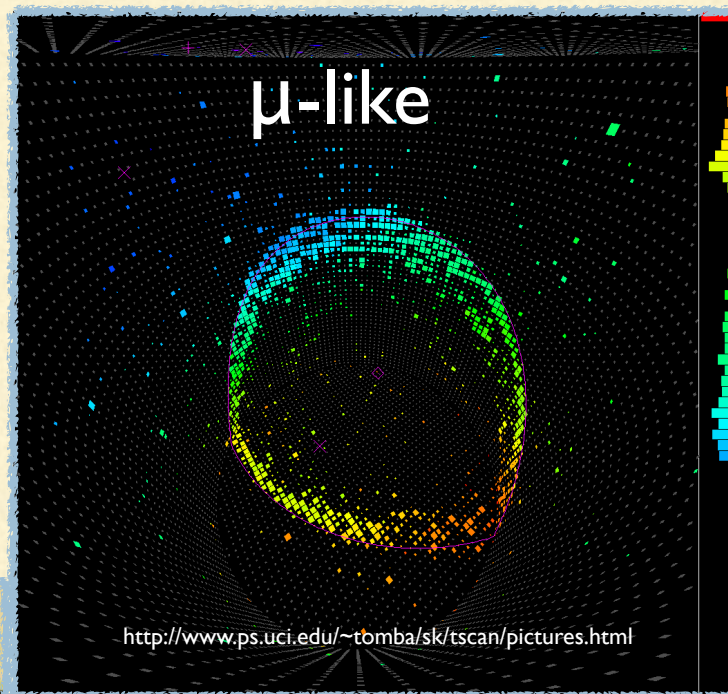
- Constrain flux and ν interaction model parameters prior to oscillations
- 30 GeV protons on C target, intense ν beam from π/K + complex detector $\rightarrow$
- **Rich opportunities for physics measurements:**
 - Neutrino cross-sections
 - New physics signals
 - Light-sterile neutrinos with SBL oscillations
 - Heavy neutral leptons $M \sim O(1 \text{ GeV})$
 - Dark-photon/LDM signals*

* Доклад
А. Горина



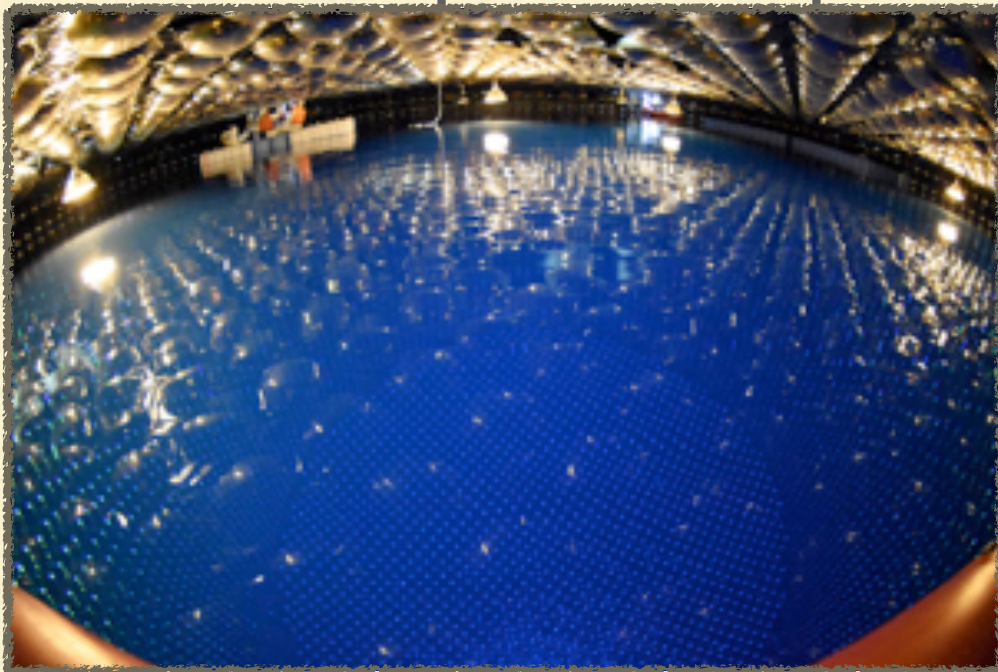
FAR DETECTOR MEASUREMENTS SUPER-KAMIOKANDE (SUPER-K)

- 50 kton water-Cherenkov tank
- Separate e/ μ -like rings:
 - $<1\%$ misidentified μ as e
- π^0 rejection
- No magnetic field

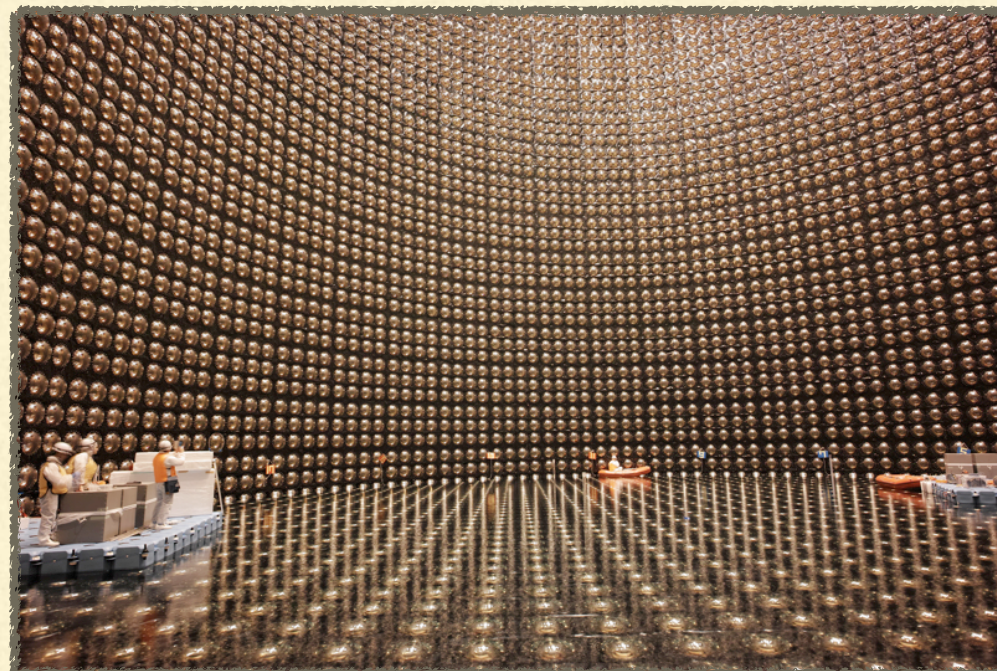
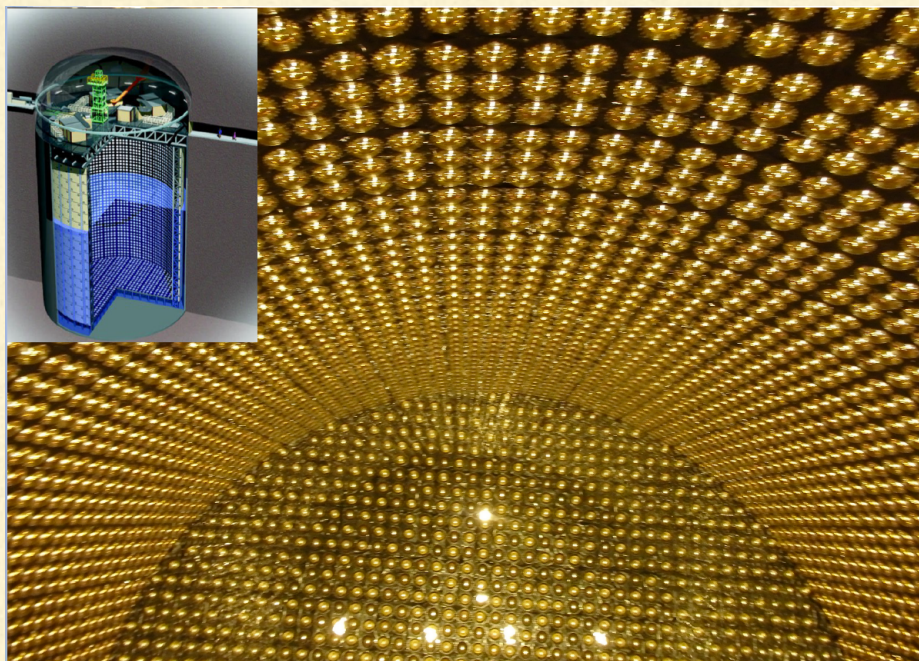
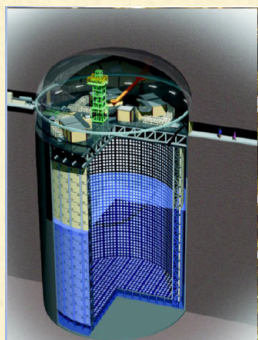
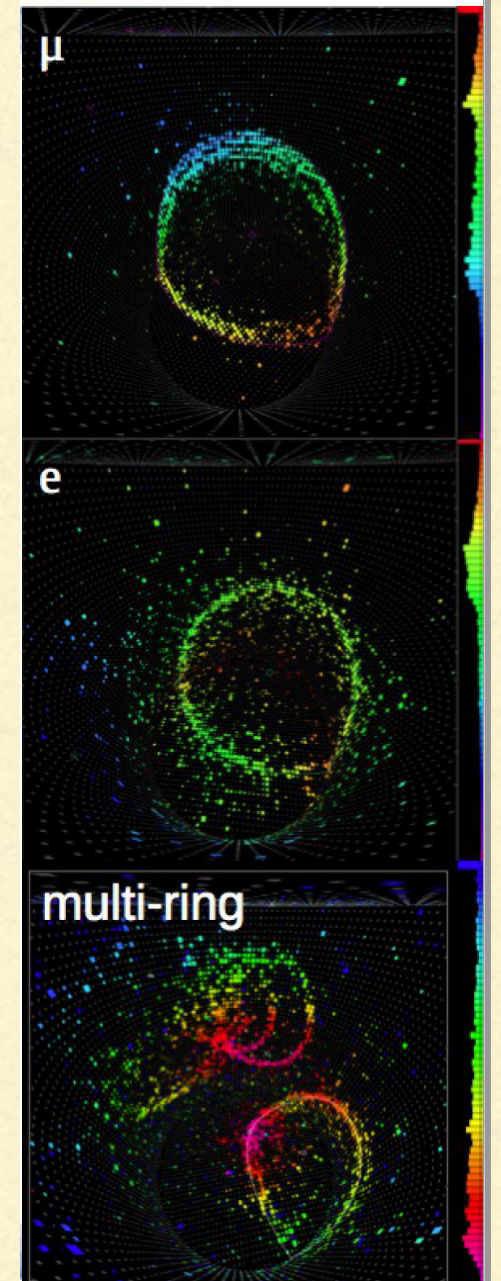


FAR DETECTOR MEASUREMENTS SUPER-KAMIOKANDE (SUPER-K)

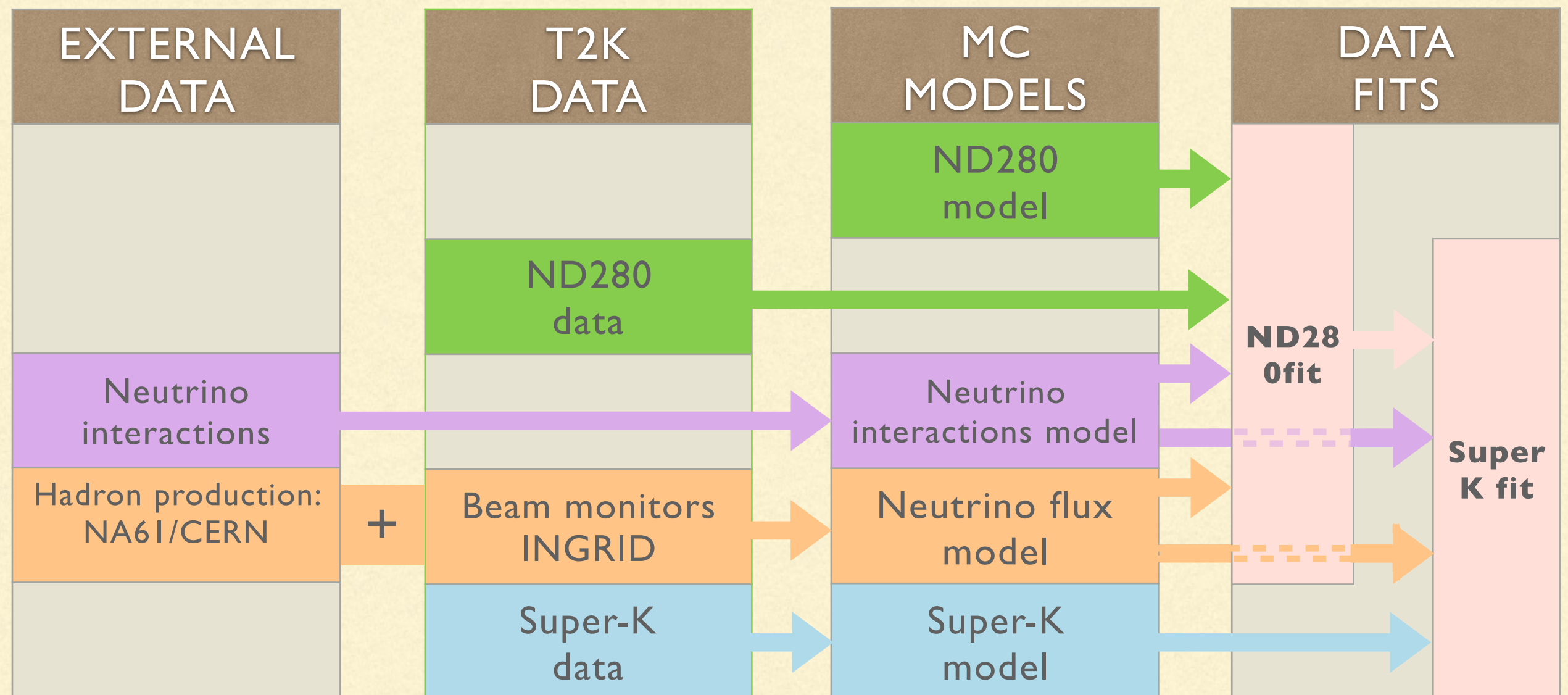
- Total 6 Super-K samples currently used in oscillation analysis



Mode	Sample Name	Description
ν	1Re	One e-like ring in ν mode
	1Re CC1 π +	One e-like ring and Michel electron in ν mode
	1R μ	One μ -like ring in ν mode
	MR μ CC1 π +(Multi-Ring)	Two rings ($\mu + \pi$) + ME or 1 μ -ring + 2 ME
$\bar{\nu}$	1Re	One e-like ring in $\bar{\nu}$ mode
	1R μ	One μ -like ring in $\bar{\nu}$ mode



T2K ANALYSIS STRATEGY

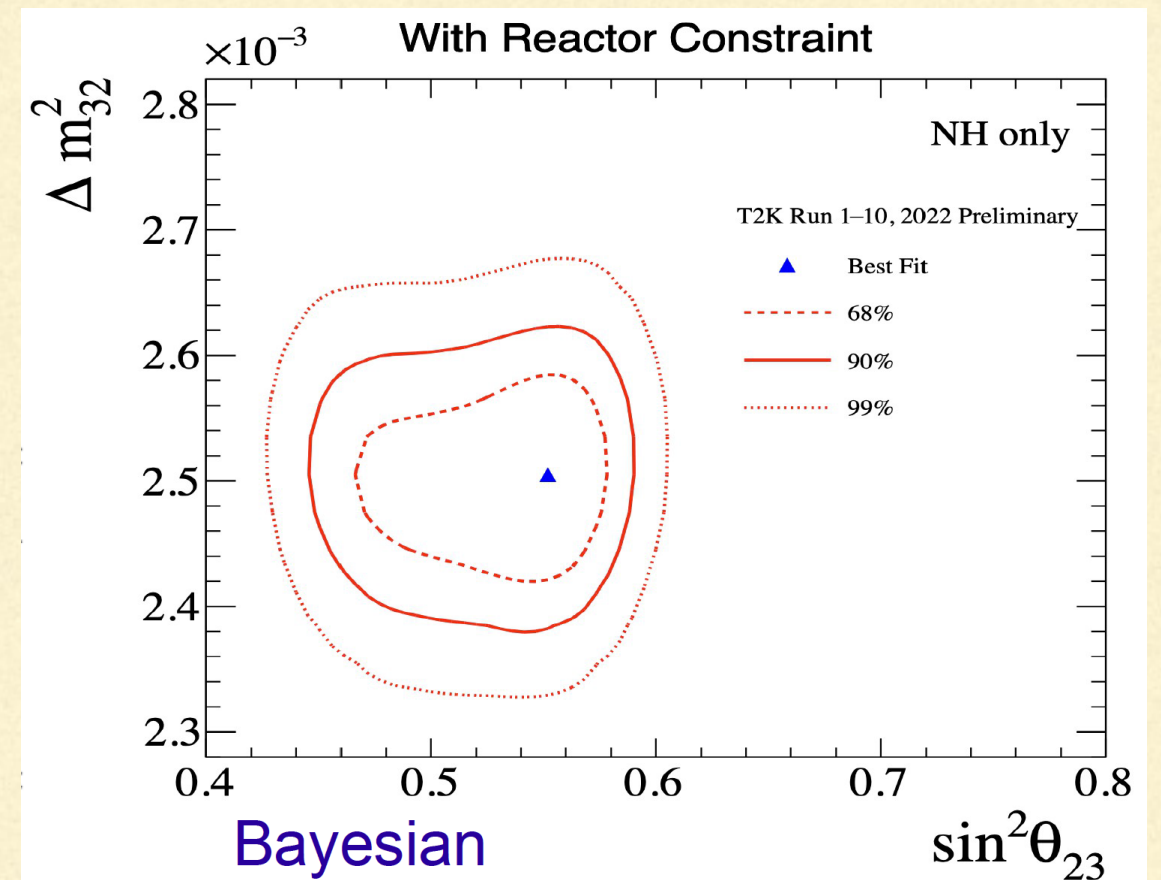
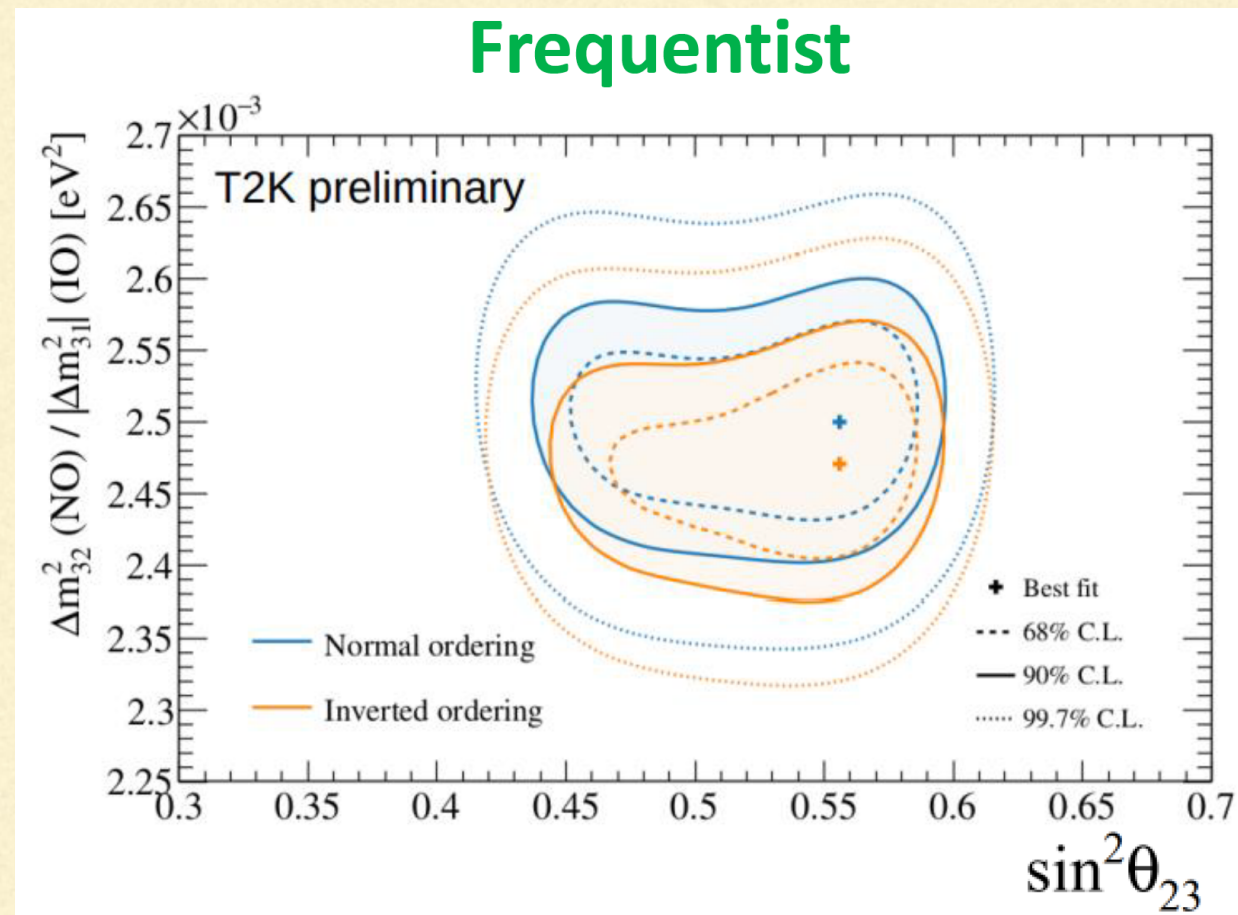


* Two approaches:
frequentist and
Bayesian (MCMC) to
obtain/present final results

Final oscillation results

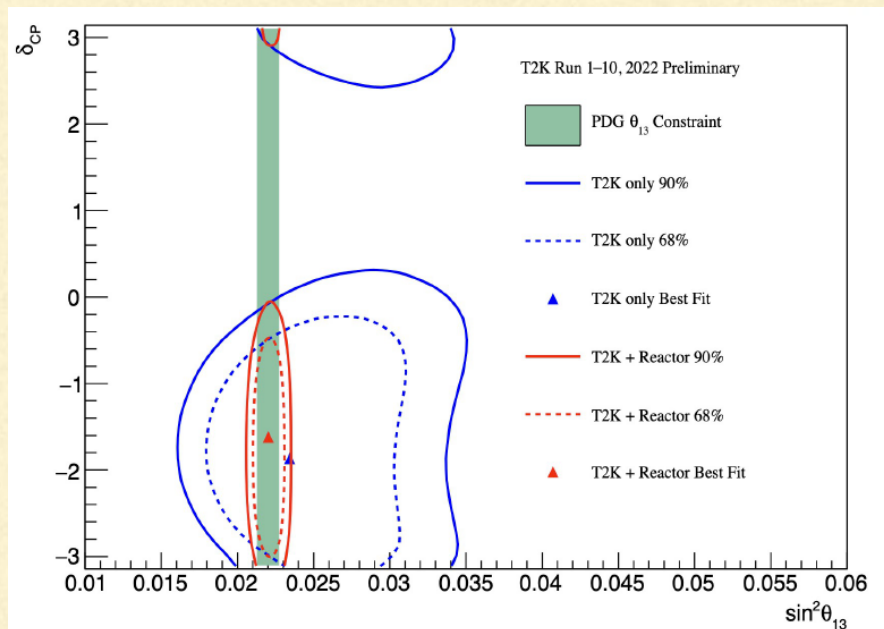
ANALYSIS RESULTS: ATMOSPHERIC PARAMETERS

θ_{23} AND Δm_{32}^2

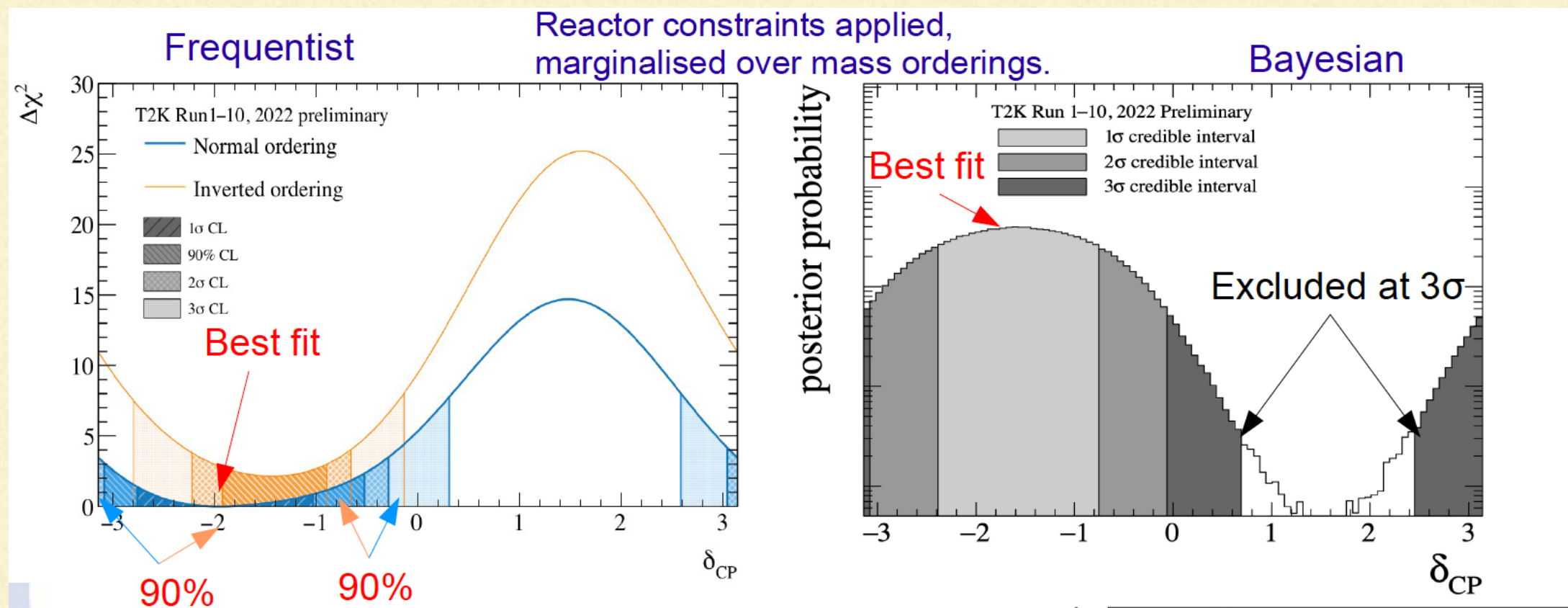


- 2022 analysis: joint fit of 6 Super-K samples with reactor data-driven constraint on θ_{13}
- Best-fit point in the upper octant
- Lower octant still consistent within 68% CL.

ANALYSIS RESULTS: θ_{13} MEASUREMENTS



- Good agreement with reactor on θ_{13}
- **Large CPV favoured**
- CP-conserving values of $\delta_{CP}=0$ and $\delta_{CP}=\pi$ both outside of 90% CL intervals



T2K HIGHLIGHTED IN NATURE

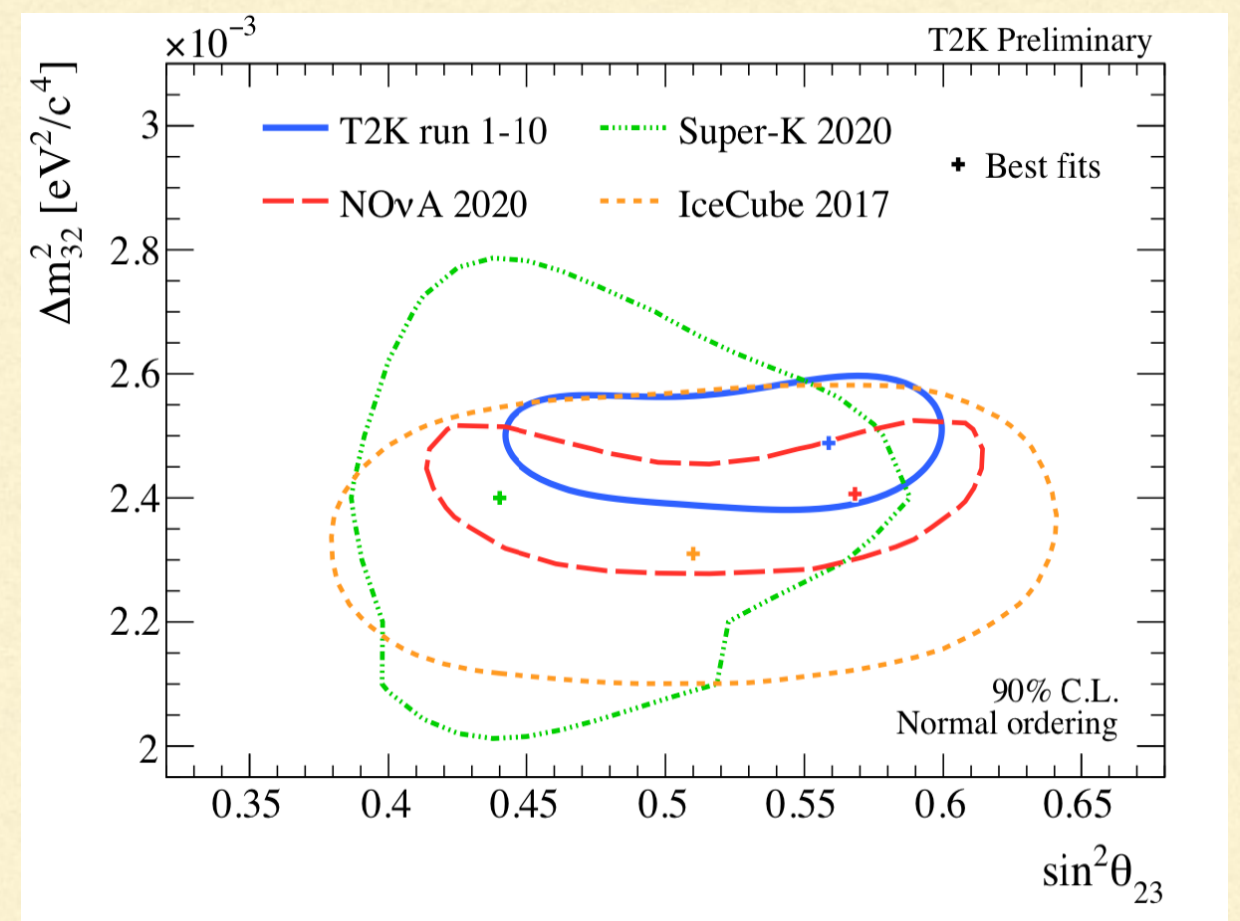
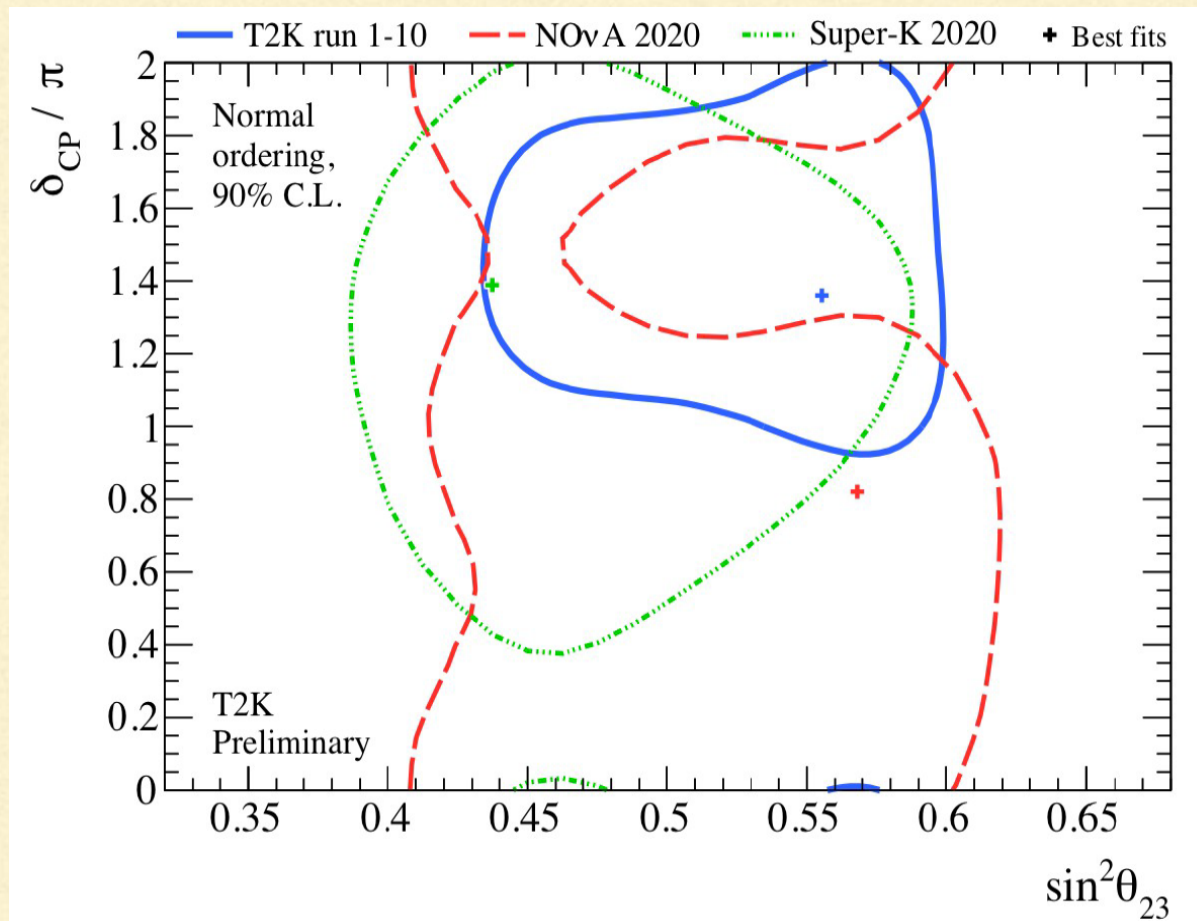
The Mirror Crack'd:

Constraining the matter-antimatter asymmetry with T2K

<https://doi.org/10.1038/s41586-020-2177-0>



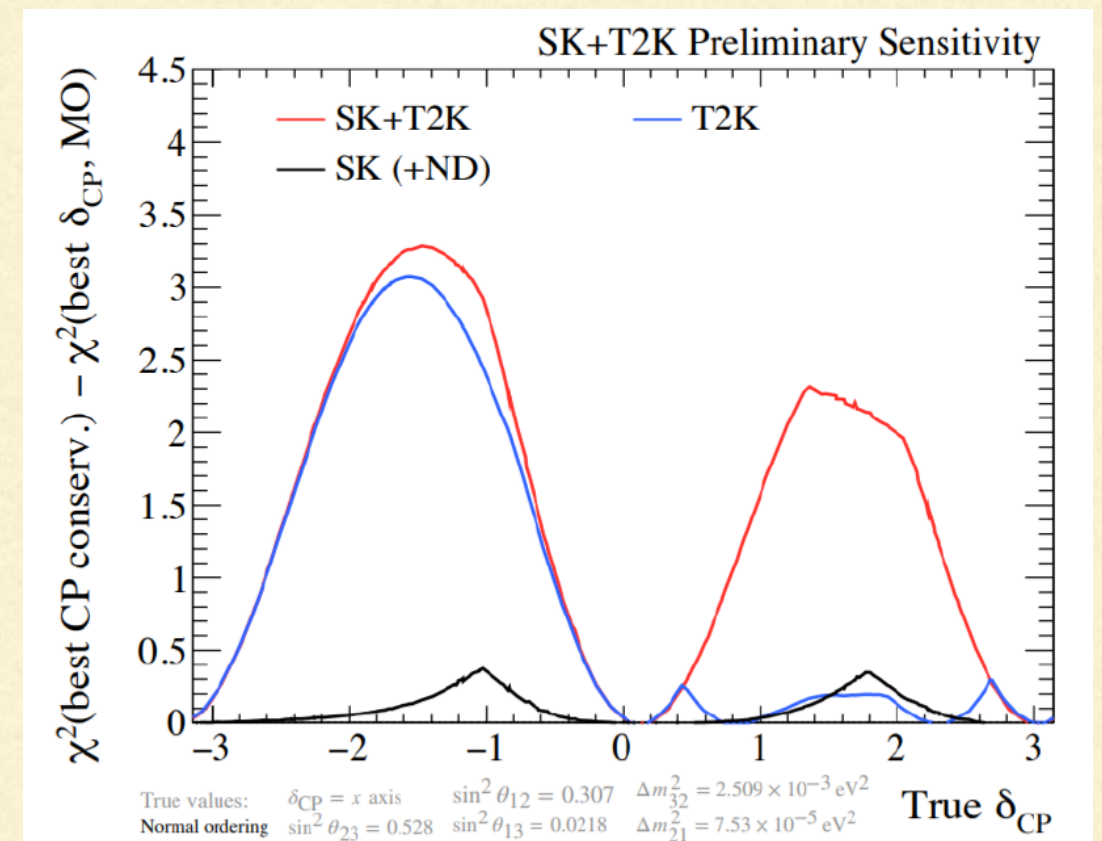
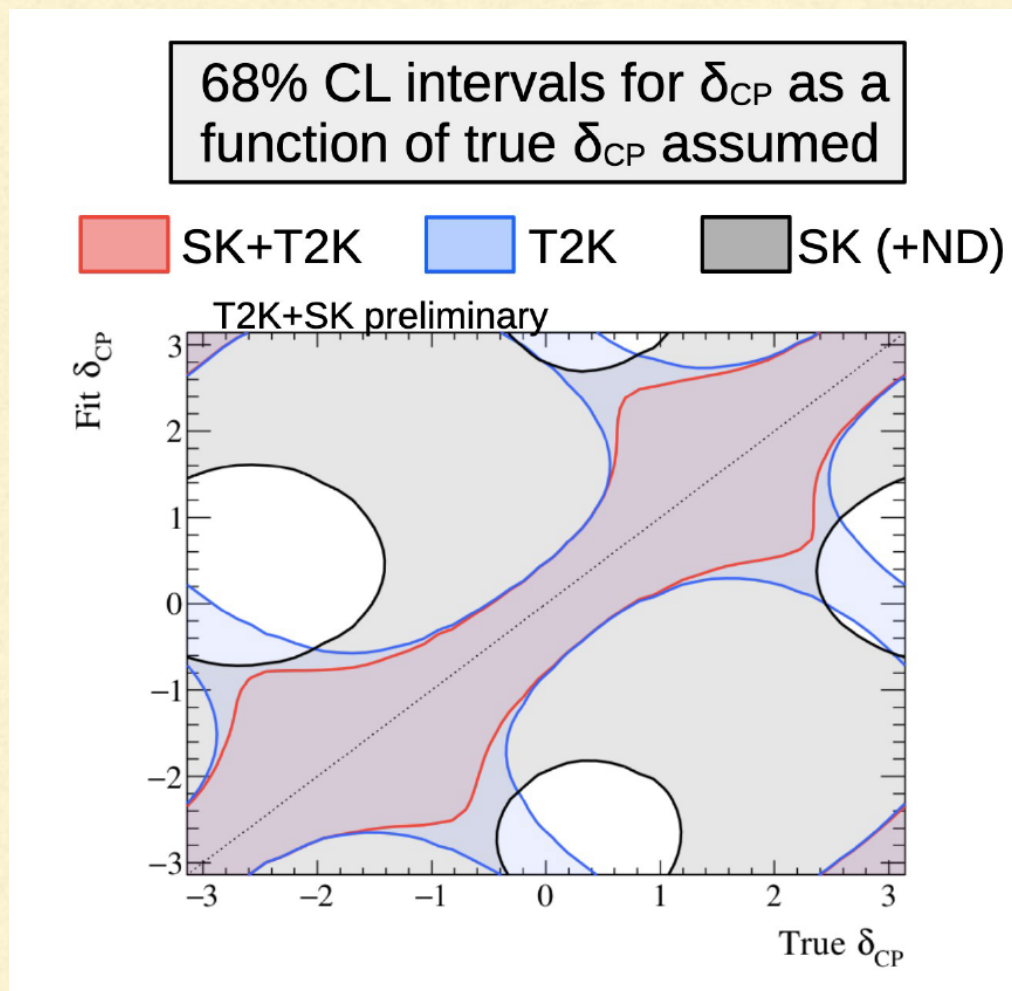
T2K VS OTHER MEASUREMENTS



- δ_{CP} -vs- $\sin^2\theta_{23}$: at 90% CL, δ_{CP} T2K, NOvA and Super-K contours overlap. T2K consistent with Super-K best fit, NOvA best fit just outside contour
- δ_{CP} -vs- $\sin^2\theta_{23}$: at 90% CL, θ_{23} contours overlap. T2K and NOvA favour upper octant while Super-K prefers lower

NEAR FUTURE: JOINT T2K + SUPER-K ATMOSPHERIC ANALYSIS

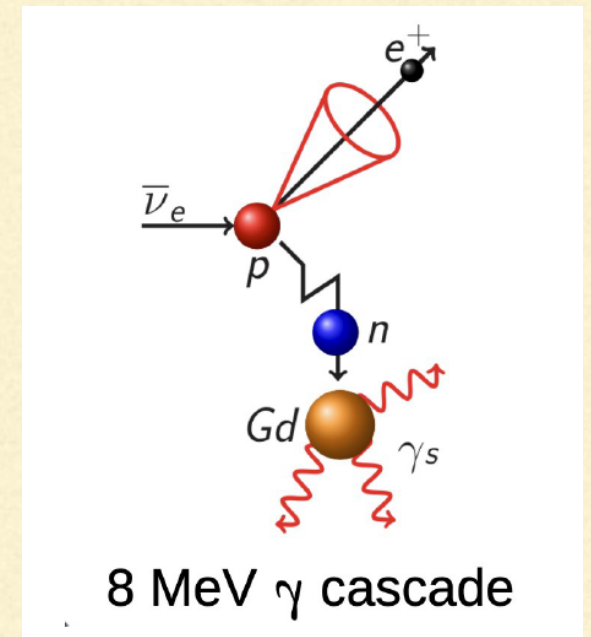
- Sensitivity to δ_{CP} dominated by T2K ←
- Super-K atmospheric data can contribute to solving degeneracies due to $\cos(\delta_{\text{CP}})$ and MO
 - Covers wider range of energies and baseline → sensitivity to MO
 - Ability to reject wrong MO and define θ_{23} quadrant
 - Same detector → detector systematics correlations



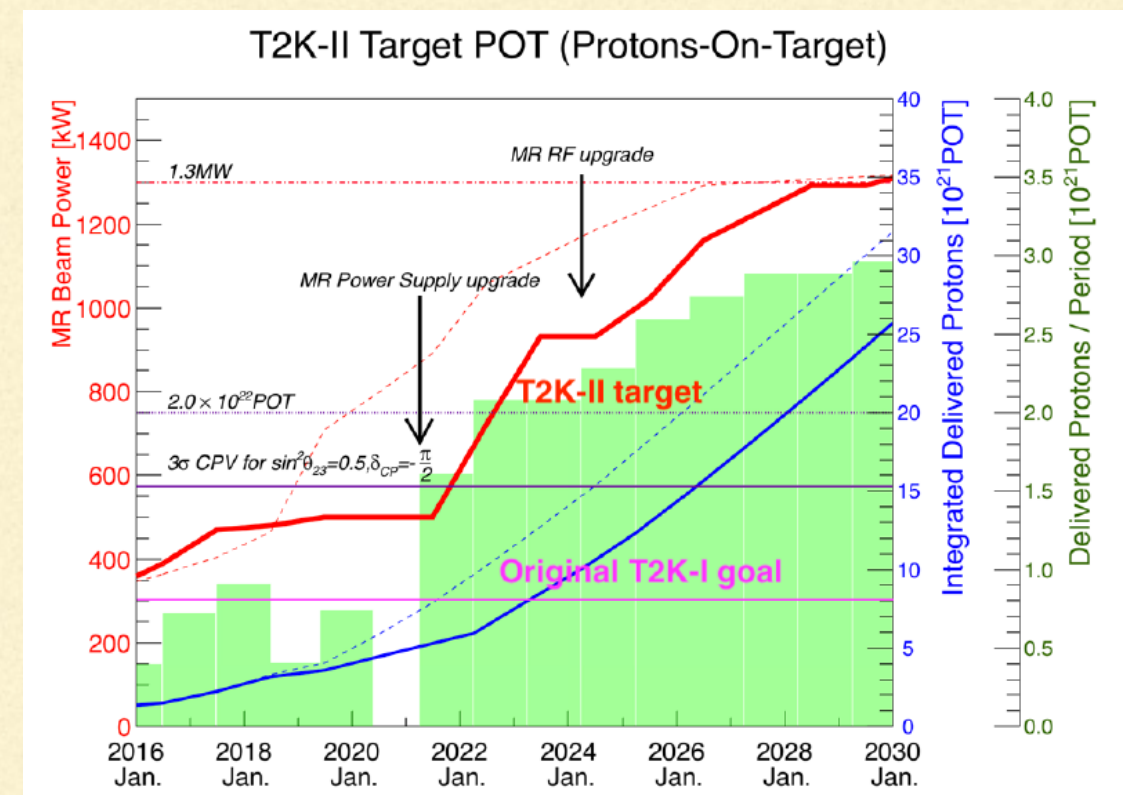
SK(+ND) — ND fits used to constrain model params used for lowE Super-K samples

T2K FUTURE PROSPECTS: T2K-II

- Gd now added to SK (not yet used in analysis but neutron signal seen)
- Significant enhancement in neutron capture: anti-neutrino events tagging
- T2K neutrino beamline upgrade is also on-going
- Accumulate more data



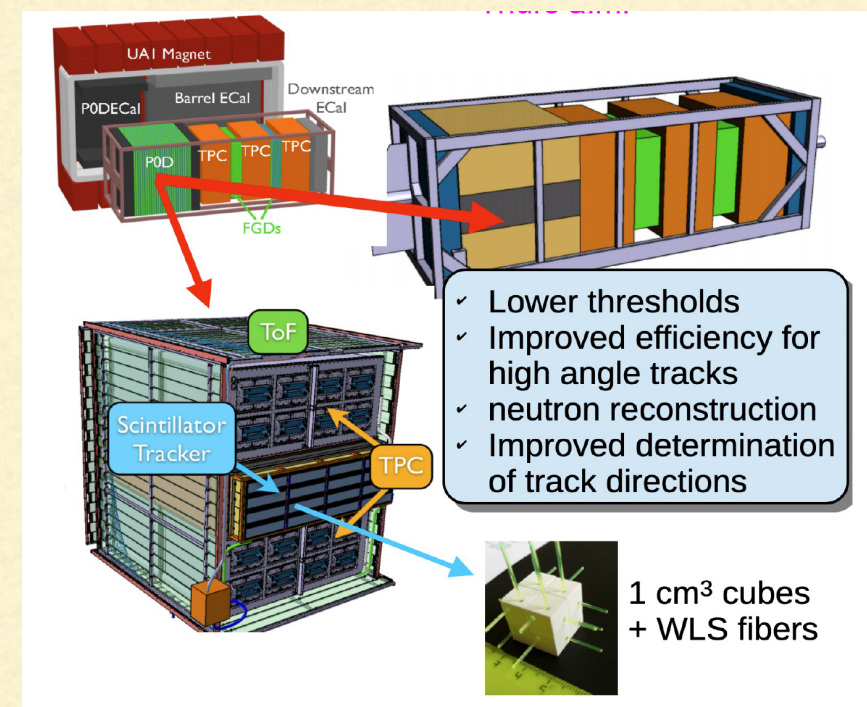
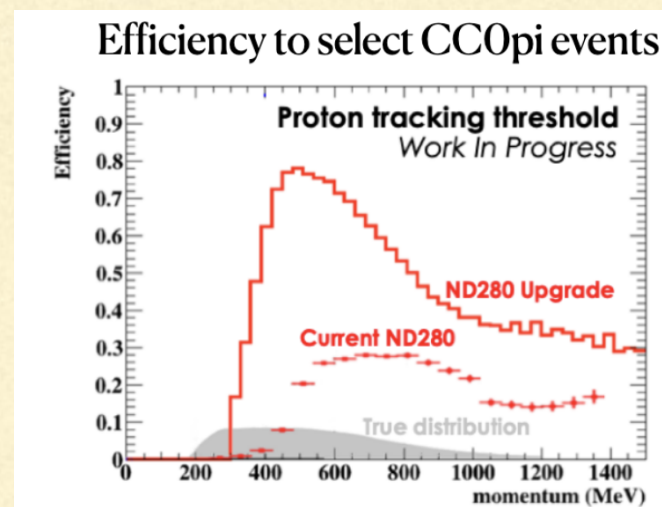
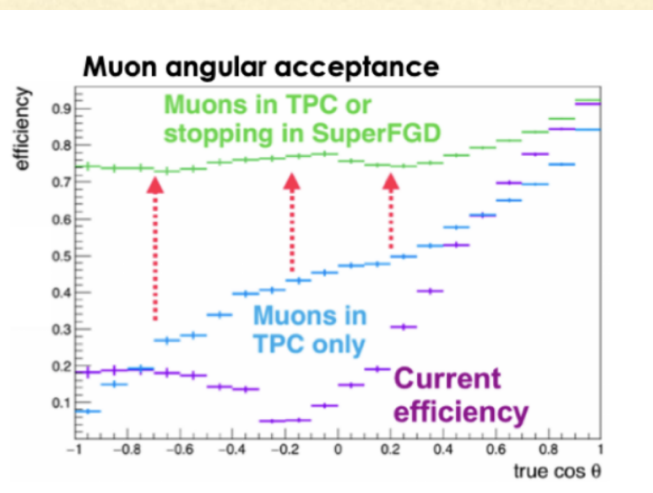
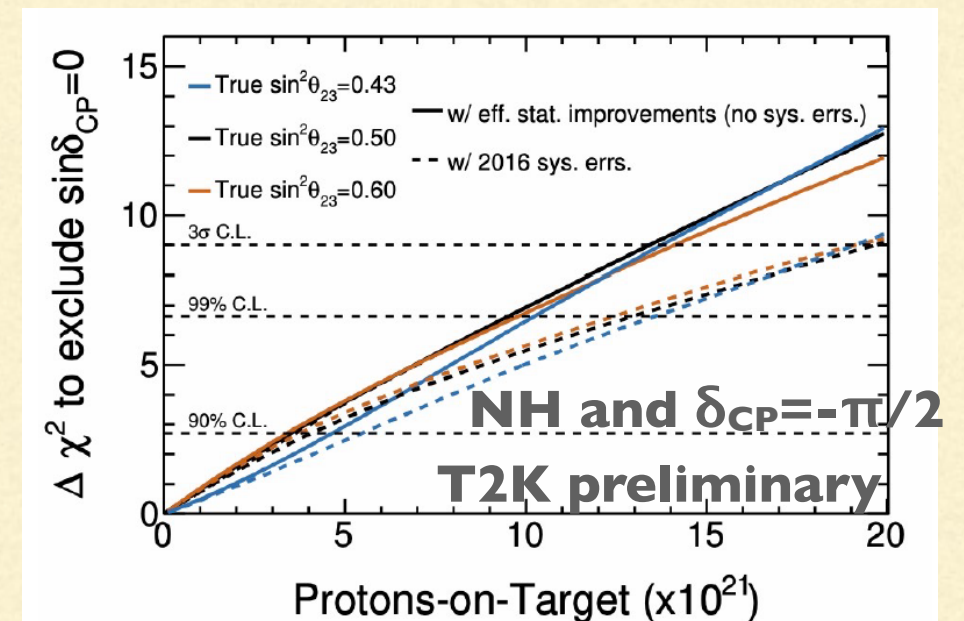
- Reduce systematics uncertainties
- Reach 3σ for non-CPV rejection prior to Hyper-Kamiokande era



T2K FUTURE PROSPECTS: NEAR DETECTOR UPGRADE

- Reduction of systematic uncertainties is crucial
 - 18% (2011) → 5-7% (2022) → 4%(202X..)
- ND280 measurements are crucial
- Near detector upgrade
 - Increase phase-space available
 - Reduce thresholds for ν products detection
 - Neutron detections for anti-neutrinos
 - Key element → **Super-FGD* 3D-cubes** based segmented plastic scintillator detector ← designed by INR RAS
 - Detector elements arrived in Japan in summer 2022

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С. Суворова



ND280Upgrade to start data taking in 2023

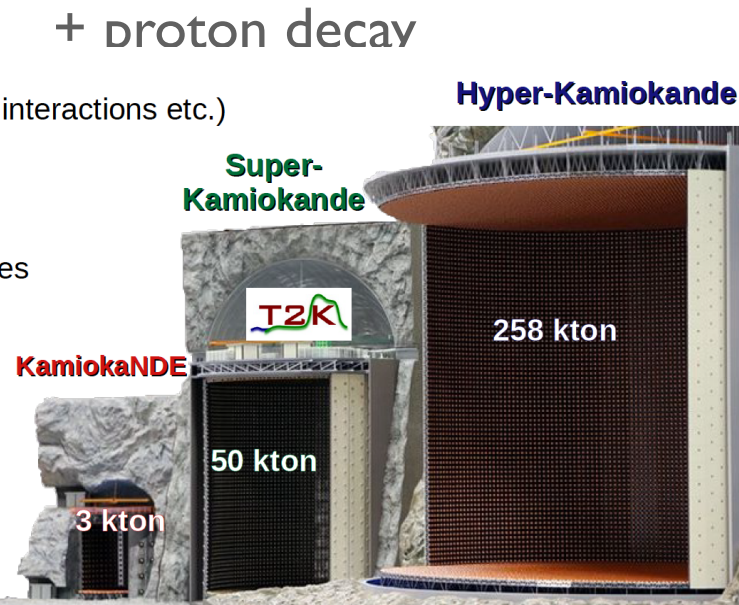
FUTURE OF NEUTRINO OSCILLATIONS

- Need large scale detectors of the next generation to make definitive discoveries in neutrino puzzle
- **Hyper-Kamikande (Japan)** and DUNE (USA) era
- Combined efforts of different projects: solar + reactor + atmospheric + accelerator measurements →
- Define neutrino mass hierarchy + octant + CPV by 2030s

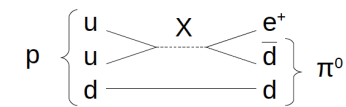
T2K-SUPER-K → T2K-HYPER-K

Hyper-Kamiokande Physics

- * Neutrino Oscillations
 - beam, atmospheric, solar neutrinos
 - BSM (sterile searches, non-standard interactions etc.)
- * Astrophysics
 - solar neutrinos, supernova neutrinos
 - dark matter, gravitational-wave sources
 - gamma-ray sources
- * Nuclear physics
 - neutrino interactions
- * Geophysics
 - matter effect on oscillations
 - electron density of Earth's outer core

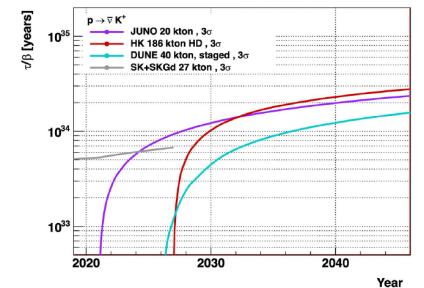
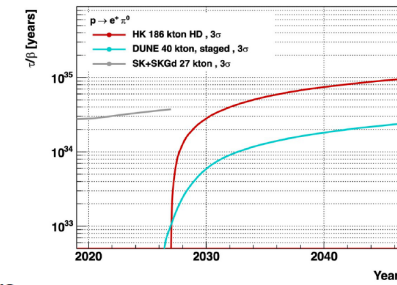
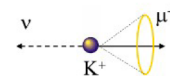


Proton decay



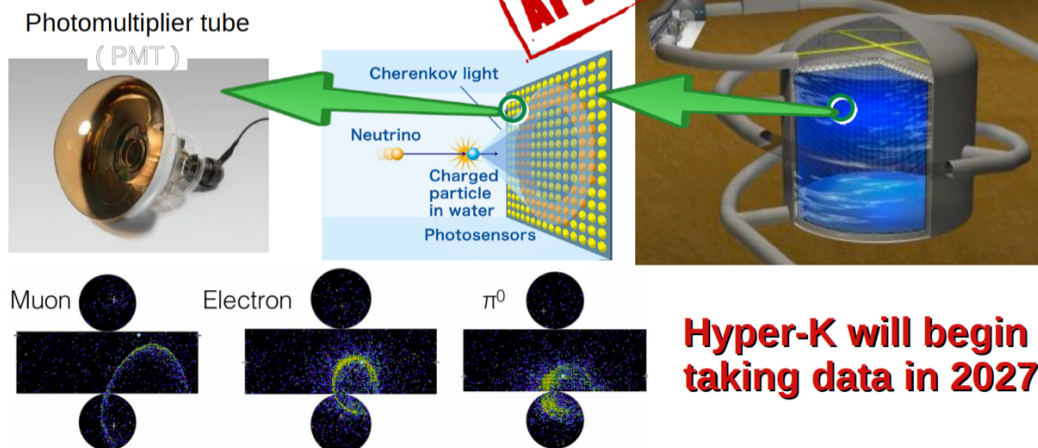
HK can improve the SK limit on this process from 10^{34} to 10^{35} years

HK also competitive for $p \rightarrow K^+ \nu$



The Hyper-K Detector

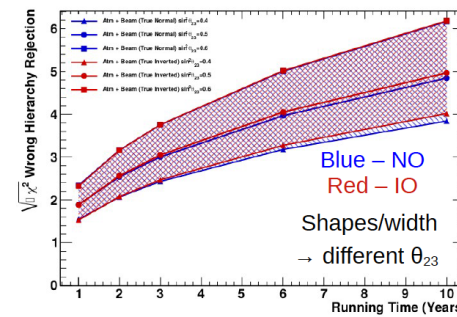
Water Cherenkov detector



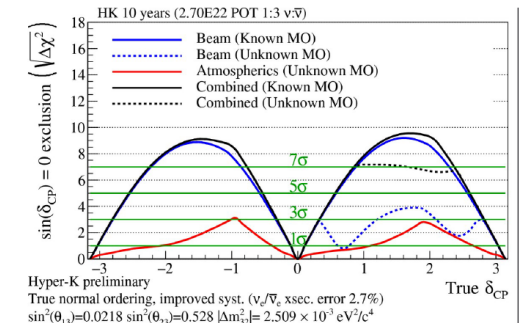
Hyper-K will begin taking data in 2027

Atmospheric neutrinos + beam

Sensitivity to mass ordering



Sensitivity to CP-violation



Atmospheric neutrinos sensitive to matter effects as they traverse the Earth
→ Sensitive to **mass ordering**, also helps with θ_{23} octant

Best sensitivity to mass ordering from **combined fit: atmospheric data + beam data**

If mass ordering unknown, atmospheric data improves beam sensitivity to δ_{CP}

Diagram: Kajita T. (10.2183/pjab.86.303)

SUMMARY

- **T2K is working steadily on its quest on filling neutrino puzzle**
 - Neutrino experiments foreseen to play a leading role in particle physics of the next decade
- **Current T2K data favours strong CP-violation in neutrinos and upper octant for θ_{23}**
- **T2K Phase-II** extended run for ~2023-2027 to provide smooth transition to Hyper-Kamiokande era
 - Reach 3σ exclusion of non-CPV for certain δ_{CP} and MH
 - Near detector upgrade to further reduce systematic uncertainties + enhance physics studies capabilities with T2K near detector
 - Super-K already loaded with Gd to enhance neutron tagging
 - Joint T2K + Super-K atmospheric data analysis and T2K + NOvA on-going
- Hyper-Kamiokande next generation water Cherenkov detector site under construction → **Hyper-Kamiokande will open a new era of definitive discoveries** in neutrino sector and other physics searches



Спасибо за внимание!
И спасибо за организацию
замечательной Школы!

Credit to APS

The Growing Excitement of Neutrino Physics

- ✧ 1930: On-paper appearance as “desperate” remedy by W. Pauli
- ✧ 1956: $\bar{\nu}_e$ first experimentally discovered by Reines and Cowan
- ✧ 1962: ν_μ existence confirmed by Lederman *et al.*
- ✧ 1998: Atmospheric neutrino oscillations discovered by Super-K
- ✧ 2000: ν_τ first evidence reported by DONUT experiment
- ✧ 2001: Solar neutrino oscillations detected by SNO (KamLAND 2002)
- ✧ 2011: $\nu_\mu \rightarrow \nu_\tau$ transitions observed by OPERA
- ✧ 2011-13: $\nu_\mu \rightarrow \nu_e$ by T2K, $\bar{\nu}_e \rightarrow \bar{\nu}_e$ deficit observed by Daya Bay(2012)
- ✧ 2015: Nobel prizes for ν oscillations, Breakthrough prize (2016)

Nobel & Breakthrough
for ν oscillations

T2K observe $\nu_\mu \rightarrow \nu_e$
appearance

Daya Bay observe
theta 13 at 5 sigma

K2K confirms
atmospheric
oscillations

KamLAND confirms
solar oscillations

Nobel Prize for
neutrino astroparticle
physics!

SNO shows solar
oscillation to active
flavor

Super K confirms solar
deficit and “images” sun

Super K sees evidence
of atmospheric neutrino
oscillations

Nobel Prize for ν discovery!

LSND sees possible indication
of oscillation signal

Nobel Prize for discovery of
distinct flavors!

Kamioka II and IMB see supernova
neutrinos

Kamioka II and IMB see atmospheric
neutrino anomaly

SAGE and Gallex see the solar deficit

LEP shows 3 active flavors

Kamioka II confirms solar deficit

Pauli
predicts
the
Neutrino

Fermi's
theory
of weak
interactions

Reines &
Cowan discover
(anti)neutrinos

2 distinct
flavors
identified

Davis discovers
the solar deficit

1930

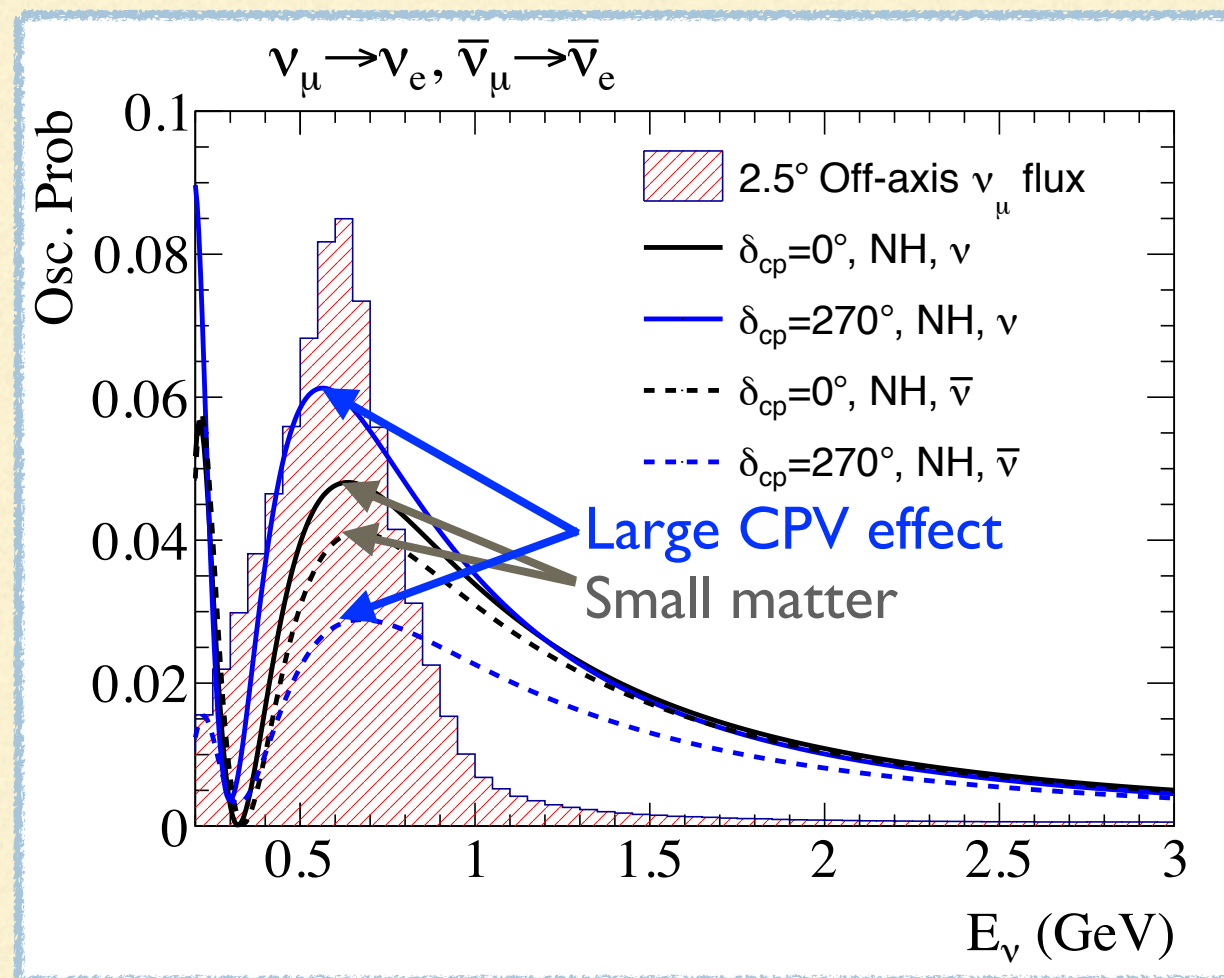
1955

1980

2015

NEUTRINO OSCILLATIONS IN T2K

δ_{CP} and mass hierarchy (MH) both cause differences in ν and anti- ν oscillations

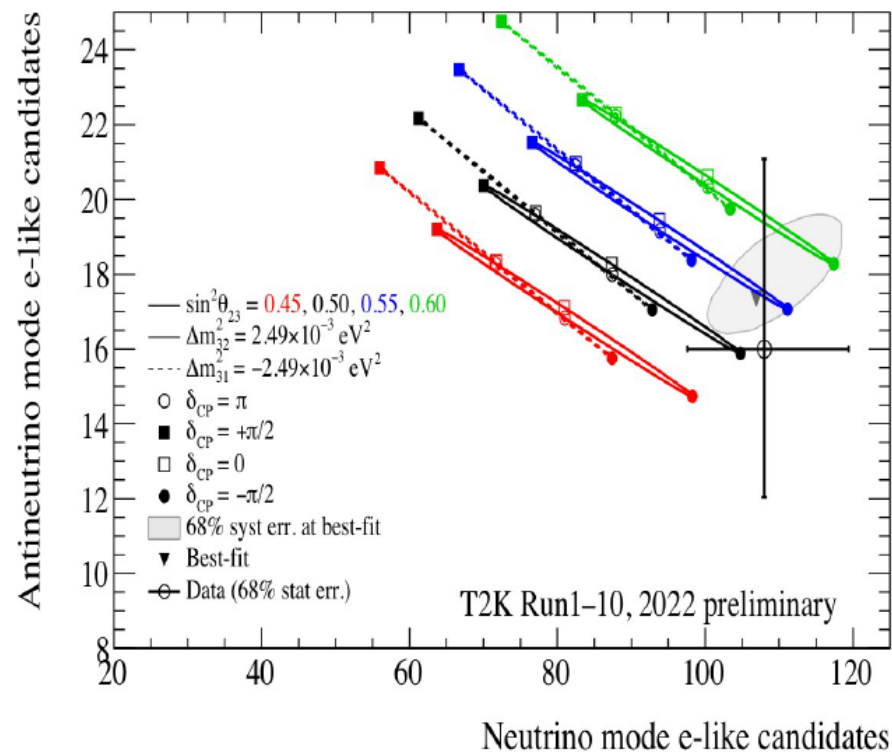


CP PHASE/ CHANNEL	$P(\nu_\mu \rightarrow \nu_e)$	$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
$\delta_{CP} = -\pi/2$	Enhance	Suppress
$\delta_{CP} = \pi/2$	Suppress	Enhance

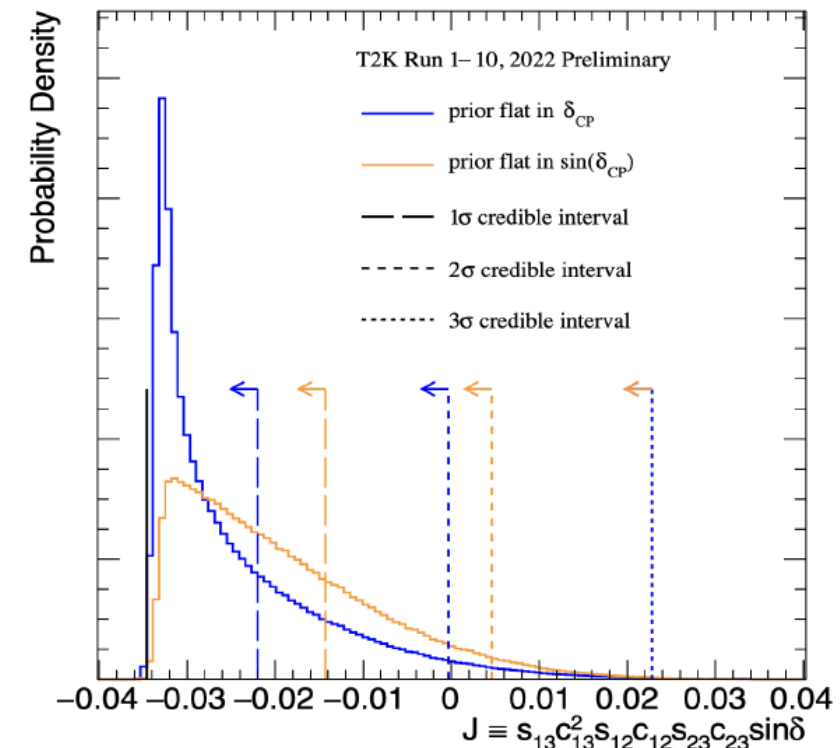
At T2K baseline ($L \sim 295\text{km}$, $E \sim 0.6\text{GeV}$):

- CPV: $\approx \pm 30\%$ effect
- Mass hierarchy: $\approx \pm 10\%$ effect

MORE T2K OSCILLATIONS RESULTS



Jarlskog Invariant, Both Hierarchies



Jarlskog Invariant: $J_{CP} = \sin \theta_{13} \cos^2 \theta_{13} \sin \theta_{12} \cos \theta_{12} \sin \theta_{23} \cos \theta_{23} \sin \delta_{CP}$

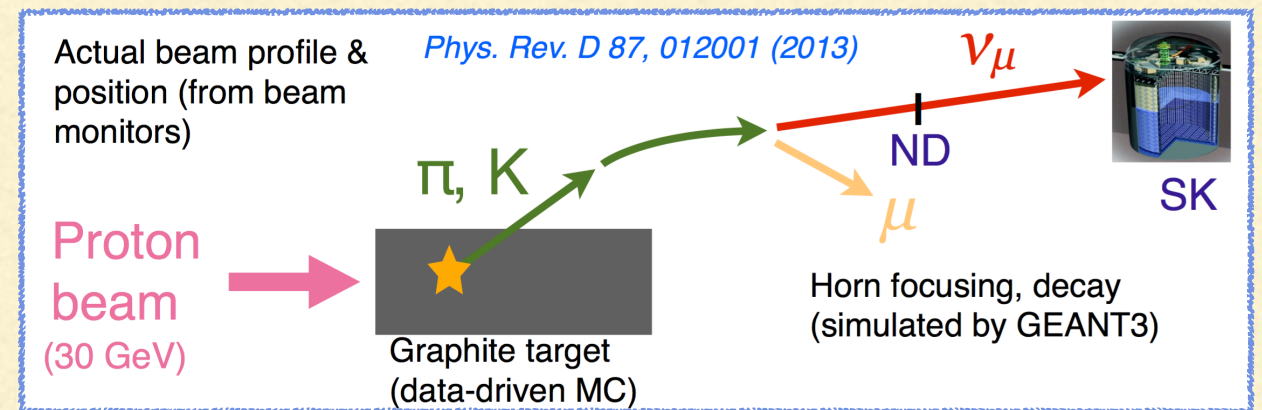
Bayesian posterior probabilities (with reactor constraint)

	$\sin^2 \theta_{23} < 0.5$	$\sin^2 \theta_{23} > 0.5$	Line total
Normal ordering	0.19	0.65	0.83
Inverted ordering	0.03	0.14	0.17
Column total	0.21	0.79	1.00

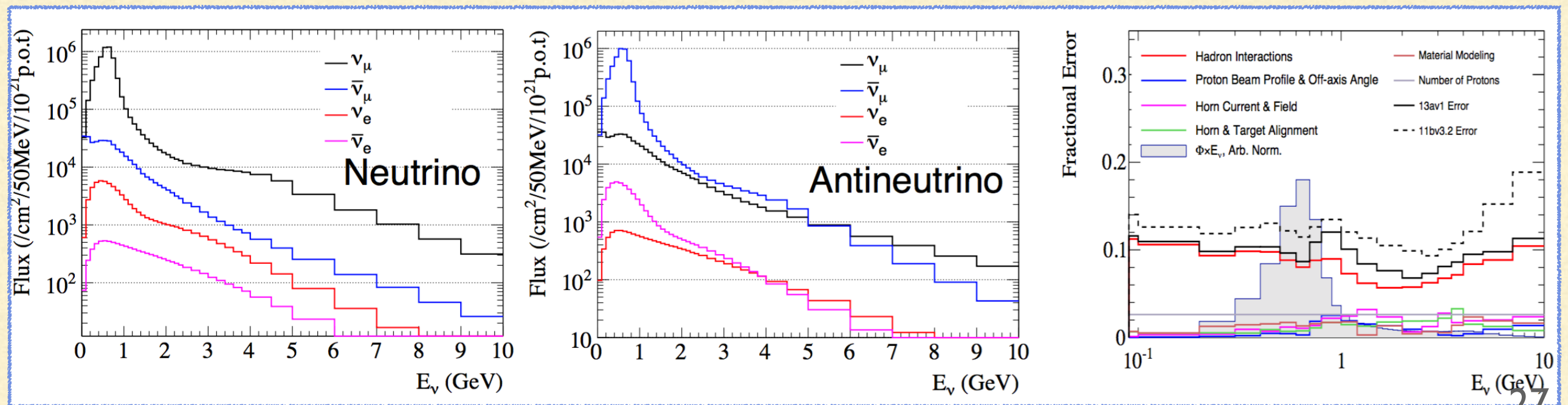
Jarlskog invariant is independent of PMNS parameterization.

T2K NEUTRINO FLUX PREDICTION

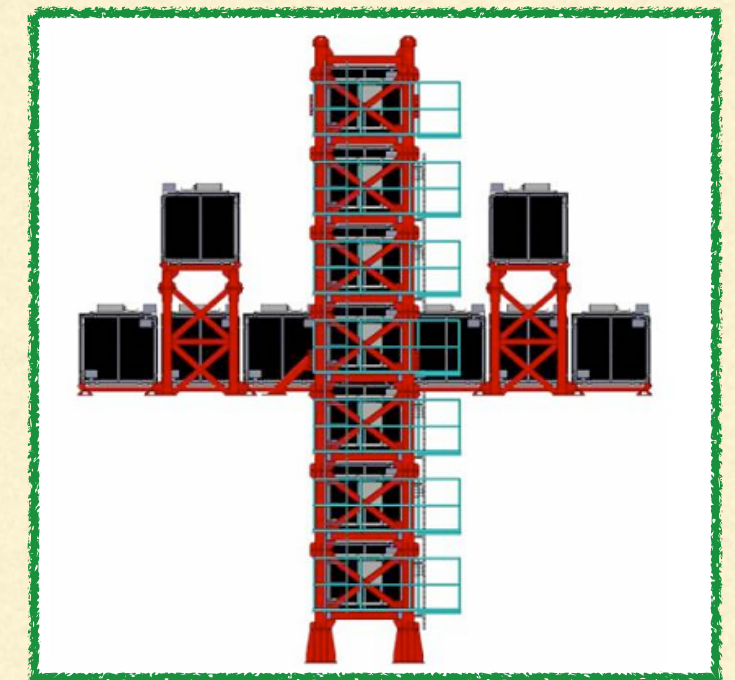
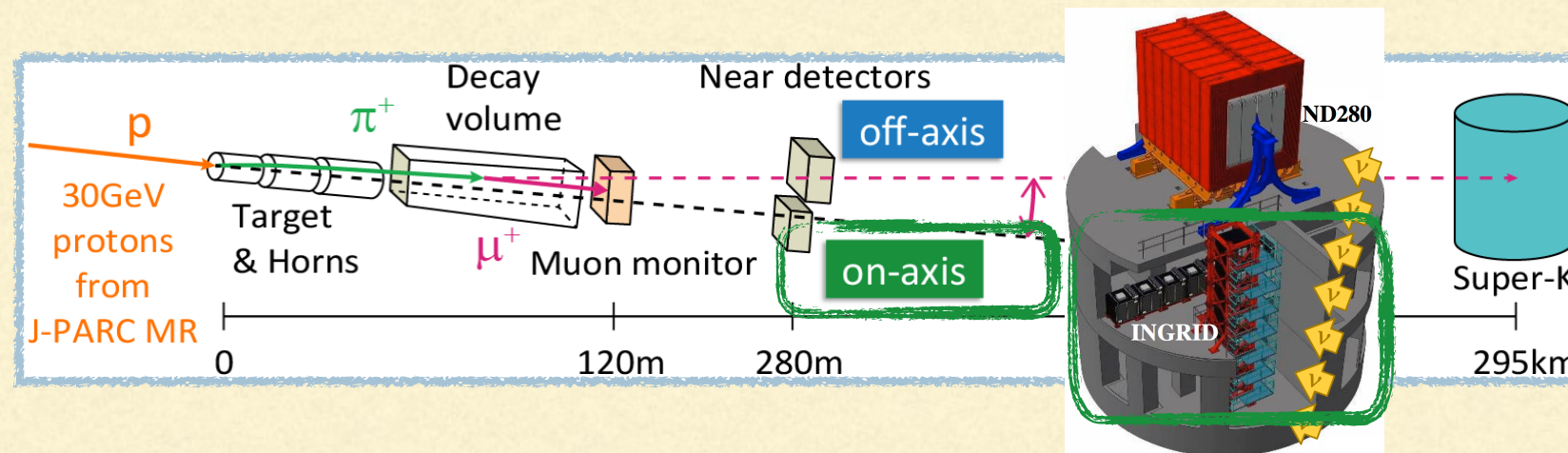
- Simulation: FLUKA, GCALOR and GEANT3
- Tuned to external data: NA61/SHINE (CERN)
 - Measurement of pion/kaon production of 31 GeV/c proton beam with carbon target
 - Thin target (4λ) and T2K replica target
 - Reduction of uncertainties: $\sim 30\%$ to $\sim 10\%$
- Intrinsic ν_e background at $\sim 0.5\%$ level



Replica target results are being incorporated into analysis



ON-AXIS NEAR DETECTOR INGRID



- T2K utilises off-axis neutrino beam:
 - Important to monitor beam intensity and direction
- Iron/scintillator detector to measure beam profile and rate
- Day-by-day monitoring
- Direction stable within 1 mrad (~2% shift in peak energy)

