



南開大學  
Nankai University



# New Physics Beyond the SM @BESIII

**Minggang Zhao**  
(on behalf of the BESIII Collaboration)

*School of Physics, Nankai University, Tianjin, China*

**XII International Workshop on  $e^+e^-$  Collision from Phi to Psi**  
**February 25 - March 1, 2019, Novosibirsk, Russia**

# BESIII Experiment

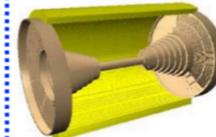


# BESIII Experiment



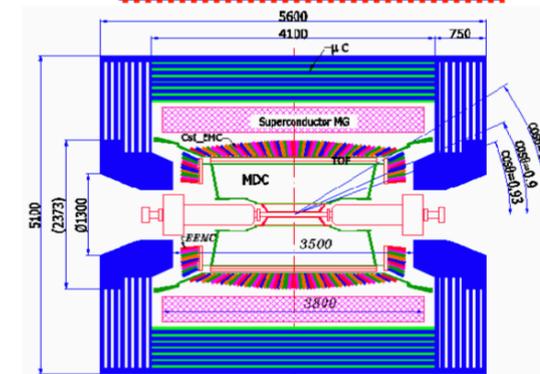
- A powerful general purpose detector.
- Excellent neutral/charged particle detection/identification with a large coverage.
  - ✓ Precision tracking
  - ✓ CsI calorimeter
  - ✓ PID via  $dE/dx$  & Time of Flight

**MDC:** small cell & Gas: He/C<sub>3</sub>H<sub>8</sub> (60/40), 43 layers  
 $\sigma_p/p=0.5\%$ @1GeV,  $\sigma_{dEdx}=6\%$

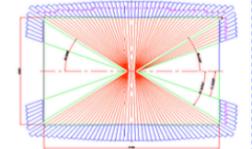


R inner: 63mm ;  
 R outer: 810mm  
 Length: 2582 mm  
 Layers: 43

**Magnet:** 1T Super conducting

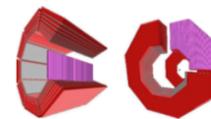


**EMCAL:** CsI(Tl) crystal  
 $\Delta E/E=2.5$  @1GeV



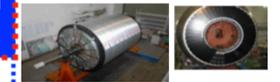
Crystals: 28 cm (15 X<sub>0</sub>)  
 Barrel:  $|\cos\theta| < 0.83$   
 Endcap:  $0.85 < |\cos\theta| < 0.93$

**MUC:** 9 layers RPC  
 (8 layers in Endcap)  
 $\sigma_{R\phi}=1.4\sim 1.7$ cm



**Time of Flight**  
 $\sigma_T=100$ ps in Barrel  
 110ps in Endcap

**BTOF:** two layers  
**ETOF:** 48 scintillators for each  
 MRPC --- new ETOF

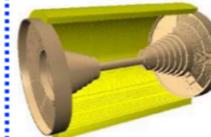


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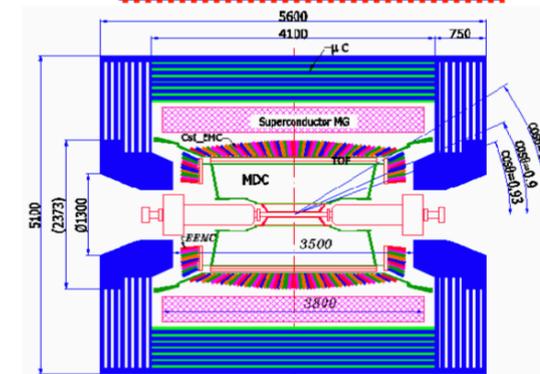
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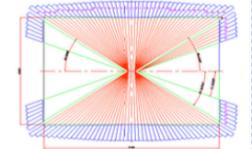


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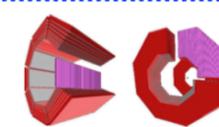


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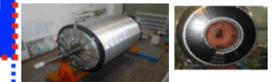
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## BESIII Collaboration



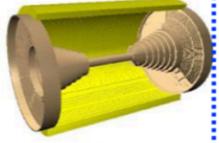
61 institutions  
 14 countries  
 459 authors

# BESIII Experiment



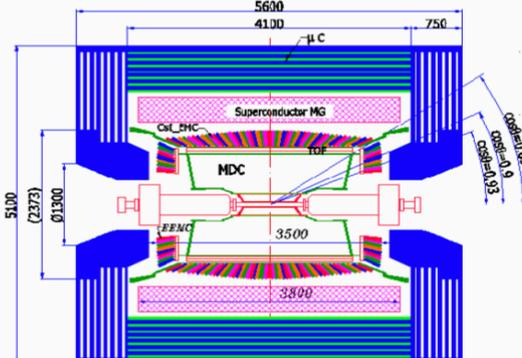
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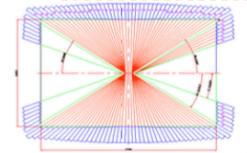
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5600  
4100  
750  
5100  
3500  
3800

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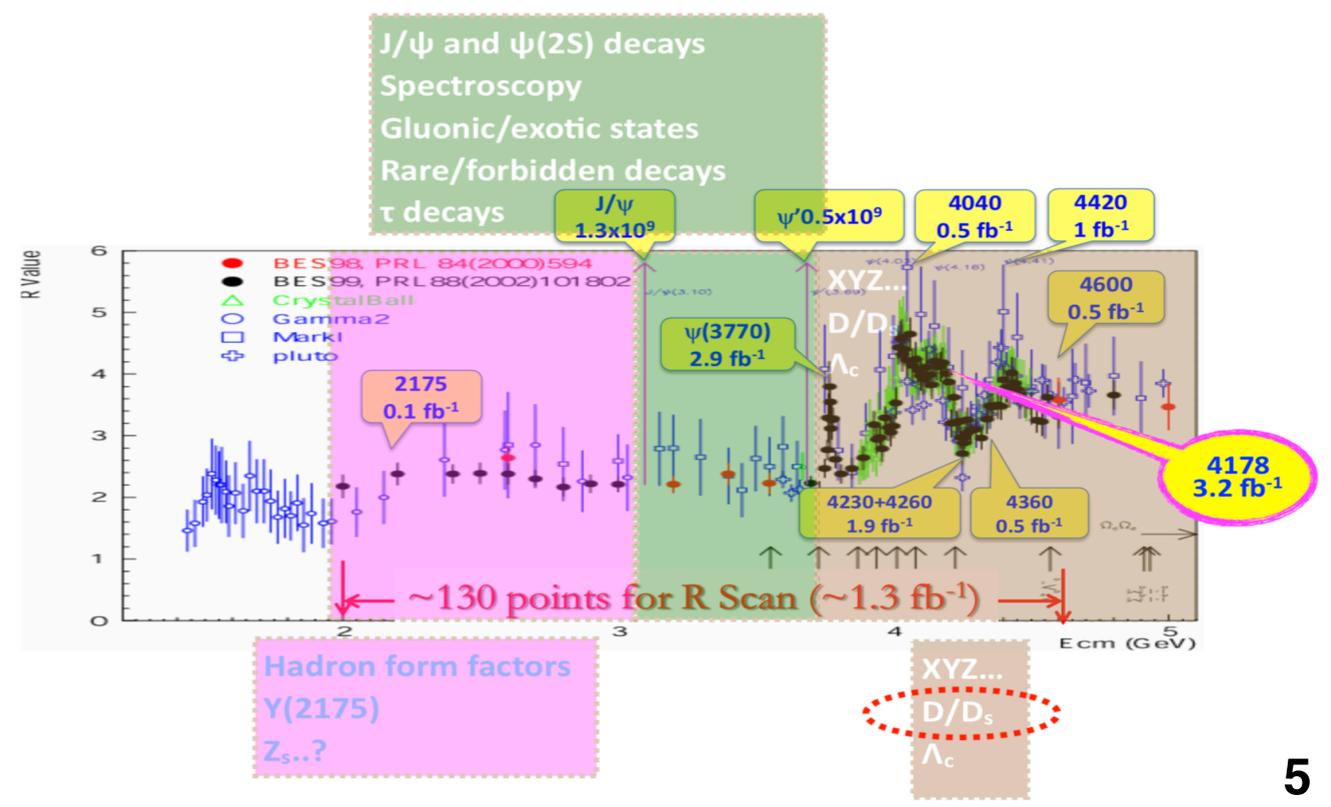
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# New Physics Searches at BESIII

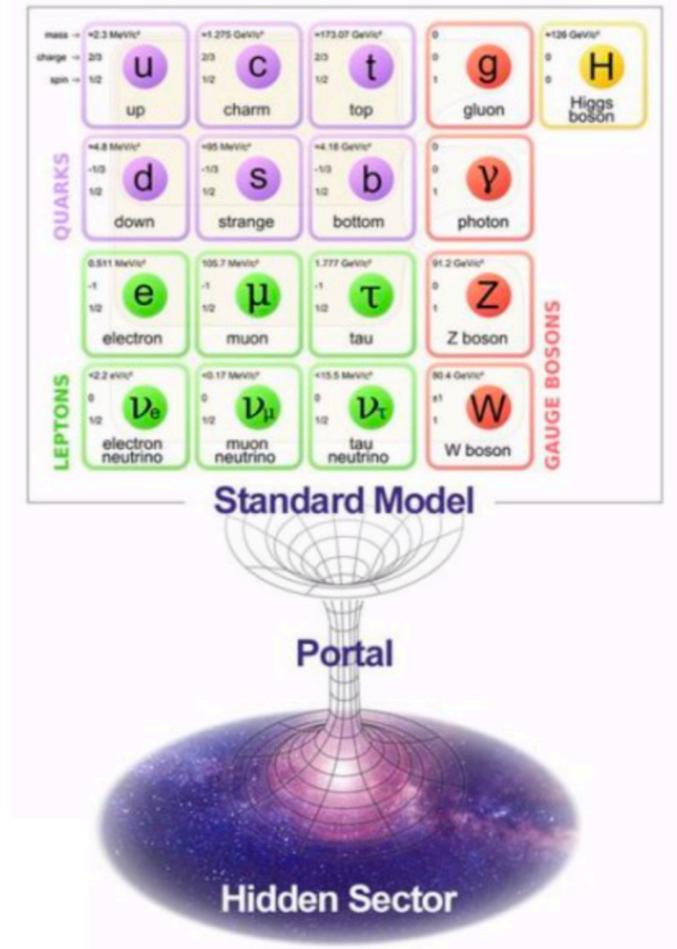
New Physics	Channels	Publications
Dark Photons	$e^+e^- \rightarrow \gamma_{\text{ISR}}\gamma', \gamma' \rightarrow l^+l^-$ $e^+e^- \rightarrow \eta\gamma', \gamma' \rightarrow e^+e^-$ $e^+e^- \rightarrow \eta'\gamma', \gamma' \rightarrow e^+e^-$	PLB774, 252 (2017) PRD99, 012006 (2019) PRD99, 012013 (2019)
Invisible Decays	$J/\psi \rightarrow \phi\eta/\eta', \eta/\eta' \rightarrow \text{invisible}$ $J/\psi \rightarrow \eta\omega/\phi, \omega/\phi \rightarrow \text{invisible}$	PRD87, 012009 (2013) PRD98, 032001 (2018)
Light Higgs	$\psi(3686) \rightarrow \pi^+\pi^- J/\psi, J/\psi \rightarrow \gamma A^0, A^0 \rightarrow \mu^+\mu^-$ $J/\psi \rightarrow \gamma A^0, A^0 \rightarrow \mu^+\mu^-$	PRD85, 092012 (2012) PRD93, 052005 (2016)
BNV/LNV	$J/\psi \rightarrow \Lambda_c^+ e^- + c.c.$ $D \rightarrow K\pi e^+ e^+$	arXiv: 1803.04789 arXiv: 1902.02450
LFV	$J/\psi \rightarrow e^+ \mu^- + c.c.$	PRD87, 112007 (2013)
CV	$J/\psi \rightarrow \gamma\gamma, \gamma\phi$	PRD90, 092002 (2014)
FCNC	$D^0 \rightarrow \gamma\gamma$ $J/\psi/\psi(3686) \rightarrow D^0 e^+ e^-$ $D \rightarrow h(h') e^+ e^-$ $\psi(3686) \rightarrow \Lambda_c^+ \bar{p} e^+ e^- + c.c.$	PRD91, 112015 (2015) PRD96, 111101-R (2017) PRD97, 072015 (2018) PRD97, 091102-R (2018)

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# 01 Dark Sector

- Numerous astrophysical observations strongly suggest the existence of Dark Matter(DM) which provides a hint of dark sector (hidden sector).
- There might exist some “portals” that connect the SM sector to DM sector



Portal	Particles	Operator(s)
“Vector”	Dark photons	$-\frac{\epsilon}{2 \cos \theta_W} B_{\mu\nu} F'^{\mu\nu}$
“Axion”	Pseudoscalars	$\frac{a}{f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}, \frac{a}{f_a} G_{i\mu\nu} \tilde{G}_i^{\mu\nu}, \frac{\partial_\mu a}{f_a} \bar{\psi} \gamma^\mu \gamma^5 \psi$
“Higgs”	Dark scalars	$(\mu S + \lambda S^2) H^\dagger H$
“Neutrino”	Sterile neutrinos	$y_N L H N$

R. Essig et al., arXiv: 1311.0029 (2013)

# 01 Dark Sector

- Postulate an extra U(1) gauge symmetry, and the corresponding gauge boson is called **dark photon** or  **$U$  boson**,  $\gamma'$ ,  $A'$ ,  $Z'_d$
- It can decay into light DM particles  $\chi\chi$
- or decay into the SM  $q\bar{q}, \ell^+\ell^-, \nu\bar{\nu}$ 
  - direct and very weak interaction
  - **kinetic mixing** with the SM photon, or mass mixing with the  $Z$

$$\mathcal{L}_{\text{int}} = - \left( \varepsilon e J_{\mu}^{\text{EM}} + \varepsilon_Z \frac{g}{2 \cos \theta_W} J_{\mu}^{\text{NC}} \right) Z_d^{\mu}$$

- **mixing strength**  $\varepsilon = \sqrt{\alpha'/\alpha} \sim 10^{-2} - 10^{-5}$  (could be smaller)
- mass ranges:  $\text{MeV}/c^2 - \text{GeV}/c^2$  ( $\varepsilon_Z$  suppressed by  $(m_{A'}/m_Z)^2$ )

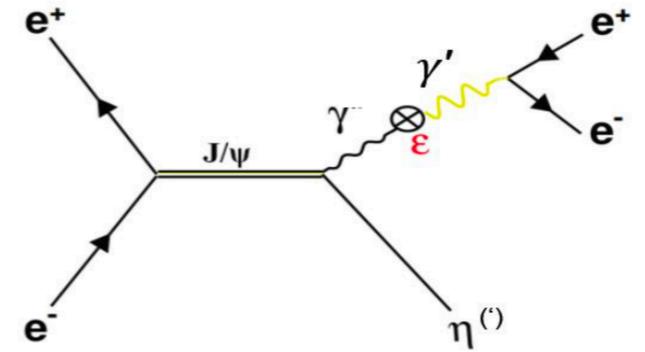
A resonant structure in the invariant mass spectrum

# 01 Dark Sector: dark photons

- **First search** for dark photon in E.M. Dalitz decays

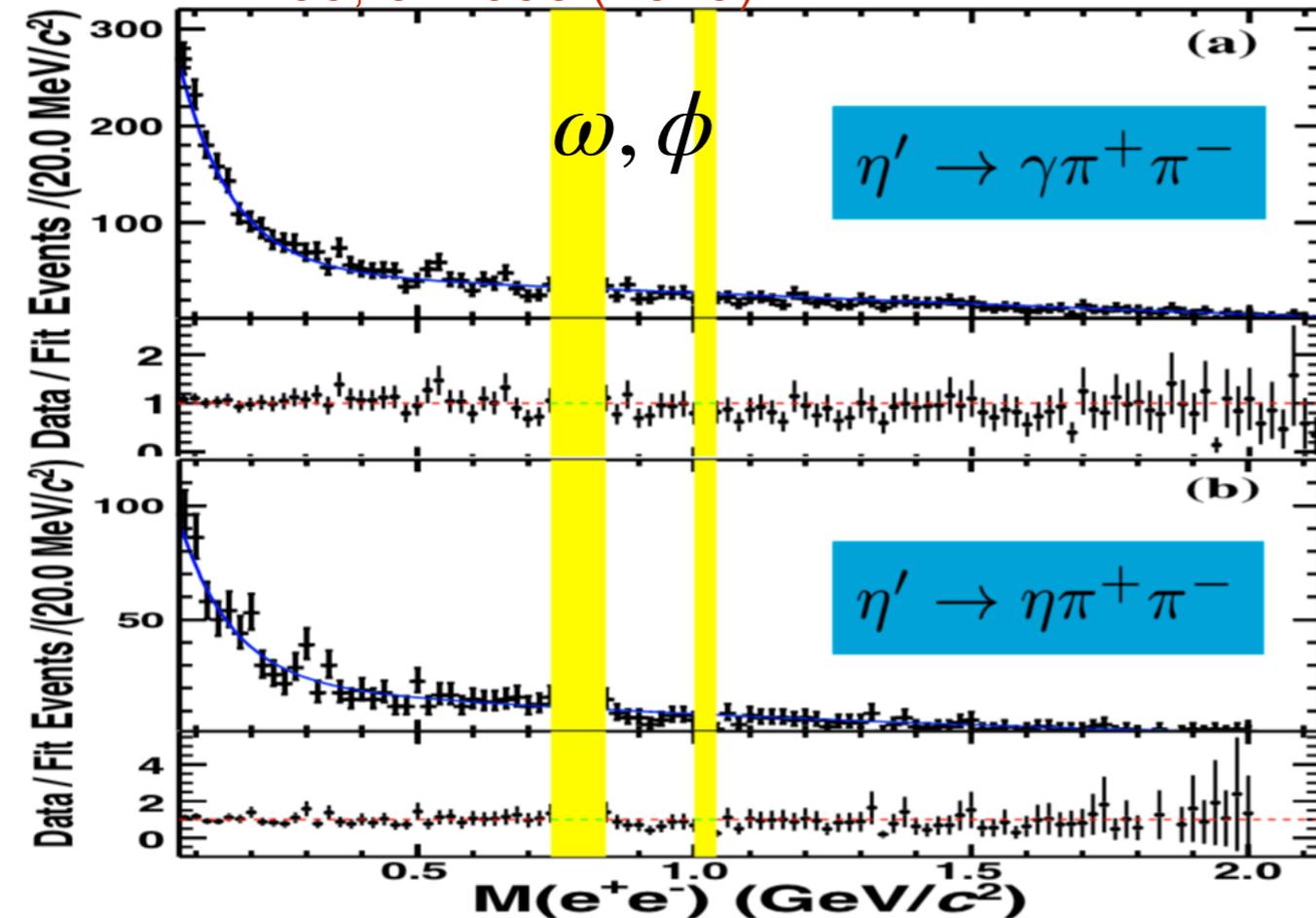
- $J/\psi \rightarrow \eta\gamma', \gamma' \rightarrow e^+e^-$  PRD99, 012013 (2019)

- $J/\psi \rightarrow \eta'\gamma', \gamma' \rightarrow e^+e^-$  PRD99, 012006 (2019)

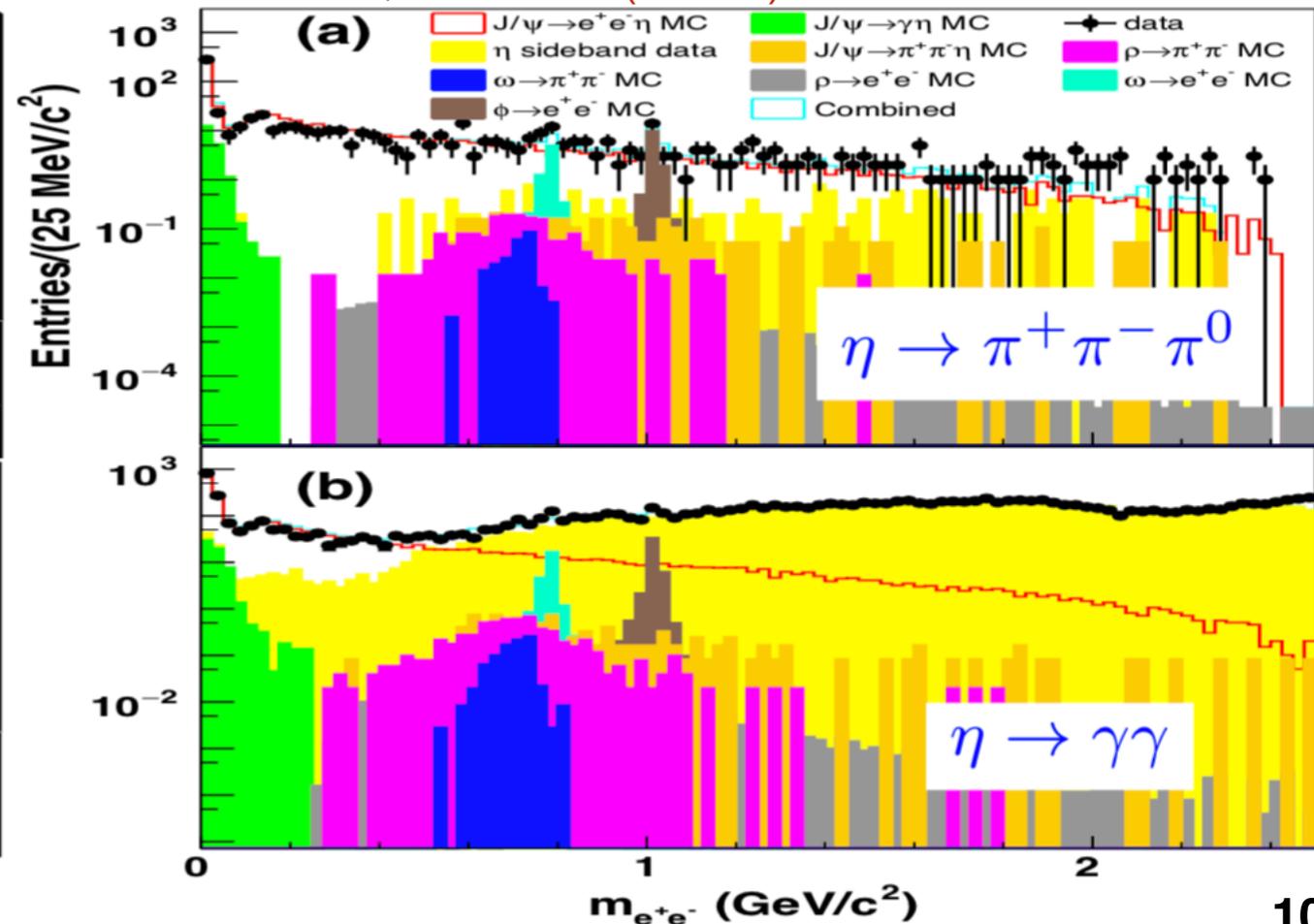


- Check narrow peaking structures in the  $m_{e^+e^-}$  distribution

PRD99, 012006 (2019)



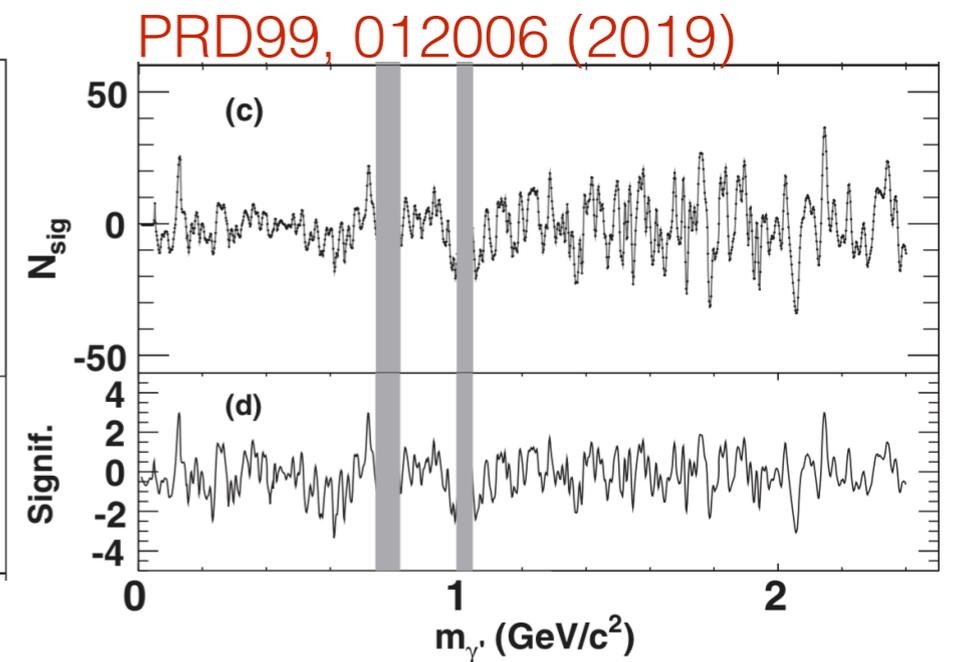
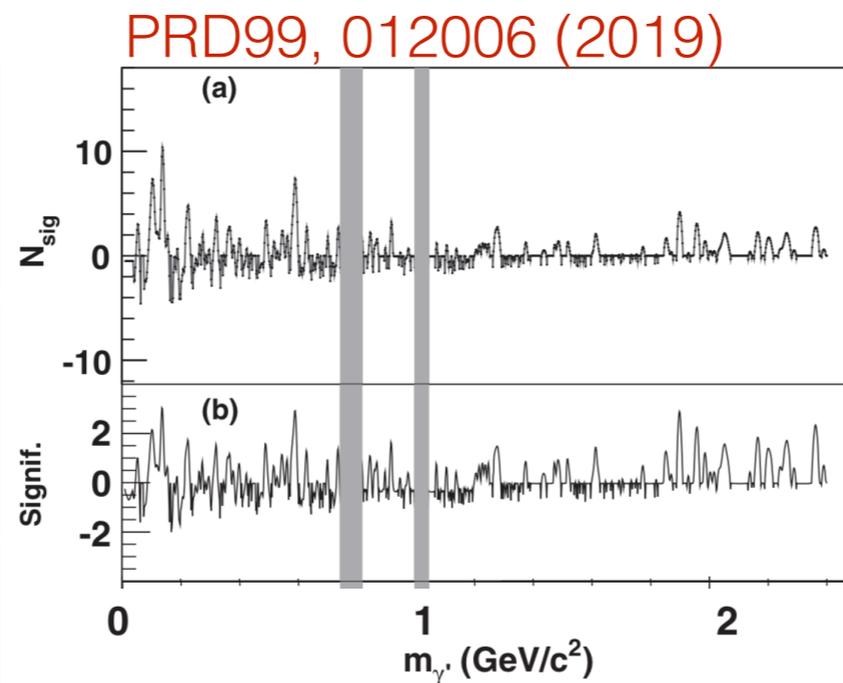
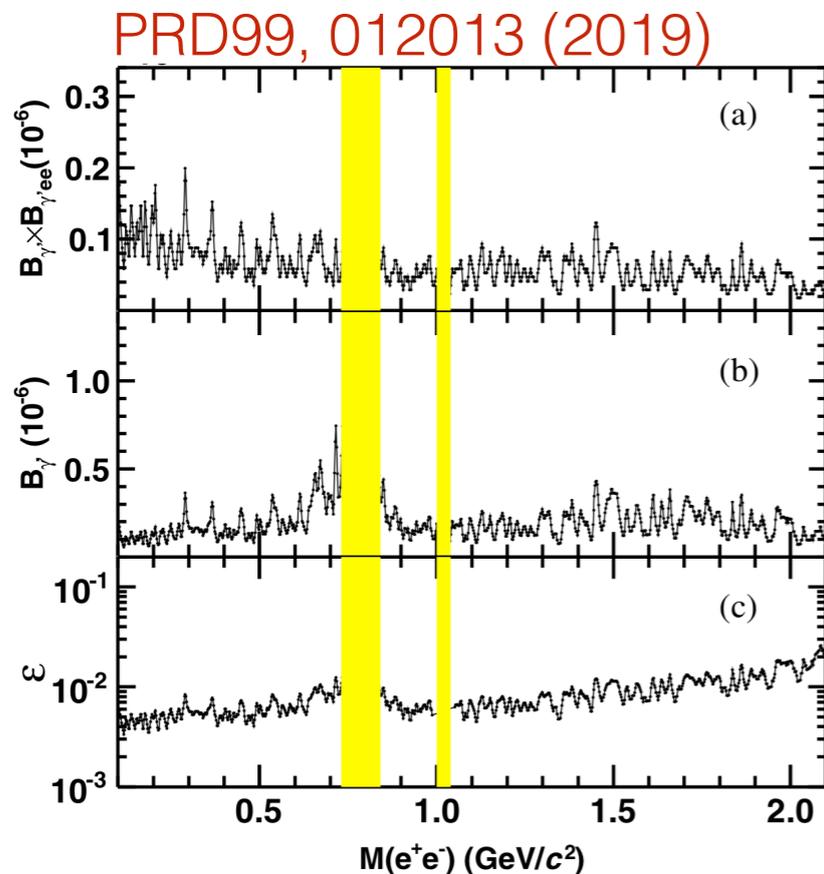
PRD99, 012013 (2019)



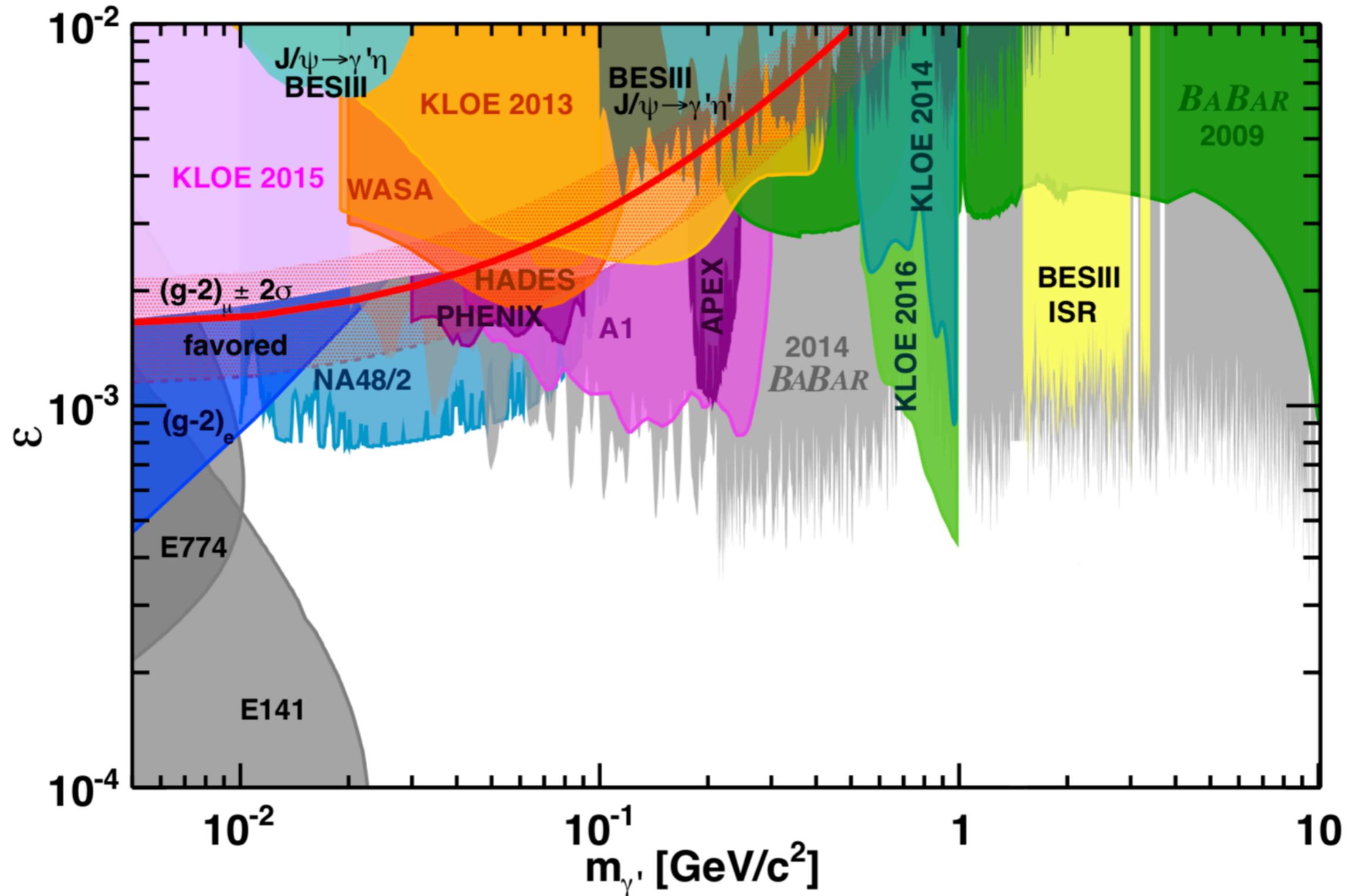
# 01 Dark Sector: dark photons

- No obvious peaking structures observed
- Fit to  $m_{e^+e^-}$  of data to obtain signal yields ( $\omega, \phi$  regions excluded)
- Combined limits at 90% C.L. on BF and  $\epsilon$  (Bayesian approach)

	$\mathcal{B}(\psi \rightarrow P\gamma')\mathcal{B}(\gamma' \rightarrow e^+e^-)$	$\mathcal{B}(\psi \rightarrow P\gamma')$	$\epsilon$
$P = \eta'$	$< 1.8 \times 10^{-8} - 2.0 \times 10^{-7}$	$< 6.0 \times 10^{-8} - 7.8 \times 10^{-7}$	$3.4 \times 10^{-3} - 2.6 \times 10^{-2}$
$P = \eta$	$< 1.9 \times 10^{-8} - 9.1 \times 10^{-7}$		$10^{-3} - 10^{-2}$



# 01 Dark Sector: dark photons



# 01 Dark Sector: invisible decay

- In the SM, quarkonium states can decay into neutrino and anti-neutrino pair via virtual  $Z^0$  boson with very low expected BFs

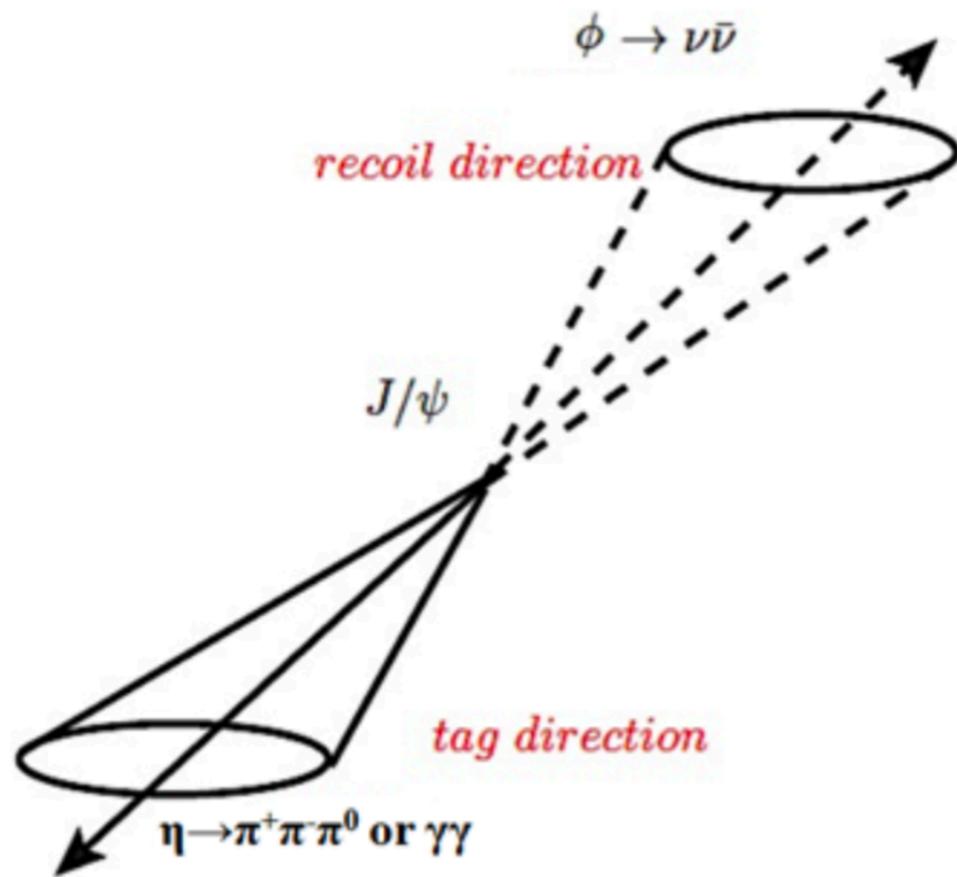
$$\mathcal{B}(\omega \rightarrow \nu\nu) = 8.4 \times 10^{-14}, \mathcal{B}(\phi \rightarrow \nu\nu) = 5.8 \times 10^{-12}$$

- However, if singlet scalar, pseudo-scalar or vector (portals) exists, and mediates the SM-DM interaction, it can allow invisible decays of SM particles to DM particles.
- The branching fraction of invisible decay might be enhanced in the presence of light DM particles.

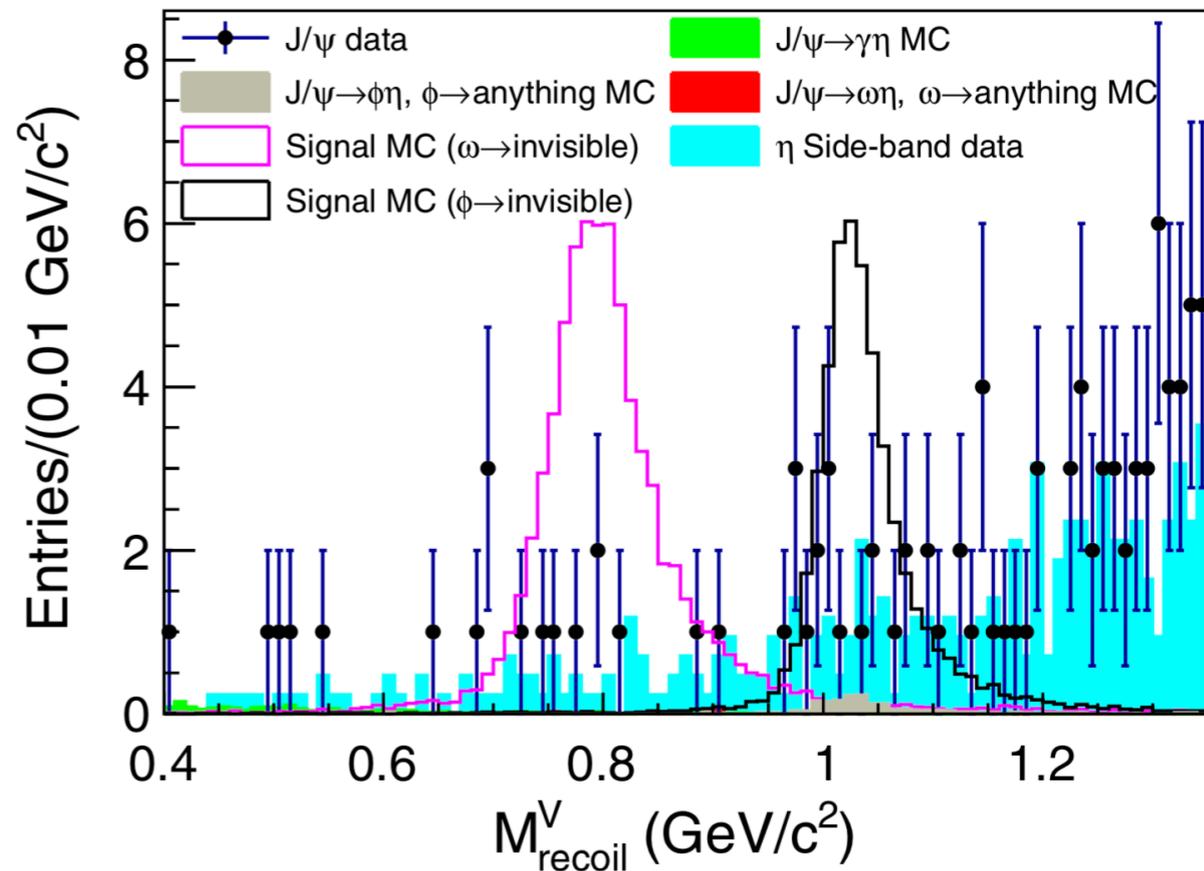
mode	s-wave	p-wave
$\text{BR}(\Upsilon(1S) \rightarrow \chi\chi)$	$4.2 \times 10^{-4}$	$1.8 \times 10^{-3}$
$\text{BR}(\Upsilon(1S) \rightarrow \nu\bar{\nu})$	$9.9 \times 10^{-6}$	
$\text{BR}(J/\Psi \rightarrow \chi\chi)$	$2.5 \times 10^{-5}$	$1.0 \times 10^{-4}$
$\text{BR}(J/\Psi \rightarrow \nu\bar{\nu})$	$2.7 \times 10^{-8}$	
$\text{BR}(\eta \rightarrow \chi\chi)$	$3.4 \times 10^{-5}$	$1.4 \times 10^{-4}$
$\text{BR}(\eta' \rightarrow \chi\chi)$	$3.7 \times 10^{-7}$	$1.5 \times 10^{-6}$
$\text{BR}(\eta_c \rightarrow \chi\chi)$	$1.3 \times 10^{-7}$	$5.3 \times 10^{-7}$
$\text{BR}(\chi_{c0}(1P) \rightarrow \chi\chi)$	$2.7 \times 10^{-8}$	$1.2 \times 10^{-7}$
$\text{BR}(\phi \rightarrow \chi\chi)$	$1.9 \times 10^{-8}$	$7.8 \times 10^{-8}$
$\text{BR}(\omega \rightarrow \chi\chi)$	$7.2 \times 10^{-8}$	$3.0 \times 10^{-8}$

# 01 Dark Sector: invisible decay

- First search for  $J/\psi \rightarrow \eta\omega/\phi, \omega/\phi \rightarrow \text{invisible}$  PRD98, 032001 (2018)
- Recoiling mass (against  $\eta$ ) is defined as  $M_{\text{recoil}}^V \equiv \sqrt{(E_{\text{CM}} - E_{3\pi})^2 - |\vec{p}_{3\pi}|^2}$



PRD98, 032001 (2018)



# 01 Dark Sector: invisible decay

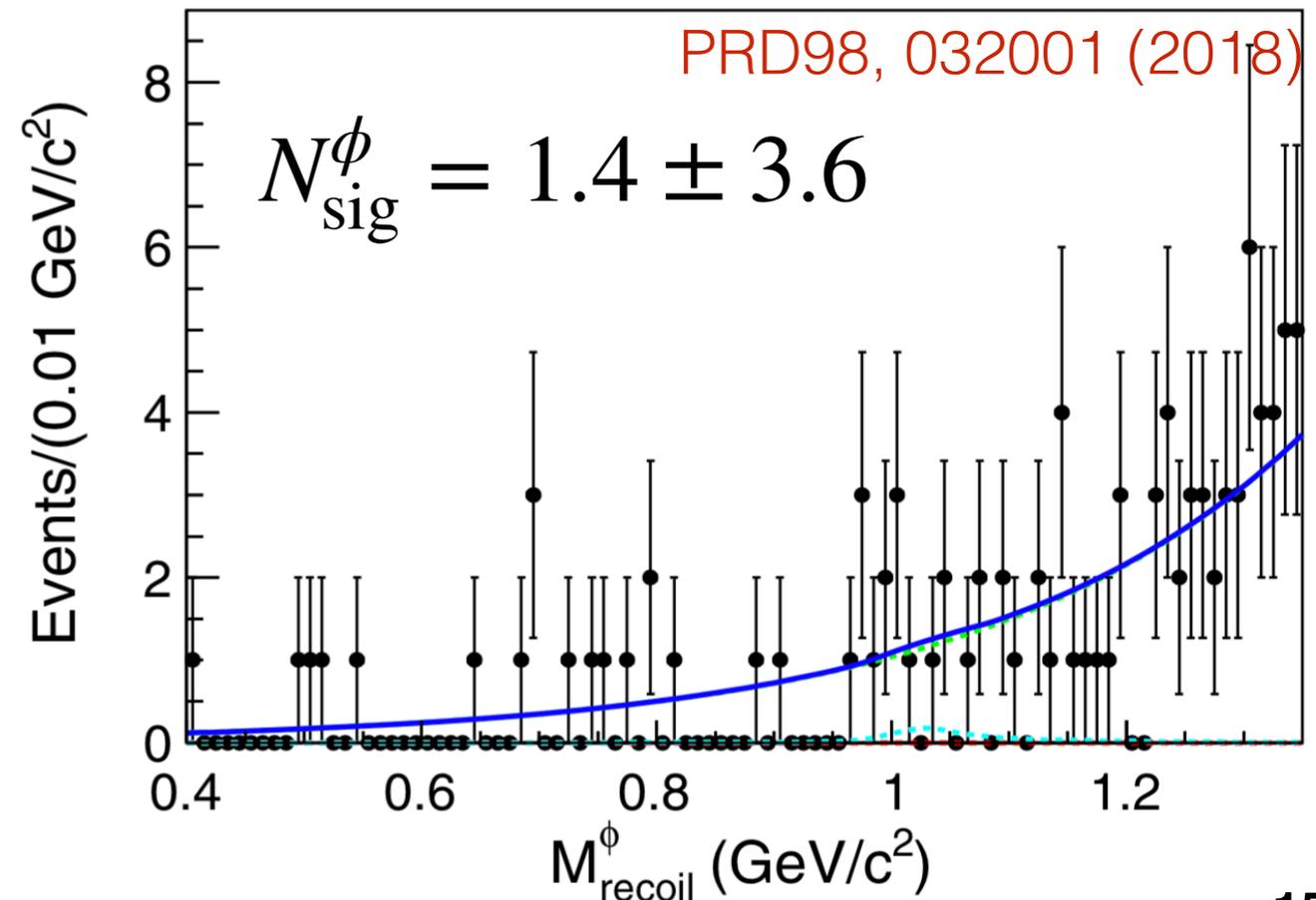
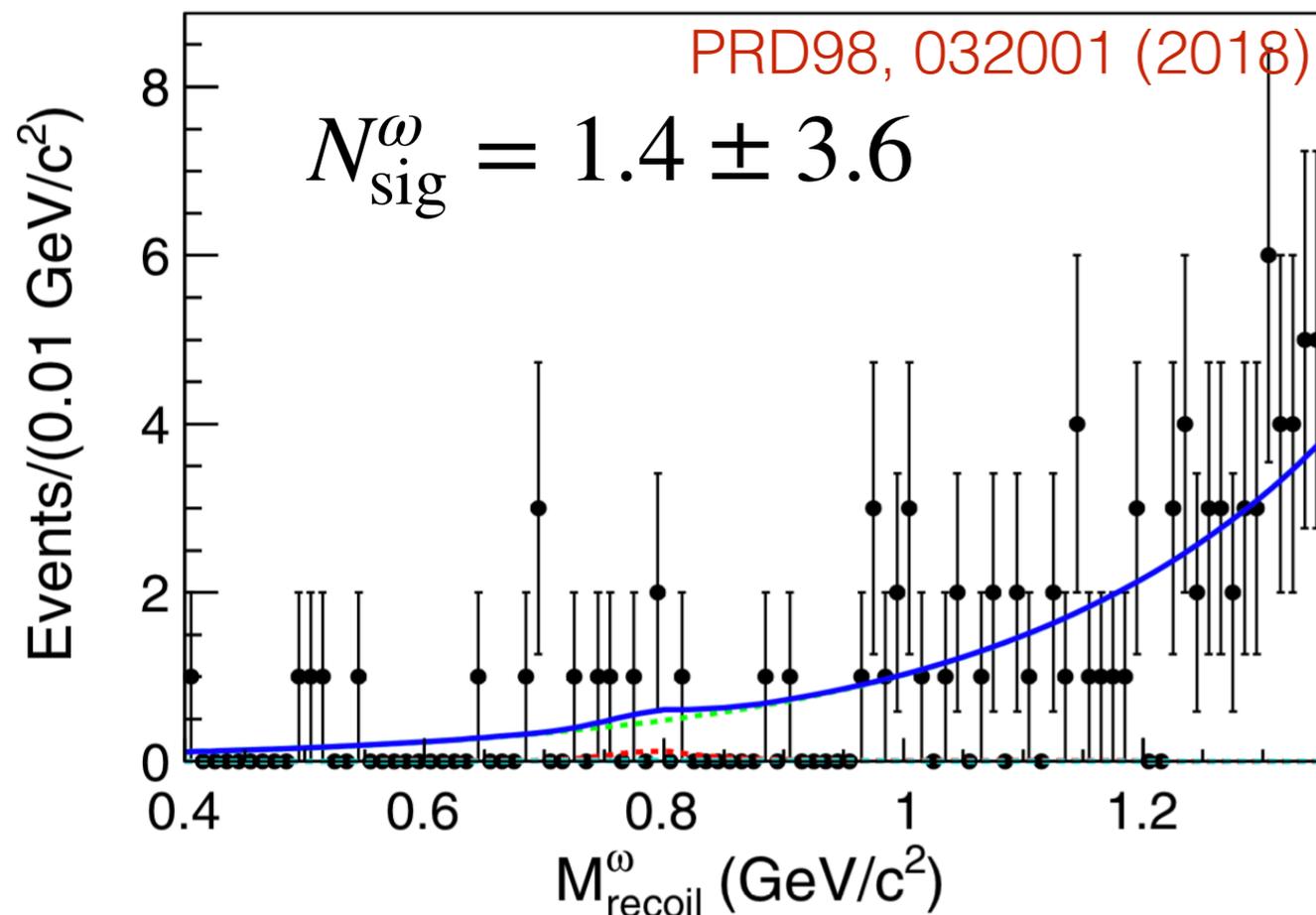
- Fit to  $M_{\text{recoil}}^V$  to obtain signal yields
- No obvious signals found, upper limits set at 90% C.L.

$$\frac{\mathcal{B}(\omega \rightarrow \text{invisible})}{\mathcal{B}(\omega \rightarrow \pi^+\pi^-\pi^0)} < 8.1 \times 10^{-5}$$

$$\mathcal{B}(\omega \rightarrow \text{invisible}) < 7.3 \times 10^{-5}$$

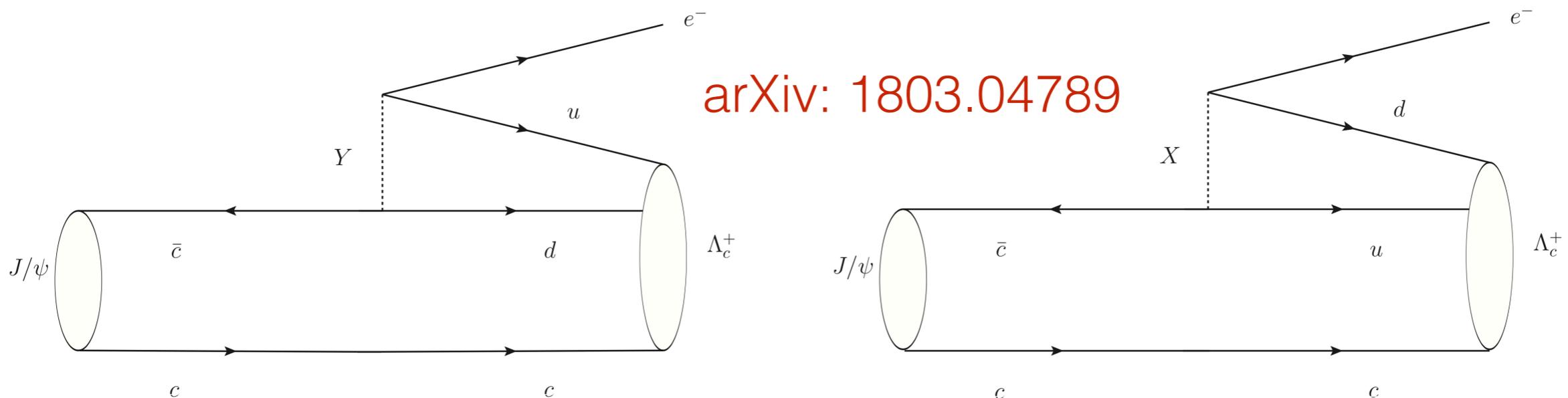
$$\frac{\mathcal{B}(\phi \rightarrow \text{invisible})}{\mathcal{B}(\phi \rightarrow K^+K^-)} < 3.4 \times 10^{-4}$$

$$\mathcal{B}(\phi \rightarrow \text{invisible}) < 1.7 \times 10^{-4}$$



# 02 BNV/LNV: $J/\psi \rightarrow \Lambda_c^+ e^- + c.c.$

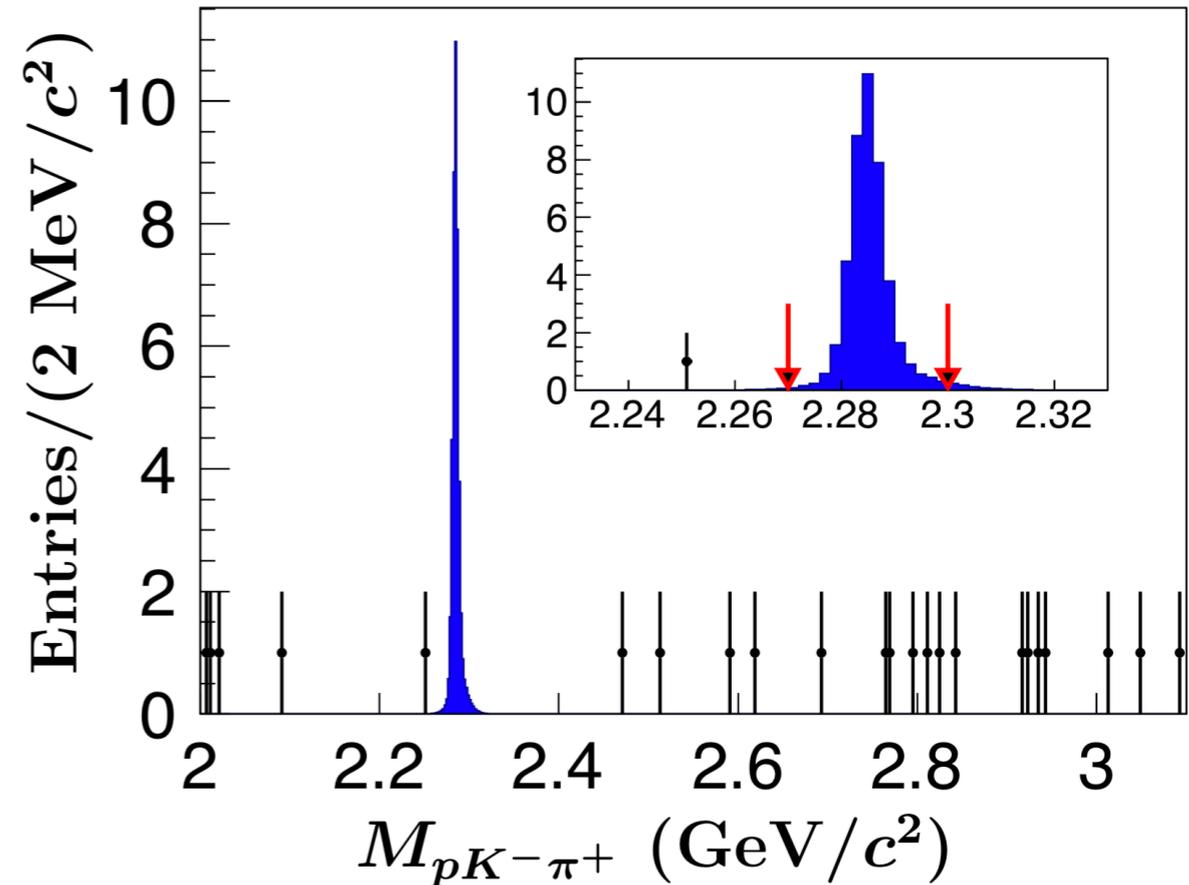
- Many SM extensions and Grand Unified Theories, such as superstring or SUSY, predict proton decays. In this case, baryon number is violated while the difference  $\Delta(B-L)$  is conserved.
- Since the matter–antimatter asymmetry in the universe is an observable fact, the negative result from proton decay experiment does not imply BN is conserved.
- Searches for new physics at collider experiments are complementary to those at specifically designed non-collider experiments.



# 02 BNV/LNV: $J/\psi \rightarrow \Lambda_c^+ e^- + c.c.$

arXiv: 1803.04789

- First search for  $J/\psi \rightarrow \Lambda_c^+ e^- + c.c., \Lambda_c^+ \rightarrow pK^- \pi^+$
- Check  $M_{pK^- \pi^+}$  distribution
- No events found in the signal window
- Upper limit at 90% C.L. on BF

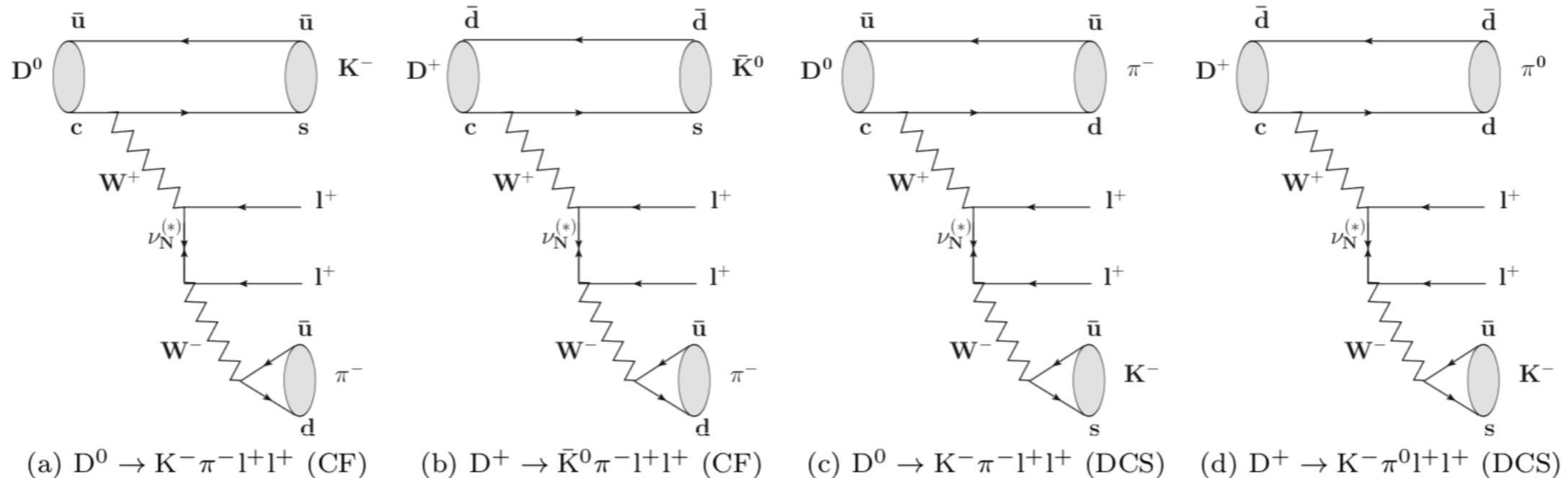


$$\mathcal{B}(J/\psi \rightarrow \Lambda_c^+ e^- + c.c.) < 6.9 \times 10^{-8}$$

- The first BNV search in quarkonium decay products.
- More than two orders of magnitude than that of CLEO's measurement in the analogous process  $D^0 \rightarrow \bar{p}e^+ + c.c.$

# 02 BNV/LNV: $D \rightarrow K\pi e^+e^+$

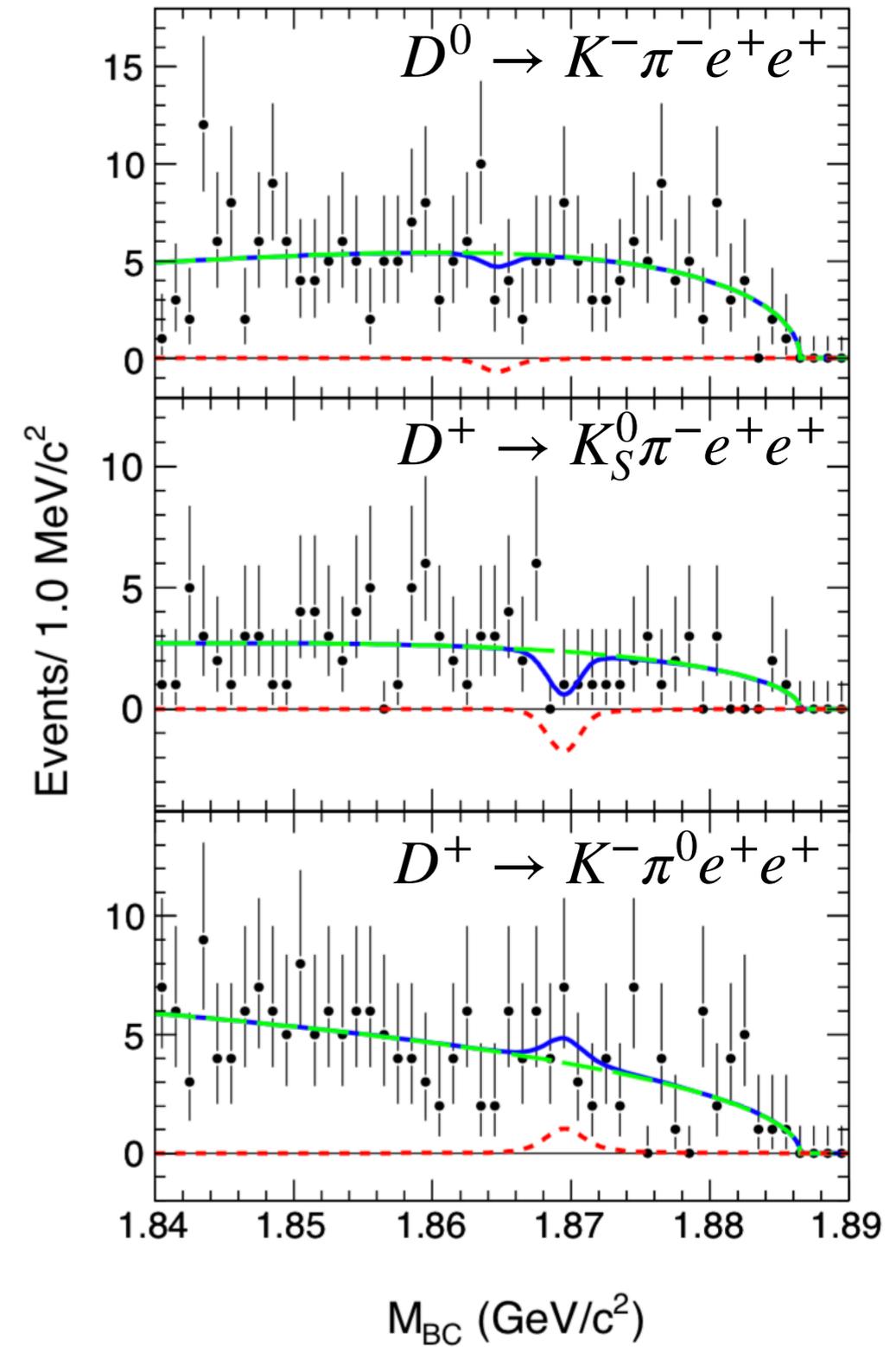
- Observations of neutrino oscillation shown that the masses of neutrino should not be zero.
- Theoretically, the leading model accommodating the neutrino masses is the “see-saw” mechanism, in which the SM neutrinos can be Majorana particles.
- The Majorana neutrinos can be searched through the process violating the lepton-number (LN) conservation by two units ( $\Delta L = 2$ ).



# 02 BNV/LNV: $D \rightarrow K\pi e^+e^+$

- Check  $m_{BC}$ , no signals found

arXiv: 1902.02450



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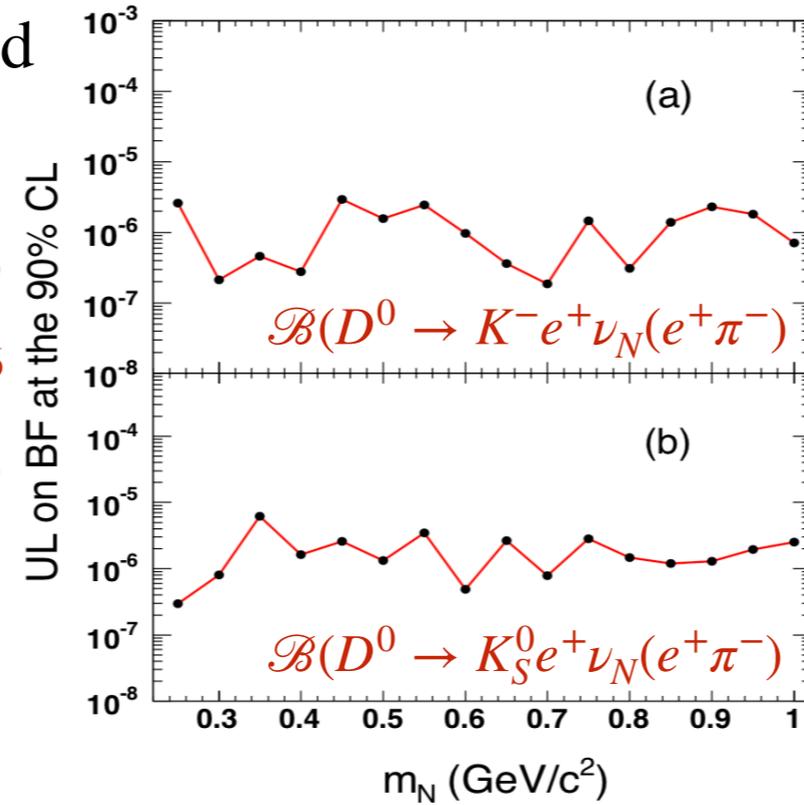
arXiv: 1902.02450

- Check  $m_{BC}$ , no signals found
- UL at 90% C.L. on BF's

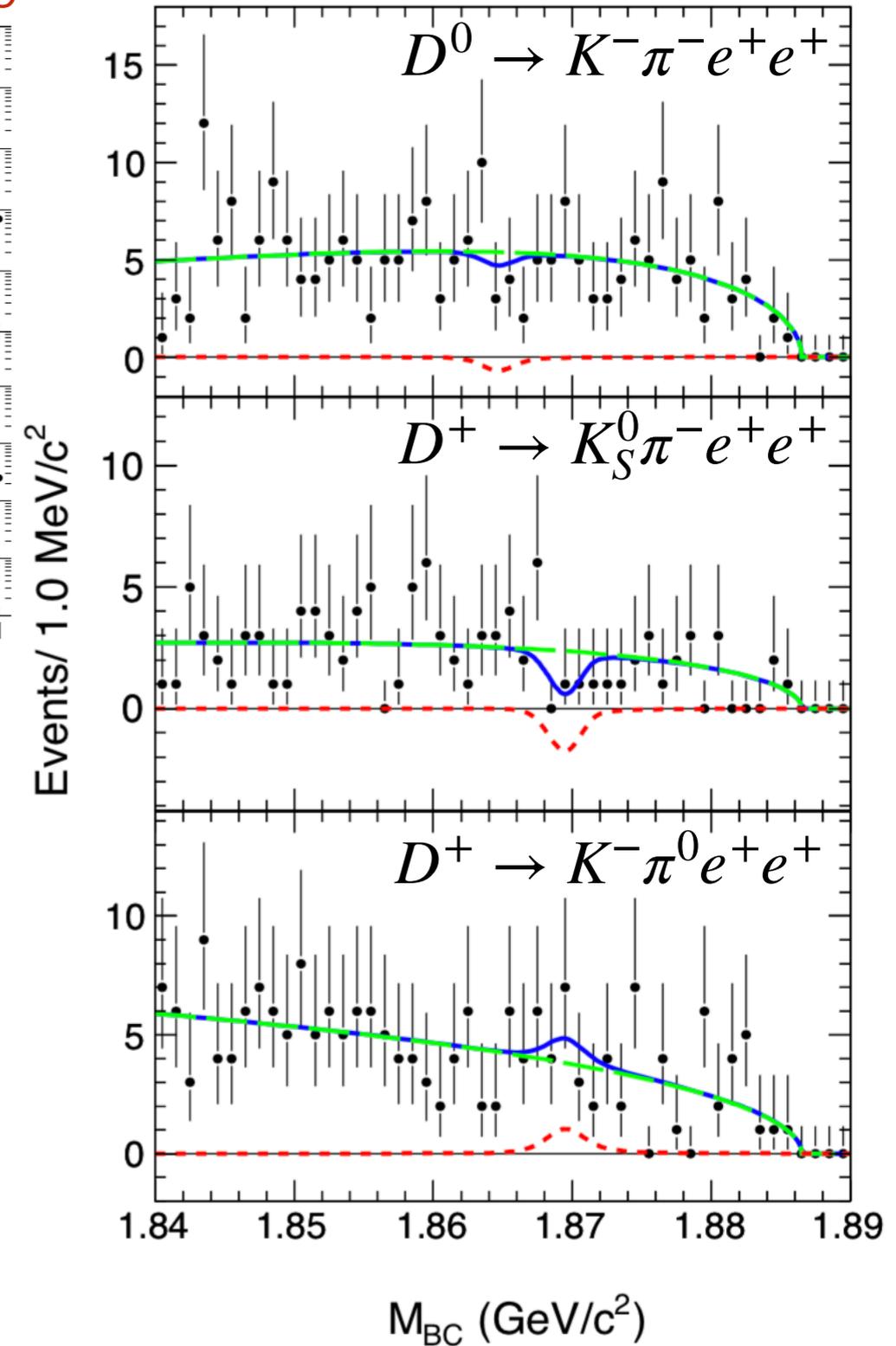
$$\mathcal{B}(D^0 \rightarrow K^- \pi^- e^+ e^+) < 2.7 \times 10^{-6}$$

$$\mathcal{B}(D^+ \rightarrow K_S^0 \pi^- e^+ e^+) < 3.3 \times 10^{-6}$$

$$\mathcal{B}(D^+ \rightarrow K^- \pi^0 e^+ e^+) < 8.5 \times 10^{-6}$$



arXiv: 1902.02450



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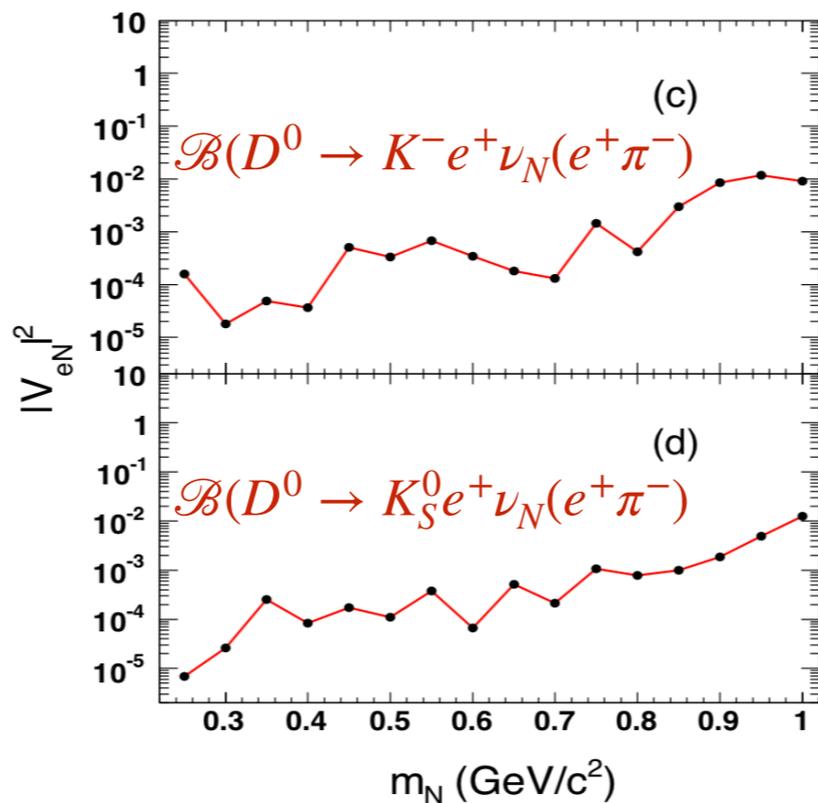
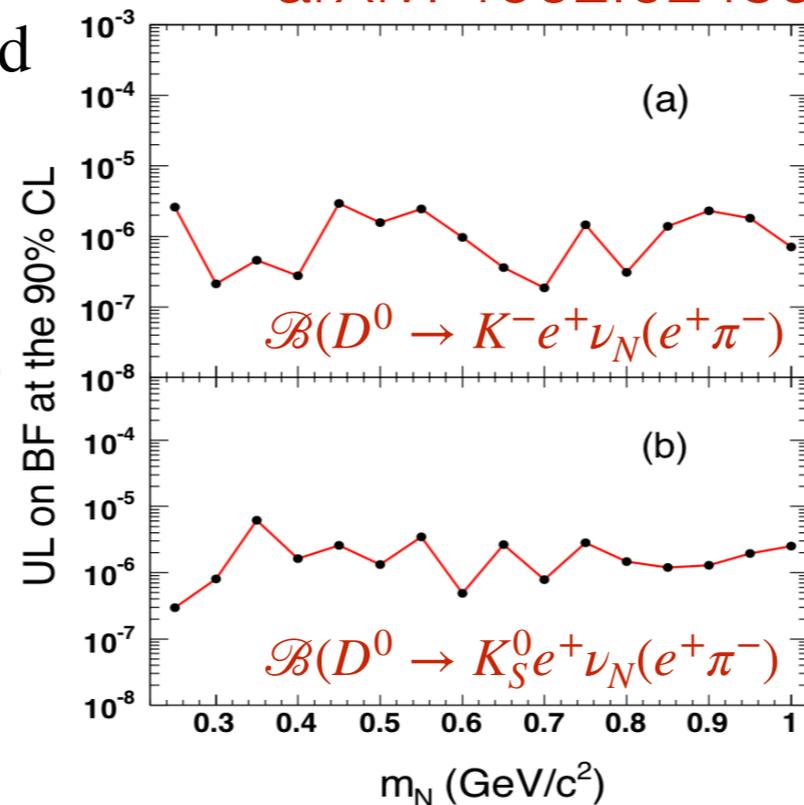
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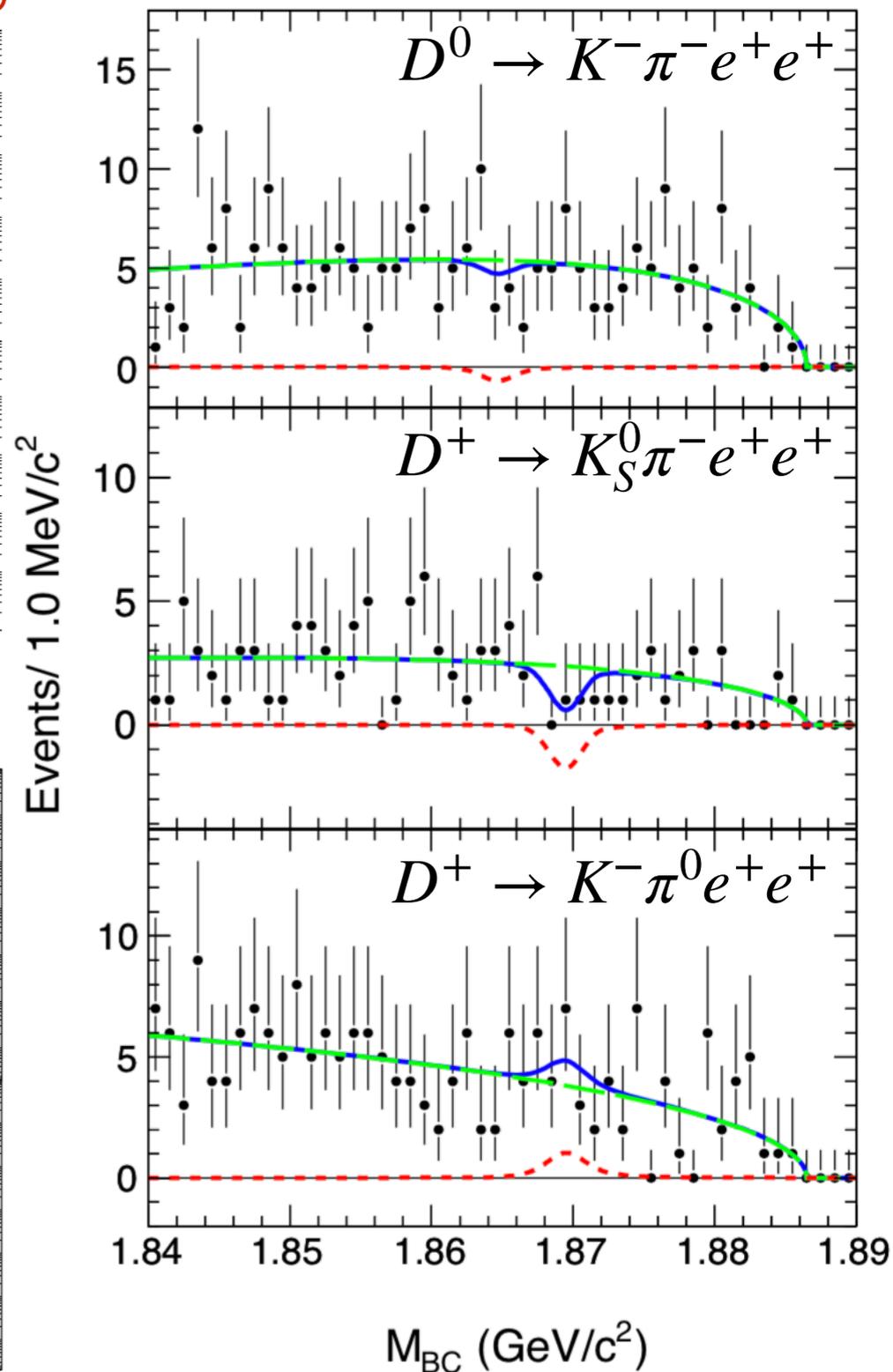
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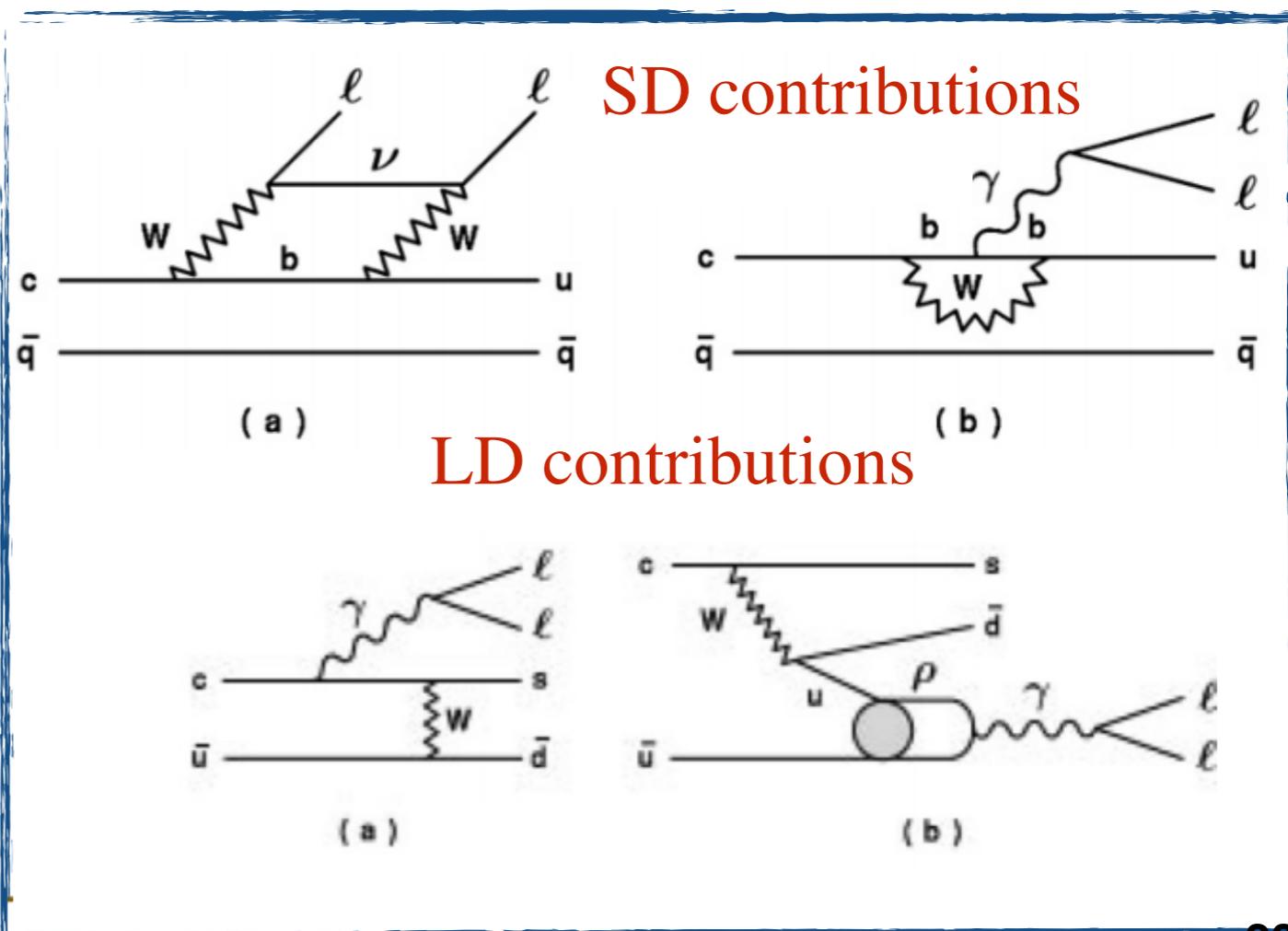
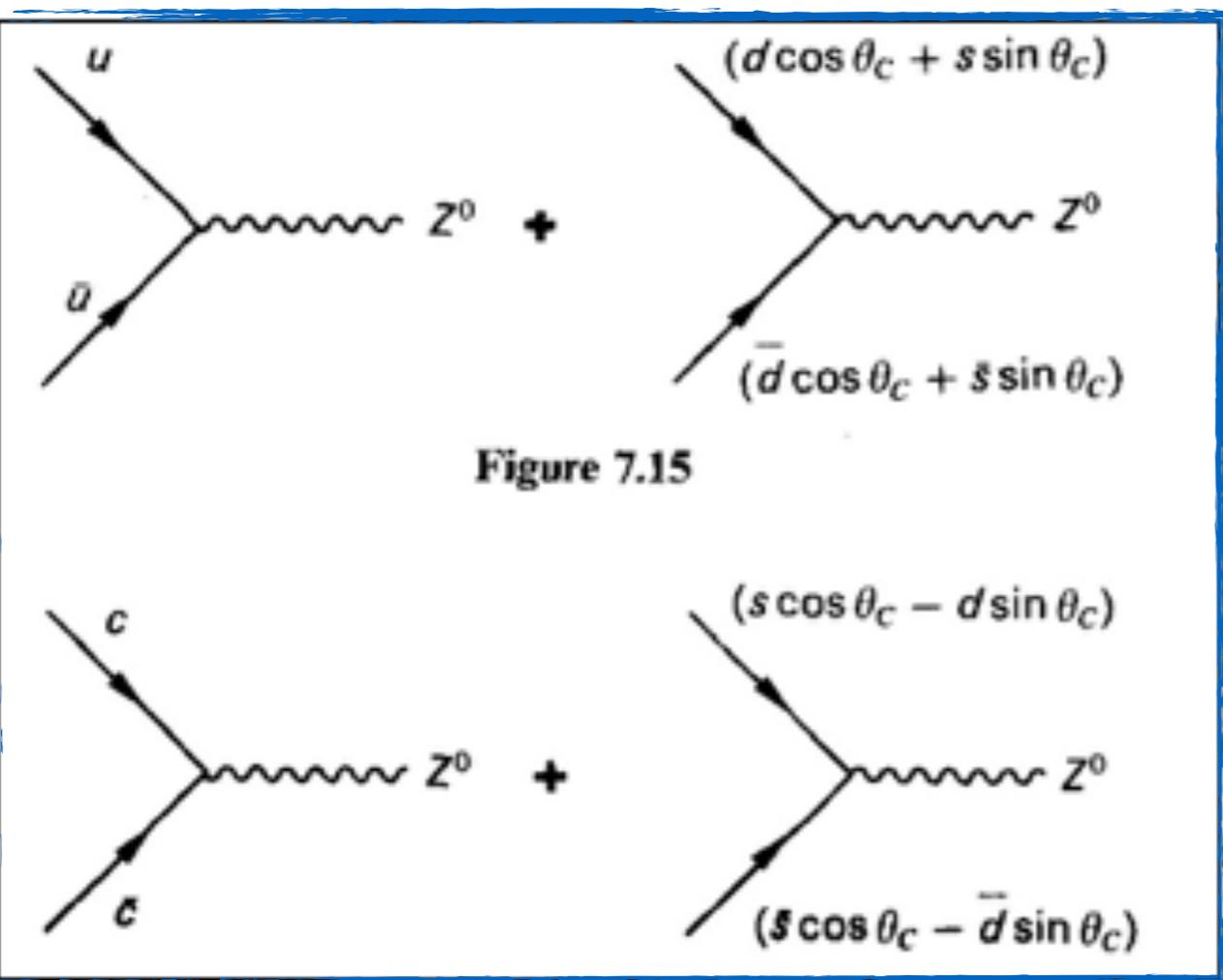


The resultant ULs on the mixing matrix element  $|V_{eN}|^2$  as a function of  $m_N$  provide additional/complementary information about the bounds on the  $|V_{eN}|^2$  in D meson decays

$$\frac{\Gamma(m_N, V_{eN}(m_N))}{\Gamma(m_N, V'_{eN}(m_N))} = \frac{|V_{eN}(m_N)|^4}{|V'_{eN}(m_N)|^4}$$

# 03 FCNC

- In SM, FCNC is strongly suppressed by GIM mechanism and can happen only through loop diagram, leading to a very small BF theoretically.
- The suppression in charm decays is much stronger than those in B and K system due to stronger diagram cancellation than the down-type quarks.
- Sensitive to New Physics.

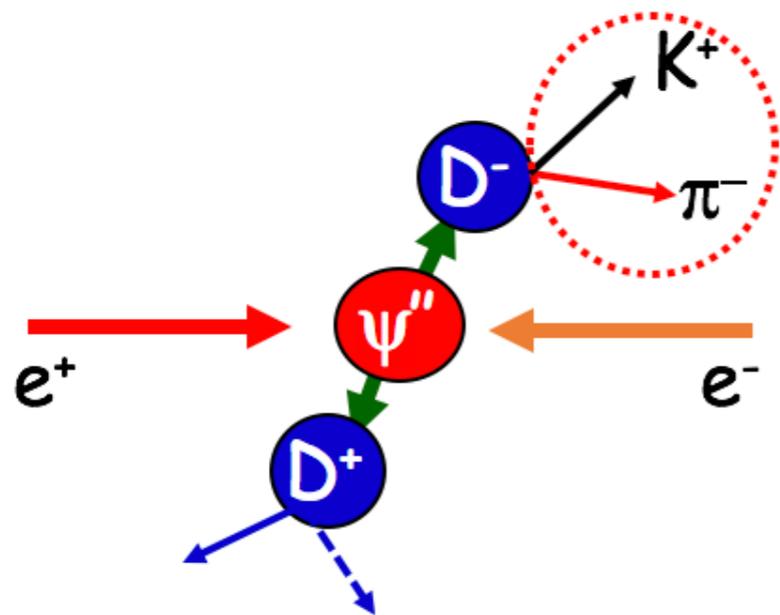


# 03 FCNC: $D \rightarrow h(h')e^+e^-$

- Most of the previous  $D^0$  limits are at the level of  $10^{-5} \sim 10^{-4}$
- LHCb observed some four-body decays of  $D^0 \rightarrow hh\mu^+\mu^-$  at  $10^{-7}$  level
- BESIII could make best constraint on all of the above  $e^+e^-$  modes

Decay	Upper limit	Experiment	Year	Ref.
$D^0 \rightarrow \pi^0 e^+ e^-$	45.0	CLEO	1996	[14]
$D^0 \rightarrow \eta e^+ e^-$	110.0	CLEO	1996	[14]
$D^0 \rightarrow \omega e^+ e^-$	180.0	CLEO	1996	[14]
$D^0 \rightarrow \bar{K}^0 e^+ e^-$	110.0	CLEO	1996	[14]
$D^0 \rightarrow \rho e^+ e^-$	124.0	E791	2001	[15]
$D^0 \rightarrow \phi e^+ e^-$	59.0	E791	2001	[15]
$D^0 \rightarrow \bar{K}^{*0} e^+ e^-$	47.0	E791	2001	[15]
$D^0 \rightarrow \pi^+ \pi^- e^+ e^-$	370.0	E791	2001	[15]
$D^0 \rightarrow K^+ K^- e^+ e^-$	315.0	E791	2001	[15]
$D^0 \rightarrow K^- \pi^+ e^+ e^-$	385.0	E791	2001	[15]
$D^+ \rightarrow \pi^+ e^+ e^-$	1.1	BaBar	2011	[16]
$D^+ \rightarrow K^+ e^+ e^-$	1.0	BaBar	2011	[16]
$D^+ \rightarrow \pi^+ \pi^0 e^+ e^-$	In unit of $10^{-6}$			
$D^+ \rightarrow \pi^+ K_S^0 e^+ e^-$				
$D^+ \rightarrow K^+ \pi^0 e^+ e^-$				
$D^+ \rightarrow K^+ \bar{K}^0 e^+ e^-$				

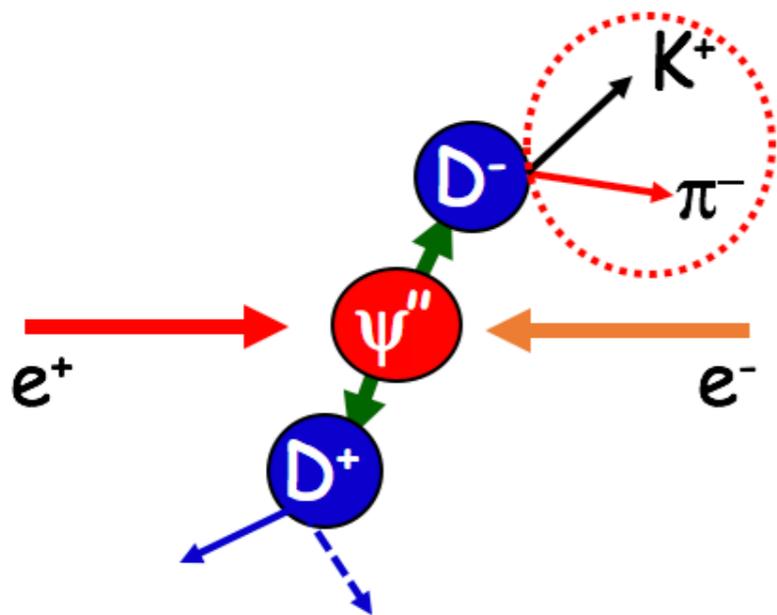
# 03 FCNC: $D \rightarrow h(h')e^+e^-$ PRD97, 072015 (2018)



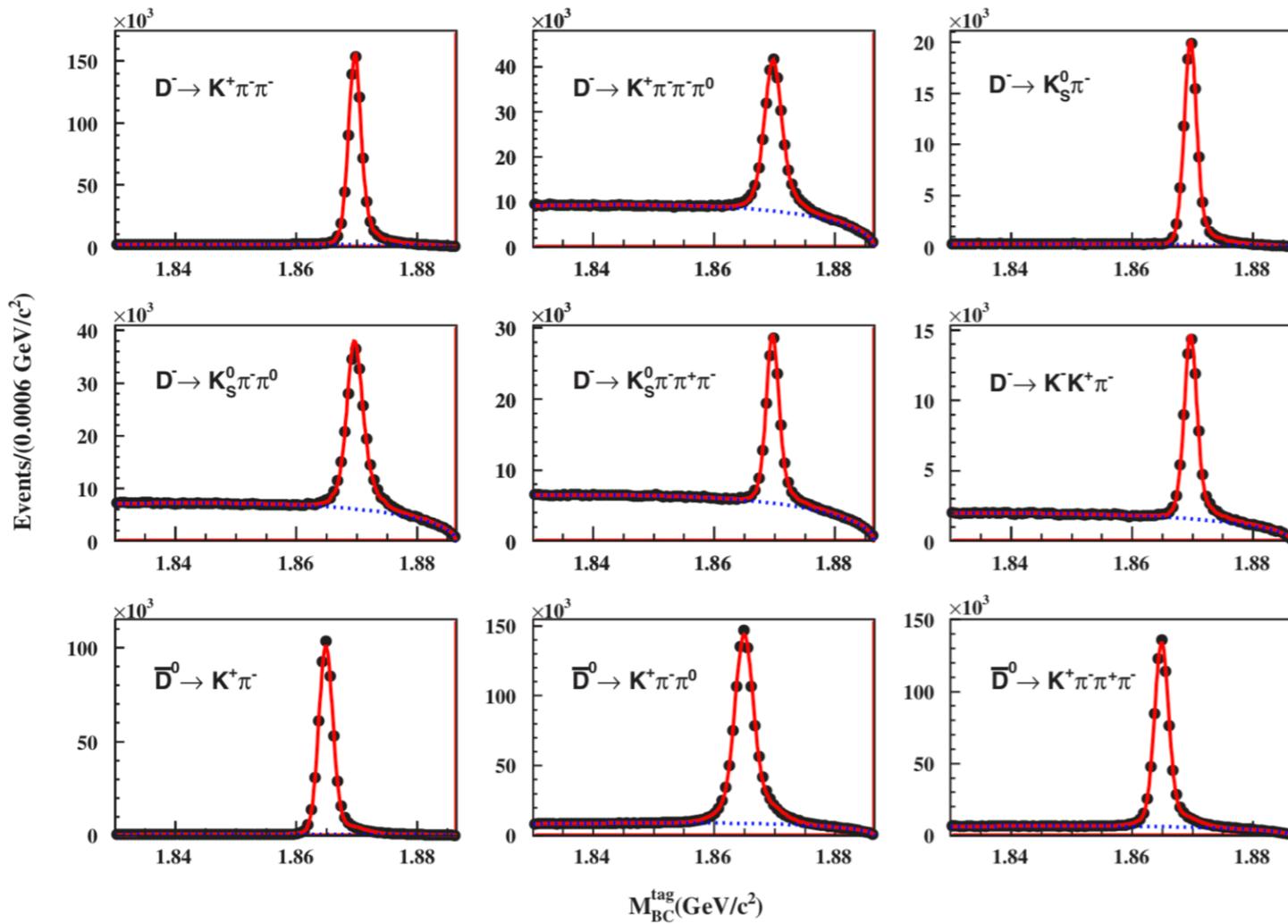
# 03 FCNC: $D \rightarrow h(h')e^+e^-$

PRD97, 072015 (2018)

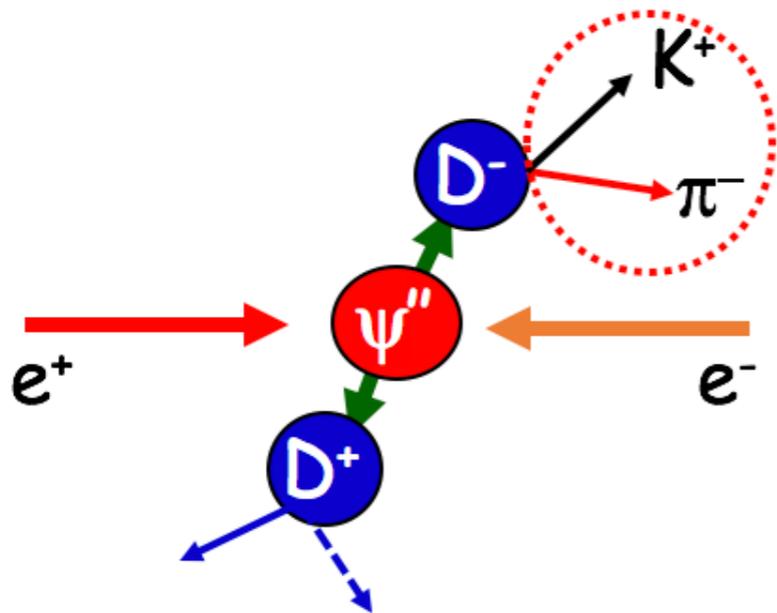
- Double Tag analysis
  - Absolute BFs
  - Event is very clean, bkg very low
  - High tagging efficiency
  - Many sys. uncertainties cancelled



# 03 FCNC: $D \rightarrow h(h')e^+e^-$ PRD97, 072015 (2018)



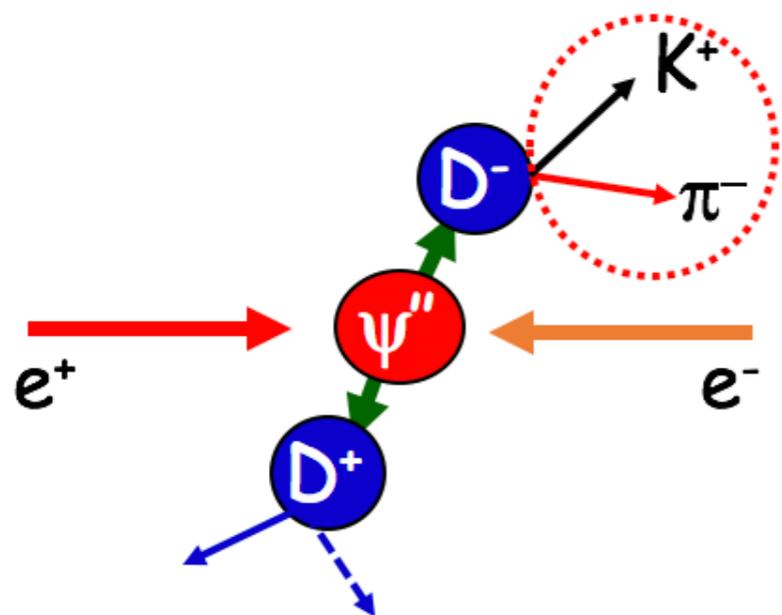
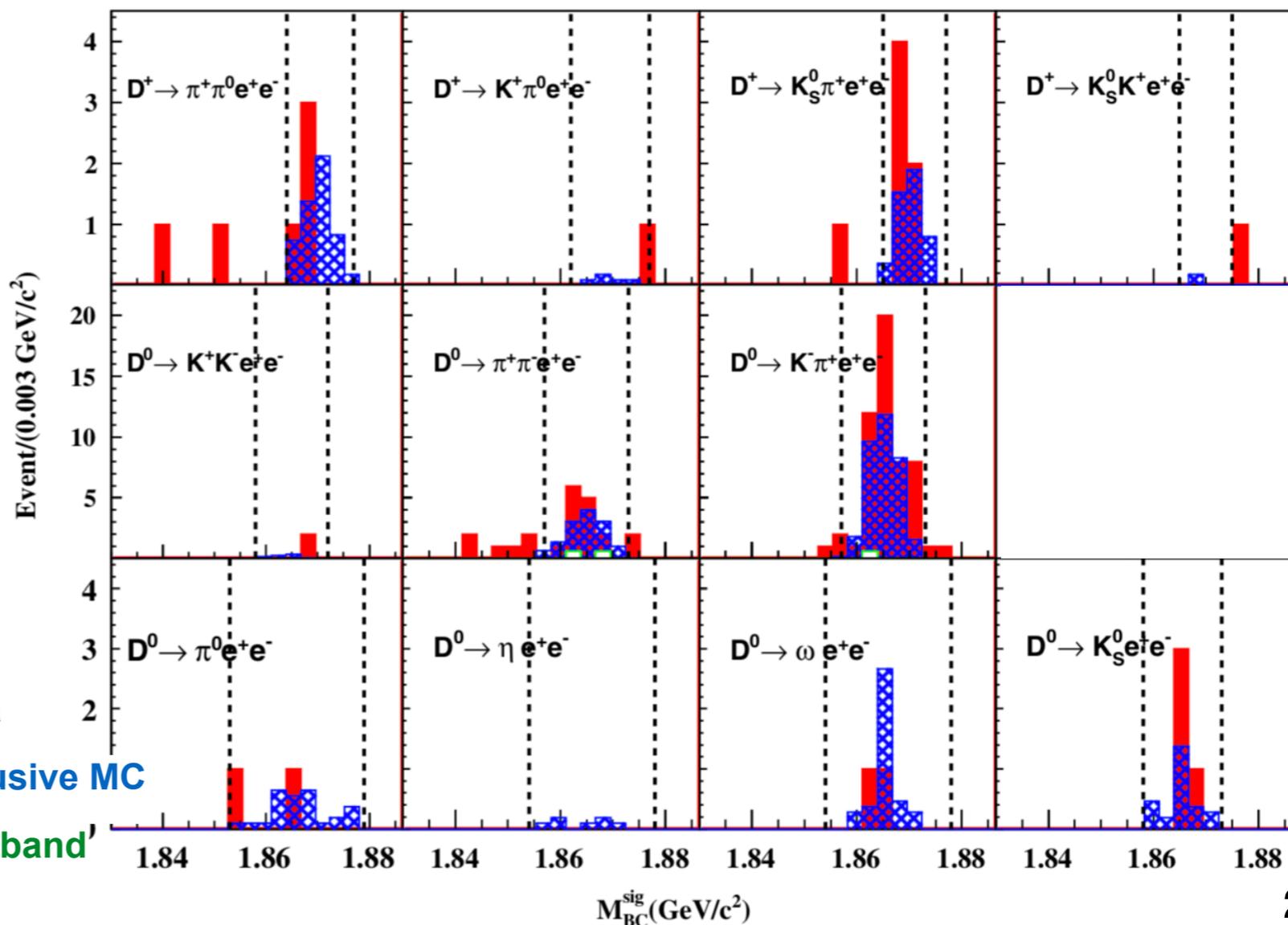
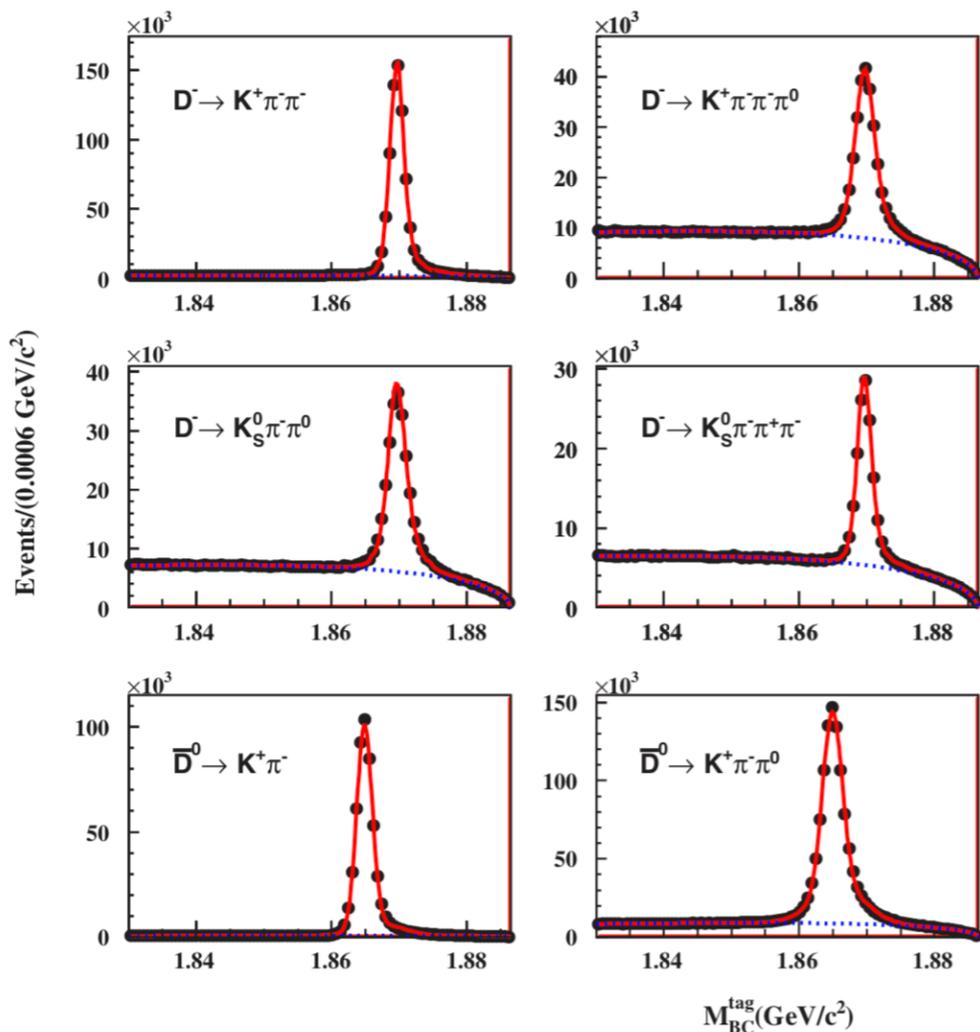
- Double Tag analysis
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# 03 FCNC: $D \rightarrow h(h')e^+e^-$ PRD97, 072015 (2018)

## • Double Tag analysis

- Absolute BFs
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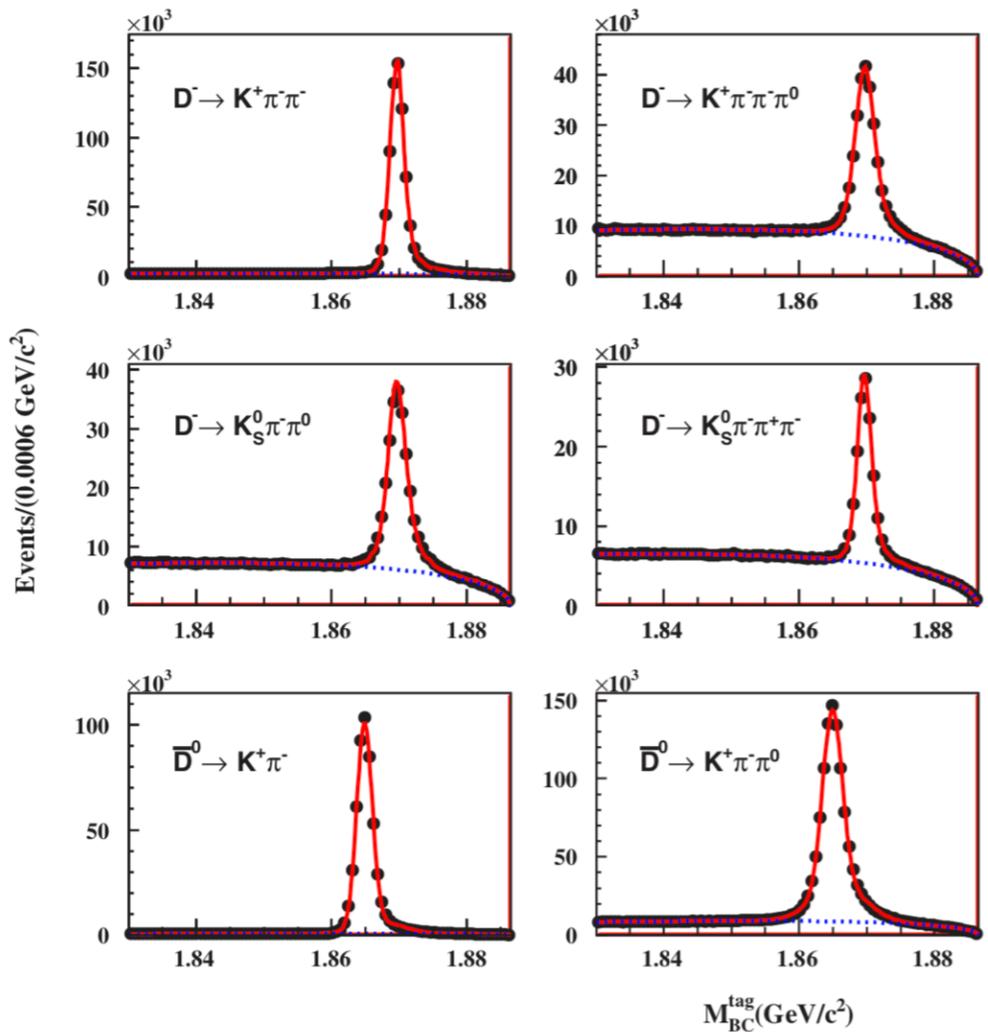


Data

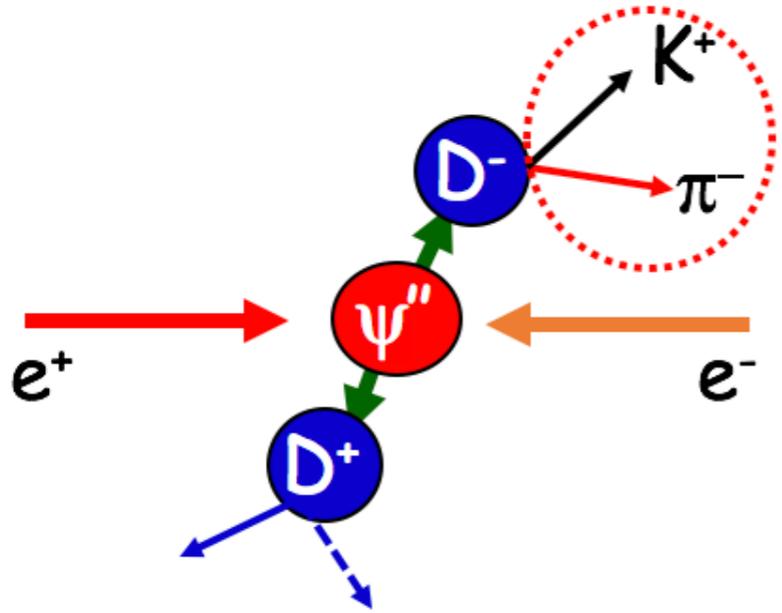
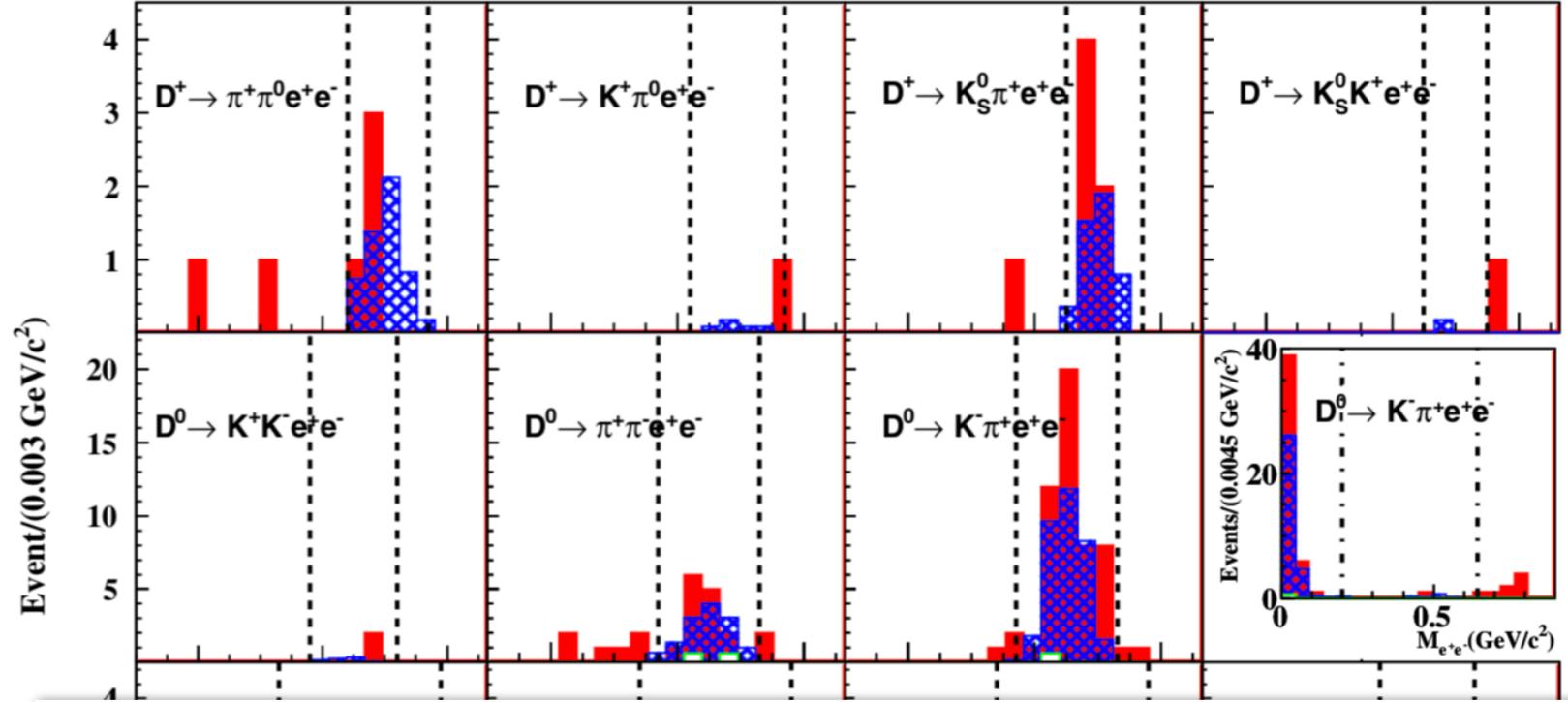
Inclusive MC

Sideband

# 03 FCNC: $D \rightarrow h(h')e^+e^-$ PRD97, 072015 (2018)



- Double Tag analysis
  - Absolute BF's
  - Event is very clean, bkg very low
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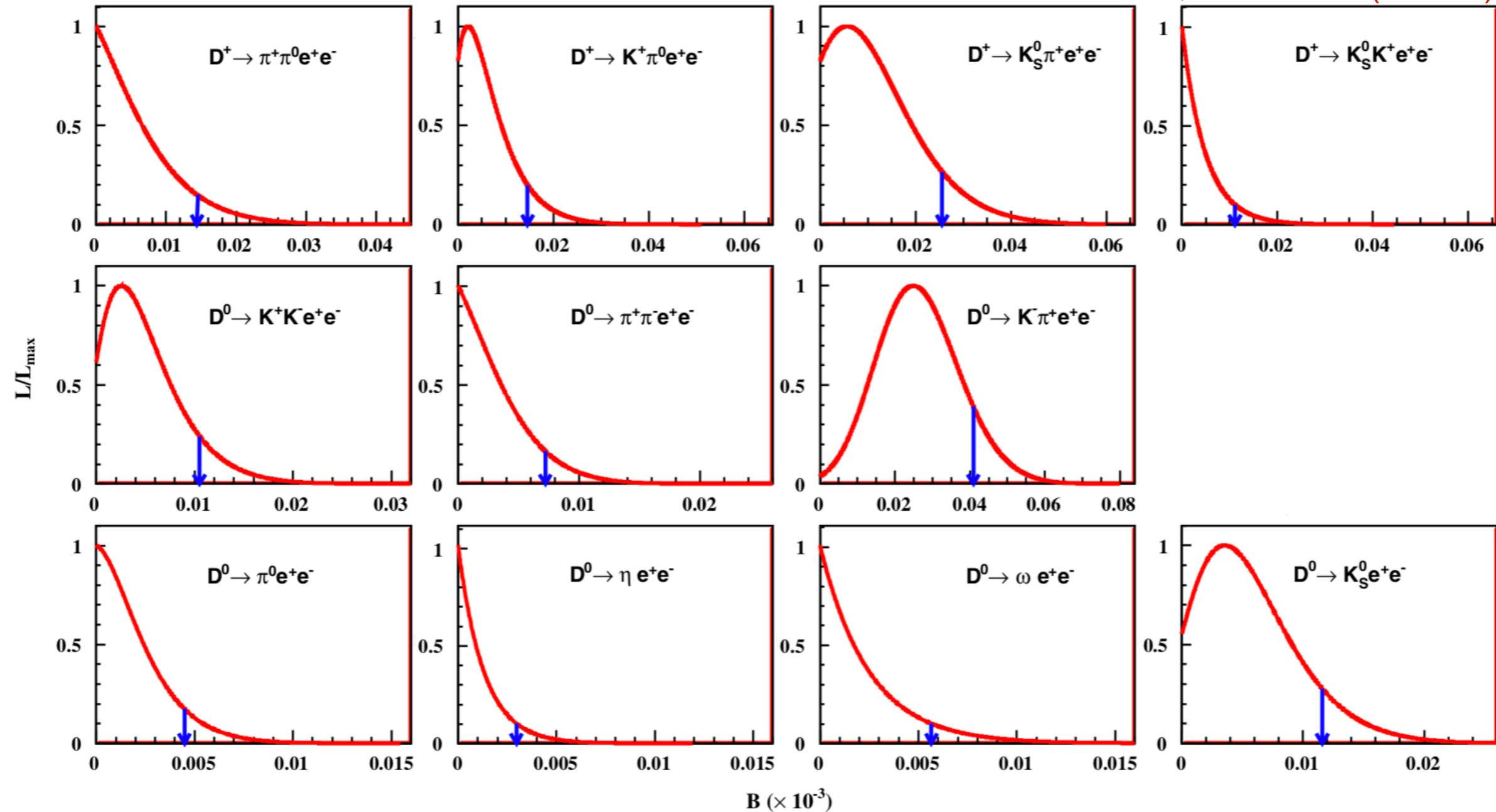


Data  
Inclus  
Sideb

- Dominated by the LD bremsstrahlung and (virtual) resonance decay contributions in the lower and upper regions (dot lines) [JHEP, 04, 135 (2014)].
- $M_{e^+e^-}$  distribution is divided into three parts and the BF's are obtained in the individual regions.

# 03 FCNC: $D \rightarrow h(h')e^+e^-$

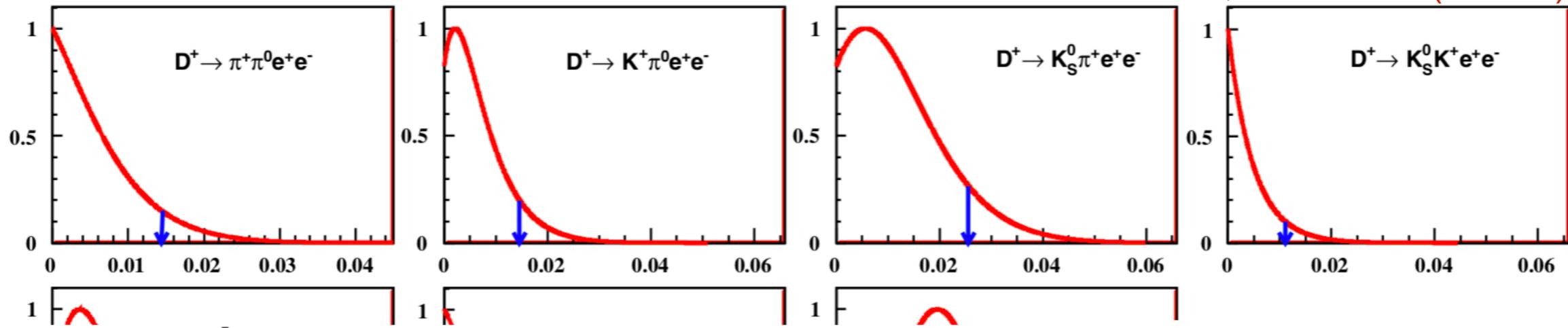
PRD97, 072015 (2018)



The likelihood distributions for all the signal modes are shown above, the ULs on the signal BFs at the 90% CL are estimated by integrating the likelihood curves in the physical region of  $BF > 0$

# 03 FCNC: $D \rightarrow h(h')e^+e^-$

PRD97, 072015 (2018)



Signal decays	$\mathcal{B} (\times 10^{-5})$	PDG [9] ( $\times 10^{-5}$ )
---------------	--------------------------------	------------------------------

$D^+ \rightarrow \pi^+ \pi^0 e^+ e^-$	$< 1.4$	...
$D^+ \rightarrow K^+ \pi^0 e^+ e^-$	$< 1.5$	...
$D^+ \rightarrow K_S^0 \pi^+ e^+ e^-$	$< 2.6$	...
$D^+ \rightarrow K_S^0 K^+ e^+ e^-$	$< 1.1$	...
$D^0 \rightarrow K^- K^+ e^+ e^-$	$< 1.1$	$< 31.5$
$D^0 \rightarrow \pi^+ \pi^- e^+ e^-$	$< 0.7$	$< 37.3$
$D^0 \rightarrow K^- \pi^+ e^+ e^-$	$< 4.1$	$< 38.5$
$D^0 \rightarrow \pi^0 e^+ e^-$	$< 0.4$	$< 4.5$
$D^0 \rightarrow \eta e^+ e^-$	$< 0.3$	$< 11$
$D^0 \rightarrow \omega e^+ e^-$	$< 0.6$	$< 18$
$D^0 \rightarrow K_S^0 e^+ e^-$	$< 1.2$	$< 11$

in  $M_{e^+e^-}$  regions:

$[0.00, 0.20) \text{ GeV}/c^2$	$< 3.0 (1.5^{+1.0}_{-0.9})$
$[0.20, 0.65) \text{ GeV}/c^2$	$< 0.7$
$[0.65, 0.90) \text{ GeV}/c^2$	$< 1.9 (1.0^{+0.5}_{-0.4})$

Theoretical [JHEP, 04, 135 (2014)] calculation:  $\mathcal{B}^{\text{tot}} = 1.6 \times 10^{-5}$   
 Experimental result:  $\mathcal{B}^{\text{tot}} = (2.5 \pm 1.1) \times 10^{-5}$

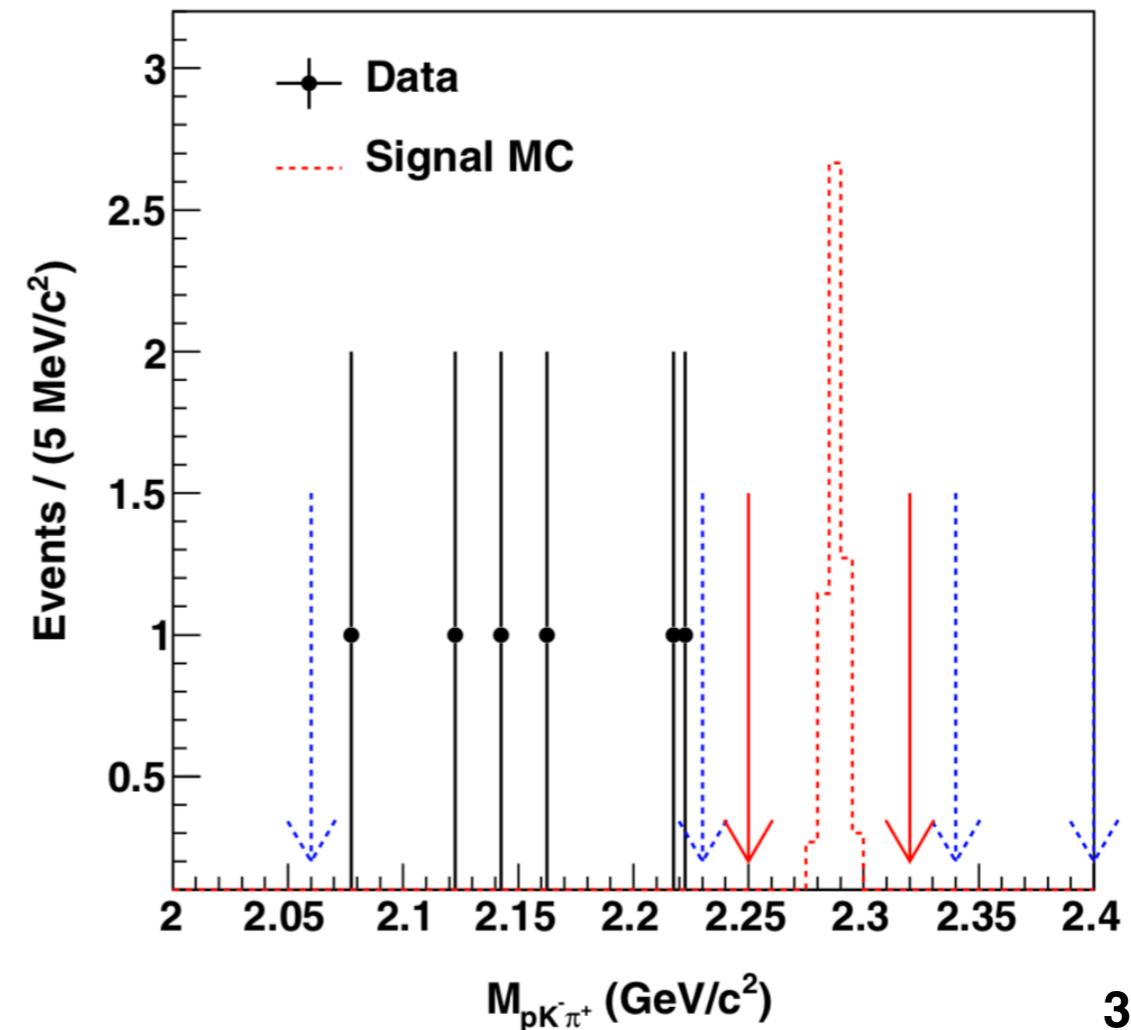
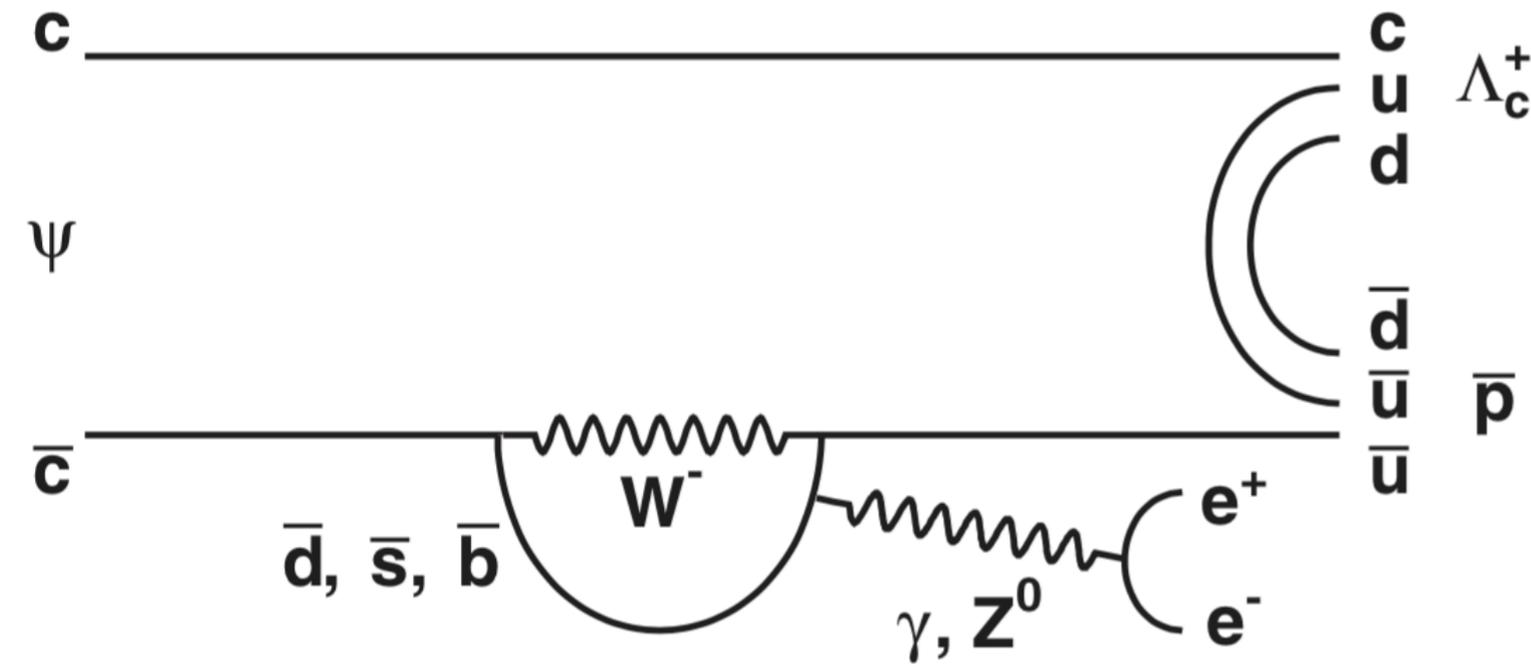
- UL for  $D^+$  are obtained for the first time.
- UL for  $D^0$  are greatly improved.
- Divide the  $M_{ee}$  distribution of  $K^-\pi^+e^+e^-$  into 3 regions to help separate LD effect.

# 03 FCNC: $\psi(3686) \rightarrow \Lambda_c^+ \bar{p} e^+ e^- + c.c.$

- First search for  $\psi(3686) \rightarrow \Lambda_c^+ \bar{p} e^+ e^- + c.c.$
- Check  $M_{pK^-\pi^+}$  distribution, No events found in the signal window
- Upper limit at 90% C.L. on BF

$$\mathcal{B}(\psi(3686) \rightarrow \Lambda_c^+ \bar{p} e^+ e^- + c.c.) < 1.7 \times 10^{-6}$$

PRD97, 091102-R (2018)





# Summary

- Seven latest analyses (dark, BNV/LNV, FCNC) are introduced.
- Good electron/positron ID@BESIII, thus we have currently the best constraint on the channels with  $e^+e^-$  pair.
- Largest threshold charm data@BESIII, thus we have almost background free results with DT method.
- We have 10 B  $J/\psi$  data@11 Feb. which is nearly ready for navigation.
- More results on new physics@BESIII are coming soon.



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Thanks for your attention!

# 01 Dark Sector: dark photons

Upper limit of number of  $\gamma'$  signal

Total number of  $J/\psi$

$$B(J/\psi \rightarrow \gamma' \eta^{(\prime)}) \times B(\gamma' \rightarrow e^+ e^-) < \frac{N_{\gamma'}}{N_{J/\psi} \cdot B(\eta^{(\prime)} \rightarrow F) \cdot \epsilon}$$

Branching fraction of  $\eta^{(\prime)}$  to a final state

Mixing strength

Detection efficiency

$$\frac{B(J/\psi \rightarrow \gamma' \eta^{(\prime)})}{B(J/\psi \rightarrow \gamma \eta^{(\prime)})} = \epsilon^2 |F_{J/\psi \eta^{(\prime)}}(m_{\gamma'}^2)|^2 \cdot \frac{\Lambda^{3/2}(m_{J/\psi}^2, m_{\eta^{(\prime)}}^2, m_{\gamma'}^2)}{\Lambda^{3/2}(m_{J/\psi}^2, m_{\eta^{(\prime)}}^2, 0)}$$

M. Reece, L.-T Wang, JHEP 07, 051 (2009)

Form factor for  $J/\psi \rightarrow \gamma^* \eta^{(\prime)}$  transition  
evaluated at  $\gamma'$  mass

$$|F_{J/\psi \eta^{(\prime)}}(m_{\gamma'}^2)|^2 = \frac{\Lambda^2}{\Lambda^2 - m_{\gamma'}^2} \quad \Lambda^2 = m_{\psi(2S)}$$

$$\Lambda^{3/2}(m_1^2, m_2^2, m_3^2) = \left(1 + \frac{m_3^2}{m_1^2 - m_2^2}\right)^2 - \frac{4m_1^2 m_3^2}{(m_1^2 - m_2^2)^2}$$