



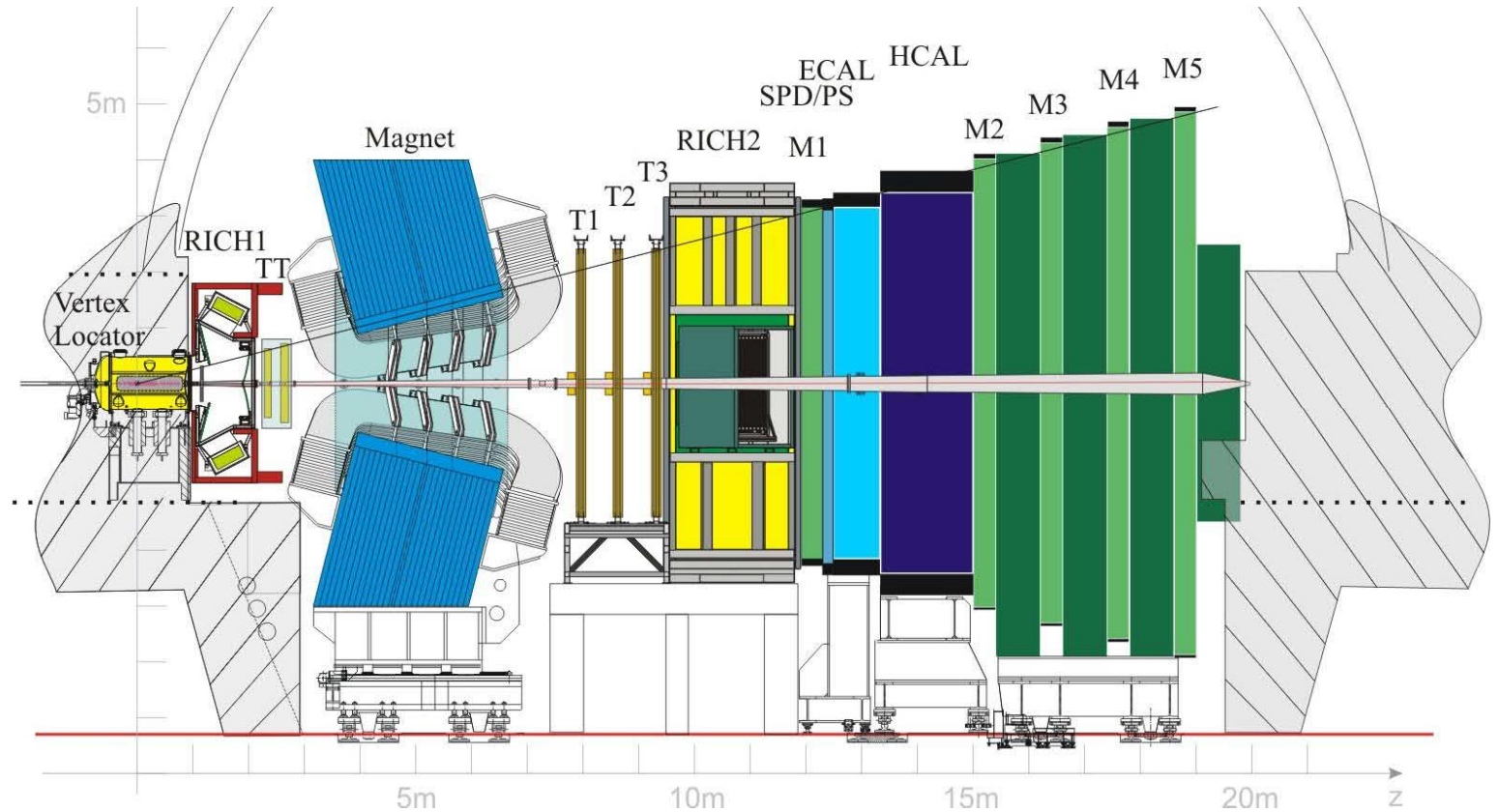
The Phase 2 Upgrade of the LHCb Calorimeter system.

Yu. Guz (IHEP Protvino)
on behalf of the LHCb collaboration

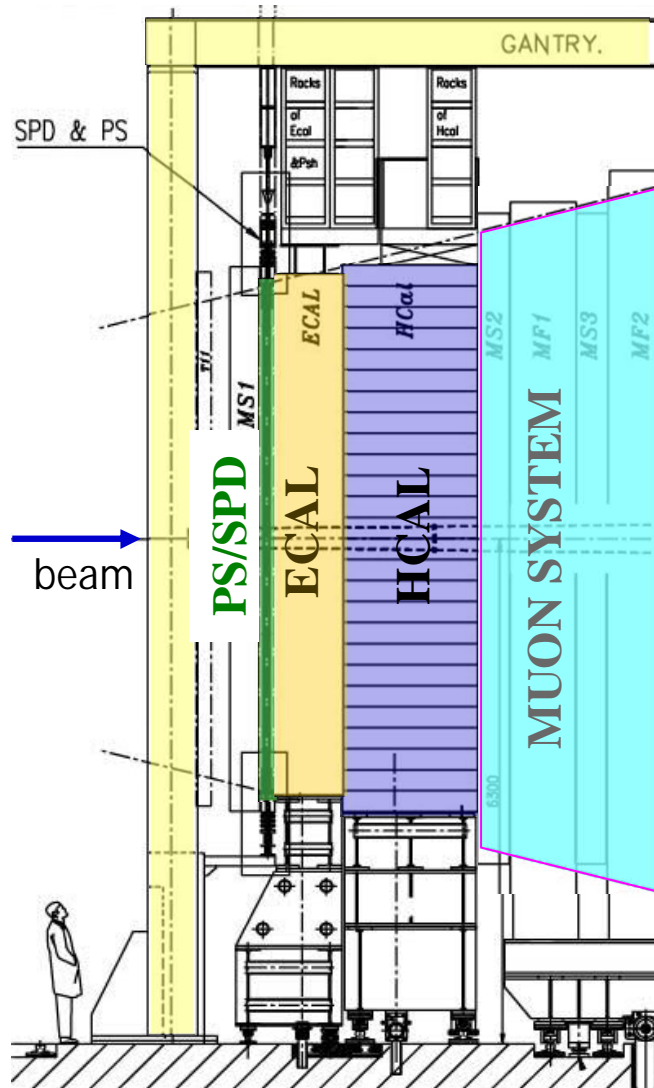
The LHCb experiment

A single arm forward spectrometer at LHC.

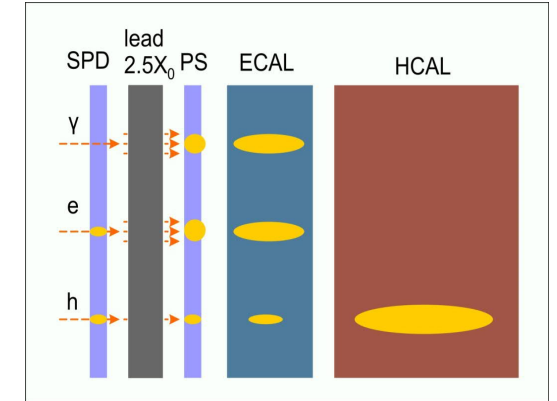
Flavor physics, CP violation,
hadron spectroscopy.



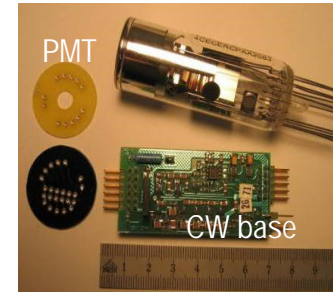
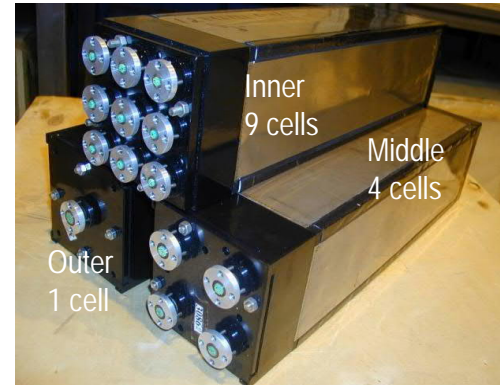
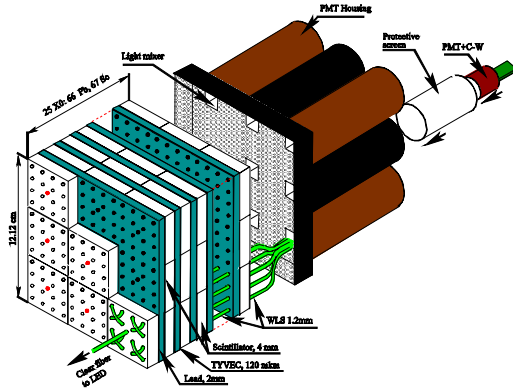
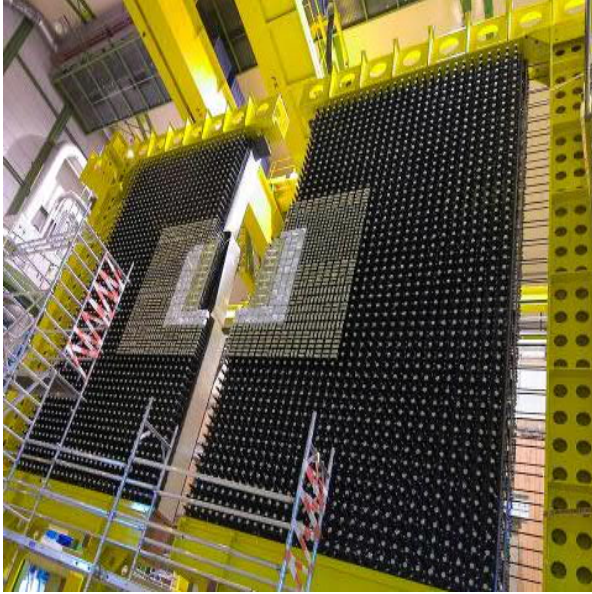
The LHCb Calorimetry System of Run I and Run II



- solid angle coverage: 300x250 mrad
- distance from IP: ~12.5 m
- four subdetectors: SPD, PS, ECAL, HCAL
- based on scint./WLS technique, light readout with PMT
- provides:
 - L0 trigger on high p_T e^\pm , π^0 , γ , hadron
 - precise energy measurement of e^\pm and γ
 - particle identification: e^\pm / γ / hadron; contributes to Muon ID (HCAL).



The LHCb ECAL



Shashlik technology

- 4 mm thick scintillator tiles and 2 mm thick lead plates, $\sim 25 X_0$ ($1.1 \lambda_I$); Moliere radius ~ 36 mm;
- modules $121.2 \times 121.2 \text{ mm}^2$, 66 Pb +67 scintillator tiles;
- Segmentation: 3 zones \rightarrow 3 module types, Inner (9 cells per module), Middle (4), Outer (1). Total of 3312 modules, 6016 cells, $(7.7 \times 6.3) \text{ m}^2$, ~ 100 tons.
- Light readout: PMT R-7899-20, HAMAMATSU. HV supply: individual Cockcroft-Walton circuit at each PMT.

Average performance figures from beam test (there is slight difference between zones):

Light yield: $\sim 3000 \text{ ph.el. / GeV}$

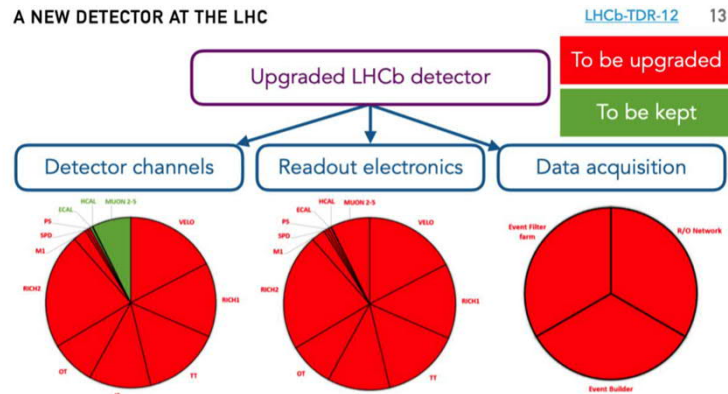
Energy resolution: $\frac{\sigma_E}{E} = \frac{(8 \div 10)\%}{\sqrt{E(\text{GeV})}} \oplus 0.9\%$



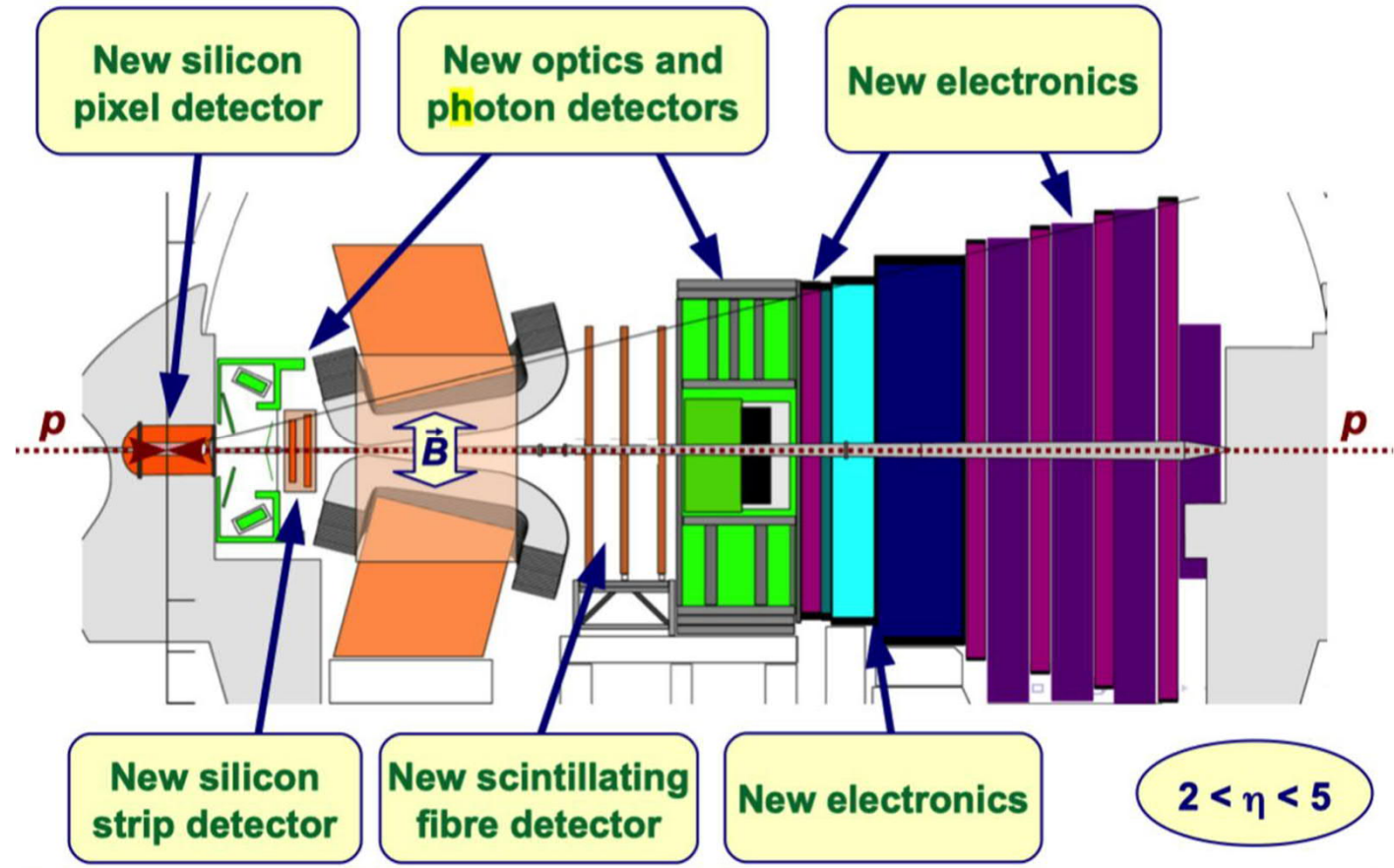
LHCb Upgrade 1

Luminosity: $4 \cdot 10^{32} \rightarrow 2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$

Detector upgrade to 40 MHz readout



- ❖ Less than 10% of the detector will be kept
- ❖ 100% of the readout electronics will be replaced
- ❖ NEW data acquisition system and data center



LHCb CALO Upgrade – phase 1 (ongoing)

Luminosity $2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$ (~ 5.5 **pp** interactions per event):

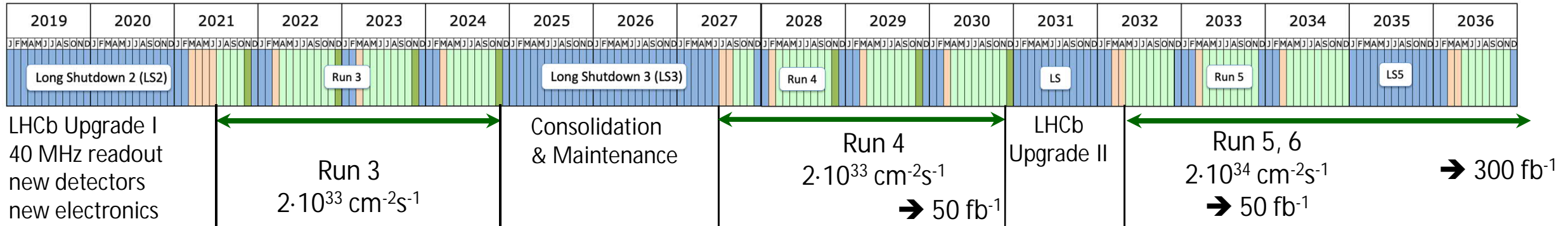
- **PS and SPD are removed:** no need for particle ID in L0
- **no change in the present ECAL and HCAL**

For Run 3:

- the frontend electronics is being replaced to new one, compatible with the new DAQ & Trigger
- The PMT gain will be reduced by factor of ~ 5 , to reduce PMT degradation
 - PMT linearity: OK within required dynamic range
- to compensate, the FE gain will be increased x5
 - new low noise ASIC (ICECAL)
- detector maintenance will follow radiation degradation of detector components:
 - regular replacement of degraded parts (PMTs / Cockcroft-Walton HV boards)
 - LS3: replacement of ECAL Inner modules

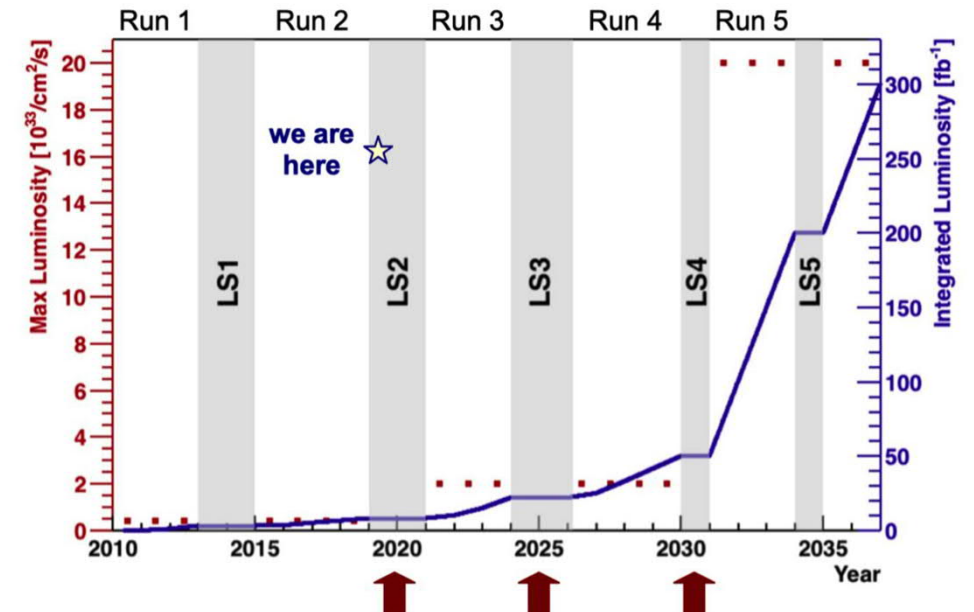


LHCb – the long term roadmap

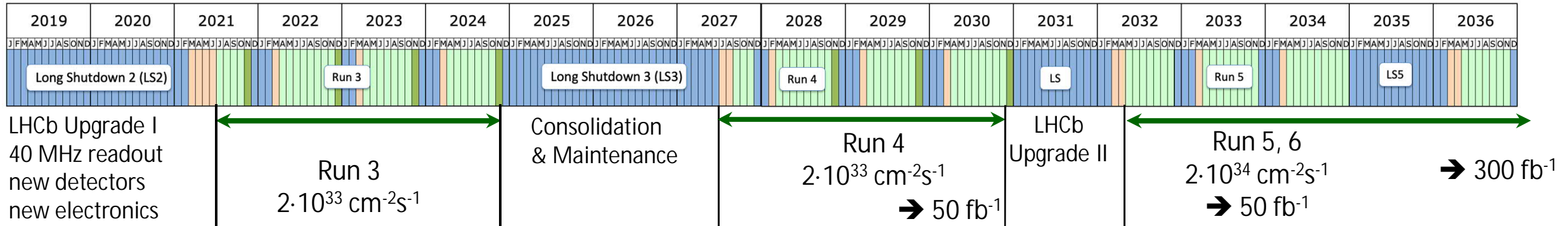


Upgrade 2:

- luminosity up to $2 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ (~55 *pp* interactions per event)
- ~300 fb⁻¹ will be collected



LHCb – the long term roadmap

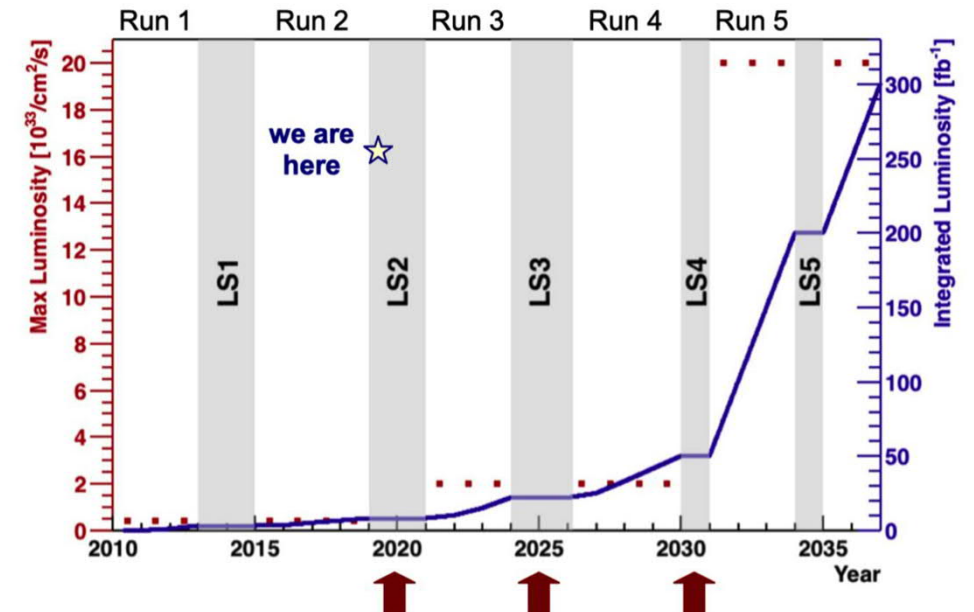


ECAL in LS3 (2025-2027):

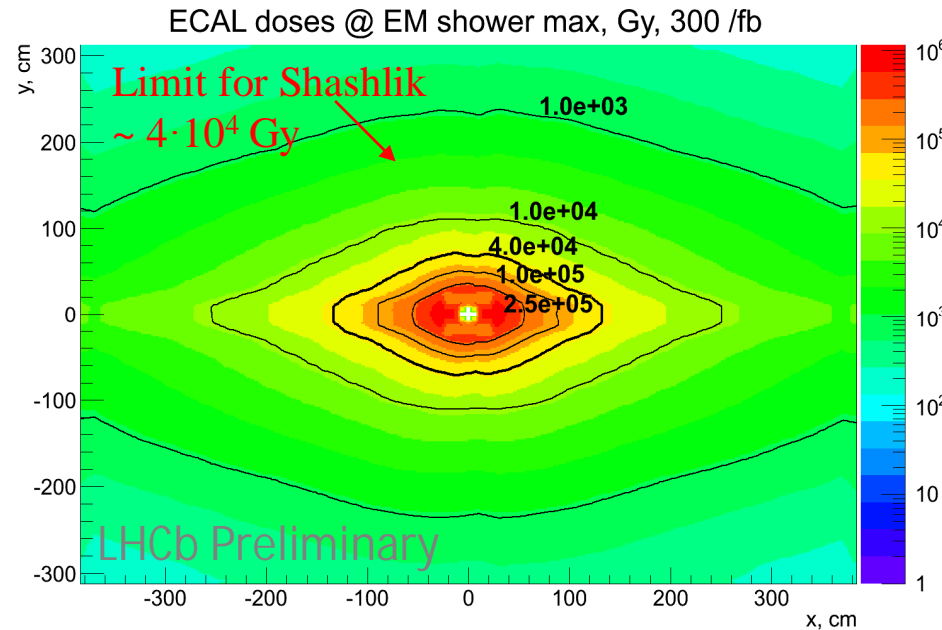
- replace modules around the beam pipe (~32 modules), to improve performance for Run 4

ECAL in LS4 (2031-2032):

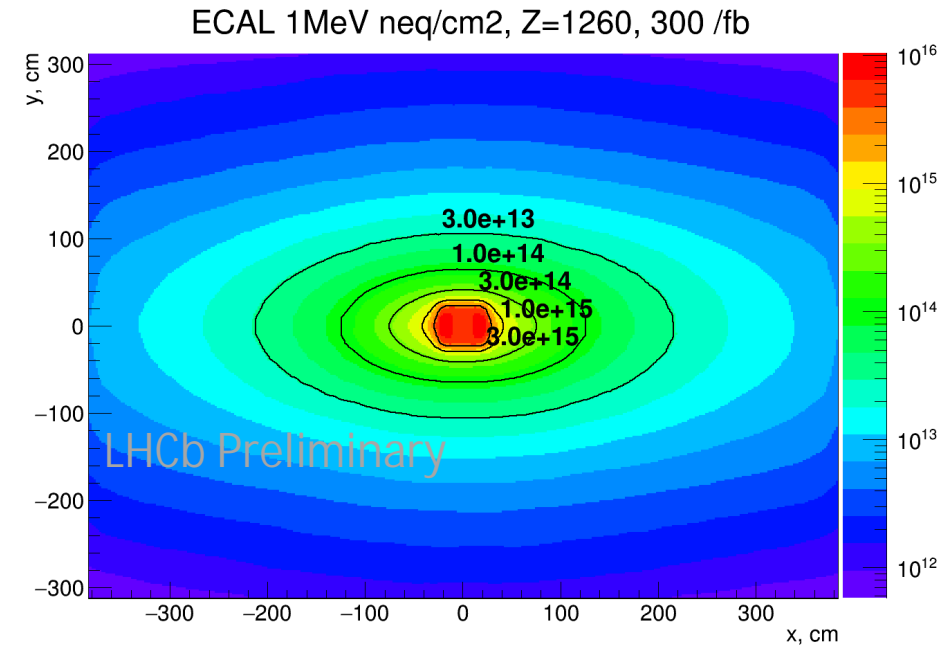
- rebuild ECAL for maximum performance at $L=2 \cdot 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- include time measurements to disentangle multiple interactions in a bunch crossing.



LHCb ECAL Upgrade II – conditions and requirements



up to ~1 MGy in the centre

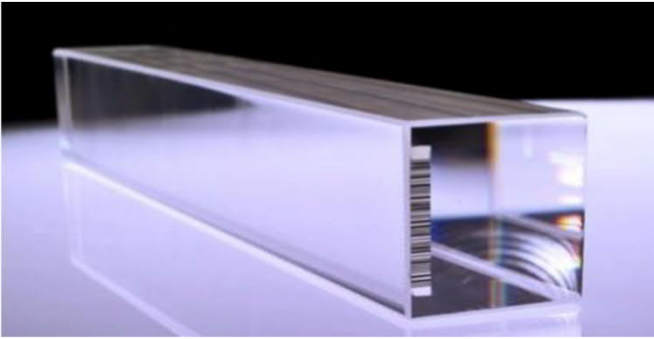


up to $6 \cdot 10^{15}$ 1MeV neq/cm² in the centre

LHCb ECAL Upgrade II – conditions and requirements

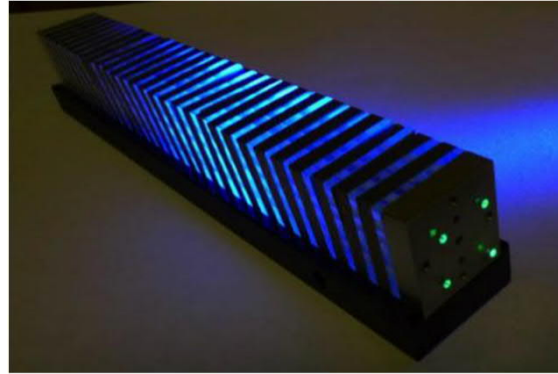
- need at least three areas with different granularities (maybe more)
 - two or three different technologies (e.g., for 0-20 krad, 20-200 krad, >200 krad)
- the Central area should sustain radiation doses of up to ~ 1 MGy and neutron fluences of up to $6 \cdot 10^{15}$ 1MeV neq/cm²
 - scintillating garnet crystals
- The Outer area: Shashlik is a viable option
- The Middle area – not defined yet (e.g., PWO?)
- requirements for the whole calorimeter:
 - fine granularity, which is required to handle increased occupancy
 - Molière radius should match the granularity (~1 cm at the centre → dense absorber!)
 - good energy resolution, $\sigma(E) \sim 10\%/\sqrt{E} \oplus 1\%$
 - ability to measure time with few*10ps precision – for pile-up mitigation. The options are:
 - use intrinsic time resolution of the calorimeter modules
 - add a dedicated timing layer

LHCb ECAL Upgrade II – options for the central area



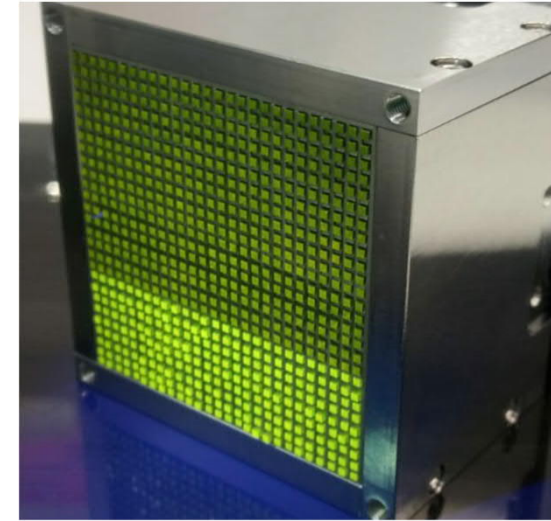
Homogeneous Crystal:

- requires long crystals to contain $25 X_0$
- “fixed” Moliere Radius
- very good homogeneity → good energy resolution
- requires good radiation hardness (low rad-induced attenuation over the whole length)
 - can be mitigated by longitudinal segmentation



Shashlik type module:

- can be made very compact ~15cm
- “tunable” Molière radius
- more relaxed requirements to the scintillator rad. hardness (no att. over the cell size)
- but no rad. hard WLS fibers (yet) to transport light!



SPACAL type module:

- can be made very compact ~15cm
- “tunable” Molière radius
- fibers scintillate AND transports light! → potentially high photoelectron yield
- worsening energy resolution @ small angles
- radiation hardness requirements are similar to homogeneous crystal, mitigated by
 - compact length
 - longitudinal segmentation

➤ started R&D on SPACAL type module, together with Crystal Clear Collaboration

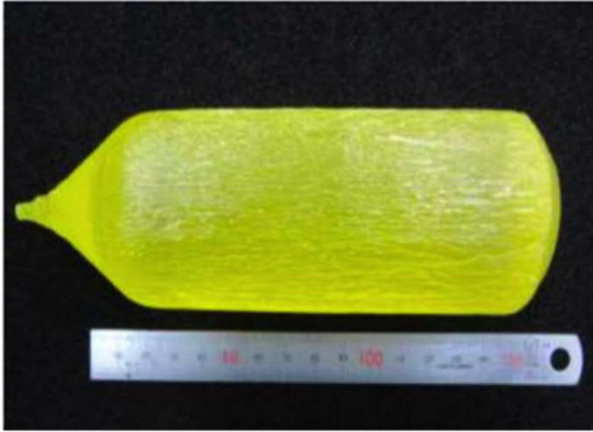
Radiation hard scintillating crystals

	$\text{Y}_3\text{Al}_5\text{O}_{12}:\text{Ce}$ (YAG)*	$\text{Lu}_3\text{Al}_5\text{O}_{12}:\text{Ce}$ (LuAG)*	$\text{Gd}_3\text{Al}_2\text{Ga}_3\text{O}_{12}:\text{Ce}$ (GAGG)**	$\text{Lu}_2\text{SiO}_5:\text{Ce}$ (LSO)
density (g/cm ³)	4.57	6.73	6.63	7.4
X_0 (cm)	3.5 cm	1.3	1.59	1.1
Refraction index	1.83	1.84	1.85	1.82
Λ_{max} (nm)	550	535	520	420
LY @ RT (ph/MeV)	35000	25000	50000	30000
decay time (ns)	70 + slow component	70 + slow component	60 + slow component	40
rise time (ps)	1590-137	923-230	497-92	59

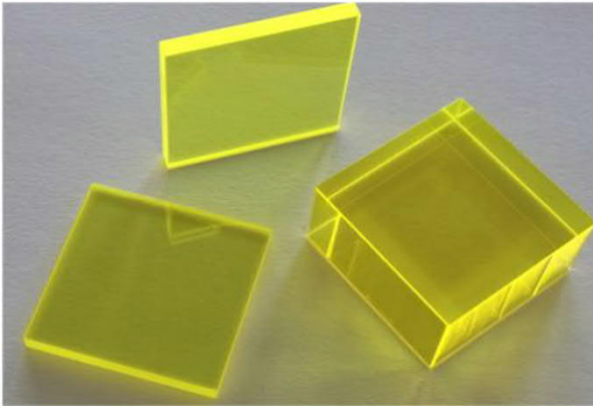
rise time: S.Gundacker, NIM A 891 (2018) 42-52

Crystal production

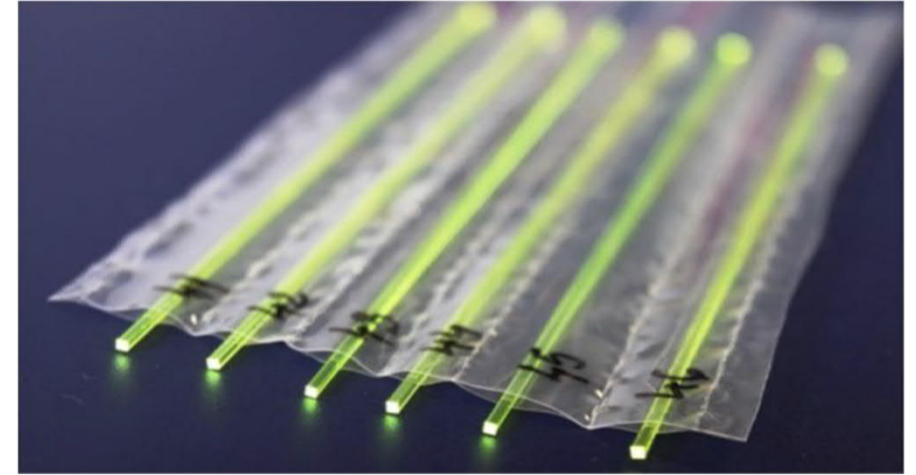
Grown by Czochralski method



GAGG:Ce, FOMOS (RU)



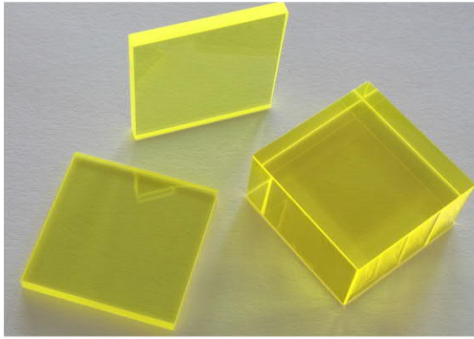
YAG:Ce, Crytur (CZ)



Square ($1 \times 1 \text{ mm}^2$) fibers are produced by cutting and polishing

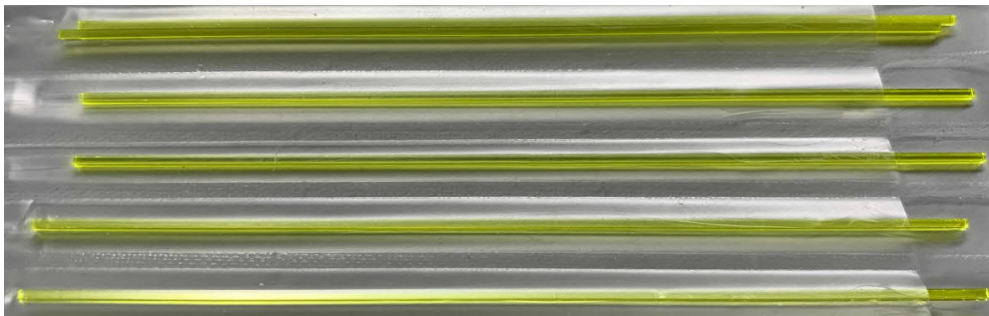


GAGG: radiation hardness

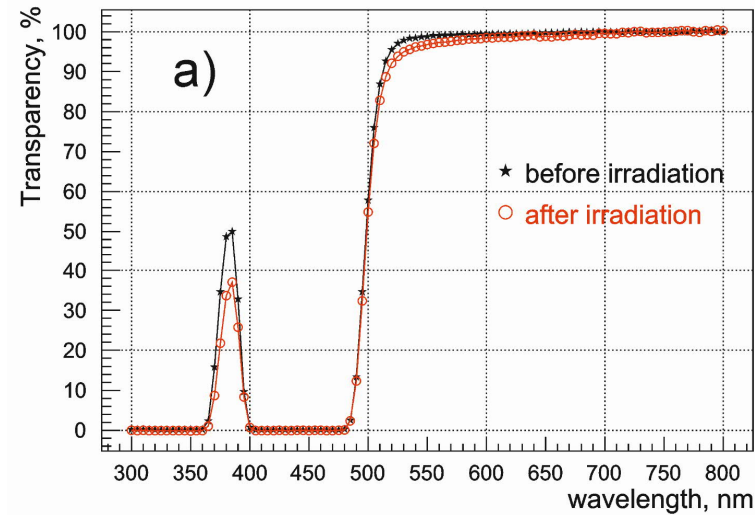


GAGG samples (FOMOS Materials, Moscow)

GAGG fibers (FOMOS Materials, Moscow)



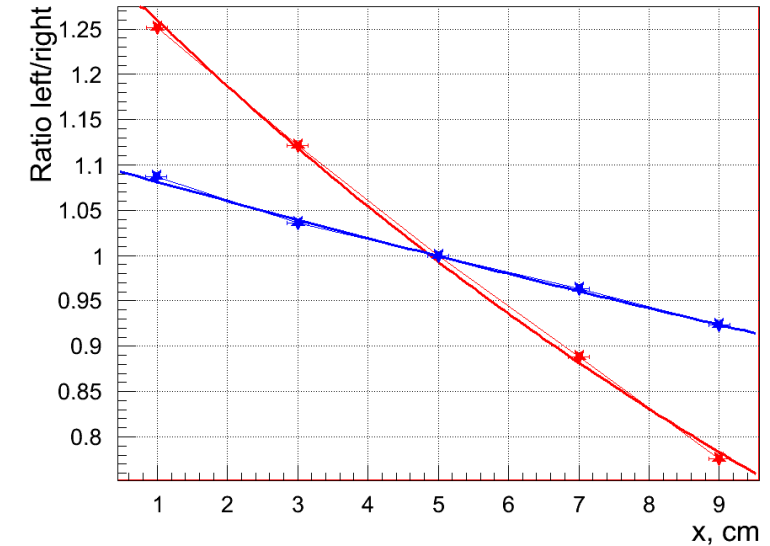
Sample irradiation, 24 GeV protons
 $3.1 \cdot 10^{15}$ p/cm² (0.91 Mgy)



$$\kappa = \frac{1}{d} \ln \frac{I_{\text{before}}}{I_{\text{after}}} = 3.6 \text{ m}^{-1} \text{ at } 520 \text{ nm}$$

(significantly better than LYSO)

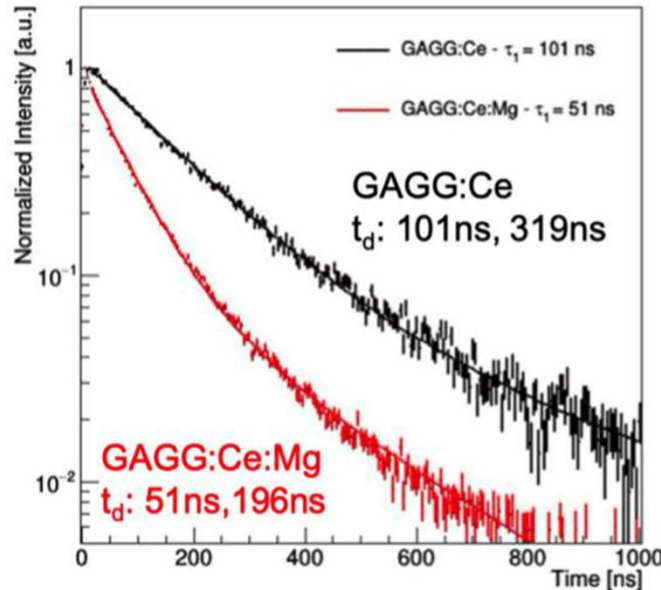
Fiber irradiation, 24 GeV protons
 $3.4 \cdot 10^{15}$ p/cm² (1.02 Mgy)



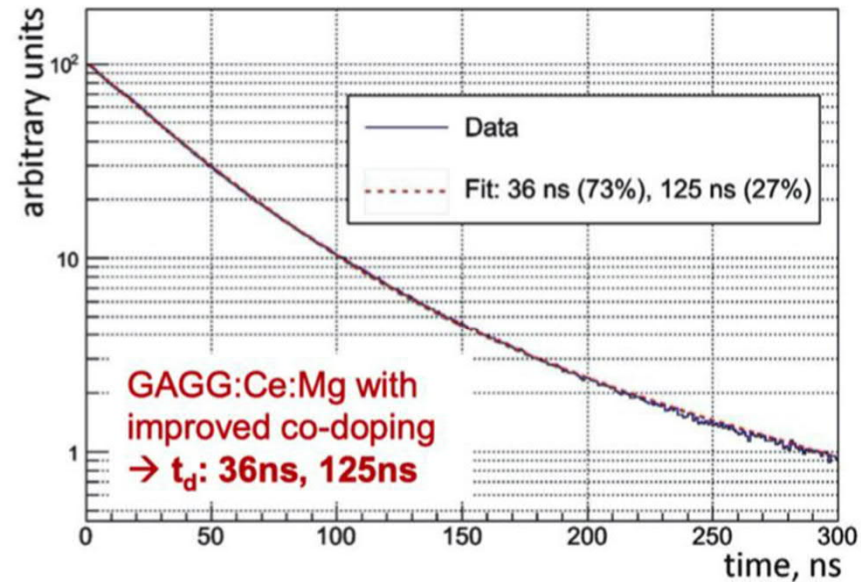
before irradiation: $L_{\text{ATT}} = 101.5 \text{ cm}$
 after irradiation: $L_{\text{ATT}} = 33.6 \text{ cm}$

→ OK for 10 cm length after 1 MGy!

timing properties: decay time



Kamada et al, O-14-3 at SCINT2015
M. Lucchini et al, NIM A Volume 816 (2016), pp 176–183,

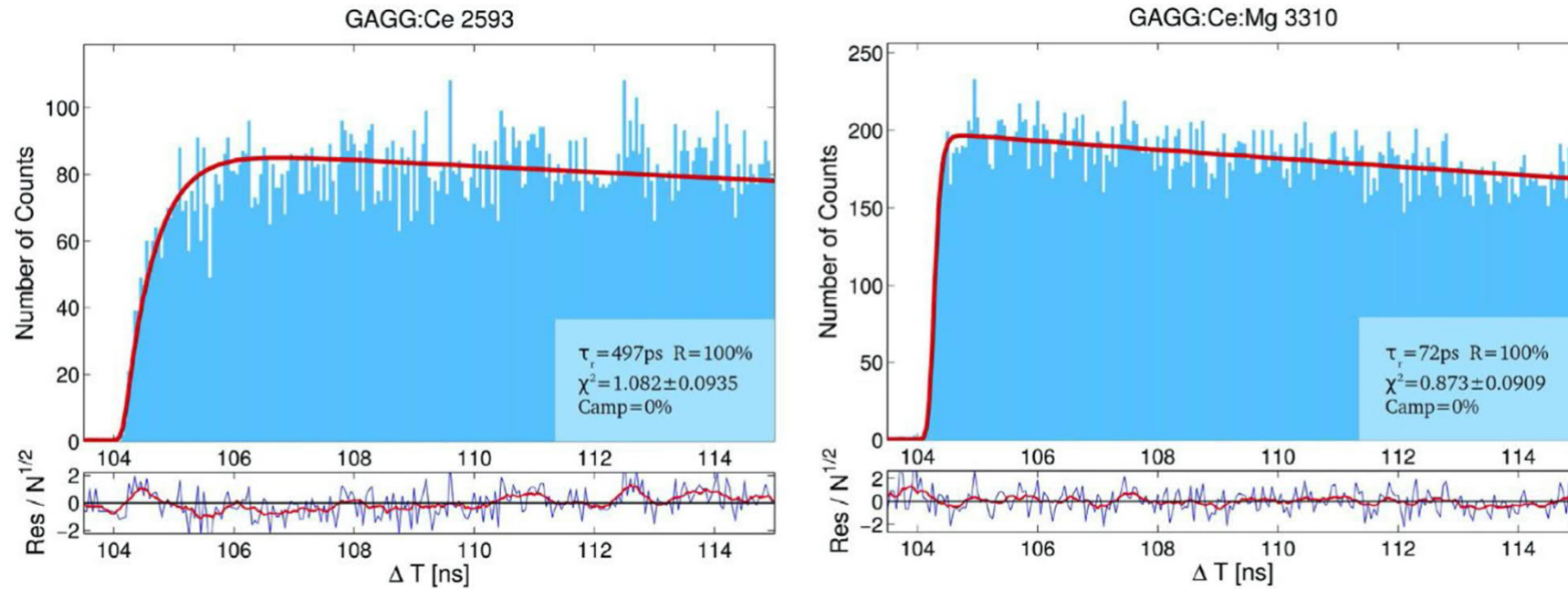


Nuclear Inst. and Methods in Physics Research, A 916 (2019) 226–229

it is important to minimize spill-over by minimizing pulse length (25 ns LHC bunch spacing)
co-doping with Mg, Ti, ... reduces decay time and fraction of “long” exponential.

* Note the R&D on the GAGG and GYAGG material (M. Korzhik, this conference; exhibition of FOMOS Materials (Moscow)).

timing properties: rise time



S.Gundacker, et al. NIM A 891 (2018) 42-52

The rise time is important for the precision of timing measurements
co-doping with Mg also improves the rise time

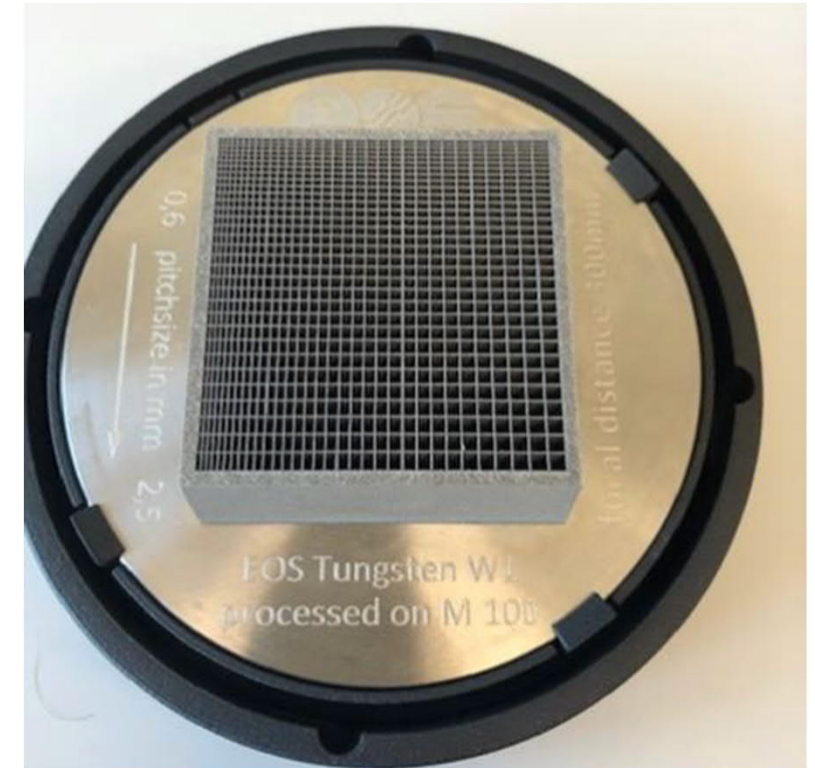
Absorber for the central area

- Should be more dense than Lead: hence Tungsten based
- should have a rather complicated shape to place crystal fibers

For the material, the options are pure W, W-Cu or W-Pb alloys

- pure W is very hard and brittle, difficult for machining
- W-Cu alloy is available on market, with good mechanical properties
- W-Pb alloy is preferable (smaller X_0 for same R_M), but is not commercially available

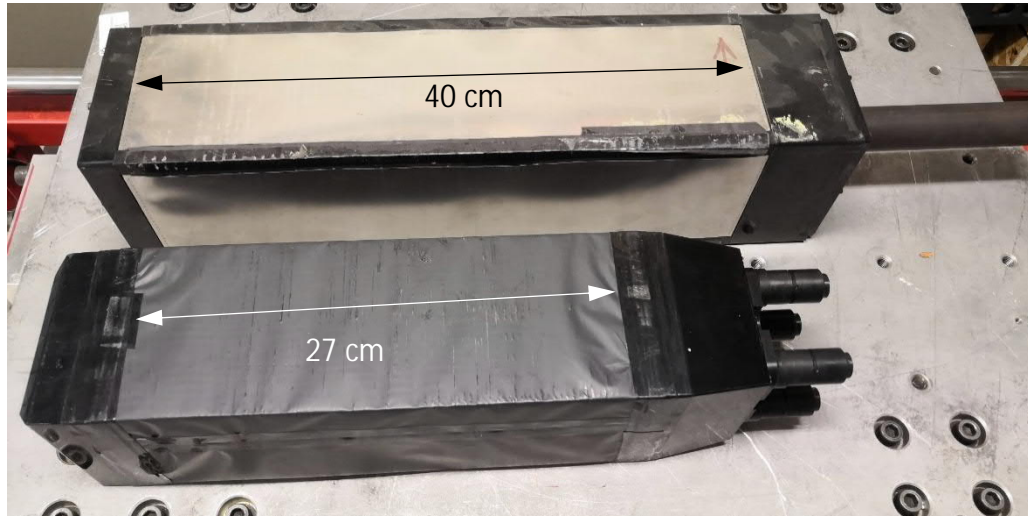
The R&D on absorber technologies is ongoing (MISIS, Moscow). Several technologies are considered: Selective Laser Melting, Chemical Vapor Deposition, Metal Injection Molding etc.



a sample produced by Selective Laser Melting, pure W (MISIS)

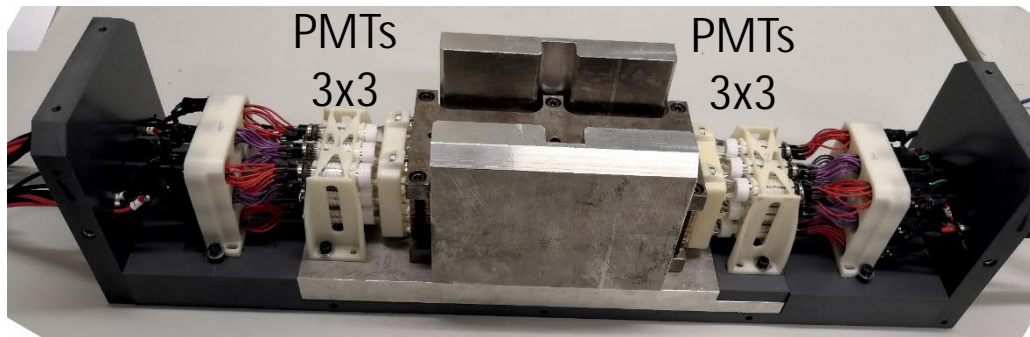
Prototype studies

Prototypes 2018



present ECAL module
shashlik, Pb:Sc = 1:2 (vol)
 $25X_0 = 40\text{cm}$; $R_M=36\text{mm}$

“short” shashlik module
Pb:Sc = 1:1 (vol)
 $25X_0 = 27\text{cm}$; $R_M=27\text{mm}$
(produced in Protvino, 2017)



Cu-W alloy, 14.9 g/cm^2
20 cm long module to reach $25 X_0$
longitudinal segmentation: 10+10 cm
9 cells of $2 \times 2\text{ cm}^2$ with $MR \sim 1.5\text{ cm}$
1 cell of GAGG, 4 cells of YAG, 4 cells of
SCSF78 (KURARAY)

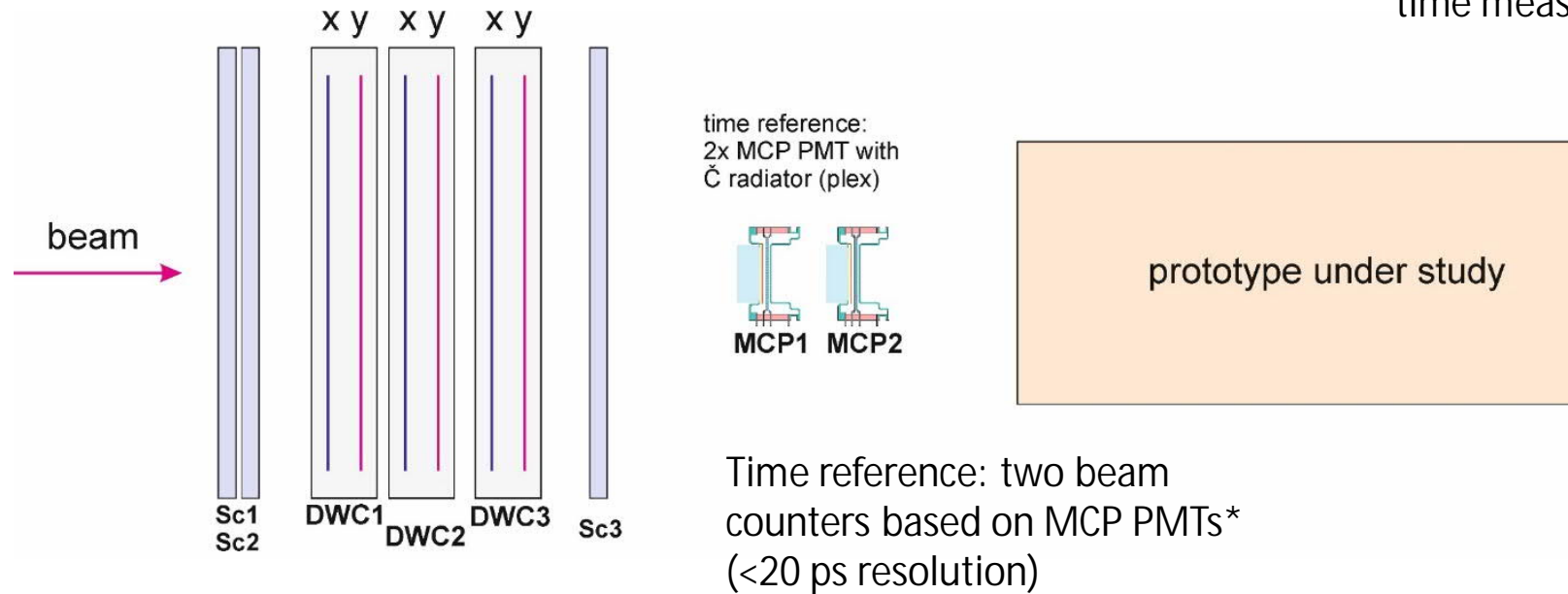


beam test 2018

- Energy resolution for SPACAL prototype
- time resolution for SPACAL and Shashlik

Electronics:

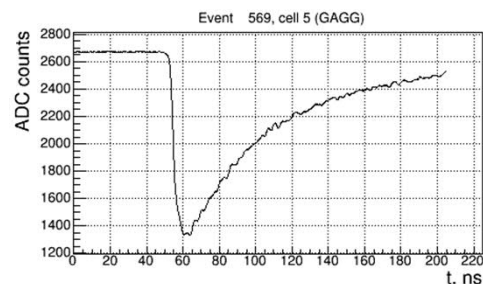
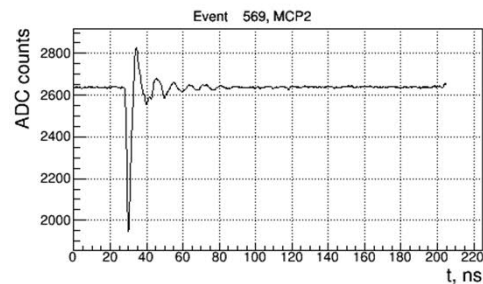
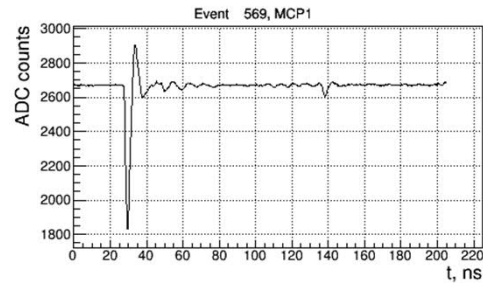
- LeCroy 1182 ADC for energy measurements
- CAEN DT5742 (5 GS/s, 12 bit) digitizer for time measurements



DWC = Delay Wire Chamber

(*) The MCP PMTs were kindly provided by Alexander and Mikhail Barnyakov, BINP, Novosibirsk

beam test 2018



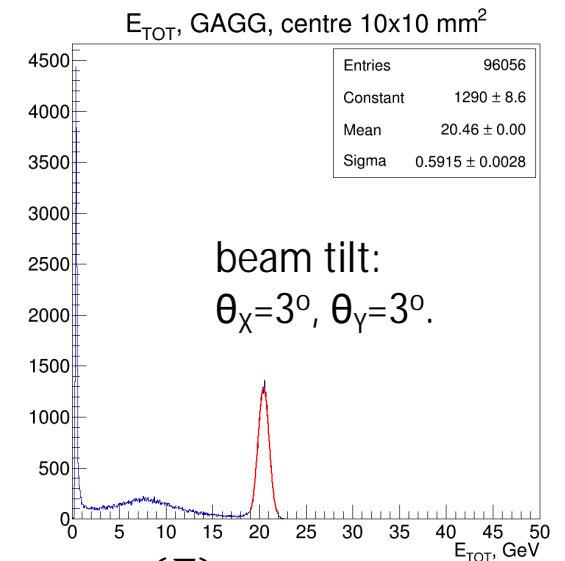
Time resolution in SPACAL, front section

E, GeV	PMT HV	$\sigma(t)$, ps
20	630 V	85
20	730 V	78

Present ECAL module (Shashlik)
+ present PMT (R7899-20)

E, GeV	PMT HV	$\sigma(t)$, ps
20	800 V	69
30	800 V	56
30	750 V	57

SPACAL energy resolution



beam tilt:
 $\theta_x=3^\circ$, $\theta_y=3^\circ$.

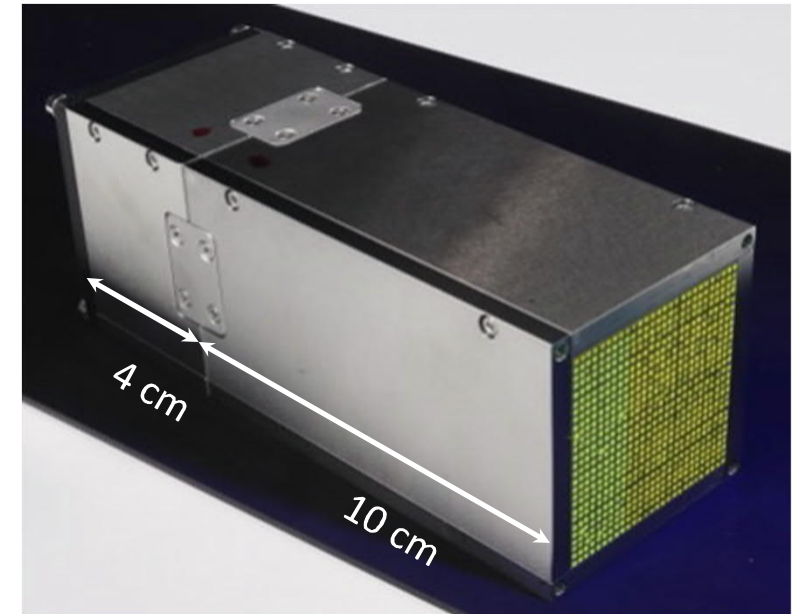
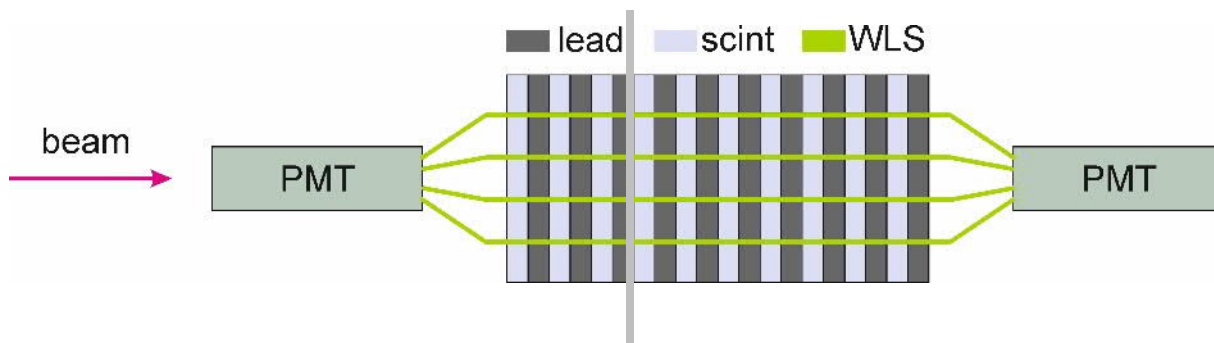
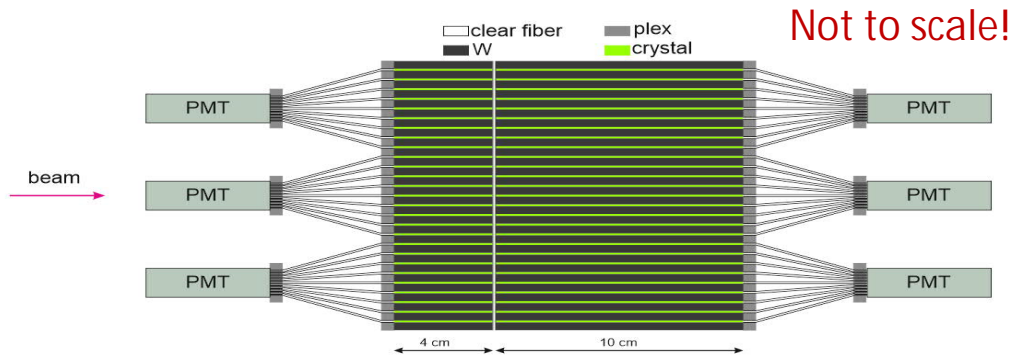
$$\frac{\sigma(E)}{E} = 2.9\%$$

(3.1% from GEANT4 simulation)

more details in:
DOI: 10.1109/TNS.2020.2975570

Prototypes 2019

Longitudinally split versions of SPACAL and Shashlik (at 7X0 - ~ shower max)
improves time resolution;
also, creates a natural place for the separate timing layer



Absorber: Crytur (CZ)
pure W; electroerosion cutting of 0.5mm plates

Scintillator:
YAG:Ce (Crytur), 6 cells
GAGG:Ce (FOMOS), 3 cells

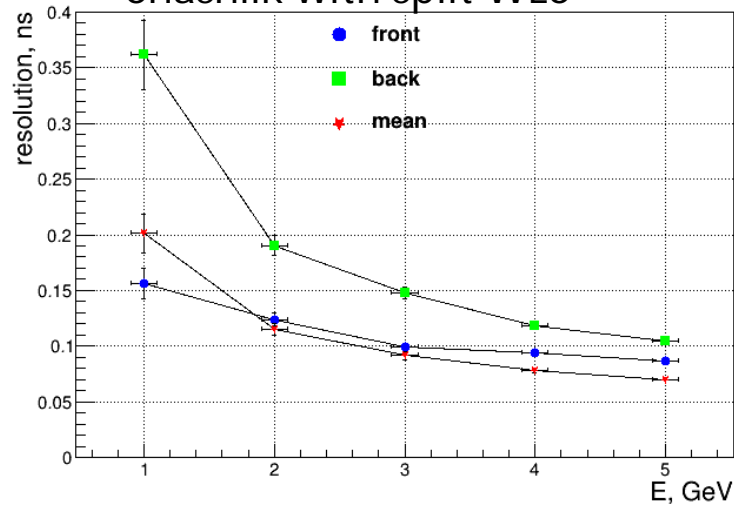


Shashlik prototypes
(several versions)

Beam test 2019 (DESY)

e⁺ beam, energies 1-5 GeV
Basically same setup as in 2018

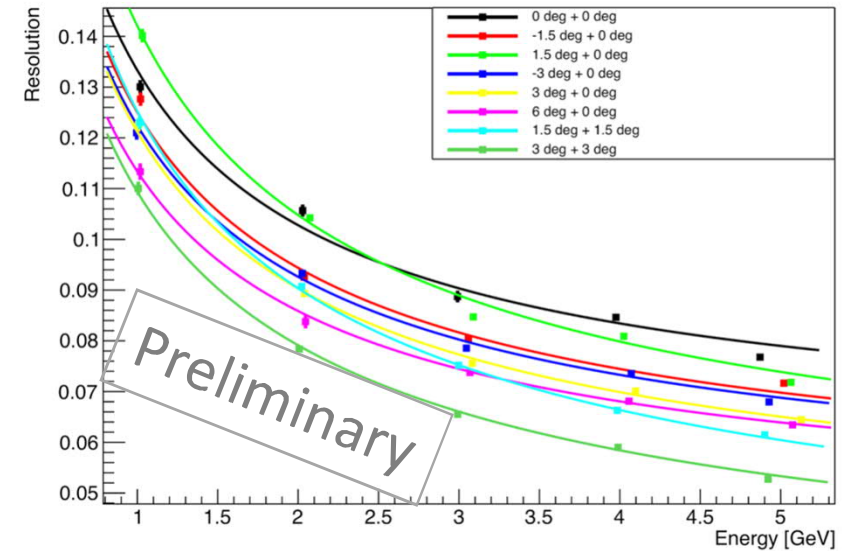
Shashlik with split WLS



Better than the existing modules with standard readout:
70 ps resolution is achieved at 5 GeV (same as @20 GeV for the standard version)

Nearest plan: try new KURARAY WLS fibers YS-2
(much faster luminescence decay time than Y11)
→ expect improvement in the time resolution

Energy Resolution Vs. Energy



dependence of the energy resolution on incident angle
(in agreement with GEANT4 simulation).
Stochastic term within 10-13%, which is in the right ballpark.

The analysis is ongoing.

(Time resolution measurements for the SPACAL prototype failed, to be redone in May 2020).
(~50 ps @ 5 GeV expected from simulation)

Conclusions

- At present, LHCb is undergoing a major first upgrade. A second upgrade is foreseen in ~2030.
- The electromagnetic calorimeter needs some consolidation of the inner region by LHC LS3 (2025-2027) compatible with the running conditions after Upgrade II, which requires R&D on radiation hard ECAL modules.
- In Long Shutdown 4 (LS4) a major upgrade of the ECAL will be required to cope with the increased luminosity, the harsh radiation and pile-up conditions, by replacing a significant part of the modules with new technologies.
- Generic R&D and prototyping has started to develop radiation hard sampling ECAL modules of SPACAL type, as well as studies of intrinsic time resolution of ECAL modules.