

Instrumentation for Colliding Beam Physics (INSTR-20) Feb 24–28, 2020 Budker Institute of Nuclear Physics, Novosibirsk

Recent advances in particle identification methods





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Contents

Why particle identification?

Ring Imaging CHerenkov counters

TOF

Transition radiation detectors

Summary

Why particle ID?



Example 1: B factories

Particle identification reduces the fraction of wrong $K\pi$ combinations (combinatorial background) by ~5x



Particle identification at B factories (Belle and BaBar): was essential for the observation of CP violation in the B meson system.

Why particle ID?



Need to distinguish $B_d \rightarrow \pi\pi$ from other similar topology 2-body decays and to distinguish B from anti-B using K tag.

Why particle ID?



Example 3: HERA-B, the inclusive $\phi \rightarrow K^+K^$ decay only becomes visible after particle identification is taken into account.

PID is also needed in:

•General purpose LHC experiments: final states with electrons and muons

•Searches for exotic states of matter (quarkgluon plasma)

•Spectroscopy and searches for exotic hadronic states

•Studies of fragmentation functions

Example: Belle







Particle identification methods depend on the requirements (physics channel, kinematics)

Example: B factory, pion/kaon separation



PID coverage of kaon/pion spectra in Belle





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Efficiency and purity in particle identification

Efficiency and purity are tightly coupled!

Two examples:



Identification of charged particles

Particles (e, μ , π , K, p) in the final state are identified by their mass or by the way they interact.

Determination of mass: from the relation between momentum and velocity, $p=\gamma mv$ (p is known - radius of curvature in the magnetic field)

→Measure velocity by:

- time of flight
- ionisation losses dE/dx
- Cherenkov photon angle (and/or yield)
- transition radiation

Mainly used for the identification of hadrons.

Identification through interaction: electrons and muons

- muon systems
- calorimeters

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Cherenkov radiation

A charged track with velocity $v=\beta c$ exceeding the speed of light c/n in a medium with refractive index n emits polarized light at a characteristic (Cherenkov) angle (for $\beta > 1/n$ - above threshold)



Measuring the Cherenkov angle



Measuring Cherenkov angle



Resolution of a RICH counter

Determined by:

- •Photon impact point resolution (~photon detector granularity)
- •Emission point uncertainty (not in a focusing RICH)







Photon detection in RICH counters

RICH counter: measure photon impact point on the photon detector surface

- \rightarrow detection of single photons with
- sufficient spatial resolution
- high efficiency and good signal-to-noise ratio (few photons!)

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• over a large area (square meters)



Special requirements:

- Operation in magnetic field
- High rate capability
- Very high spatial resolution
- Excellent timing (time-of-arrival information)

Photon detector is the most crucial element of a RICH counter

Cherenkov counters in LHC-like environments

Operation at high rates (~1 MHz/cm²) over extended running periods (years) → Need vacuum based photon detectors (e.g. PMTs)

Good spacial resolution (pads with ~5 mm size) → Solution: multianode PMTs (MaPMTs) and hybrid photodetectors (HPDs)



Multianode PMTs



Multianode PMTs (MaPMTs) with metal foil dynodes and 2x2, 4x4 or 8x8 anodes Hamamatsu R5900 (and follow up types 7600, 8500)

→Excellent single photon pulse height spectrum

→Low noise (few Hz/ch)

→Low cross-talk (<1%)

→ NIM A394 (1997) 27





HERA-B RICH

Photon detector requirements:

- •High QE over ~3m²
- •Rates ~1MHz
- Long term stability





HERA-B RICH photon detector

Light collection system (imaging!) to:

- -Eliminate dead areas
- -Adapt the pad size





-A similar set-up also employed in the COMPASS RICH (quartz lenses)



Kinematic range of a RICH counter



Example: kinematic range for kaon/pion separation

Kinematic range for separation of two particle types:

•Lower limit p_{min}: sufficiently above lighter particle threshold

•Upper limit p_{max} : given by Cherenkov angle resolution – overlap of the two bands

Rule of thumb: $p_{max} / p_{min} < 10$

RICHes with several radiators

Extending the kinematic range \rightarrow need more than one radiator

- DELPHI at LEP, SLD at SLC (liquid +gas)
- HERMES at HERA (aerogel+gas)



The LHCb RICH counters



LHCb RICHes

Need:

- •Particle identification for momentum range ~2-100 GeV/c
- •Granularity 2.5x2.5mm²
- •Large area (2.8m²) with high active area fraction
- •Fast compared to the 25ns bunch crossing time



LHCb RICHes



LHCb RICHes

Photon detector: hybrid PMT (R+D with DEP) with 5x demagnification (electrostatic focusing).

Hybrid PMT: accelerate photoelectrons in electric field (\sim 20kV), detect them in a pixelated silicon detector.





NIM A553 (2005) 333

Performance of LHCb RICHes



LHCb RICHes: performance



"Search for CP violation in $\Lambda_b^0 \rightarrow pK^-$ and $\Lambda_b^0 \rightarrow p\pi^-$ decays" [LHCb-PAPER-2018-025]

LHCb Upgrade (well under way)



 New photon detectors: MaPMTs Hamamatsu R13743 (H12700) and R13742 (R11265)
New electronics working at 40 MHz readout rate
New optics layout for RICH 1





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 \rightarrow Talk by Antonios Papanestis

Future LHCb Upgrade





- □ Incremental improvements in:
 - > Improve Cherenkov angle resolution
 - ➢ More photons in the green → lower chromatic error
 - Reduced event complexity with timing
 - Enhanced number of photons



Radiator	C_4F_{10}		CF_4		
Detector Version	RICH 1	RICH 1	RICH 1	RICH 2	RICH 2
	Current (HPD)	UPG1	UPG2	UPG1	UPG2
Average Photoelectron Yield	30	40	60-30	22	30
Single Photon Errors (mrad)					
Chromatic	0.84	0.58	0.24 – 0.12	0.31	0.1
Pixel	0.9	0.44	0.15	0.20	0.07
Emission Point	0.8	0.37	0.1	0.27	0.05
Overall	1.47	0.82	0.3 - 0.2	0.46	0.13

NA62 RICH

- □ Momentum range 15-35 GeV/c
- □ 17m long, 200m³ cylindrical vacuum proof tank with Neon radiator
- □ Photon detectors: 2000 PMTs (16mm, 8mm active, with Winstone cone light guides)
- \Box Mirror alignment ~30 µrad
- $\hfill\square$ Single photon resolution: ~140 μrad
- □ Operational since 2014



\rightarrow Talk by Matteo Turisini



CBM



- \Box RICH with CO₂ radiator
- □ MaPMTs: Hamamatsu H12700
- □ Cylindrical photon detection surface
- Extensive testing of MaPMTs for radiation damage
- □ Up to 1000 tracks per event
- □ Momentum up to 8 GeV/c
- □ Pion suppression factor ~5000 (with TRD)





Belle II PID system





Endcap: Proximity focusing RICH




Small number of photons from aerogel \rightarrow need a thick layer of aerogel. How to improve the resolution by keeping the same number of photons?



Focusing configuration – data



→NIM A548 (2005) 383, NIMA 565 (2006) 457

BELLE

4x4 array of flat pannel MAPMTs

Radiator with multiple refractive indices 2

Such a configuration is only possible with aerogel (a form of Si_xO_y) – material with a tunable refractive index between 1.01 and 1.07.





Detector plane covered with 2 x 124 tiles water-jet cut tiles (~ 17x17cm)

The big eye of ARICH – 420 HAPDs





Sensor: Hybrid APD - HAPD





HAPD R&D project in collaboration with HPK.

ARICH – one of the first rings and performance







Reasonable agreement with MC expectations – but still room for improvement.

 \rightarrow talk by Yun-Tsung Lai





DIRC performance



First in a series of very interesting PID devices \rightarrow

Hadron PID in the Belle II Detector



bljana



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Belle II Barrel PID: Time of propagation (TOP) counter



- Similar to the DIRC, Cherenkov ring imaging with precise time measurement.
- Reconstruct Cherenkov angle from two hit coordinates and the time of propagation of the photon
 - Quartz radiator (2cm thick)
 - Photon detector (MCP-PMT)

- Excellent time resolution ~ 40 ps
- Single photon sensitivity at 1.5 T

 \rightarrow Talk by Vasily Shebalin

MCP PMTs for a very fast timing





Single photon resolution: typically 20ps – 40ps



See also the review talk by J. Va'vra

Peter Križan, Ljubljana

TOP Waveform sampling readout





10 15 20 (cm)

0

-20 -15 -10 -5 0 5

TOP image reconstruction

Pattern in the coordinate-time space ('ring') of a pion and kaon hitting a quartz bar

Time distribution of signals recorded by one of the PMT channels (slice in x): different for π and K (~shifted in time)



The name of the game: analytic expressions for the 2D likelihood functions \rightarrow M. Starič et al., NIMA A595 (2008) 252-255

Separation of kaons and pions

Pions vs kaons:

Expected PID efficiency and

Pions vs kaons in TOP: different patterns in the time vs PMT impact point coordinate



TOP first events

The early data demonstrated that the TOP principle is working





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LHCb PID upgrade: TORCH

Focusing block with light sensors (MCP PMTs from Photek)







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in radiator preserved

 \rightarrow talk by Carsten Schwarz

PANDA Barrel DIRC

Design: based on BABAR DIRC and SuperB FDIRC with key improvements

Barrel radius ~48 cm; expansion volume depth: 30 cm.

48 narrow radiator bars, synthetic fused silica

17 mm (T) x 53 mm (W) x 2400 mm (L).

Compact photon detector:

30 cm fused silica expansion volume 8192 channels of MCP-PMTs in ~1T B field Focusing optics: spherical lens system

Fast photon detection:

fast TDC plus TOT electronics,

- \rightarrow 100-200 ps timing
- \rightarrow talk by Carsten Schwarz
- \rightarrow Single photon sensors: MCP PMTs, see talk by J. Va'vra
- → One more DIRC: GlueX, talk ba J. Schwiening



SiPMs as photon detectors?

SiPM is an array of APDs operating in Geiger mode. Characteristics:

- low operation voltage \sim 10-100 V
- gain ~ 10^6
- peak PDE up to 65%(@400nm) PDE = QE x ε_{geiger} x ε_{geo} (up to 5x PMT!)
- $\epsilon_{\rm geo}\,$ dead space between the cells
- time resolution $\sim 100 \text{ ps}$
- works in high magnetic field
- dark counts ~ few 100 kHz/mm²
- radiation damage (p,n)





Not trivial to use in a RICH where we have to detect single photons!

Dark counts have single photon pulse heights (rate 0.1-1 MHz per mm²)

SiPM as photosensor for a RICH counter

Improve the signal to noise ratio:

- •Reduce the noise by a narrow (<10ns) time window (Cherenkov light is prompt!)
- •Increase the number of signal hits per single sensor by using light collectors (=improve signal-to-noise ratio)
- E.g. light collector with reflective walls or plastic light guide





S. Korpar et al., NIM A594 (2008) 13; NIM A613 (2010) 195

Next step: use arrays of SiPMs

Example: Hamamatsu MPPC S11834-3388DF

- 8x8 SiPM array, with 5x5 mm² SiPM channels
- Active area 3x3 mm²





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E. Tahirović et al., NIM A787 (2015) 203

Digital SiPM

Digital SiPM (Philips): instead of an analog sum of signals from all cells of a single SiPM, use on board electronics for a digital sum + time stamp





→ A.Y. Barnyakov et al., NIM A732 (2013) 352

Square matrix 20x20 cm²

- Sensors: DPC3200-22-44
- 3x3 modules = 6x6 tiles = 24x24 dies = 48x48 pixels in total
- 576 time channels
- 2304 amplitude (position) channels
- 4 levels of FPGA readout: tiles, modules, bus boards, test board

SiPMs: Radiation damage



- Expected fluence at 50/ab at Belle II: 2-20 10¹¹ n cm⁻²
- \rightarrow Worst than the lowest line

Single photon sensitivity required!

→Need cooling of sensors and wave-form sampling readout electronics →Annealing?

... and more radiation resistant SiPMs...



PID for the Electron Ion Collider



h-endcap: A RICH with two radiators (gas + aerogel) is needed for

 π/K separation up to ~50 GeV/c

- dRICH
- e-endcap: A compact aerogel RICH which can be projective π/K separation up to ~10 GeV/c mRICH
- **barrel**: A high-performance DIRC provides a compact and cost-effective way to cover the area. π/K separation up to ~6-7 GeV/c DIRC



• TOF (and/or dE/dx in TPC): can cover lower momenta.



 \rightarrow talk by Jochen Schwiening

Wire chamber based photon detectors: recent developments

Instead of MWPC:

•Use multiple GEM with semitransparent or reflective photocathode \rightarrow PHENIX RICH

•Use chambers with multiple thick GEM (THGEM) with transm. or refl. photocathode (considered for the COMPASS RICH)



Ion damage of the photocathode: ions can be blocked

Identification of charged particles

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→Measure velocity by:

- time of flight
- Ionisation losses dE/dx
- Cherenkov photon angle (and/or yield)
- transition radiation

Mainly used for the identification of hadrons.

Identification through interaction: electrons and muons

- muon systems
- calorimeters

Time-of-Flight (TOF) counters

Measure velocity by measuring the time between

-- the interaction and

-- the passing of the particle through the TOF counter.

Traditionally: plastic scintillator + PMTs

Typical resolution: ~100 ps $\rightarrow \pi/K$ separation up to ~1GeV.

Time difference between π and K:



\rightarrow BESSIII



BESIII: Time-Of-Flight counters



Peter Križan, Ljubljana

Time-of-Flight (TOF) counters

Measure velocity by measuring the time between the interaction and the passing of the particle through the TOF counter.

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Typical resolution: ~100 ps $\rightarrow \pi/K$ sepration up to ~1GeV.

To go beyond that: need faster detectors: →use Cherenkov light (prompt) instead of scintillations →use a fast gas detector (Multi gap RPC)

However: make sure you also know the interaction time very precisely...

Time difference between π and K:



 \rightarrow Talk by J. Va'vra

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ALICE TOF

- Very fast large area (140m²) particle detector:
- → MRPC, multi-gap RPC

 σ =50ps (incl. read-out) π/K separation (3 σ) up to 2.5 GeV/c at large track densities





A similar detector is considered for the TOF system of the Multi-purpose Detector at NICA \rightarrow talk V. Babkin

TOF with Cherenkov light

Idea: detect Cherenkov light with a very fast photon detector (MCP PMT).

Cherenkov light is produced in a quartz plate in front of the MCP PMT and in the PMT window. $\int_{\mathbb{T}}^{200} \left[\int_{\mathbb{T}}^{200} \frac{1170 + 16}{100} \right]$



Read out: for precise timing mitigate time walk





Variation of time determined with a leading edge discriminator: smaller pulses give a delayed signal. \rightarrow Has to be corrected!

- Measure both time (TDC) and amplitude (ADC), correct time of arrival by using a ∆T(ADC) correction
- Use constant fraction discriminator (CFD) -
- Wave-form sampling e.g. Labrador 3, G. Varner, U Hawaii







 \rightarrow Talks by V. Shebalin and. J. Va'vra

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Transition radiation

E.M. radiation emitted by a charged particle at the boundary of two media with different refractive indices



Emission rate depends on γ (Lorentz factor): becomes important at $\gamma \sim 1000$

• Electrons at 0.5 GeV

• Pions above 140 GeV Emission probability per boundary $\sim \alpha = 1/137$ Emission angle $\sim 1/\gamma$ Typical photon energy: $\sim 10 \text{ keV} \rightarrow \text{X rays}$



Transition radiation - detection

Emission probability per boundary $\sim \alpha = 1/137$

- \rightarrow Need many boundaries
- Stacks of thin foils or
- Porous materials foam with many boundaries of individual 'bubbles'

Typical photon energy: ~10 keV \rightarrow X rays

→ Need a wire chamber with a high Z gas (Xe) in the gas mixture (=large cross section for photoeffect of X rays)

Emission angle $\sim 1/\gamma$

 \rightarrow Hits from TR photons along the charged particle direction

- Separation of X ray hits (high energy deposit on one place) against ionisation losses (spread out along the track)
- Two thresholds: lower for ionisation losses, higher for X ray detection

Transition radiation - detection

- \rightarrow Hits from TR photons along the charged particle direction
- Separation of X ray hits (high energy deposit on one place) against ionisation losses (spread out along the track)
- Two thresholds: lower to remove noise, higher to separate X ray conversions from ionisation by charged particles
- Small circles: between low and high threshold (ionisation)
- Big circles: high threshold (X ray detection)

(pion below the TR threshold, e above)

ATLAS Transition Radiator Tracker







Transition radiation – new aspects

Extend PID beyond γ =1000? Idea: detect TRD gamma rays in a Si pixel detector, measure angle and energy. In the study by A. Romaniouk et al. it was 480 μ m Si bonded to the Timepix3 chip



Figure 14: Relative position of identified TR photon clusters with respect to the particle clusters for $20 \,\mathrm{GeV/c}$ electrons crossing the polypropylene radiator. Left panel: data. Right panel: MC simulation.

Summary

Particle identification is an essential part of several experiments, and has contributed substantially to our present understanding of elementary particles and their interactions. It will continue to have an important impact in searches for new physics.

A large variety of techniques has been developed for different kinematic regions and different particles.

New concepts and detectors are being studied \rightarrow this is a very active area of detector R+D.



PID coverage of kaon/pion spectra in BaBar



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Photon detection efficiency



Number of detected photons

Example: in 1m of air (n=1.00027) a track with β =1 emits N=41 photons in the spectral range of visible light ($\Delta E \sim 2 \text{ eV}$).

If Čerenkov photons were detected with an average detection efficiency of ϵ =0.1 over this interval, N=4 photons would be measured.

Few photons detected

→Important to have a low noise detector



Measuring the Cherenkov angle



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Cherekov angle distribution (mradian)

Photon detector for the COMPASS RICH-1

Upgraded COMPASS RICH-1: ^{Ph}otons similar concept as in the HERA-B RICH



New features:

- UV extended PMTs & lenses (down to 200 nm) → more photons
- surface ratio = (telescope entrance surface) / (photocathode surface) = 7
- fast electronics with <120 ps time resolution



HADES Upgrade



photon detector

MAPMT

DIRICH

PCB carrier

Cherenkov photons

Cherenkov

beam

580mr

tank

CaF, window

C₄F₁₀

VUV mirror

radiator shell

target

beam tube

e

□ Replace CsI-MWPCs with MaPMTs

- Hamamatsu H12700
- Same as for CBM-RICH
- > Also share electronics



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spoke

Peter Križan, Ljubljana



Mirror alignment

Gas radiator RICHes: large mirrors \rightarrow tens of segments \rightarrow need relative alignment



- Spherical mirror: 80 hexagonal segments
- Planar mirrors: 2x18 rectangular segments

Aligning pairs of spherical and planar segments.



Misalignment: Cherenkov angle depends on the azimuthal angle around the track

Mirror alignment

Misalignment: Cherenkov angle depends on the azimuthal angle around the track





Use unambiguos photons.



Peter Križan, Ljubljana

Mirror alignment

Initial mirror system alignment: with optical methods, theodolite.

Alignment with data: tells you the ultimate truth...

Combine all alignment data for all (possible) pairs of segments \rightarrow solve a system of linear equations

 $\sigma_{\theta}=0.93 mrad$

0

 $\Delta \theta_{e}$ [mrad]

5

b

per 0.2 mrad

10

12000

10000

8000

6000

2000

0

-10

-5

 $\Delta \theta_c$ [mrad]

¥d 4,00

а

photons per 0.2 mrad

12000

10000

8000

6000

4000

2000

0

-10

-5



TOP R+D areas

- Very fast photosensors for operation in 1.5 T field (MCP PMTs)
- R+D to mitigate aging of photocathodes in MCP PMTs (ALD)



- Very fast and compact readout electronics with waveform sampling for a precise time measurement →
- Production of large quartz pieces, construction of modules, mechanics and installation methods
- Analytic expressions for the very complex 2D likelihood functions.
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ARICH photo sensor: HAPD

• HAPD – Hybrid Avalanche Photo-Detector



• 420 modules to cover the detector plane



Size	73x73 mm
# of channels	144 (36-ch APDx4)
Total gain	>60000 (1500 x 40)
Peak QE	~30%
Active area	64%
Weight	220g



ARICH read-out electronics

• In total ~60k channels



increased noise levels

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MCP PMT: processes involved in photon detection



MCP PMT timing



Tails understood (scattering of photoelectrons off the MCP), can be significantly reduced by:

- decreased photocathode-MCP distance and
- increased voltage difference

- prompt signal ~ 70%
- short delay ~ 20%
- ~ 10% uniform distribution



MCP PMT: sensitivity



x ch. 0 adc.tdc cut

Number of detected hits on individual channels as a function of light spot position.

> B = 0 T, HV = 2400 V

B = 1.5 T, HV = 2500 V

In the presence of magnetic field, charge sharing and cross talk due to long range photoelectron back-scattering are considerably reduced.

Time resolution: blue vs red



Photon detector with SiPMs and light guides



Radiation damage



Expected fluence at 50/ab at Belle II: 2-20 10^{11} n cm⁻² \rightarrow Worst than the lowest line

→Very hard to use present SiPMs as single photon detectors in Belle II because of radiation damage by neutrons

→ Also: could only be used with a sofisticated electronics – wave-form sampling

First generation of RICH counters

DELPHI, SLD, OMEGA RICH counters: all employed wire chamber based photon detectors (UV photon \rightarrow photo-electron \rightarrow detection of a single electron in a TPC)



Fast RICH counters with wire chambers



CERN CsI deposition plant

Photocathode produced with a well monitor defined, several step procedure, with CsI vaccum deposition and subsequent heat conditioning





ALICE RICH = HMPID

The largest scale (11 m²) application of CsI photo-cathodes in HEP!



Transition radiation detectors



Transition radiation detectors - peformance



Performance: pion efficiency (fake prob.) vs detector length

ATLAS TRT

Radiator: 3mm thick layers made of polypropylene-polyethylene fibers with \sim 19 micron diameter, density: 0.06 g/cm³

Straw tubes: 4mm diameter with 31 micron diameter anode wires, gas: 70% Xe, 27% CO₂, 3% O₂. \square Radiator Sheets



TRT: pion-electron separation



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Identification with the dE/dx measurement π κ Ρ



10

8

 dE/dx is a function of velocity β
 For particles with different mass the Bethe-Bloch curve gets displaced if plotted as a function of p

2. 1.5 0.1 0.3 1.0 10 3 p(GeV/c)

For good separation: resolution should be ~5% Measure in each drift chamber layer – use truncated mean

Identification with dE/dx measurement

Problem: long tails (not Gaussian!)

Energy loss distribution for particles with $\beta\gamma=3.6$ traversing 1.2 cm of Ar gas (solid line).

Parameters describing **f(4)** are



 $\Delta_{\rho}(x;\beta\gamma)$: the most probable energy loss = the position of the maximum at 1371 eV, and

IV : the full-width-at-half-maximum (FWHM) of 1463 eV. The mean energy loss is 3044 eV.

Dotted line: the original Landau function.

 \rightarrow Many samples along the track (~100 in ALICE TPC), remove the largest ~40% values (reduce the influence of the ling tail) \rightarrow truncated mean

 \rightarrow Hans Bichsel: A method to improve tracking and particle identification in TPCs and silicon detectors, NIM A562 (2006) 154

Identification with dE/dx measurement



momentum. The curves are Bichsel model predictions.


Photon detector



Requirements:

- few mm spatial resolution
- ~100 ps timing resolution

Bar-box:

8 MCP-PMT, 512 pixels (total 8 k readout channels) with **pixel size 6 x 6 mm**² work in **1T magnetic field** survive **10 years** of PANDA (aging)

Most sensors with ALD coated MCPs have lifetime > 5 C/cm²

