THz Gyrotrons: novelty, achievements and applications

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Synchrotron and Free electron laser Radiation: generation and application (SFR-2020) 13-16 July 2020 Novosibirsk, Russia



The status of THz sources

H. Booske, R. J. Dobbs, C. D. Joye, C. L. Kory, G. R. Neil,G.-S. Park, J. Park, and R. J. Temkin, Vacuum electronic high power terahertz sources, IEEE Trans. on TST,1 (1), 54–75 (2011)

Convergence of Terahertz Sciences in Biomedical Systems Editors: G.-S.Park, Y.H.Kim, H.Han,J.K.Han,J.Ahn,J.-H.Son,W.-Y.Park,Y.U.Jeong Springer 2012

Handbook of Terahertz Technologies: Devices and Applications Editors: H.-J.Song,T.Nagatsuma Taylor & Francis Group, 2015

M.Glyavin, T.Idehara, S.Sabchevski Development of THz gyrotrons at IAP RAS and FIR UF and their applications in physical research and high-power THz technologies IEEE Trans. on TST, 5 (5), 788-797 (2015)





Free Electron Laser N.Vinokurov, G.Kulipanov (Budker Institute of Nuclear Physics)

in operation since 2003

Wavelenght, microns	90-240
Average power, kW	0.5
Peak power, MW	10
Repetition rate, MHz	5-10









Gyrotrons

Gyrotrons are much more compact than FELs !



 \bigcirc

Gyrotron: general view

The gyrotron operates at Magnetron Injection the waves excited near Gun cutoff - operation is less sensitive to the velocity spread. Operation at fast waves in a cavity without small-scale elements very high power level in long pulses or CW regimes.







Gyrotrons



Multi-megawatt, quasicontinuous millimeter-wave gyrotrons for ECRC and CD in controlled fusion reactors 1 MW / 170 GHz / CW





CW medium power (tens kW) gyrotrons for technological applications



Low power (tens Watts) THz band gyrotrons for spectroscopy and diagnostic of various media

Gyrotron for ITER: Recent Development



 JAEA/TOSHIBA
 IAP/GYCOM
 FZK/THALES
 CPI/GA

 170 GHz
 1 MW
 CW (1000 s)
 >50%

Gyrotron for ITER: Recent Development Steps

GYCOM

Conceptual Run tests

PAH

Final design

Manufacture

Delivery



Gyrotron for ITER: Recent Development Steps

GYCOM

PAH



November 2016 – fabrication of first gyrotron complex for ITER

2017 Ø63.5 mm waveguide was changed to Ø50 mm Second complex was fabricated. Required parameters were demonstrated. ITER do not ask for delivery.

From 2018 each year - one more complex.



ECRH system for T15-MD







The power 1 MW and efficiency 57% has been obtained for designed frequency 82.6%



Recent requests & deliveries





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Gyrotrons



Megawatt millimeter-wave gyrotrons for ECRC and CD in controlled fusion reactors 1 MW / 170 GHz / CW





CW medium power (tens kW) gyrotrons for technological applications



Low power (tens Watts) gyrotrons for spectroscopy and diagnostic of various media



Magnetic fields for gyrotrons

When the Doppler term in the cyclotron resonance condition is negligibly small, $B(T) \approx 35.7\gamma_0 f(THz)/s$ for 1 THz at s=1 – 36 Tesla!



R. Hirose et al., IEEE Trans. Appl. Supercond., 2006, p. 953. Cryogen-free 15 T solenoid JASTEC, Japan



Hybrid magnets >30 T in 50 mm Power consumption ~20 MW CW NHMFL, France, USA







The problem of the right way...



 $\omega = s \omega_H \sim s B$

Conventional gyrotron, *s*=1,2 -Strong magnetic field High harmonic operation with improved mode selection



Powerful gyrotron with pulsed coil

IAP RAS (Russia) & UMD (USA) Glyavin, Luchinin, Nusinovich Fundamental harmonic operation









B ~ 28 T $f = f_c = 0.7 \text{ THz}$ P ~ 200 kW Efficiency ~ 20% t ~ 0.05 ms 1 pulse per 1 min TE_{31,8} mode

M.Yu.Glyavin, A.G.Luchinin, G.S.Nusinovich, J.Rodgers, D.G.Kashyn, C.A.Romero-Talamas, R.Pu "A 670 GHz gyrotron with record power and efficiency", Applied Physics Letters, 101, 153503 (1-4), 2012 РАН

Institute of Applied Physics RAS, Nizhny Novgorod, Russia

M. Yu. Glyavin, A. G. Luchinin, and G. Yu. Golubiatnikov "Generation of 1.5-kW, 1-THz Coherent Radiation from a Gyrotron with a Pulsed Magnetic Field", Phys. Rev. Lett., **100**, 015101, (2008)





Gyrotrons successfully pass the magic 1 THz mark

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pulsed coil





B = 19.1 T f = 2 f_c = 1.005 THz P ~ 10 W t ~ 1 ms 1 pulse per 20 min W_s ~ 300 kJ

T. Idehara, H. Tsuchiya, O. Watanabe, LaAgusu, S. Mitsudo, "The first experiment of a THz gyrotron with a pulse magnet", Int. J. Infrared and Millimeter Waves, **27**, 3, 319-331, (2006)

T. Idehara, T. Saito, H. Mori, H. Tsuchiya, La Agusu, S. Mitsudo "Long Pulse Operation of the THz Gyrotron with a Pulse Magnet", Int. J. Infrared and Millimeter Waves, **29**, 2, 131-141, (2008)



High average power, high repetition rate





CCR, USA, Ives et al



In calculations f up to 2 THz at second harmonic, repetition frequency up to 2 Hz, pulse duration 0.01 ms, average power 10 W

M. Read, L. Ives, J. Neilson, G. Nusinovich, "Development of a High Power Pulse THz Gyrotron", IEEE Int. Vacuum Electronics Conf. IVEC '07, 347-348, (2007)



Development of a 0.33-THz broadband pulse-magnet Gyrotron oscillator

C.-H. Du et al (2017)

Institute of Applied Electronics School of Electronics Engineering and Computer Science Peking University, Beijing 100871, China



frequency : 330 GHz (B<14 T) tuning bandwidth : ~10 GHz peak power : 1.0 ~ 2.0 kW pulse width : 10 ms Brewster window (2018)



"...over 0.33–0.50 THz, propagation is better than 90%..."



The problem of the right way becomes more and more complicated...

It depends on the goal: power, frequency, stability.





The problem of the right way becomes more and more complicated...

... and sometimes looks insoluble





Improved selection based on axis-encircling electron beam. Only mode with m=s are excited (modes which are characterized by zero Bessel function)



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Pulsed gyrotrons (LOG)



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Idehara, T.; Zapevalov, V.; Glyavin, M. et al. "A High Harmonic Gyrotron With an Axis-Encircling Electron Beam and a Permanent Magnet", IEEE Transactions on Plasma Science, 32, 3, 903- 909, 2004





LOG

Yu.Kalynov, A.Savilov, V.Bratman, et al IAP RAS, Russia. From pulsed to CW operation Report 4.1 this conference

B ~ 15 T $f = 2f_c = 0.6 \text{ THz}$ P ~ 2 kW $f = 3f_c = 1 \text{ THz}$ P ~ 0.3 kW t ~ 0.01 ms

f = 3f_c = 0.4 THz P ~ 20 kW t ~ 0.01 ms

U~250 kV



Fig. 1. Two high-harmonic gyrotron setups operating at the IAP. (a) 80-keV "pulsed LOG." (b) 30-keV "CW LOG."

V. L. Bratman, Yu. K. Kalynov, and V. N. Manuilov "Large-Orbit Gyrotron Operation in the Terahertz Frequency Range" Phys. Rev. Lett. 102, 245101 (2009)

I.V.Bandurkin et al. "Terahertz Large-Orbit High-Harmonic Gyrotrons at IAP RAS: Recent Experiments and New Designs" Phys. IEEE TED, 65, 6, 2287 (2018) B ~ 5 T $f = 2f_c = 0.26$ THz (CW) P~ 1 kW $f = 3f_c = 0.39$ THz (CW) P ~ 0.3 kW Obtained in 2018 – in press



Oscilloscope traces of the electron beam voltage (U), current (I) and rf signal passed through two (250 and 350 GHz) filters



Double-beam gyrotron tests





T.Idehara, M.Glyavin et al. "A Novel THz-Band Double-Beam Gyrotron for High-Field DNP-NMR Spectroscopy", Review of Scientific Instruments, 88, 094708 (2017)





s = 1, P~ 100 W many modes 200 GHz < f < 400 GHz



Pulsed coil gyrotron – excitation of second harmonic

A.V.Savilov, I.V.Osharin, I.V.Bandurkin et al.

A method for suppression of spurious fundamental-harmonic waves in gyrotrons operating at the second cyclotron harmonic

600 GHz*2 ~ 1200 GHz



The starting currents of fundamental harmonic modes can be increased by an order of magnitude Pulsed coil gyrotron – excitation of second harmonic



PAH



IAP RAS CW gyrotron



M.Glyavin, A.Chirkov, G.Denisov, et al., "Experimental tests of a 263 GHz gyrotron for spectroscopic applications and diagnostics of various media" Review of Scientific Instruments 86 (5), 054705 (2018)



263.1 GHz U ~ 15 kV I ~ 0.4 A P ~ 1 kW CW (η= 17%)

Generation regime with low beam current

U ~ 14 kV I ~ 0.02 A P = 10 W (η= 3%)

Frequency stabilization - feedback



PAH

$\Delta f = 1$ Hz, f = 263 GHz, $\Delta f/f = 3*10^{-12}$

Output radiation power about 100 W, which is 3 orders of greater than the power of traditional BWOs, and the spectrum width is 3 orders smaller than the previously known experiments with gyrotrons

A. Fokin et al. "High-power sub-terahertz source with a record frequency stability at up to 1 Hz", Scientific Reports, V. 8, 4317 (2018)



Frequency tuning



Coaxial cavity gyrotron with movable insert rod

The fine frequency tuning about $df/f \sim 3,5\%$ is possible for single mode operation and up to $df/f \sim 5\%$ for step by step excitation of two modes with equal radial indexes for central frequency $f \sim 300$ GHz.



Variation of delay time in pulsed coil gyrotrons



control of diameter



Excitation of several longitudinal modes



Frequency tuning

$f \sim 203 \text{ GHz}, P \sim 1 \text{kW} \text{ d}f \sim 7 \text{ GHz}$ for positronium hyperfine structure measurement

Short-cavity gyrotrons possess a weaker sensitivity to the velocity spread in the electron beam
Required current can be reduced by operation at low transverse modes due to the growth of the electron-wave coupling coefficient

$$\delta \omega = \frac{\omega - \omega_c}{\omega_c} \approx \frac{1}{2} \left(\frac{\lambda}{g^2 L \delta \upsilon_{\perp}} \right)^2 = \frac{\pi^2}{2} \left(\frac{\beta_{\parallel 0}}{\mu \delta \upsilon_{\perp}} \right)^2$$
$$\mu \downarrow \quad \delta \omega \uparrow$$



Frequency tuning ~5% (~10 GHz)



A.Srivastava "Microfabricated Terahertz Vacuum Electron Devices: Technology, Capabilities and Performance Overview" European Journal of Advances in Engineering and Technology, 2015, 2(8): 54-64



S.V.Golubev, V.G.Zorin, A.G.Litvak et al. The Discharge Maintained by High-Power Terahertz Radiation in a Nonuniform Gas Flow Radiophysics and Quantum Electronics, 2014, 56, 8-9, 561-565



Localized (~1 mm) plasma discharge has been realized in a wide range of pressures (0.01 - 1500 Torr) Such a discharge can be used as the pointed source of UV radiation, including the projection lithography – IAP RAS Golubev et al.



extreme ultraviolet signal from p-i-n diode λ~112-180 nm P~ 10 kW



Remote detection

V.L. Granatstein, G.S. Nusinovich Detecting excess ionizing radiation by electromagnetic breakdown of air J. Appl. Phys. 108, 063304 (2010)

- Focusing high-power EM wave in a small spot: the wave field intensity exceeds the breakdown threshold
- If there are some seed electrons, this focused wave initiates the RF breakdown.
- In the case of THz waves, the breakdown-prone volume can be small the breakdown rate in the case of an ambient electron density will be low
- Thus, a high breakdown rate will indicate that in the vicinity of a focused wave beam there are some additional sources of air ionization



Figure 8 | Schematic of a possible setup for the detection of radioactive material inside a container. The distance from the high-power EM source to the breakdown point is $R(m) = 2D^2/\lambda$, where *D* is the size of the antenna's aperture, and λ is the wavelength of the incident beam.

E.Choi et al. Ulsan National Institute of Science and Technology, Ulsan 44919, South Korea



E.Choi et al. Remote detection of radioactive material using high-power pulsed electromagnetic radiation. NATURE COMMUNICATIONS (2017) DOI: 10.1038/ncomms15394

"...distance R varies from 50m to 1 km depending on air condition. Therefore, the detection range of the proposed method is limited according to the air refractive-index irregularities..."



"...We successfully observed plasma breakdown in air at 60 Torr and 760 Torr in the presence of 0.64 mCi of 60Co with incident EM powers of 30 kW at the frequency 95 GHz, that is, around ten times smaller than the power required for plasma breakdown without radioactive material."



Spectroscopy

Low magnetic fields: DNP since 1960s. First DNP experiment with gyrotron: R. Griffin et al., MIT, 1993

NMR frequency	Magnetic field	DNP frequency
400 MHz	9.4 T	260 GHz
600 MHz	14 T	390 GHz
800 MHz	19 T	520 GHz
900 MHz	21 T	585 GHz
1000 MHz	23.5 T	650 GHz

Components for high-field DNP

- Sub-terahertz microwave sources
- Transmission lines
- Cavity compatible with NMR coil



High magnetic fields: lack of

powerful high-frequency sources

for years – DNP is a new

prospective application for



DNP/NMR spectroscopy

R.G. Griffin High Frequency Dynamic Nuclear Polarization The 5th International Workshop on Far-Infrared Technologies (IW-FIRT), Fukui, 2014



http://www.bruker.com/products/mr/nmr/dnp-nmr/overview.html





Spectroscopy (RA detectors)

RAD is spectrometer in which the result of the interaction of the radiation with matter is detected by the change of the parameters of the matter and not of the radiation

High power radiation at the fundamental was accompanied by radiation at harmonics s =2,3,4

G.Nusinovich, A.Pavelev, N.Zavolsky. "Toward a theory of parasitic radiation in gyrotrons", RQE , 1988





FIR FU Medicine: treatment of tumors Gyrotron frequency about 0.2 THz



"..we studied combined treatment, namely a preheating of the tumor by a sub-THz wave and a photodynamic therapy. In this case, the temperature to which the cancerous tissue is heated is significantly lower than the hyperthermia temperature (43 °C).

The results show that such combination significantly increases the efficiency of the treatment.

In these experiments, the mouse number 2 was treated only bv hyperthermia while the mice with numbers 1 and 3, respectively, were subjected to а combination of hyperthermia and PDT."

N Miyoshi, T Idehara, E Khutoryan et al. Combined Hyperthermia and Photodynamic Therapy Using a Sub-THz Gyrotron as a Radiation Source. Journal of Infrared, Millimeter, and Terahertz Waves, 2016



Figure 1. (a) Change in the distribution of 5-methylcytidine at CpG sites in cancer. This epigenetic change can be defined as a chemical change of the whole DNA^[37] and can be observed in most types of cancer. Schematic of (b) cytidine and (c) 5-methylcytidine in DNA. The conversion of (b) to (c) is called DNA methylation.



"...We detected THz molecular resonance fingerprints caused by the methylation of cancer DNA extracted from living cell lines and quantified them to distinguish cancer types. Two major absorption peaks (1.29 THz and 1.74 THz) for methylation were identified."



- Provide single mode gyrotron operation at very highorder modes
- Stabilize frequency while e-beam parameters are not stable
- Enhance efficiency
- Lock frequency and phase / Make several (many?) gyrotrons coherent

Discussions since 1978 (Ergakov, Fliflet, Nusinovich) New round since 2012 (IAP)

- Many (e.g. 20) competing modes
- Realistic switching-on scenario in calc.
- Novel QO input unit

РАН

Beam switching & oscillation locking

Conventional and improved converters of high order TE_{m,n} mode into Gaussian beam





G.Denisov, A.Bogdashov, I.Gachev, S.Mishakin, S.Samsonov New Radiation Input/Output Systems for Millimeter-Wave Gyrotron Traveling-Wave tubes Radiophys. Quantum Electr. 58 (10), 769-776





df~30 MHz (without external signal) df~4 MHz (with external signal)



Particle acceleration

Franz X. Kärtner

DESY - Center for Free-Electron Laser Science, Ultrafast Optics and X-Rays Group, Hamburg, Germany and Department of Physics, The Hamburg Center for Ultrafast Imaging, Universität Hamburg, Germany

THz Acceleration Roadmap for the next 5 years

Year	2019	2020	2021	2022	2023	2024
Driver	Laser Driver	Laser Driver	Gyrotron GHz	Gyrotron GHz	Gyrotron GHz	Gyrotron GHz
Frequency in GHz	300	300	170	170	170	170
Repetition Rate in Hz	10	10	1000	1000	1000	1000
Prim. Pulse Length in ns	0.25	0.5	1000	1000	1000	10000
Pulse Power in MW	8	20	0.03	2	2	5
Pulse Energy in mJ	4 x 2	2 x 10	30	2000	4000	50000
Sec. Pulse Length in ns	0.25	0.5	10	10	10	10
Sec. Peak Power in MW	8	20	3	200	400	5.000
Accel. Field in MV/m	100	200	40	140	200	900
E-Beam Energy in MeV	10	20	60	210	300	1350
Initial Energy in MeV	0.1	0.5	1	2	2	5

MIT initial gyrotron experiment



J.F.Picard, S.C.Schaub, G.Rosenzweig, J.C.Stephens, M.A.Shapiro, R.J.Temkin Laser-driven semiconductor switch for generating nanosecond pulses from a megawatt gyrotron Appl. Phys. Lett. 114, 164102 (2019)





- Gyrotron 110 GHz, 525 kW, 3 μs
- Laser 532 nm, energy 215 mJ, peak intensity at wafer
 3.5 MW/cm², energy density 15.3 mJ/cm²
- 6 ns pulse
- Dual Wafer:

Laser: 120 mJ primary Si wafer + 100 mJ secondary GaAs wafer

- Si: reflected power drops after laser pulse.
- GaAs: reflectance persists for 10 ns after peak laser intensity
- Dual wafer pulse width 3 ns

J.F.Picard, S.C.Schaub, G.Rosenzweig, J.C.Stephens, M.A.Shapiro, R.J.Temkin

Laser-driven semiconductor switch for generating nanosecond pulses from a megawatt gyrotron Appl. Phys. Lett. 114, 164102 (2019)



Rocket Launcher (1 GW ~ 1 MW / 1000 tubes)

Gyrotrons synchronization by "master" tube (control signal a few percent)



Beamed Energy Propulsion Concept





Lab test of rocket at JAEA by Univ. Tokyo team

J. Oda, JAEA, 2012

Rocket Launch – Artist's Concept, NASA A. Murakami, AIAA, 2012



Far future (1 MW / 100 000 tubes synchronization)

Rocket Propulsion Powered by a Gyrotron

Kimiya Komurasaki, Professor, Department of Aeronautics & Astronautics, The University of Tokyo

Requirements for Gyrotrons





Item	value
Receiver diameter	8.5 m
Cutoff altitude	20.6 km
Max. acceleration	15 g
Average Beam Power	194 GW
Duty cycle	0.24
Thrusting time	26.8 sec

97 000 tubes

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Summary

High average and pulsed power Low current - 20 mA operation Low voltage ~ 1.5-2 kV operation Wide fine frequency tuning (up to 10%) and step tuning (up to 100%) High frequency – up to 1.5 THz at fundamental and 1.2 at second harmonic Experimental excitation of high harmonic – up to 5th Methods of improved mode selection High stability – 10⁻¹⁰-10⁻¹²

Rapid increasing of applications

On the East and on the West, powerful THz - gyrotrons are the best !



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Research Center for Development of Far-Infrared Region University of Fukui, Japan









Calabazas Creek Research, Inc.

MIT, CPI, UMD, Bridge 12, Calabazas Creek Research Inc, USA





Centre de Recherches en Physique des Plasmas, Ecole Polytechnique Federale de Lausanne (EPFL)

University of Science & Technology of China



Peking University, China 🗸

Korea Electrotechnology Research Institute (KERI), South Korea

Ulsan National Institute of Science and Technology, South Korea





Institute of Applied Physics Russian Academy of Sciences, Nizhny Novgorod, Russia

V.Bratman, G.Denisov, N.Ginzburg, V.Manuilov, A.Luchinin, A.Kuftin, A.Savilov, V.Zapevalov et al.

Many thanks to all colleagues from IAP and other countries and institutes who take part in such activity and as "mode competition and cooperation in the cavity" push up gyrotron quality.

Thank you for your attention!