Neutrino Physics: New Results and Perspectives (Selected Topics with a Focus on Neutrino Oscillations)

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Conference on Physics of Fundamental Interactions Section of Nuclear Physics of the Division of the Physical Sciences

Russian Academy of Science

Grand Physics Goals and Questions with Neutrino Studies

- Experimental input to the understanding of the matter-antimatter asymmetry in the universe
 - Leptogenesis (a new paradigm?)
 - ¬ Measurement of CPV (δ_{CP}) in the lepton sector
 - Clearer theoretical connection between low E CPV to high E CPV critical
 - Understanding of the neutrino mass and its impact on the evolution of the universe
 - ¬ Measurement of the absolute neutrino mass
 - Cosmological constraints
 - ¬ Is non-zero neutrino mass an evidence for Grand Unification?
 - Seesaw mechanism?
 - Proton decay searches

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Grand Physics Goals and Questions with Neutrino Studies

- Detection and study of astrophysical neutrinos
 - Identifying point sources of astrophysical neutrinos
 - Study of ultra high energy acceleration mechanism
 - Detection of neutrinos from core-collapse supernovae
 - Galactic supernova → detailed study of supernova mechanism
 - Detection of diffuse/relic supernova neutrinos
 - ¬ Neutrino astronomy
- Determining Dirac or Majorana nature of neutrino
 - ¬ Neutrino-less double beta decay experiments
- Exploration of new physics
 - ¬ Precision measurements of all neutrino oscillation parameters
 - Test of PMNS framework
 - Search for sterile neutrinos, non-standard interactions, etc.

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Pontecorvo-Maki-Nakagawa-Sakata (PMNS) Lepton Mixing Matrix (a la CKM matrix)



If v is majorana particle, 2 more extra (Majorana) phases # of extra phases = N - 1 (w/ N = 3)

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3-flavor Neutrino Oscillations (in Vacuum)

In general,

$$P_{\alpha \to \beta} = \delta_{\alpha \beta} - 4 \sum_{i>j} \Re \left(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4 E} \right)$$
$$+ 2 \sum_{i>j} \Im \left(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin \left(\frac{\Delta m_{ij}^2 L}{2 E} \right)$$

For three generation, v_e appearance (accelerator experiments)

 $P(v_{\mu} \rightarrow v_{e}) = \sin^{2}\theta_{23} \sin^{2}2\theta_{13} \sin^{2}\frac{\Delta m_{32}^{2}L}{4E_{v}} + \text{subleading terms}$

Full appearance probability includes term that goes as $sin(\delta)$:

 $\propto \pm \sin \theta_{12} \sin \theta_{13} \sin \theta_{23} \sin \delta$

Sensitivity to CPV- δ

Complementary

Sign flip for neutrino vs. antineutrino

Need non-zero value for all three mixing angles including θ_{13}

For anti- v_e disappearance (reactor experiments)

$$P(v_e \rightarrow v_e) = 1 - 4C_{13}^2 S_{13}^2 \Box (C_{12}^2 \sin^2 \Delta_{13} + S_{12}^2 \sin^2 \Delta_{23}) + 4S_{12}^2 C_{12}^2 C_{13}^4 \sin^2 \Delta_{12}$$

No CPV- δ dependence, Pure θ_{13} measurement

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CPV term



v_e Appearance Including Matter Effect

 $P(\nu_{\mu} \to \nu_{e}) \sim \sin^{2} 2\theta_{13} \times \sin^{2} \theta_{23} \frac{\sin^{2}[(1-x)\Delta]}{(1-x)^{2}} \\ -\alpha \sin 2\theta_{13} \times \sin \delta \sin 2\theta_{12} \sin 2\theta_{23} \sin \Delta \frac{\sin(x\Delta)}{x} \frac{\sin[(1-x)\Delta]}{(1-x)} \\ +\alpha \sin 2\theta_{13} \times \cos \delta \sin 2\theta_{12} \sin 2\theta_{23} \cos \Delta \frac{\sin(x\Delta)}{x} \frac{\sin[(1-x)\Delta]}{(1-x)} \\ +\alpha^{2} \times \cos^{2} \theta_{23} \sin^{2} 2\theta_{12} \frac{\sin^{2}(x\Delta)}{x^{2}}$

 $\label{eq:alpha} \text{M. Freund, Phys.Rev. D64 (2001) 053003} \quad \alpha \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \sim \frac{1}{30} \quad \Delta \equiv \frac{\Delta m_{31}^2 L}{4E} \quad \ x \equiv \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$

• The leading term contains $\sin^2\theta_{23}$ (not $\sin^22\theta_{23}$)

¬ Sensitivity to the θ_{23} octant (θ_{23} > 45°, θ_{23} = 45°, θ_{23} < 45°)

- 1st order in α term contain $\sin \delta \rightarrow CP$ odd term
 - Allows CPV measurements in the lepton sector.
 - Suppressed compared to the leading term
- All terms contain x and Am²₃₁ which change sign for anti-v and inverse mass hierarchy
 - Allows experimental determination of mass hierarchy through matter effect

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A Summary of Neutrino Oscillation Parameter Measurements

Parameter	best-fit	3σ				
$\Delta m_{21}^2 \ [10^{-5} \text{ eV}^2]$	7.37	6.93 - 7.96		PDG 2018	8 Summar	У
$\Delta m^2_{31(23)} \ [10^{-3} \text{ eV}^2]$	2.56(2.54)	2.45 - 2.69 (2.42 -	2.66)			
$\sin^2 \theta_{12}$	0.297	0.250 - 0.354	m^2		m^2	2
$\sin^2 \theta_{23}, \ \Delta m_{31(32)}^2 > 0$	0.425	0.381 - 0.615	↑	$\theta_{23} > 46 v_g$	$\left[1 \right]$	
$\sin^2 \theta_{23}, \ \Delta m^2_{32(31)} < 0$	0.589	0.384 - 0.636			Inverted	2
$\sin^2 \theta_{13}, \ \Delta m_{31(32)}^2 > 0$	0.0215	0.0190 - 0.0240	<i>m</i> ₃ ²	or < 45°	√~7.4x10 ⁻⁵ eV ²	m_2^2
$\sin^2 \theta_{13}, \ \Delta m_{32(31)}^2 < 0$	0.0216	0.0190 - 0.0242			hixing may	"1
δ/π	1.38(1.31)	2σ : (1.0 - 1.9)	m2 ²	CAndigatea	2.5x Rusedto	r?
		$(2\sigma: (0.92-1.88))$	m_1^2	<u>↑ ~7.4x10/2012</u> Sy	mmetry _,	m ₃ ²
Recall, $P(v_{\mu} \rightarrow v_{\mu})$	e)			?	?	
∝ leading term +					0	
+ term(sin θ_{12} sin θ_{23} sin θ_{13} sin δ_{CP}) Critical for the v-less double-B						
Why is nature so kind to us? decay searches \rightarrow determination of						
the Majorana-nature of v						

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In collider physics, theory has led experiment for the past decades, on the contrary in neutrino physics, experiment has led theory, especially in recent decades. (ckj's personal opinion)

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Mixing Matrices

Why are the mixing matrices for the lepton sector and quark sector so much different?

$$U_{CKM} \approx \begin{pmatrix} 0.97 & 0.23 & 0.00 \\ 0.23 & 0.97 & 0.04 \\ 0.008 & 0.04 & 1 \\ \delta = 60 \end{pmatrix}$$

$$U_{PMNS} \approx \begin{pmatrix} 0.8 & 0.55 & 0.15 \\ -0.4 & 0.6 & 0.7 \\ 0.4 & -0.6 & 0.7 \\ \delta = ? \end{pmatrix}$$

For updated PMNS matrix values see:

I. Esteban, M. Gonzalez-Garcia, M. Maltoni, et al., J. High Energ. Phys., p. 87, 2017.

→ No change in the overall picture

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Spin 1/2 Matter Field Particle Mass Spectrum





Matter/Anti-Matter Asymmetry in the Universe and Baryogenesis



Sakharov's 3 Conditions:

- At least one baryon number violating process e.g. sphaleron process
- 2) C- and CP-violation
 - Interactions outside of thermal equilibrium
 - The observed CP-violations in the quark sector are not enough to explain the large asymmetry

Particle Data Group, LBNL, © 2013. Supported by DOE and NSI



Today (t = 13.8 Gy)

What happened?

No antimatter

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Leptogenesis

- Generate a large lepton excess through CPV in the lepton sector
 - ¬ CPV decay of heavy Majorana neutrinos of masses related to the GUT scale, M_{GUT} ~ 10¹⁶ GeV (required by the seesaw mechanism as in SO(10))



$$\varepsilon = \frac{\Gamma(N_1 \to v_i H) - \Gamma(N_1 \to \overline{v_i} H)}{\Gamma(N_1 \to v_i H) + \Gamma(N_1 \to \overline{v_i} H)} \neq 0$$

- Use B L conserving sphaleron interactions (transitions between gauge vacua not by tunneling but through thermal fluctuation over the barrier) to turn lepton excess to baryon excess
- Became a new paradigm to explain matter-antimatter asymmetry
- Strong motivation to look for CPV in the lepton sector
- Currently the only viable way to search for CPV in the lepton sector experimentally is through neutrino oscillation processes

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Remaining Unknown Neutrino Properties

- θ_{23} > 45°, = 45° (maximal) or < 45°
 - \rightarrow maximal mixing may indicate a profound hidden symmetry
- δ_{CP} (≠ 0, i.e. CPV?)
- Mass ordering (NH or IH?)
- Absolute m_v
- Dirac/Majorana
- Any sterile v



The T2K (Tokai to Kamioka) Experiment (http://t2k-experiment.org/)



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T2K Data Taking in Neutrino and Antineutrino Beam Modes



- Total POT for physics: 1.63 x 10²¹ (nu-mode), 1.65 x 10²¹ (antinu-mode)
 → 3.29 x 10²¹ ~ 42% of the total approved POT (7.8 x 10²¹)
- Recently (Jan. 2020) ran stably at 500 kW beam power (design: 750 kW)

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Observed Energy Spectra of 5 Samples





NOvA Muon Neutrino and Antineutrino Disappearance



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NOvA Electron Neutrino and Antineutrino Appearance



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Results on $\theta_{23} \& \Delta m_{32}^2$ (2019) – NNN19



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T2K 3 σ Exclusion of δ_{CP}

- Obtain 3_o confidence intervals using T2K Run 1-9 data
 - Closed 3σ intervals for both NO and IO
 - \neg 3 σ exclusion of CP-conserving values in IO
- Accepted for publication in Nature

 ¬ arXIv: 1910.03887 [hep-ex]
 → Expected to be published soon





Bi-Event Rate: A Global Look at the T2K Data



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Bi-Event Rate: NOvA Data



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SuperK Atmospheric Neutrino Results



L. Wan, NNN19



Looking into the Future ...

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T2K ND280 Upgrade

- Current ND280: excellent performance
 - Critical input for OA, and rich data for cross-section measurements and interaction model studies
 - Limited acceptance by design
 - High efficiency only for mostly forward direction
- ND280 Upgrade: improved performance to reduce sys. errors (<4%) for demanding CPV measurement
 - ¬ Improved kinematic acceptance to cover the full $\cos \theta$ range
 - Larger target mass: ~2.2 tons
 - High efficiency for short tracks
 - Improved vertex determination
 - Lower energy threshold, especially for protons and pions
 - e/γ separation in target
 - Good timing (<1 ns) to determine direction



Two new High-Angle TPCs to SuperFGD by INR A highly segmented Scintillator Detector (SuperFGD is the baseline technology) TOF planes all around No changes to the downstream detectors, nor the Ecal

SuperF

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Upgrade ND280
→strong contribution

T2K SuperFGD

- Fully active target (2.2 tons)
 - ¬ Plastic scintillator + WLS fiber + MPPC
 - 1x1x1 cm³ scintillator cubes assembled in rows and columns
 - Provide 3D projected views w/ fine segmentation
 - \neg 4 π acceptance w/ low momentum threshold for protons (~300 MeV)
 - Possible event-by-event neutron KE measurement using TOF
- Synergy w/ proposed DUNE ND 3DST



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Successful large scale

assembly at INR, Russia

JUNO

The Jiangmen Underground Neutrino Observatory (JUNO)

will be the largest liquid scintillator detector an it is under construction in China.

- ~ <u>20 ktons of liquid scintillator</u> contained in an <u>Acrylic Sphere of 35.4 m in diameter</u>
- ~53 km from two nuclear power plants: Yangjian and Taishan



Experiment	Daya Bay	Borexino	KamLAND	JUNO	
LS mass (tons)	20 /detector	~300	~1,000	20,000	
Nb of collected p.e. per MeV	~160	~500	~250	~1200	E Catano-Mu
Energy resolution @ 1 MeV	~7.5%	~5%	~6%	~3%	NNN19

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JUNO Goals on Oscillation Parameters

JUNO will measure the <u>3 Oscillation parameters</u> at a subpercent precision level

In particular the <u>solar oscillation parameters</u> $[\Delta m_{21}^2 \text{ and } \sin^2(2\theta_{12})]$ in order to solve the <u>tension between solar v_e and KamLAND</u> results



Oscillation parameters	Current precision at 1σ level *	JUNO only**
Δm ₃₁ ²	~1.6%	~0.5%
Δm_{21}^2	~2.3%	~0.6%
$\sin^2(2\theta_{12})$	~5.8%	~0.7%
Mass hierarchy	N/A	3-4 σ
sin² (θ ₁₃)	~3.9%	~15%

* M. Tanabashi et al. (PDG), Phys. Rev. D 98, 030001 (2018).

** JUNO collaboration, J. Phys. G 43 (2016) no.3, 030401

Controlling energy scale and energy resolution would be the key to the success of the experiment -ckj *E.*

E. Catano-Mur NNN19

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JUNO Timeline



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The Deep Underground Neutrino Experiment (DUNE) at the Long Baseline Neutrino Facility (LBNF)



DUNE/LBNF Beam Line



v Energy (GeV)

LBNF Cavern Configuration for the DUNE Far Detector: LArTPCs

Each Cryostat holds 17.1kt LAr

Free standing steel supported membrane cryostat design

CERN-FNAL design team

FD Site Ground Breaking Ceremony Actual underground site, July 21, 2017

Central utility cavern

Cryostat 3

Central Utility Cavern holds Cold boxes, LN2 dewars, booster compressors, LAr/GAr filters

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Cryostat 4

10 kt Single-Phase Far Detector Reference Design



LAr Detector Module Characteristics

- 17.1/13.8/11.6 Total/Active/Fiducial mass
- 3 Anode Plane Assemblies (APA) wide (wire planes)
 - Cold electronics 384,000 channels
- Cathode planes (CPA) at 180kV
 3.6 m max drift length
- Photon detection for event interaction time determination for underground physics
 The first 10 kt module will be a single-phase LArTPC

Liquid Argon Time projection chamber with both charge and optical readout.

First 10kt detector will be single phase



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DUNE ND Current Concept Configuration (A robust system of complementary subsystems)

Scintillator based Spectrometer (SAND)

- 3DST+low den. tracker
- KLOE ECAL & magnet
- On-axis beam monitor
- High stat on C target

event-by-event neutron detection and energy measurement



Multi-Purpose Detector

- HPgTPC (high res. & low E threshold on Ar target)
- ECAL (high performance)
- B-field (spectrometry of the exiting muons from LArTPC)

LArTPC as FD

- Modular design w/ pixel readout
- High Stat on Ar target
- No B-field





DUNE CPV Sensitivity



2019 Updated Sensitivities

5σ discovery of CP violation in
 7 years of running, if δ_{CP} ~ -π/2

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DUNE Mass Hierarchy/Ordering Sensitivity



- DUNE will definitely determine the mass hierarchy
- For favorable CP phase this can be achieved within a few years

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DUNE/LBNF Selected Timeline

- End 2018: Successful completion of ProtoDUNE-SP
- July 2019: Completion of FD TDR (~2,000 pages, 5 volumes)
- Sep 2019: ProtoDUNE-DP First track
- Mid 2010: DOE CD2/3b Review of LBNF and DUNE US FD scope
 - \neg Baselining of the US project \rightarrow defines the final schedule
- End 2020: Near Detector TDR
- 2021/2022: Post-LS2 running of ProtoDUNE
- 2024: Start of FD module 1 installation
- 2025: Start of FD module 2 installation

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DUNE Summary

- Fermilab has developed unprecedented strong partnership with CERN intertwining LHC & neutrinos
 - CERN is investing **outside of Europe** for the first time in 60 years
- Many international funding agencies informed and involved
 - ¬ Numerous agreements with countries/funding agencies and individual institutions around the world, including iCRADA w/ Chung-Ang University
- Project (DUNE/LBNF) enjoys strong DOE, administration, and congressional support in U.S.
- Strong international interest to participate in design and construction of LAr TPC far detectors, hybrid near detector, and MW-class neutrino beam
 - Significant progress on responsibility matrix
- The stunning success of ProtoDUNE-SP makes the future very bright
- Near Detector design is being finalized for CDR and TDR
- Pre-excavation construction at the FD site is fully underway
- Final design of the FD facility is complete

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HYPER-KAMIOKANDE:

	Super-K	Hyper-K
Site (overburden)	Mozumi, 1,000m	Tochibora, 650m
Number of ID PMTs	11,129	40,000
Photo-coverage	40%	40% (x2 sensitivity)
Total / Fiducial Mass	50 / 22.5 <u>kton</u>	260 / 187 kton



Hyper-Kamiokande (hosted by the University of Tokyo)

High power proton beams J-PARC (hosted by KEK)





1. Hyper-K detector to be built with 8.4 times larger fiducial mass (190 kiloton) than Super-K and to be instrumented with double-sensitivity PMTs.

2**95 km**

- 2. J-PARC neutrino beam to be upgraded from 0.5 to 1.3 Mega Watt
 - x8.4 Natural Neutrino Rate and x22 Accelerator Neutrino Rate
- New and upgraded near detectors to control systematic errors 3.

M. Shiozawa



Broad Science and Discovery potential

✓ Complehensive v oscillation study

✓ By accelerator, atmospheric, and solar v
 ✓ incl. CP violation, Mass hierarchy
 determination

\checkmark Neutrino Astronomy to explore

- Solar v_e : ~2 σ tension in Δm_{21}^2 w/ reactor. Hep v measurement.
- Supernova v burst w/ reach to ~Mpc
 - Explosion mechanism: <u>v</u> plays key role to achieve explosion

•~1° pointing accuracy \rightarrow Alert for multimessenger astronomy

- SN diffuse <u>v</u>
 - History of start formation and heavy nucleus

✓ Proton Decay discovery

✓ Aim to extract unification scale, gauge group 4 etc







OFFICIAL APPROVAL:

• The supplementary budget for FY2019 which includes the first-year construction budget of 3.5 billion yen was approved by the Japanese Diet.

(The University of Tokyo) <u>http://www.icrr.u-tokyo.ac.jp/en/news/8503/</u> (KEK) <u>https://www.kek.jp/en/newsroom/2020/02/12/0930/</u> (J-PARC) <u>http://www.j-parc.jp/c/en/topics/2020/02/12000416.html</u>

- The Hyper-K project has officially started. The operations will begin in 2027 after ~7 years construction.
- We are open to participation of new countries/institutes to the collaboration:





International Cooperation M. Shiozawa

Inner-Detector

Outer-Detector



Elec./DAQ



THE PERSON PERSON

Water system beam-line upgrade Near/Intermediate Det.

Lorestr Hillington	Port and to fair fairly
10/00 0 5/00	h
78 Million R	8
Reptio	
181	
iden Bister	Hit tank 2000 m3





Many area where international contributions are needed.

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CONSTRUCTION 2020:

HK Cavern 484 神岡町和佐保 **Access tunnel** 神岡町東町 **Entrance Yard** 房院 Prefecture Road 484 Public road **Public road** ale

- Geological survey for detailed cavern design
 - 1 year for borehole coring, in-situ rock stress, in-situ rock property meas. etc.

M. Shiozawa

- Access tunnel designing
- Entrance yard const.
 - Yard leveling, power distribution, water supply, waste water treatment facility

PMT production start

6 year production time is anticipated.





Conclusions

- Two great decades for neutrinos w/ 4 Nobel Prizes & 5 Breakthrough Prizes
 - Reflection of the exciting and remarkable progresses made in the last two decades
- Physics goals for the post non-zero θ₁₃/v_e appearance era are now clearly defined for the world neutrino oscillation community
 - ¬ Determination of δ_{CP} , mass hierarchy and θ_{23} (=45, <45, >45?)
 - We may have an initial hint that $\delta_{CP} = -\pi/2$
 - T2K and NOvA will lead the world in determining these parameters at least for the next several years
 - NOvA-T2K Joint Fit
 - SuperK-T2K Joint Fit
 - Next generation experiments such as JUNO, DUNE and HyperK are poised to follow within this decade in order to ensure the 5o discoveries these parameters and further precision measurements of other neutrino oscillation parameters

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Conclusions

- Neutrino oscillation (i.e. the existence of massive neutrino states) is the only phenomena beyond the Standard Model observed in laboratory venue today
- Measurement of CPV in the lepton sector will provide critical experimental input to our understanding of the matter antimatter asymmetry in the universe
- Nature kindly gave us the non-zero neutrino mixing angles and v_e appearance in order for us to be able to probe CP violation







Nuptse (7861 m

Enster (850) m)

T2K pass Camp 2

NOvA @ Camp 1

27°5943" N 35°5552" O

eurseins

Global Race to Reach the Summit!

Base Camp DUNE, HyperK



JNO

The End

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