# Cosmology and particle physics: current status

#### Valery Rubakov

Inst. Nuclear Research, Russian Acad. Sci.

Dept. of Particle Physics and Cosmology Physics Faculty, Moscow State University





## Outline

Issues of interest to (current) particle physics

- Dark matter
  - Astrophysics
  - Candidates

Historical order of personal prejudice:

- Thermal WIMPs (in brief)
- Sterile neutrino
- Axions and ALPs
- Baryon asymmetry
- Instead of conclusion

### Dark matter

- Cold dark matter, CDM: non-relativistic already at very early cosmological epoch ("always")
- Weakly interacting CDM: currently most popular option
- ▶  $\Lambda$ CDM: Universe filled with cold dark matter, cosmological constant  $\Lambda$  and usual matter + zero spatial curvature.

#### Standard Model of cosmology.

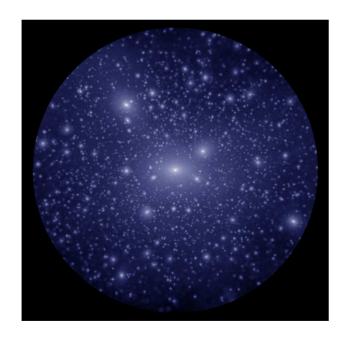
• ACDM consistent with all we know about the Universe from 15 Gpc (size of visible Universe) to 100 kpc (size of a galaxy like ours).

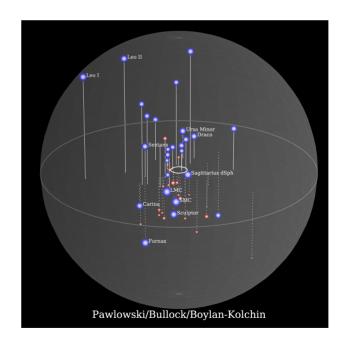
NB: Di Valentino, Melchiorri, Silk, Nov. 2019, claim that spatial curvature is non-zero. Too premature, likely systematic effects.

# Dark matter: Astrophysics

Clouds over weakly interacting CDM?

Missing satellite problem CDM simulations show hundreds of smaller halos around Milky Way, while not so many dwarf galaxies are observed





Bullock, Boylan-Kolchin' 17 250 kpc around Milky Way

#### Astrophysical solution

- Observed number is no longer very small Dozen faint satellite galaxies until several years ago  $\Longrightarrow$  about 60 today  $\Longrightarrow$  complete sample will have 150 300.
- Small halos,  $M \lesssim 10^9 M_{\odot}$ , are inefficient in forming luminous component. Confirmed by simulations.

#### If so

- Numerous ultra-faint galaxies  $M \gtrsim 10^8 M_{\odot}$
- Dark small halos  $M \gtrsim 10^6 10^7 M_{\odot} \Longrightarrow$  strong gravitational lensing, stellar streams

To be decided in  $\sim 10$  years, especially with LSST (Large synoptic survey telescope)

Particle physics solution: Warm dark matter e.g. relic of mass  $m_{\chi} \sim$  a few keV in kinetic equilibrium in early Universe. Decouples relativistic, free streams until  $T \sim m_{\chi} \Longrightarrow$  perturbations of comoving size smaller than horizon at  $T \sim m_{\chi}$  are smoothed out. Candidate: sterile neutrino (later on).

#### More clouds over weakly interacting CDM?

• Core-cusp problem CDM simulations show singular density at centers of galaxies (cusps,  $\rho \propto 1/r$ ) while observations show smooth cores.

Astrophysical solutions: Effects due to baryons. Particle physics solution: Strongly interacting dark matter, SIMP. Mean free path  $l \sim 1$  kpc, mass density  $\rho \sim \text{GeV/cm}^3 \implies$ 

$$\frac{\sigma_{\chi\chi}}{m_{\chi}} \sim 10^{-24} \text{cm}^2/\text{GeV}$$

t-channel exchange of light mediator  $V: m_V \sim 10-100$  MeV. It must decay into  $e^+e^-$ ,  $\gamma\gamma$  (mixing with  $\gamma$ , Z or Higgs)  $\Longrightarrow$  SHiP.

Yet another particle physics solution: Fuzzy dark matter.

Hu, Barkana, Gruzinov' 00 Hui, Ostriker, Tremain, Witten' 17

Boson of mass  $m_{\chi} \sim 10^{-22} \text{ eV}$ 

Oversimplified picture:

De Broglie wavelength  $\sim 1~\rm kpc$  at  $v_\chi \sim 10~\rm km/s \Longrightarrow \rm structures$  of small sizes suppressed.

Non-thermal production: coherently oscillating scalar field.

In principle detectable through pulsar timing!

Khmelnitsky, VR' 14

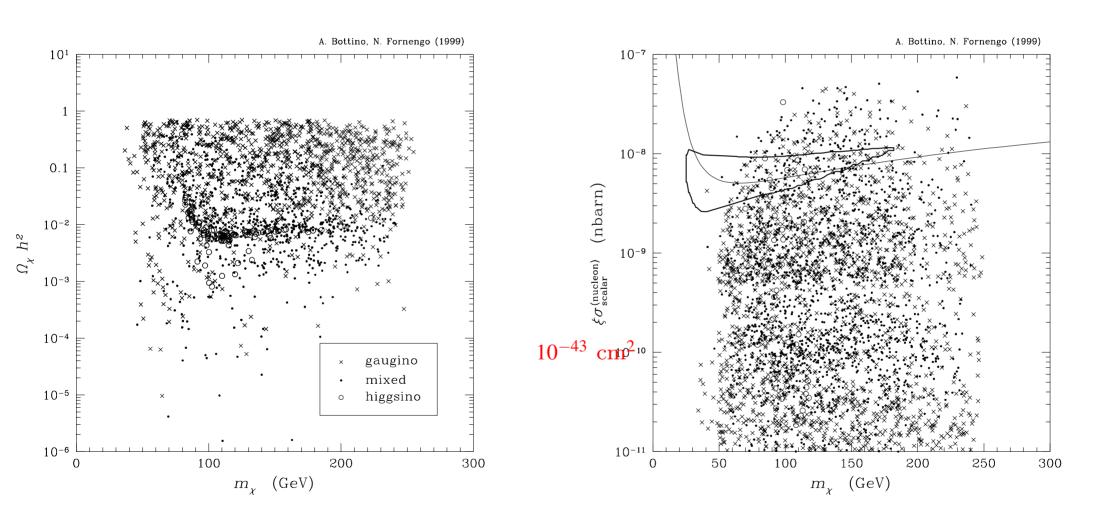
# Summary of astrophysics

- It will soon become clear whether small scale "anomalies" are real or not.
  - If real: need particle physics solutions. Least contrived: WDM
  - If not: confirmation of weakly interacting CDM (especially by observing small non-luminous clumps  $M \sim 10^5 10^7 M_{\odot}$ ).

# Thermal WIMPs: still an option, BUT

#### SUSY WIMPs 20 years ago

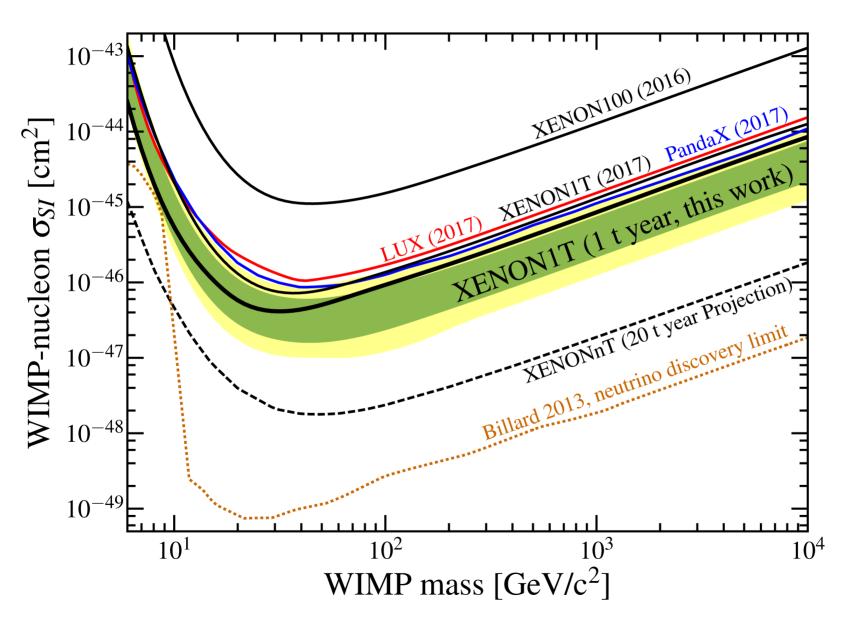
Direct detection (spin independent) expectations and limits



Bottino, Fornengo' 1999

# Today: Xenon-1T, PandaX, LUX

Spin-independent, direct detection



## Conclusion on WIMPs

- More constraints:
  - indirect searches for WIMP annihilation in center of Sun, Earth

$$X\bar{X} \to \pi^{\pm}, K^{\pm}, \dots \to \nu\bar{\nu}$$
  
Baikal GVD, IceCube

 Search for high energy gammas from WIMP annihilation is cosmos

Fermi-LAT, Magic, HESS

**▶** Today: WIMP option squeezed.

Parameter space in concrete models is often strongly constrained.

This does not mean much: we are after one point in the parameter space of one theory.

Perspective: Hunt continues, but options other than thermal WIMP become more and more interesting.

## Sterile neutrinos

- Needed to give masses to ordinary neutrinos
- One sterile neutrino species may be light. Seemingly, nothing wrong with  $m_{\nu_s} = \text{a few keV} - \text{a few MeV}$ 
  - Not well motivated by see-saw
- Production in early Universe through mixing with ordinary neutrinos (say,  $v_e$ ), mixing angle  $\theta_s$ .

Lifetime longer than age of Universe  $v_s \rightarrow 3v$ :

$$\theta_s^2 \lesssim 10^{-7} \left(\frac{50 \text{ keV}}{m_{\nu_s}}\right)^5$$

This is why  $v_s$  must be light.

• Particularly interesting case:  $m_{\nu_s} = \text{a few keV}$ :

Warm Dark Matter

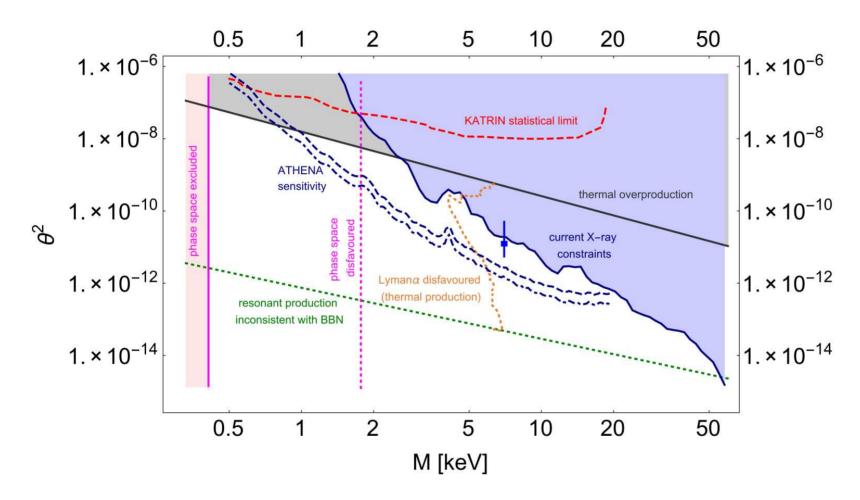
# Non-resonant thermal production mechansim, $v \rightarrow v_s$ in early Universe:

$$\Omega_s \simeq 0.2 \cdot \left(\frac{\sin 2\theta_s}{10^{-4}}\right)^2 \cdot \left(\frac{m_{\nu_s}}{1 \text{ keV}}\right)$$

But  $v_s \to v\gamma \Longrightarrow$  Search for photons with  $E = m_{v_s}/2$  from sky.

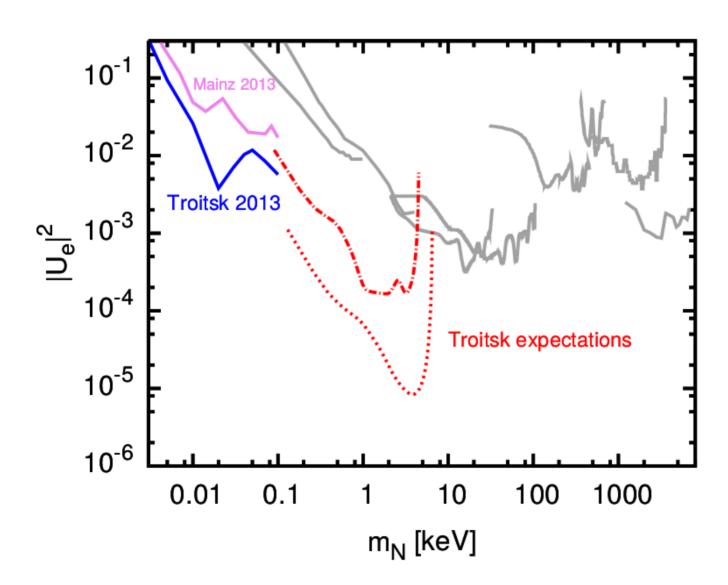
$$\frac{N}{\sin \theta}$$
  $v$   $l^{\mp}$   $v$   $W^{\pm}$ 

# Search for photons with $E = m_{V_s}/2$



Straightforward version of scenario ruled out
But more contrived (assuming lepton asymmetry or phase
transition) does not

# Laboratory search: long way to go



## Conclusion on sterile neutrinos

- Fairly contrived (small  $m_{V_s}$ , complicated production mechanism), but not impossible.
- Search in terrestrial experiments notorously difficult.
- Possible signal: gamma-line with  $E = m_{V_s}/2$  from the sky. NB: 3.5 keV gamma-line controversy unresolved.
- Will gain support if small-scale astrophysical anomalies are confirmed.

## Axions

- Reasonably well motivated: solution to strong CP-problem.
  - ▶ NB: Light axion is no longer a must: heavy (GeV TeV) axion may do the job as well
- Light axion: one unknown parameter, axion decay constant = PQ scale,  $f_{PQ}$ ,

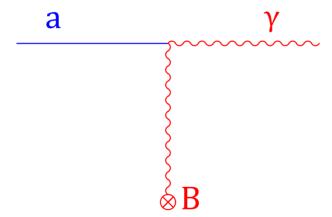
$$m_a f_{PQ} = (m_{\pi} f_{\pi})/2 = 6 \cdot 10^{-3} \text{ GeV}^2 \implies m_a = 6 \,\mu\text{eV} \cdot \left(\frac{10^{12} \text{ GeV}}{f_{PQ}}\right)$$

- ullet Non-thermal production  $\Longleftrightarrow$  cold dark matter even for very light axion.
- Axion production just right for  $m_a = 10^{-4} 10^{-6}$  eV. NB: Precise input from theory missing!

#### Search

$$a\gamma\gamma$$
 interaction  $C_{a\gamma\gamma}\frac{\alpha}{2\pi}\frac{a(x)}{f_{PQ}}(\vec{E}\cdot\vec{H})$ 

Conversion of DM axion into photon in magnetic field in a resonant cavity.  $10^{-6}$  eV/ $2\pi = 240$  MHz.

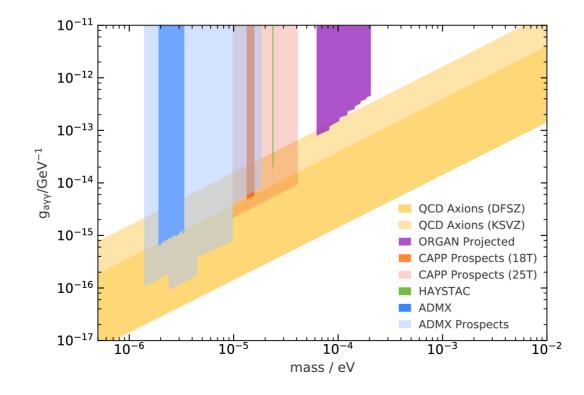


Need high Q resonator to collect photons, narrow bandwidth, go small steps in  $m_a$ . Long story. ADMX since 1990's.

#### New efforts in axion searches:

- CAPP, axion-photon conversion in magnetic field,  $m_a = (3 \cdot 10^{-6} 10^{-4}) \text{ eV};$
- MADMAX, axion-photon conversion at boundaries of dielectric discs in magnetic field  $m_a \gtrsim 4 \cdot 10^{-5}$  eV
- CASPEr, time-varying EDM of nuclei in oscillating axion background ⇒ spin precession,  $m_a \lesssim 10^{-9}$  eV

All aim at dark matter QCD axions



# Axion-like particles, ALPs

Axions:  $m_a f_a = (m_{\pi} f_{\pi})/2 = 6 \cdot 10^{-3} \text{ GeV}^2$ 

ALPs: No relationship between  $m_a$  and  $f_a$ .

Possible origin: pseudo-Nambu-Goldstone bosons of approximate global symmetry

Coupling to photons

$$C_{a\gamma\gamma} \frac{\alpha}{2\pi} a(x) (\vec{E} \cdot \vec{H})$$

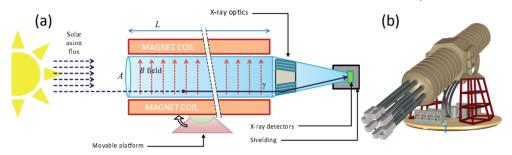
Coupling to SM fermions f through Higgs:

$$C_{aff}aH\bar{f}f \implies C_{aff}\langle H\rangle a\bar{f}f$$

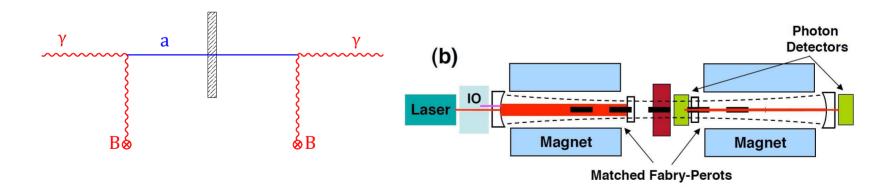
Large symmetry breaking scale  $f_a \implies \text{small } C_{a\gamma\gamma}, C_{aff} \propto f_a^{-1}$ .

# ALP searches, present and future

- Haloscopes ALPs from dark matter halo: ADMX, CAPP, MADMAX, CASPEr
- Helioscopes ALPs from the Sun: CAST, IAXO, TASTE



■ Light shining through wall, ALPS I, ALPS II



Beam-dump searches: SHiP

## Conclusion on axions

- Axions, ALPs are promising DM candidates.
- Not very constrained for the time being.
- A lot of experimental effort in near future.
- Theory lags behind, despite considerable development.

## Dark matter summary

- With exception of axions/ALPs, well-motivated candidates are strongly constrained already.
  - This does not mean much: we are after one point in the parameter space of one theory.
- Still, it is worth looking for less-motivated/ad hoc candidates.

  This happens already: NA64, SHiP, Troitsk nu-mass, Katrin, ...
- Astrophysics/cosmology may well give hints towards the nature of DM
- One cannot rule out nightmare: gravitino, Wimpzilla, ...

# Baryon asymmetry of the Universe

Q: Can electroweak baryon number non-conservation ("sphalerons") be used to generate baryon asymmetry at  $T \lesssim 100$  GeV?

A: Not in the Standard Model

- $\blacksquare$  Sakharov condition # 2: CP-violation. CKM too weak.
- Sakharov condition # 3: departure from thermal equilibrium. Universe expands slowly. Expansion time

$$H^{-1} \sim 10^{-10} \text{ s}$$

Too large to have deviations from thermal equilibrium?

# Chance: 1st order phase transition, highly inequilibrium process

Electroweak symmetry is restored,  $\langle \phi \rangle_T = 0$  at high temperatures

Just like superconducting state becomes normal at "high" T

#### Transition may in principle be 1st order

1st order phase transition occurs from supercooled state via spontaneous creation of bubbles of new (broken) phase in old (unbroken) phase.

Bubbles then expand at  $v \sim 0.1c$ 

Beginning of transition: about one bubble per horizon

Bubbles born microscopic,  $r \sim 10^{-16}$  cm, grow to macroscopic size,  $r \sim 0.1 H^{-1} \sim 1$  mm, before their walls collide

Boiling Universe, strongly out of equilibrium

$$\phi = 0$$
 $\phi \neq 0$ 

Baryon asymmetry may be generated in the course of 1st order phase transition, provided there is enough *C*- and *CP*-violation.

#### This does not happen in SM

• Given the Higgs boson mass  $m_H = 125$  GeV no phase transition at all; smooth crossover

### What can make EW mechanism work?

- Extra bosons
  - Should interact fairly strongly with Higgs(es)
  - Should be present in plasma at EW epoch
     physics at or below TeV scale
- Plus extra source of CP-violation. Better in Englert–Brout–Higgs sector  $\Longrightarrow$  Several scalar fields
  - Electric dipole moments of neutron and electron.
  - Recent limit  $d_e < 1.1 \cdot 10^{-29} \ e \ \text{cm} \ (\text{ACME}) \ \text{kills many}$  concrete models

More generally, EW baryogenesis requires complex dynamics in EW symmetry breaking sector at  $E \sim (\text{a few}) \cdot 100 \text{ GeV}$ 

COLLIDER'S FINAL WORD

# Another possibility

Baryon asymmetry generated in production and oscillations of sterile neutrinos  $m_{\nu_s}$  in GeV range.

Until a few years ago this was considered contrived: nearly degenerate sterile neutrinos,

$$\frac{M_1 - M_2}{M_1 + M_2} \lesssim 10^{-3}$$

Now it is understood that with 3 sterile neutrino species, all in GeV range, degeneracy is not needed.

Viable models  $\Longrightarrow$  fairly large  $v_s - v_\mu$  mixing  $\Longrightarrow$   $v_s$  production in D-, B-decays.

Chance for BELLE-II, LHC-B and especially SHiP.

# Summary of baryogenesis

■ It is likely that baryogenesis is due to physics at scales well above 1-10 TeV.

Very hard/impossible to probe directly.

Indirect evidence will be inconclusive. In particular, CP-violation in active neutrino sector or elsewhere at achievable energies will not be directly relevant.

● There remains a (slim?) chance that physics behind baryogenesis can be discovered in terrestrial experiments.

At least in the case of electroweak baryogenesis, studies at energy frontier are crucial.

## Instead of conclusion

Astrophysics and cosmology posed profound questions to particle physics.

Result of persistent effort during more than 25 years:

**CONFUSION** 

Adequate approach today:

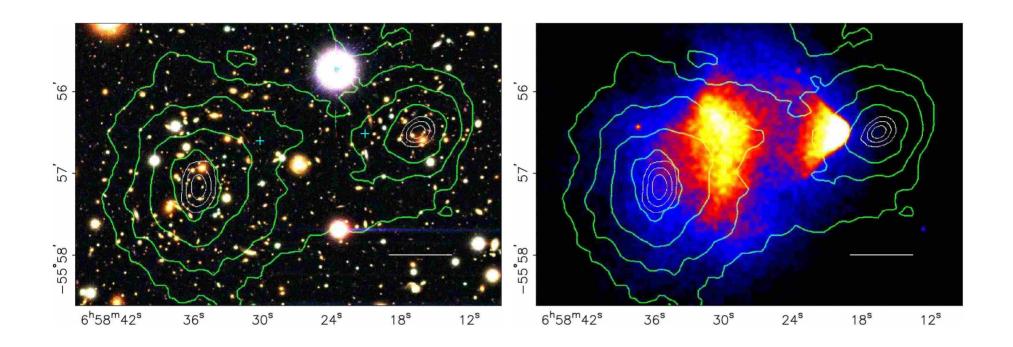
#### DIVERSITY

At most handful of discoveries for entire community.

But the questions are worth the effort.

# Backup slides

## SIMP: tension with Bullet cluster



Contours: distribution of mass.

Color: distribution of baryons, hot gas

Dark matter scattering cross section

$$\sigma_{\chi\chi} < 10^{-24}~\mathrm{cm}^2$$

# Direct detection limits, LHC limits on SUSY $\Longrightarrow$ SUSY WIMP is even less attractive option than before.

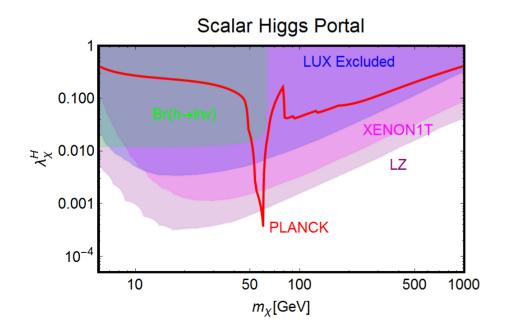
Ad hoc wimps. Main annihilation channels  $\iff$  portals.

Many are ruled out or strongly constrained.

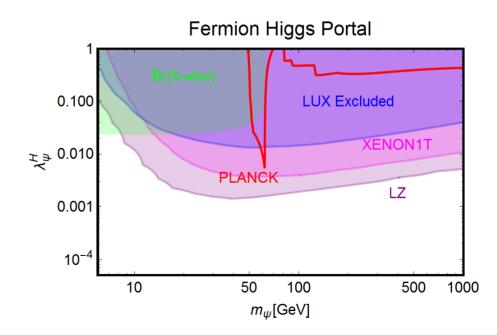
Example: Higgs portal

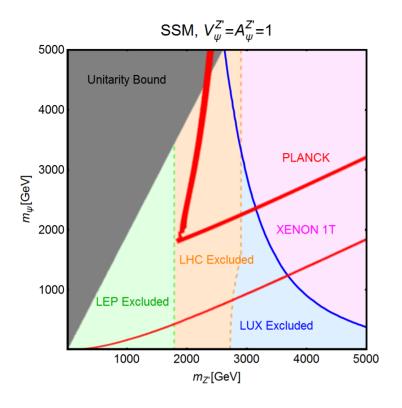
Arcadi et. al.' 17

$$\xi \lambda_{\chi}^{H} \chi^{*} \chi H^{\dagger} H$$
,

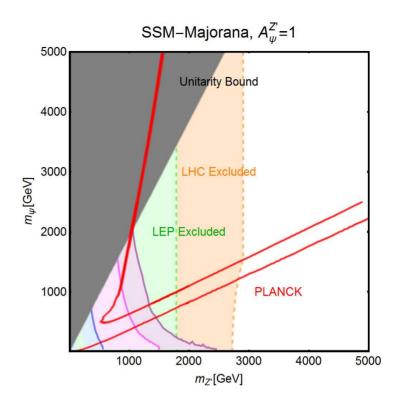


$$\xi rac{\lambda_{\psi}^{H}}{\Lambda} ar{\psi} \psi H^{\dagger} H$$





Z'-portal



Axial-vector portal

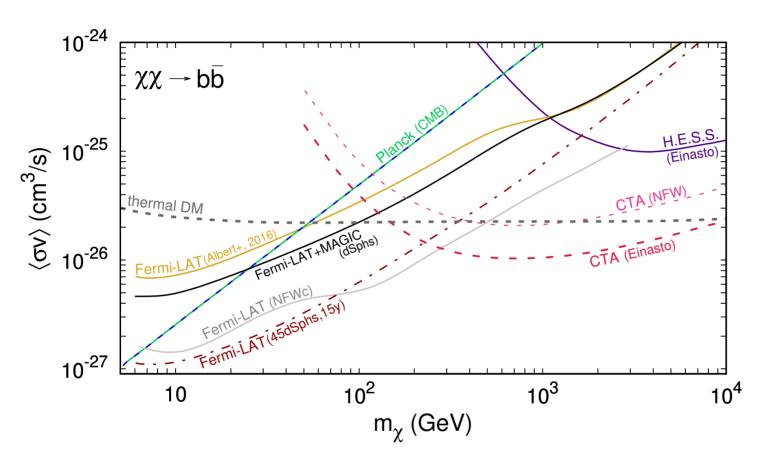
Spin-dependent interaction with nucleons

$$\bar{X}\gamma_{\mu}\gamma^{5}X\cdot\bar{q}\gamma^{\mu}\gamma^{5}q$$

LHC more sensitive than direct detection

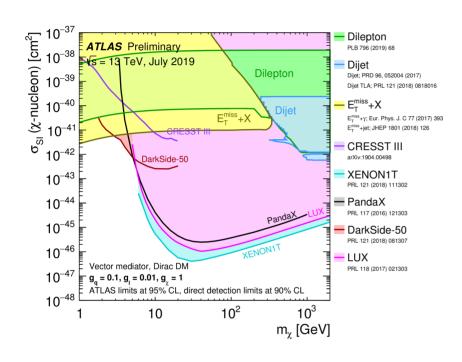
# Limits from annihilation $\gamma$ 's in cosmos

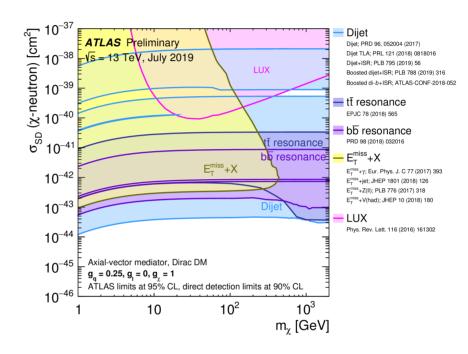
Roszkowski, Sessolo, Trojanowski' 17



Current limits, solid; projected limits, dashed NFW, Einasto: dark matter profiles in galaxies Thermal DM:  $\underline{s}$ -wave WIMP annihilation, assuming domination of  $X \to b\bar{b} \iff$  model dependent

# The LHC sensitivity to WIMPs





spin-independent

$$\bar{X}\gamma_{\mu}X\cdot\bar{q}\gamma^{\mu}q$$

$$\sigma_{AX}\propto A^2$$

spin-dependent

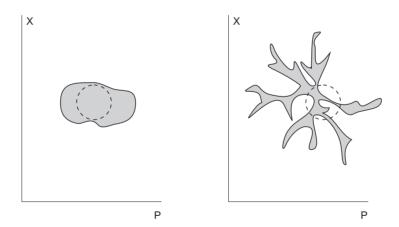
$$\bar{X}\gamma_{\mu}\gamma^{5}X \cdot \bar{q}\gamma^{\mu}\gamma^{5}q$$

$$\sigma_{AX} \propto J_{A}(J_{A}+1)$$

## Bounds on sterile neutrino mass

Mildly depend on production mechanism through initial distribution in momenta; assume thermal

- Must be capable of forming dwarf galaxies,  $M \gtrsim 10^9 M_{\odot} \Longrightarrow$  comoving free streaming length  $\gtrsim 100 \text{ kpc} \Longrightarrow m_{V_s} \gtrsim 4 \text{ keV}$
- "Tremaine—Gunn": maximum phase space density (coarse-grained) decreases in time



$$f(p, \vec{x}) = \frac{dN}{d^3x d^3p} \simeq \frac{n}{p^3} \simeq \frac{\rho/m}{m^3v^3} \lesssim f_{in}^{max}(p) \implies m_{v_s} \gtrsim 5 \text{ keV}$$

• Lyman- $\alpha$ :  $m_{\nu_s} \gtrsim 8 \text{ keV}$ .

## Axion production

Option 1: misalignment.

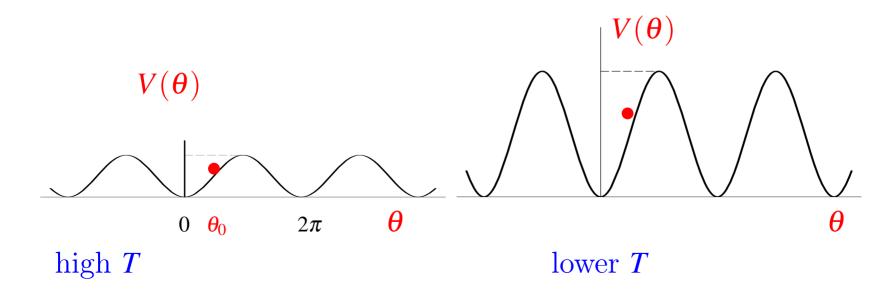
If axion field is homogeneous in the beginning of hot epoch, e.g., Peccei-Quinn phase transition before the end of inflation

Axion potential  $V(\theta) \simeq -m_q \langle \bar{q}q \rangle \cos \theta$ 

Early Universe, high  $T: \langle \bar{q}q \rangle = 0 \Longrightarrow V(\theta) = 0$ .

Initial value  $\theta_0$  anywhere between  $-\pi$  and  $\pi$ .

At QCD epoch  $(T \sim 1 \text{ GeV})$  potential  $V(\theta)$  builds up.  $\theta$  starts to oscillate  $\Longrightarrow$  collection of quanta at rest  $\Longrightarrow$  cold dark matter



Axion mass density depends on initial  $\theta_0$ :

$$\Omega_a \simeq 0.2 \cdot \left(\frac{4 \cdot 10^{-6} \,\mathrm{eV}}{m_a}\right)^{1.2} \cdot \theta_0^2$$

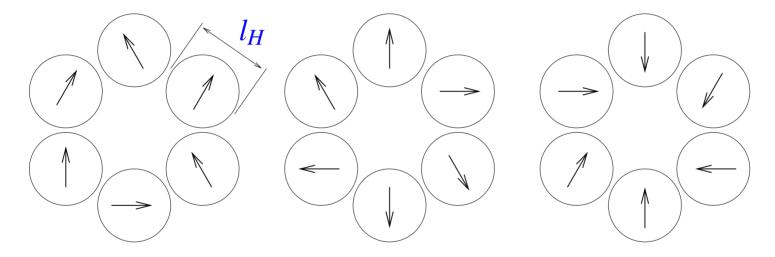
Axion of mass  $m_a = 10^{-5} - 10^{-6}$  eV will do the job. Or lighter, if  $\theta_0$  is small (fine tuned).

NB: Inflation generates fluctuations of all fields, incluing axion  $\Longrightarrow$  entropy mode of density perturbations (perturbations in composition). Not seen in CMB  $\Longrightarrow$  low inflation scale  $V_{infl}^{1/4} \lesssim 10^{12} \text{ GeV}.$ 

Reversing the argument: discovery of dark matter entropy mode will be an interesting hint towards nature of DM.

Option 2: axion field initially uncorrelated at super-Hubble scale e.g., Peccei-Quinn phase transition before the end of inflation

No uncertainty due to  $\theta_0$ . Axion mass density in principle calculable for given  $m_a$ . But difficult in practice.

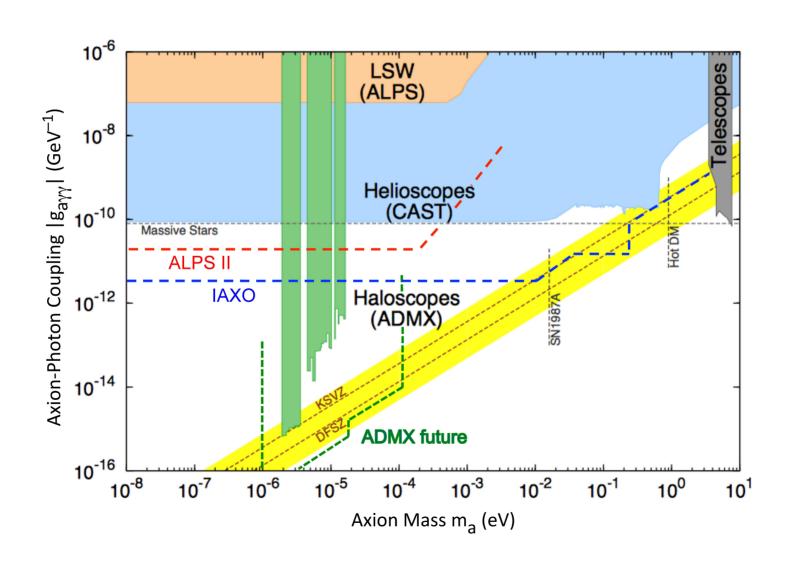


Axion string network, then axion domain walls,...

Existing estimates:  $m_a = 10^{-4} - 10^{-5} \text{ eV}$ 

Interesting dynamics both in early Universe and "today": Axion miniclusters of mass  $\sim (10^{-10} - 10^{-12}) M_{\odot}$ , destroyed in Galaxy  $\Longrightarrow$  axion streams

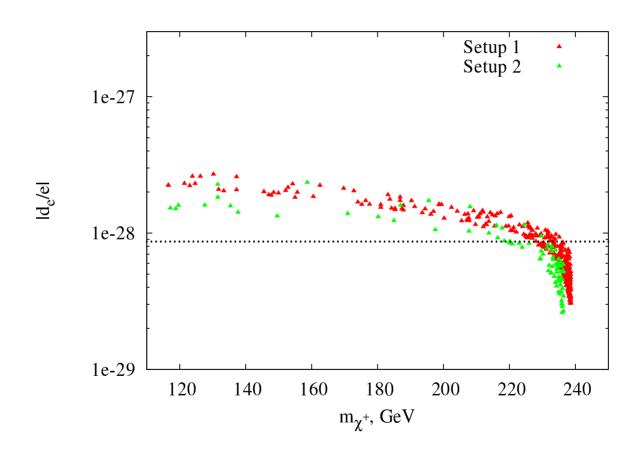
# Still a lot of parameter space to explore



# Example: NMSSM

Baryon asymmetry can be generated, but requires large electron EDM

Demidov, Gorbunov, Kirpichnikov' 16



ACME:  $d_e < 1.1 \cdot 10^{-29} e \cdot \text{cm}$